



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Organization Exempt from Income Tax

OMB No 1545 0047

2001

Under Section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2001 calendar year, or tax year beginning 10/01, 2001, and ending 9/30, 20 02

B Check if applicable:

<input type="checkbox"/> Address change	Please use IRS label or print or type See specific instructions.	SHAKESPEARE FESTIVAL / LA 1238 WEST FIRST STREET LOS ANGELES, CA 90026	D Employer Identification Number	13-3167013
<input type="checkbox"/> Name change			E Telephone number	(213) 481-2273
<input type="checkbox"/> Initial return			F Accounting method	<input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
<input type="checkbox"/> Final return			<input type="checkbox"/> Other (specify) _____	
<input type="checkbox"/> Amended return				
<input type="checkbox"/> Application pending				

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

H and I are not applicable to Section 527 organizations

H (a) Is this a group return for affiliates? Yes No

H (b) If yes enter number of affiliates: _____

H (c) Are all affiliates included? Yes No
(If no attach a list See instructions)

H (d) Is this a separate return filed by an organization covered by a group ruling? Yes No

I Enter 4-digit group GEN: _____

M Check if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF)

G Web site: N/A

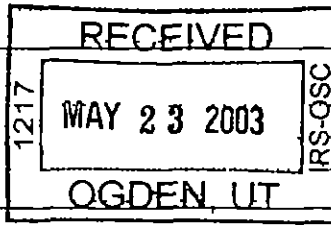
J Organization type (check only one): 501(c) 3 (insert no) 4947(a)(1) or 527

K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. **Some states require a complete return.**

L Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12: 1,039,719

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see instructions)

	1 Contributions, gifts, grants, and similar amounts received				
	a Direct public support	1a	273,945		
	b Indirect public support	1b			
	c Government contributions (grants)	1c	388,508		
	d Total (add lines 1a through 1c) (cash \$ <u>662,453</u> noncash \$ _____)	1d	662,453		
	2 Program service revenue including government fees and contracts (from Part VII, line 93)	2	375,013		
	3 Membership dues and assessments	3			
	4 Interest on savings and temporary cash investments	4	28		
	5 Dividends and interest from securities	5	2,225		
	6a Gross rents	6a			
	b Less rental expenses	6b			
	c Net rental income or (loss) (subtract line 6b from line 6a)	6c			
	7 Other investment income (describe _____)	7			
	8a Gross amount from sales of assets other than inventory	(A) Securities		(B) Other	
	b Less cost or other basis and sales expenses	8a			
	c Gain or (loss) (attach schedule)	8b			
	d Net gain or (loss) (combine line 8c, columns (A) and (B))	8c			8d
	9 Special events and activities (attach schedule)				
	a Gross revenue (not including \$ _____ of contributions reported on line 1a)	9a			
	b Less direct expenses other than fundraising expenses	9b			
	c Net income or (loss) from special events (subtract line 9b from line 9a)	9c			
	10a Gross sales of inventory, less returns and allowances	10a			
	b Less cost of goods sold	10b			
	c Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c			
	11 Other revenue (from Part VII, line 103)	11			
	12 Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12	1,039,719		
	13 Program services (from line 44, column (B))	13	596,398		
	14 Management and general (from line 44, column (C))	14	355,887		
	15 Fundraising (from line 44, column (D))	15	84,151		
	16 Payments to affiliates (attach schedule)	16			
	17 Total expenses (add lines 16 and 44, column (A))	17	1,036,436		
	18 Excess or (deficit) for the year (subtract line 17 from line 12)	18	3,283		
	19 Net assets or fund balances at beginning of year (from line 73, column (A))	19	785,551		
	20 Other changes in net assets or fund balances (attach explanation)	20			
	21 Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	788,834		



SCANNED JUN 18 2003

Part II Statement of Functional Expenses All organizations must complete column (A) Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others

Do not include amounts reported on line 5b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (att sch) See Stmt 1 (cash \$ 9,300 non-cash \$)	22	9,300	9,300		
23 Specific assistance to individuals (att sch)	23				
24 Benefits paid to or for members (att sch)	24				
25 Compensation of officers, directors, etc	25	85,000	47,600	37,400	
26 Other salaries and wages	26	371,557	241,396	60,037	70,124
27 Pension plan contributions	27	-876	-526	-350	
28 Other employee benefits	28	34,984	19,591	15,393	
29 Payroll taxes	29	39,926	26,143	6,223	7,560
30 Professional fundraising fees	30				
31 Accounting fees	31				
32 Legal fees	32	43,447		43,447	
33 Supplies	33	30,661	17,170	13,491	
34 Telephone	34	5,236	2,723	2,304	209
35 Postage and shipping	35	13,152	6,839	5,787	526
36 Occupancy	36	2,875	1,610	1,265	
37 Equipment rental and maintenance	37				
38 Printing and publications	38				
39 Travel	39	4,497	2,518	1,979	
40 Conferences, conventions, and meetings	40	471		471	
41 Interest	41	47,641		47,641	
42 Depreciation, depletion, etc (attach schedule)	42	49,664		49,664	
43 Other expenses not covered above (itemize)					
a See Statement 2	43a	298,901	222,034	71,135	5,732
b	43b				
c	43c				
d	43d				
e	43e				
44 Total functional expenses (add lines 22-43) Organizations completing columns (B) - (D), carry these totals to lines 13-15	44	1,036,436	596,398	355,887	84,151

Joint Costs. Check if you are following SOP 98 2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No
 If yes, enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to program services \$ _____, (iii) the amount allocated to management and general \$ _____, and (iv) the amount allocated to fundraising \$ _____

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? ▶

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable (Section 501(c)(3) & (4) organizations & section 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants & allocations to others)

Program Service Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts but optional for others)

a SHAKESPEARE FESTIVAL SUMMER PUBLIC THEATER - NON ADMISSION (Grants and allocations \$)	263,818
b SHAKESPEARE FESTIVAL SUMMER PUBLIC THEATER - ADMISSION (Grants and allocations \$)	100,741
c EDUCATIONAL PROGRAM & ARTS-IN-EDUCATION PROGRAM INTRODUCING JUNIOR HIGH AND HIGH SCHOOL TEACHERS AND STUDENTS TO SHAKESPEARE'S WORKS (Grants and allocations \$)	222,539
d FOOD CONTRIBUTIONS TO THE SALVATION ARMY (Grants and allocations \$)	9,300
e Other program services (Grants and allocations \$)	
f Total of Program Service Expenses (should equal line 44, column (B), program services)	596,398

Part IV Balance Sheets (See instructions)

Note. Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year		(B) End of year
ASSETS	45 Cash – non interest-bearing	197,953	45	275,244
	46 Savings and temporary cash investments		46	
	47a Accounts receivable	78,007		
	b Less allowance for doubtful accounts		47c	78,007
			7,131	
	48a Pledges receivable			
	b Less allowance for doubtful accounts		48c	
	49 Grants receivable		49	
	50 Receivables from officers, directors, trustees, and key employees (attach schedule)		50	
	51a Other notes & loans receivable (attach sch)			
	b Less allowance for doubtful accounts		51c	
	52 Inventories for sale or use		52	
	53 Prepaid expenses and deferred charges		53	
	54 Investments – securities (attach schedule)	<input type="checkbox"/> Cost <input type="checkbox"/> FMV	54	
	55a Investments – land, buildings, & equipment basis			
	b Less accumulated depreciation (attach schedule)		55c	
	56 Investments – other (attach schedule)		56	
	57a Land, buildings, and equipment basis	1,279,712		
	b Less accumulated depreciation (attach schedule) Statement 3	279,369	57c	1,000,343
58 Other assets (describe ▶ <u>See Statement 4</u>)		58	4,408	
59 Total assets (add lines 45 through 58) (must equal line 74)	1,359,747	59	1,358,002	
LIABILITIES	60 Accounts payable and accrued expenses	24,196	60	19,168
	61 Grants payable		61	
	62 Deferred revenue		62	
	63 Loans from officers, directors, trustees, and key employees (attach schedule)		63	
	64a Tax-exempt bond liabilities (attach schedule)		64a	
	b Mortgages and other notes payable (attach schedule)		64b	
	65 Other liabilities (describe ▶ <u>See Statement 5</u>)	550,000	65	550,000
66 Total liabilities (add lines 60 through 65)	574,196	66	569,168	
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74			
	67 Unrestricted	468,615	67	434,925
	68 Temporarily restricted	316,936	68	353,909
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds		72	
	73 Total net assets or fund balances (add lines 67 through 69 or lines 70 through 72, column (A) must equal line 19 and column (B) must equal line 21)	785,551	73	788,834
	74 Total liabilities and net assets/fund balances (add lines 66 and 73)	1,359,747	74	1,358,002

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

BAA

Part VII Analysis of Income-Producing Activities (See instructions)

Note Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a ADMISSION INCOME					70,487
b BENEFIT READING					291,077
c CONCESSION/PRODUCT SA					1,802
d OTHER REVENUE					5,599
e RENTAL INCOME					6,048
f Medicare/Medicaid payments					
g Fees & contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings & temporary cash invmnts				28	
96 Dividends & interest from securities				2,225	
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from pers prop					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory					
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue a					
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))				2,253	375,013
105 Total (add line 104, columns (B), (D), and (E))					377,266

Note. Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See instructions)

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
	See Statement 7

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See instructions)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End of year assets
N/A	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See instructions)

- a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note. If 'Yes' to (b), file Form 8870 and Form 4720 (see instructions)

Please Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of Officer: B. Donenberg Date: 5/15/03

Type or Print Name and Title: BEN DONENBERG, ARTISTIC DIRECTOR

Paid Preparer's Use Only

Preparer's Signature: [Signature] Date: 5/15/03 Check if self-employed:

Firm's name (or yours if self-employed) and address and ZIP + 4: Vargas, Lopez and Company, LLP
959 East Walnut St, #250
Pasadena, CA 91106

EIN: Phone no: (626) 583-1115

Preparer's SSN or PTIN (see General Instruction W):

Schedule A
(Form 990 or 990-EZ)

**Organization Exempt Under
Section 501(c)(3)**

OMB No 1545 0047

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1)
Nonexempt Charitable Trust Supplementary Information - (See separate instructions.)
Supplementary Information - (see separate instructions)

2001

Department of the Treasury
Internal Revenue Service

▶ **Must be completed by the above organizations and attached to their Form 990 or 990-EZ.**

Name of the Organization

SHAKESPEARE FESTIVAL / LA

Employer Identification Number

13-3167013

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See instructions List each one If there are none, enter 'None ')

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
None				

Total number of other employees paid over \$50,000 ▶	0			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See instructions List each one (whether individuals or firms) If there are none, enter 'None ')

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50 000 for professional services ▶	0	

Part III Statements About Activities (See instructions)

	Yes	No
<p>1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If 'Yes,' enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ <u> </u> N/A <u> </u> (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI A. Other organizations checking 'Yes,' must complete Part VI-B and attach a statement giving a detailed description of the lobbying activities</p>		X
<p>2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is 'Yes' attach a detailed statement explaining the transactions)</p>		
<p>a Sale, exchange, or leasing of property?</p>		X
<p>b Lending of money or other extension of credit?</p>		X
<p>c Furnishing of goods, services, or facilities?</p>		X
<p>d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?</p>		X
<p>e Transfer of any part of its income or assets?</p>		X
<p>3 Does the organization make grants for scholarships, fellowships, student loans, etc? (See Note below)</p>		X
<p>4 Do you have a section 403(b) annuity plan for your employees?</p>		X
<p>Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs 'qualify' to receive payments</p>		

Part IV Reason for Non-Private Foundation Status (See instructions)

The organization is not a private foundation because it is (please check only **One** applicable box)

- 5 A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6 A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7 A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8 A federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9 A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ▶ _____
- 10 An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)
- 11 a An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 11 b A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 12 An organization that normally receives (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc, functions - subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)
- 13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14 An organization organized and operated to test for public safety Section 509(a)(4) (See instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) *Use cash method of accounting*

Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	557,035	545,619	866,580	512,207	2,481,441
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	323,775	318,493	271,837	212,038	1,126,143
18 Gross income from interest, dividends, amounts received from payments on securities loans (Section 512(a)(5)), rents, royalties, and unrelated business taxable income (less Section 511 taxes) from businesses acquired by the organization after June 30, 1975	7,516	16,694	7,221	8,940	40,371
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.					
23 Total of lines 15 through 22	888,326	880,806	1,145,638	733,185	3,647,955
24 Line 23 minus line 17	564,551	562,313	873,801	521,147	2,521,812
25 Enter 1% of line 23	8,883	8,808	11,456	7,332	

26 Organizations described on lines 10 or 11 a Enter 2% of amount in column (e), line 24 N/A ▶ **26a**

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts. ▶ **26b**

c Total support for Section 509(a)(1) test. Enter line 24, column (e). ▶ **26c**

d Add: Amounts from column (e) for lines **18** _____ **19** _____
22 _____ **26b** _____ ▶ **26d**

e Public support (line 26c minus line 26d total) ▶ **26e**

f **Public support percentage (line 26e (numerator) divided by line 26c (denominator))** ▶ **26f** %

27 Organizations described on line 12

a For amounts included in lines 15, 16, and 17 that were received from a 'disqualified person,' prepare a list for your records to show the name of, and total amounts received in each year from, each 'disqualified person.' Do not file this list with your return. Enter the sum of such amounts for each year:
 (2000) _____ 0 (1999) _____ 0 (1998) _____ 0 (1997) _____ 0

b For any amount included in line 17 that was received from each person (other than 'disqualified persons'), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year:
 (2000) _____ 0 (1999) _____ 0 (1998) _____ 0 (1997) _____ 0

c Add: Amounts from column (e) for lines **15** 2,481,441 **16** _____
17 1,126,143 **20** _____ **21** _____ ▶ **27c** 3,607,584

d Add: Line 27a total 0 and line 27b total 0 ▶ **27d** 0

e Public support (line 27c total minus line 27d total) ▶ **27e** 3,607,584

f Total support for section 509(a)(2) test. Enter amount from line 23, column (e) ▶ **27f** 3,647,955

g **Public support percentage (line 27e (numerator) divided by line 27f (denominator))** ▶ **27g** 98.89 %

h **Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))** ▶ **27h** 1.11 %

28 Unusual Grants. For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.

Part V Private School Questionnaire (See instructions)
 (To be completed Only by schools that checked the box on line 6 in Part IV)

		N/A	
		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If 'Yes,' please describe, if 'No,' please explain (If you need more space, attach a separate statement) ----- ----- -----		
32	Does the organization maintain the following		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d	Copies of all material used by the organization or on its behalf to solicit contributions? If you answered 'No' to any of the above, please explain (If you need more space, attach a separate statement) ----- -----		
33	Does the organization discriminate by race in any way with respect to		
a	Students' rights or privileges?		
b	Admissions policies?		
c	Employment of faculty or administrative staff?		
d	Scholarships or other financial assistance?		
e	Educational policies?		
f	Use of facilities?		
g	Athletic programs?		
h	Other extracurricular activities? If you answered 'Yes' to any of the above, please explain (If you need more space, attach a separate statement) ----- -----		
34a	Does the organization receive any financial aid or assistance from a governmental agency?		
b	Has the organization's right to such aid ever been revoked or suspended? If you answered 'Yes' to either 34a or b, please explain using an attached statement		
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If 'No,' attach an explanation		

Part VI-A Lobbying Expenditures by Electing Public Charities (See instructions)
 (To be completed **Only** by an eligible organization that filed Form 5768)

N/A

Check **a** if the organization belongs to an affiliated group Check **b** if you checked 'a' and 'limited control' provisions apply

Limits on Lobbying Expenditures

(The term 'expenditures' means amounts paid or incurred)

	(a) Affiliated group totals	(b) To be completed for all electing organizations
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37	
38 Total lobbying expenditures (add lines 36 and 37)	38	
39 Other exempt purpose expenditures	39	
40 Total exempt purpose expenditures (add lines 38 and 39)	40	
41 Lobbying nontaxable amount Enter the amount from the following table -- If the amount on line 40 is -- The lobbying nontaxable amount is -- Not over \$500,000 20% of the amount on line 40 Over \$500,000 but not over \$1,000,000 \$100,000 plus 15% of the excess over \$500,000 Over \$1,000,000 but not over \$1,500,000 \$175,000 plus 10% of the excess over \$1,000,000 Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000 Over \$17,000,000 \$1,000,000	41	
42 Grassroots nontaxable amount (enter 25% of line 41)	42	
43 Subtract line 42 from line 36 Enter 0- if line 42 is more than line 36	43	
44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44	
Caution If there is an amount on either line 43 or line 44, you must file Form 4720		

4 -Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
 See the instructions for lines 45 through 50)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4 -Year Averaging Period				
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying - expenditures					
48 Grassroots non taxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B Lobbying Activity by Nonelecting Public Charities
 (For reporting only by organizations that did not complete Part VI A) (See instructions)

N/A

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a** Volunteers
- b** Paid staff or management (include compensation in expenses reported on lines c through h)
- c** Media advertisements
- d** Mailings to members, legislators, or the public
- e** Publications, or published or broadcast statements
- f** Grants to other organizations for lobbying purposes
- g** Direct contact with legislators, their staffs, government officials, or a legislative body
- h** Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i** Total lobbying expenditures (add lines c through h)

Yes	No	Amount

If 'Yes' to any of the above, also attach a statement giving a detailed description of the lobbying activities

Client NP107

SHAKESPEARE FESTIVAL / LA

13-3167013

5/15/03

08 49AM

Statement 1
Form 990, Part II, Line 22
Grants and Allocations

Cash Grants and Allocations

Amount Given	\$ 9,300
Total Cash Grants and Allocations	<u>\$ 9,300</u>
Total Grants and Allocations	<u><u>\$ 9,300</u></u>

Statement 2
Form 990, Part II, Line 43
Other Expenses

	(A) Total	(B) Program Services	(C) Management & General	(D) Fundraising
ADVERTISING	313	157	138	18
BANK SERVICE CHARGES	5,651		5,651	
CLEANING	8,832	4,946	3,886	
CONSULTING	66,355	35,168	29,196	1,991
DEVELOPMENT & ENTERTAINMENT	12,997	3,639	5,719	3,639
INSURANCE	9,716	5,441	4,275	
MAINTENANCE	19,114	10,704	8,410	
MISCELLANEOUS	2,822	1,496	1,242	84
PRODUCTION EXPENSES	118,758	118,758		
PUBLIC RELATIONS	14,291	8,003	6,288	
STORAGE	1,300	728	572	
UTILITIES	13,087	7,329	5,758	
WEB SITE	25,665	25,665		
Total	<u>\$ 298,901</u>	<u>\$ 222,034</u>	<u>\$ 71,135</u>	<u>\$ 5,732</u>

Statement 3
Form 990, Part IV, Line 57
Land, Buildings, and Equipment

Category	Basis	Accum Deprec.	Book Value
Furniture and Fixtures	\$ 4,403	\$ 660	\$ 3,743
Machinery and Equipment	307,138	205,544	101,594
Buildings	658,171	73,165	585,006
Land	310,000		310,000.
Total	<u>\$ 1,279,712</u>	<u>\$ 279,369</u>	<u>\$ 1,000,343</u>

Client NP107

SHAKESPEARE FESTIVAL / LA

13-3167013

5/15/03

08 49AM

**Statement 4
Form 990, Part IV, Line 58
Other Assets**

UNION DEPOSITS

Total \$ 4,408
\$ 4,408

**Statement 5
Form 990, Part IV, Line 65
Other Liabilities**

N/P CITY OF LOS ANGELES

Total \$ 550,000
\$ 550,000

**Statement 6
Form 990, Part V
List of Officers, Directors, Trustees, and Key Employees**

Name and Address	Title and Average Hours Per Week Devoted	Compensation	Contribution to EBP & DC	Expense Account/Other
MARTHA ANDERSON 140 WEST 6TH STREET CLAREMONT, CA 91711	Director None	\$ 0	\$ 0	\$ 0
NANCY BAXTER 350 W COLORADO BLVD #390 PASADENA, CA 91105	Director None	0	0	0
SY EXTER 2402 MICHELSON DRIVE #160 IRVINE, CA 92612	Director None	0	0	0
LESSING GOLD 11377 W OLYMPIC BLVD 10TH FL LOS ANGELES, CA 90064	Chairman None	0	0	0
RICHARD GOODEN 787 W 5TH ST, 28TH FLOOR LOS ANGELES, CA 90017	Secretary None	0	0	0
ALLEN HAINES 2660 WEST OLIVE AVENUE BURBANK, CA 91505	Director None	0	0	0
BARRY HIRSCH 1888 CENTURY PARK EAST 18TH FL LOS ANGELES, CA 90071	Director None	0	0	0
ELLEN JONES 225 W VERDUGO BLVD #307 BURBANK, CA 91502	Director None	0	0	0

Client NP107

SHAKESPEARE FESTIVAL / LA

13-3167013

5/15/03

08 49AM

Statement 6 (continued)
Form 990, Part V
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
RAPHEL E OFFER LONDON, ENGLAND,	Director None	\$ 0	\$ 0	\$ 0
PAMELA ROBINSON 11400 WEST OLYMPIC BOULEVARD LOS ANGELES, CA 90064	Co-Chairman None	0	0	0
MIKE RYAN 515 S FIGUEROA, 7TH FLOOR LOS ANGELES, CA 90071	Director None	0	0	0
FRANK SHERWOOD 880 WEST FIRST STREET #414 LOS ANGELES, CA 90012	Treasurer None	0	0	0
JAMES VANDEVEER 1228 AMETHYST ST , UNIT A REDONDO BEACH, CA 90277	Director None	0	0	0
BEN DONENBERG 1238 WEST FIRST STREET LOS ANGELES, CA 90026	ARTISTIC DIRECT 40	85,000	0	0
CHRIS FUNK 444 W FLOWER ST 43RD FLOOR LOS ANGELES, CA 90071	Director None	0	0	0
WILLIAM WEGNER 330 S GRAND AVE , 48TH FLOOR LOS ANGELES, CA 90071	Director None	0	0	0
JOSEPH WINGARD 9560 WILSHIRE BOULEVARD BEVERLY HILLS, CA 90212	Director None	0	0	0
Total		<u>\$ 85,000</u>	<u>\$ 0</u>	<u>\$ 0</u>

Statement 7
Form 990, Part VIII
Relationship of Activities to the Accomplishment of Exempt Purposes

<u>Line #</u>	<u>Explanation of Activities</u>
93A	ADMISSION INCOME FROM SUMMER FESTIVAL THEATRE PERFORMANCES HELP TO OFFSET COSTS OF PRODUCTION
93B	CONCESSION/PRODUCT SALES-MINOR OFFSET TO PERFORMANCE COSTS

5/15/03

08 49AM

Statement 7 (continued)
Form 990, Part VIII
Relationship of Activities to the Accomplishment of Exempt Purposes

Line #	Explanation of Activities
93C	BENEFIT READING IMPROVES VISIBILITY, IT BRINGS CORPORATE ATTENTION TO SF/LA'S MISSION EXCESS FUNDS USED FOR OTHER PERFORMANCES, EDUCATIONAL PROGRAMS AND FOR ADMINISTRATION COSTS