

# Form 990

Department of the Treasury  
Internal Revenue Service

## Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- Do not enter social security numbers on this form as it may be made public.
- Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**

Open to Public Inspection

### A For the 2017 calendar year, or tax year beginning and ending

B Check if applicable:

Address change  
 Name change  
 Initial return  
 Final return/terminated  
 Amended return  
 Application pending

C Name of organization  
**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

D Employer identification number  
**13-1623829**

E Telephone number  
**212-876-7700**

G Gross receipts \$  
**280,598,530.**

H(a) Is this a group return for subsidiaries? .....  Yes  No  
H(b) Are all subsidiaries included? .....  Yes  No  
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status:  501(c)(3)  501(c) ( ) (insert no.)  4947(a)(1) or  527

J Website: ▶ **WWW.ASPCA.ORG**

K Form of organization:  Corporation  Trust  Association  Other ▶

L Year of formation: **1866** M State of legal domicile: **NY**

**Part I Summary**

1 Briefly describe the organization's mission or most significant activities: **TO ENSURE SAFETY & PROTECTION OF ANIMALS THROUGH AN ARRAY OF SERVICES INCLUDING EDUCATION.**

2 Check this box  if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a) **14**

4 Number of independent voting members of the governing body (Part VI, line 1b) **13**

5 Total number of individuals employed in calendar year 2017 (Part V, line 2a) **1193**

6 Total number of volunteers (estimate if necessary) **1229**

7 a Total unrelated business revenue from Part VIII, column (C), line 12 **145,264.**

b Net unrelated business taxable income from Form 990-T, line 34 **0.**

Revenue	Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)	197,064,307.	219,969,109.
9 Program service revenue (Part VIII, line 2g)	13,639,438.	13,470,713.
10 Investment income (Part VII, column (A), lines 3, 4, and 7d)	4,009,401.	11,093,746.
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	2,685,067.	3,550,538.
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	217,398,213.	248,084,106.
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	14,144,477.	11,840,490.
14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	79,441,600.	85,570,289.
16a Professional fundraising fees (Part IX, column (A), line 11e)	1,745,019.	1,631,623.
b Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>45,067,962.</b>		
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	113,103,532.	122,228,689.
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	208,434,628.	221,271,091.
19 Revenue less expenses. Subtract line 18 from line 12	8,963,585.	26,813,015.
20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
21 Total liabilities (Part X, line 26)	262,438,013.	307,567,617.
22 Net assets or fund balances. Subtract line 21 from line 20	31,083,287.	33,655,049.
<b>Part II Signature Block</b>	231,354,726.	273,912,568.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer: *Peter Accinno* Date: **10/4/18**

Signature of preparer: *Julius C. Green* Date: **10/1/18**

PETER ACCINNO, INTERIM CFO  
Type or print name and title

Preparer's signature: *Julius C. Green* PTIN: **P00350393**

JULIUS C. GREEN, CPA  
Type or print name and title

Firm's name: **BAKER TILLY VIRCHOW KRAUSE, LLP** Firm's EIN: **39-0859910**

Firm's address: **1650 MARKET STREET, SUITE 4500 PHILADELPHIA, PA 19103-7341** Phone no. **215.972.0701**

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Part III Statement of Program Service Accomplishments

1 Check if Schedule O contains a response or note to any line in this Part III SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? If "Yes," describe these new services on Schedule O. Did the organization cease conducting, or make significant changes in how it conducts, any program services? Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 49,475,556. including grants of \$ ) (Revenue \$ 13,470,713.)

4b (Code: ) (Expenses \$ 42,642,609. including grants of \$ ) (Revenue \$ )

4c (Code: ) (Expenses \$ 32,324,373. including grants of \$ ) (Revenue \$ )

4d Other program services (Describe in Schedule O.) (Expenses \$ 41,758,904. including grants of \$ 11,840,490.) (Revenue \$ )

4e Total program service expenses 166,201,442.

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

13-1623829

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>if "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?		X
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>if "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>if "Yes," complete Schedule C, Part II</i>	X	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>if "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>if "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>if "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>if "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>if "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>if "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>if "Yes," complete Schedule D, Part VI</i>		
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>if "Yes," complete Schedule D, Part VII</i>	X	
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>if "Yes," complete Schedule D, Part VIII</i>		
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>if "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>if "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>if "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>if "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>if "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>if "Yes," complete Schedule E</i>	X	
14a Did the organization maintain an office, employees, or agents outside of the United States?	X	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>if "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>if "Yes," complete Schedule F, Parts II and IV</i>	X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>if "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>if "Yes," complete Schedule G, Part I</i>	X	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>if "Yes," complete Schedule G, Part II</i>		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>if "Yes," complete Schedule G, Part III</i>	X	

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

13-1623829

Page 4

**Part IV Checklist of Required Schedules** *(continued)*

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		<input checked="" type="checkbox"/>
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	<input checked="" type="checkbox"/>	
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		<input checked="" type="checkbox"/>
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	<input checked="" type="checkbox"/>	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		<input checked="" type="checkbox"/>
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
<b>25a</b> Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		<input checked="" type="checkbox"/>
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		<input checked="" type="checkbox"/>
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>		<input checked="" type="checkbox"/>
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		<input checked="" type="checkbox"/>
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): <b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> <b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> <b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		<input checked="" type="checkbox"/>
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	<input checked="" type="checkbox"/>	
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		<input checked="" type="checkbox"/>
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		<input checked="" type="checkbox"/>
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		<input checked="" type="checkbox"/>
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)?		
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	<input checked="" type="checkbox"/>	
<b>36</b> Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		<input checked="" type="checkbox"/>
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?		<input checked="" type="checkbox"/>
<b>Note.</b> All Form 990 filers are required to complete Schedule O	<input checked="" type="checkbox"/>	

Form 990 (2017)

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

13-1623829

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

	Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		223
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		0
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		11,93
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O	X	
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b If "Yes," enter the name of the foreign country: <b>▲</b>		
5a See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c If "Yes," to line 5a or 5b, did the organization file Form 8866-T?		X
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		X
7 Organizations that may receive deductible contributions under section 170(c). a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	X	
d If "Yes," indicate the number of Forms 8282 filed during the year		7d
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
9 Sponsoring organizations maintaining donor advised funds. a Did the sponsoring organization make any taxable distributions under section 4966?		
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
10 Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12		10a
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		10b
11 Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders		11a
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		11b
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year		12b
13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state?		
b See the instructions for additional information the organization must report on Schedule O. Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		13b
c Enter the amount of reserves on hand		13c
14a Did the organization receive any payments for indoor tanning services during the tax year?		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		14b

**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

**Section A. Governing Body and Management**

	Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	14	
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent.	13	
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		X
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?		X
<b>6</b> Did the organization have members or stockholders?		X
<b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
<b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b> The governing body?		X
<b>b</b> Each committee with authority to act on behalf of the governing body?		X
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Did the organization have local chapters, branches, or affiliates?		X
<b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
<b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?		X
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13		X
<b>b</b> Were officers, directors, or trustees, or key employees required to disclose annually interests that could give rise to conflicts?		X
<b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done		X
<b>13</b> Did the organization have a written whistleblower policy?		X
<b>14</b> Did the organization have a written document retention and destruction policy?		X
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		X
<b>a</b> The organization's CEO, Executive Director, or top management official		X
<b>b</b> Other officers or key employees of the organization		X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		
<b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		X

**Section C. Disclosure**

<b>17</b> List the states with which a copy of this Form 990 is required to be filed <b>AL, AR, CA, CT, FL, GA, HI, IL, KS, KY, LA, MD</b>
<b>18</b> Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input checked="" type="checkbox"/> Own website <input checked="" type="checkbox"/> Another's website <input type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
<b>19</b> Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
<b>20</b> State the name, address, and telephone number of the person who possesses the organization's books and records: <b>PETER ACCINNO - 212-876-7700</b>

**520 EIGHTH AVENUE, NEW YORK, NY 10018**

**SEE SCHEDULE O FOR FULL LIST OF STATES**

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's **five** **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)				(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Key employee	Highest compensated employee	Former			
(1) FREDERICK TANNE CHAIRPERSON	1.00 0.00	X	X			0.	0.	0.
(2) SALLY SPOONER VICE CHAIRPERSON	1.00 0.00	X	X			0.	0.	0.
(3) CATHY WALLACH SECRETARY	1.00 0.00	X	X			0.	0.	0.
(4) JANE W. PARVER DIRECTOR & TREASURER AS OF 6/13/17	1.00 0.00	X	X			0.	0.	0.
(5) DODIE GUMAER TREASURER TO 6/13/17	1.00 0.00	X	X			0.	0.	0.
(6) TIM F. WRAY DIRECTOR	3.00 0.00	X				0.	0.	0.
(7) HELEN S.C. PILKINGTON DIRECTOR TO 9/7/17	1.00 0.00	X				0.	0.	0.
(8) ARIANA BOARDMAN DIRECTOR	1.00 0.00	X				0.	0.	0.
(9) C. ALLEN PARKER DIRECTOR	1.00 0.00	X				0.	0.	0.
(10) GEORGINA BLOOMBERG DIRECTOR TO 3/24/17	1.00 0.00	X				0.	0.	0.
(11) JEFF PFEIFLE DIRECTOR	1.00 0.00	X				0.	0.	0.
(12) LINDA LALOYD LAMBERT DIRECTOR	1.00 0.00	X				0.	0.	0.
(13) MARTIN PURIS DIRECTOR	1.00 0.00	X				0.	0.	0.
(14) SCOTT THIEL DIRECTOR	1.00 0.00	X				0.	0.	0.
(15) TRACY V. MATTLAND DIRECTOR	1.00 0.00	X				0.	0.	0.
(16) MARY JO WHITE DIRECTOR AS OF 6/13/17	1.00 0.00	X				0.	0.	0.
(17) MATTHEW BERSHAKER, PRES & CEO INCL MERT ADJ/BONUS '15-'17, SEE PG71	65.00 2.00	X		X		804,372.	0.	47,859.

**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)				(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee			
(18) TODD HENDRICKS SVP, DEVELOPMENT	50.00			X		333,383.	0.	51,886.
(19) ELIZABETH ESTROFF SVP, COMMUNICATIONS	50.00			X		318,288.	0.	52,908.
(20) SARAH LEVIN GOODSTONE SVP, OPERATIONS & STRATEGY	50.00			X		302,312.	0.	56,690.
(21) JULIE MORRIS SVP, COMMUNITY OUTREACH	50.00			X		296,443.	0.	34,807.
(22) STACY WOLF SVP, ANTI-CRUELTY GROUP/ POLICY	50.00			X		268,585.	0.	28,965.
(23) BERT TROUGHTON SVP, ANIMAL HEALTH SERVICE/SHELTER &	50.00			X		261,738.	0.	43,367.
(24) BEVERLY JONES SVP, CHIEF LEGAL OFFICER	50.00			X		236,405.	0.	47,357.
(25) STEPHEN J. MUSSO EVP, CAPITAL PROJECTS & FACILITIES P	50.00			X		260,128.	0.	44,419.
(26) J' MAI GAYLE DIRECTOR, SURGERY	50.00			X		249,469.	0.	51,169.
<b>1b Sub-total</b>						<b>3,331,123.</b>	<b>0.</b>	<b>459,427.</b>
<b>c Total from continuation sheets to Part VII, Section A</b>						<b>700,520.</b>	<b>0.</b>	<b>104,318.</b>
<b>d Total (add lines 1b and 1c)</b>						<b>4,031,643.</b>	<b>0.</b>	<b>563,745.</b>

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **146**

3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		X
4	X	
5		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
EAGLE-COM, INC., 110 EGLINGTON AVENUE EAST, STE 604, TORONTO, ONTARIO, M4P 1E	MEDIA BROADCAST	19,781,716.
TRUE NORTH, INC, 630 THIRD AVENUE, 12TH FLOOR, NEW YORK, NY 10017	MEDIA PLACEMENT	8,592,808.
JAMES R. VANNOY & SONS CONSTRUCTION INC., 230 HILLIARD AVE UNIT 01, ASHEVILLE, NC	CONSTRUCTION	6,313,441.
FORUM SERVICES GROUP, INC. 260 MADISON AVENUE, NEW YORK, NY 10016	STAFFING & CONSULTING SERVICES	5,213,627.
DIALOGUE DIRECT, 589 EIGHTH AVENUE, 21ST FLOOR, NEW YORK, NY 10018	FUNDRAISING	4,744,646.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **147**

SEE PART VII, SECTION A CONTINUATION SHEETS



THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

13-1623829

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
<b>1 a-f</b> Federated campaigns, Membership dues, Fundraising events, Related organizations, Government grants (contributions), All other contributions, gifts, grants, and similar amounts not included above		219,969,109.			
<b>g</b> Noncash contributions included in lines 1a-1f: \$ 3,044,296.					
<b>h</b> Total. Add lines 1a-1f		219,969,109.			
		<b>Business Code</b>			
<b>2 a</b> ANIMAL POISON CONTROL CENTER		900000			
<b>b</b> MOBILE CLINIC VET. & CLINIC REVEN		9,226,035.	9,226,035.		
<b>c</b> ANIMAL HOSPITAL FEES		1,950,361.	1,950,361.		
<b>d</b> ADOPTION CENTER FEES		1,736,197.	1,736,197.		
<b>e</b>		558,120.	558,120.		
<b>f</b> All other program service revenue					
<b>g</b> Total. Add lines 2a-2f		13,470,713.			
<b>3</b> Investment income (including dividends, interest, and other similar amounts)					
<b>4</b> Income from investment of tax-exempt bond proceeds		2,559,085.			2,559,085.
<b>5</b> Royalties		2,590,453.			2,590,453.
<b>6 a</b> Gross rents					
<b>b</b> Less: rental expenses					
<b>c</b> Rental income or (loss)					
<b>d</b> Net rental income or (loss)					
<b>7 a</b> Gross amount from sales of assets other than inventory					
<b>b</b> Less: cost or other basis and sales expenses					
<b>c</b> Gain or (loss)		8,534,661.			
<b>d</b> Net gain or (loss)		8,534,661.			8,534,661.
<b>8 a</b> Gross income from fundraising events (not including \$ 1,817,297. of contributions reported on line 1c). See Part IV, line 18					
<b>b</b> Less: direct expenses		1,283,649.			
<b>c</b> Net income or (loss) from fundraising events		780,481.			
<b>9 a</b> Gross income from gaming activities. See Part IV, line 19		503,168.			503,168.
<b>b</b> Less: direct expenses					
<b>c</b> Net income or (loss) from gaming activities					
<b>10 a</b> Gross sales of inventory, less returns and allowances					
<b>b</b> Less: cost of goods sold					
<b>c</b> Net income or (loss) from sales of inventory					
		<b>Business Code</b>			
<b>11 a</b> LIST SALES		900099			
<b>b</b> INCOME FROM K-1 ACTIVITY		311,653.			311,653.
<b>c</b> GAIN FROM K-1 ACTIVITY		144,491.			144,491.
<b>d</b> All other revenue		773.			773.
<b>e</b> Total. Add lines 11a-11d		456,917.			
<b>12</b> Total revenue. See instructions.		248,084,106.	13,470,713.	145,264.	14,499,020.

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A). Check if Schedule O contains a response or note to any line in this Part IX

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	11,840,490.	11,840,490.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	3,185,268.	2,776,549.	166,289.	242,430.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	60,824,288.	51,857,520.	3,883,748.	5,083,020.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	3,417,858.	2,979,295.	178,431.	260,132.
9 Other employee benefits	12,966,667.	11,302,847.	676,932.	986,888.
10 Payroll taxes	5,176,208.	4,512,022.	270,227.	393,959.
11 Fees for services (non-employees):				
a Management	418,674.	262,521.	114,555.	41,598.
b Legal	1,056,462.	287,210.	411,891.	357,361.
c Accounting	739,779.		739,779.	
d Lobbying	392,530.	392,530.		
e Professional fundraising services. See Part IV, line 17	1,631,623.			1,631,623.
f Investment management fees	690,152.		690,152.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	17,528,537.	13,520,679.	130,588.	3,877,270.
12 Advertising and promotion	34,196,222.	18,297,303.	40,082.	15,858,837.
13 Office expenses	19,442,683.	10,945,082.	172,401.	8,325,200.
14 Information technology	12,169,675.	5,395,083.	516,841.	6,257,751.
15 Royalties				
16 Occupancy	5,763,400.	4,319,147.	740,185.	704,068.
17 Travel	728,147.	727,365.	714.	68.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	6,872,700.	6,543,639.	109,187.	219,874.
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	5,418,123.	4,233,525.	668,809.	515,789.
23 Insurance	1,211,937.	1,029,089.	101,639.	81,209.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a VETERINARY & MEDICAL SUPPLIES	9,694,019.	9,693,723.	37.	259.
b OPERATING SUPPLIES	2,985,710.	2,854,444.	59,945.	71,321.
c REPAIRS AND MAINTENANCE	1,523,629.	1,353,967.	118,636.	51,026.
d MISCELLANEOUS EXPENSES	1,396,310.	1,077,412.	210,619.	108,279.
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	221,271,091.	166,201,442.	10,001,687.	45,067,962.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.	70,036,149.	34,333,397.	83,414.	35,619,338.

Check here  if following SOP 99-2 (ASC 958-720)

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

	(A) Beginning of year	(B) End of year
<b>Assets</b>		
1 Cash - non-interest-bearing	16,518,289.	20,397,279.
2 Savings and temporary cash investments	11,955,496.	18,324,004.
3 Pledges and grants receivable, net	13,363,334.	11,143,059.
4 Accounts receivable, net	6,344,338.	5,886,435.
5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		
6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see Instt). Complete Part II of Sch L		
7 Notes and loans receivable, net		
8 Inventories for sale or use		
9 Prepaid expenses and deferred charges	2,028,296.	1,008,282.
10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D		
10b Less: accumulated depreciation	10a 100,078,822. 10b 46,043,400.	
11 Investments - publicly traded securities	49,525,297.	54,035,422.
12 Investments - other securities. See Part IV, line 11	91,617,401.	112,766,740.
13 Investments - program-related. See Part IV, line 11	52,007,844.	62,879,257.
14 Intangible assets		
15 Other assets. See Part IV, line 11	19,077,718.	21,127,139.
16 Total assets. Add lines 1 through 15 (must equal line 34)	262,438,013.	307,567,617.
17 Accounts payable and accrued expenses	11,588,929.	14,066,048.
18 Grants payable	2,115,468.	1,952,817.
19 Deferred revenue		
20 Tax-exempt bond liabilities		
21 Escrow or custodial account liability. Complete Part IV of Schedule D		
22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		
23 Secured mortgages and notes payable to unrelated third parties		
24 Unsecured notes and loans payable to unrelated third parties		
25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		
26 Total liabilities. Add lines 17 through 25	17,378,890.	17,636,184.
Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.	31,083,287.	33,655,049.
27 Unrestricted net assets		
28 Temporarily restricted net assets	161,673,149.	201,820,534.
29 Permanently restricted net assets	44,634,468.	44,461,322.
Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.	25,047,109.	27,630,712.
30 Capital stock or trust principal, or current funds		
31 Paid-in or capital surplus, or land, building, or equipment fund		
32 Retained earnings, endowment, accumulated income, or other funds		
33 Total net assets or fund balances	231,354,726.	273,912,568.
34 Total liabilities and net assets/fund balances	262,438,013.	307,567,617.
<b>Liabilities</b>		
<b>Net Assets or Fund Balances</b>		

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	248,084,106.
2	Total expenses (must equal Part IX, column (A), line 25)	221,271,091.
3	Revenue less expenses. Subtract line 2 from line 1	26,813,015.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	231,354,726.
5	Net unrealized gains (losses) on investments	13,118,114.
6	Donated services and use of facilities	
7	Investment expenses	
8	Prior period adjustments	21,714.
9	Other changes in net assets or fund balances (explain in Schedule O)	2,604,999.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	273,912,568.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

- 1 Accounting method used to prepare the Form 990:  Cash  Accrual  Other  
 If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant?  
 If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- b Were the organization's financial statements audited by an independent accountant?  
 If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?  
 If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?  
 a If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		



THE AMERICAN SOCIETY FOR THE PREVENTION

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(v) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	144513028	163600103	182705546	197064307	219969109	907852093
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	144513028	163600103	182705546	197064307	219969109	907852093
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4.						907852093

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
7 Amounts from line 4	144513028	163600103	182705546	197064307	219969109	907852093
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	4567926	5093532	5222599	4588637	5149538	24622232
9 Net income from unrelated business activities, whether or not the business is regularly carried on				37,048	145,264	182,312
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	1680318	2372646	396,241	253,763	311,653	5014621
11 Total support. Add lines 7 through 10					72,259,319	937671258
12 Gross receipts from related activities, etc. (see instructions)					12	72,259,319
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						▶

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2017 (line 6, column (f) divided by line 11, column (f))	14	96.82	%
15 Public support percentage from 2016 Schedule A, Part II, line 14	15	96.28	%
16a 33 1/3% support test - 2017. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			▶ <input checked="" type="checkbox"/>
b 33 1/3% support test - 2016. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			▶ <input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2017. If the organization did not check a box on line 13, 16a, or 17a, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization			▶ <input type="checkbox"/>
b 10% -facts-and-circumstances test - 2016. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization			▶ <input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions			▶ <input type="checkbox"/>

Schedule A (Form 990 or 990-EZ) 2017 OF CRUELTY TO ANIMALS  
**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
3 Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
5 The value of services or facilities furnished by a governmental unit to the organization without charge .....						
6 Total. Add lines 1 through 5 .....						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
c Add lines 7a and 7b .....						
8 Public support. (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
9 Amounts from line 6 .....						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources .....						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
c Add lines 10a and 10b .....						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

Public support percentage for 2017 (line 8, column (f) divided by line 13, column (f))	15	%
Public support percentage from 2016 Schedule A, Part III, line 15	16	%

**Section D. Computation of Investment Income Percentage**

Investment income percentage for 2017 (line 10c, column (f) divided by line 13, column (f))	17	%
Investment income percentage from 2016 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2017. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2016. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

**Schedule A (Form 990 or 990-EZ) 2017**  
**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
<b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
<b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
<b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
<b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.		
<b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.		
<b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
<b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
<b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
<b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
<b>b</b> <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c</b> <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .		
<b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete <i>Part I of Schedule L (Form 990 or 990-EZ)</i> .		
<b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete <i>Part I of Schedule L (Form 990 or 990-EZ)</i> .		
<b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .		
<b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .		
<b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .		
<b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
<b>b</b> Did the organization have any excess business holdings in the tax year? (Use <i>Schedule C, Form 4720</i> , to determine whether the organization had excess business holdings.)		
<b>10a</b>		
<b>10b</b>		

11	Has the organization accepted a gift or contribution from any of the following persons? a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? b A family member of a person described in (a) above? c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>	Yes	No
			11a
			11b
			11c

**Section B. Type I Supporting Organizations**

1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>	Yes	No
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.</i>	Yes	No
			1
			2

**Section C. Type II Supporting Organizations**

1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>	Yes	No
			1

**Section D. All Type III Supporting Organizations**

1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	Yes	No
			1
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>	Yes	No
			2
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>	Yes	No
			3

**Section E. Type III Functionally Integrated Supporting Organizations**

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below. b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below. c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).	Yes	No
2	Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>	Yes	No
			2a
	b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>	Yes	No
			2b
3	Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>	Yes	No
			3a
	b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>	Yes	No
			3b

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

**Schedule A (Form 990 or 990-EZ) 2017**

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain		
2	Recoveries of prior-year distributions		
3	Other gross income (see instructions)		
4	Add lines 1 through 3		
5	Depreciation and depletion		
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)		
7	Other expenses (see instructions)		
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)		
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	
Section C - Distributable Amount		Current Year	
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).		

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

	Current Year
<b>Section D - Distributions</b>	
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 <b>Total annual distributions.</b> Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2017 from Section C, line 6	
10 Line 8 amount divided by line 9 amount	

	(i) Excess Distributions	(ii) Underdistributions Pre-2017	(iii) Distributable Amount for 2017
<b>Section E - Distribution Allocations (see instructions)</b>			
1 Distributable amount for 2017 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2017 (reasonable cause required- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2017			
<b>a</b>			
<b>b</b> From 2013			
<b>c</b> From 2014			
<b>d</b> From 2015			
<b>e</b> From 2016			
<b>f</b> Total of lines 3a through e			
<b>g</b> Applied to underdistributions of prior years			
<b>h</b> Applied to 2017 distributable amount			
<b>i</b> Carryover from 2012 not applied (see instructions)			
<b>j</b> Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2017 from Section D, line 7: \$			
<b>a</b> Applied to underdistributions of prior years			
<b>b</b> Applied to 2017 distributable amount			
<b>c</b> Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2017, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2017. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7 Excess distributions carryover to 2018. Add lines 3j and 4c.			
8 Breakdown of line 7:			
<b>a</b> Excess from 2013			
<b>b</b> Excess from 2014			
<b>c</b> Excess from 2015			
<b>d</b> Excess from 2016			
<b>e</b> Excess from 2017			

THE AMERICAN SOCIETY FOR THE PREVENTION

13-1623829 Page 8

Schedule A (Form 990 or 990-EZ) 2017 OF CRUELTY TO ANIMALS

Part VI

Supplemental Information.

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

LIST RENTALS

2013 AMOUNT: \$ 360,693.

2015 AMOUNT: \$ 368,475.

2016 AMOUNT: \$ 253,763.

2017 AMOUNT: \$ 311,653.

FUNDRAISING EVENTS

2013 AMOUNT: \$ 1,108,668.

MISCELLANEOUS

2013 AMOUNT: \$ 210,957.

2014 AMOUNT: \$ 2,372,646.

2015 AMOUNT: \$ 27,766.

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**2017**

Department of the Treasury  
Internal Revenue Service

Open to Public  
Inspection

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
 Complete if the organization is described below. Attach to Form 990 or Form 990-EZ  
 Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(f)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(f)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization **THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS** Employer identification number **13-1623829**  
**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- Political campaign activity expenditures ..... \$
- Volunteer hours for political campaign activities .....

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- Enter the amount of any excise tax incurred by the organization under section 4955 ..... \$
- Enter the amount of any excise tax incurred by organization managers under section 4955 ..... \$
- If the organization incurred a section 4955 tax, did it file Form 4720 for this year?  Yes  No
- Was a correction made?  Yes  No

b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... \$
- Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... \$
- Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ..... \$
- Did the filing organization file Form 1120-POL for this year?  Yes  No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2017

LHA

**A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).  
**B** Check  if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals
<b>1a</b>	Total lobbying expenditures to influence public opinion (grass roots lobbying) .....		
<b>b</b>	Total lobbying expenditures to influence a legislative body (direct lobbying) .....		
<b>c</b>	Total lobbying expenditures (add lines 1a and 1b) .....		
<b>d</b>	Other exempt purpose expenditures .....		
<b>e</b>	Total exempt purpose expenditures (add lines 1c and 1d) .....		
<b>f</b>	Lobbying nontaxable amount. Enter the amount from the following table in both columns.		
	If the amount on line 1e, column (a) or (b) is:		
	Not over \$500,000		
	Over \$500,000 but not over \$1,000,000		
	Over \$1,000,000 but not over \$1,500,000		
	Over \$1,500,000 but not over \$17,000,000		
	Over \$17,000,000		
	The lobbying nontaxable amount is:		
	20% of the amount on line 1e.		
	\$100,000 plus 15% of the excess over \$500,000.		
	\$175,000 plus 10% of the excess over \$1,000,000.		
	\$225,000 plus 5% of the excess over \$1,500,000.		
	\$1,000,000.		
<b>g</b>	Grassroots nontaxable amount (enter 25% of line 1f) .....		
<b>h</b>	Subtract line 1g from line 1a. If zero or less, enter -0- .....		
<b>i</b>	Subtract line 1f from line 1c. If zero or less, enter -0- .....		
<b>j</b>	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**4-Year Averaging Period Under section 501(h)**  
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Calendar Year (or fiscal year beginning in)	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) Total
<b>2a</b> Lobbying nontaxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					

**THE AMERICAN SOCIETY FOR THE PREVENTION**

**Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b) Amount
	Yes	No	
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?	X		
b Paid staff or management (include compensation in expenses reported on lines 1c through 1j)?	X		
c Media advertisements?	X		13,689.
d Mailings to members, legislators, or the public?	X		45,596.
e Publications, or published or broadcast statements?		X	
f Grants to other organizations for lobbying purposes?	X		83,520.
g Direct contact with legislators, their staffs, government officials, or a legislative body?	X		601,472.
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	X		67,066.
i Other activities?	X		69,869.
j Total. Add lines 1c through 1i			881,212.
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes		No	
	1	2	3	
1 Were substantially all (90% or more) dues received nondeductible by members?				
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?				
3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?				

**Part III-B** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?		
5 Taxable amount of lobbying and political expenditures (see instructions)	4	
	5	

**Part IV** Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

**PART II-B, LINE 1, LOBBYING ACTIVITIES:**

GENERAL - THE ASPCA'S MISSION TO PREVENT CRUELTY TO ANIMALS IS  
 PRIMARILY ADVANCED THROUGH A SERIES OF SIGNIFICANT DIRECT CARE  
 PROGRAMS. THE ASPCA'S NATIONAL RELOCATION PROGRAM TO SAVE LIVES OF  
 AT-RISK HOMELESS ANIMALS; PARTNERSHIPS WITH COMMUNITIES TO INCENTIVIZE  
 MORE LIVE RELEASE AND RESCUE FOR HOMELESS ANIMALS; PROFESSIONAL

DEVELOPMENT FOR SHELTERS AND RESCUE ORGANIZATIONS; A BEHAVIORAL RESEARCH CENTER TO REHABILITATE UNDER SOCIALIZED, FEARFUL DOGS FROM PUPPY MILLS, HOARDING AND OTHER CRUELTY CASES; A COLLABORATION WITH THE NEW YORK CITY POLICE DEPARTMENT; THE ASPCA'S CRUELTY INTERVENTION ADVOCACY PROGRAM TO ADDRESS THE ROOT CAUSES OF SUFFERING IN HOARDING CASES; THE ASPCA ANIMAL HOSPITAL; AND SPAY/NEUTER OPERATIONS AND ADOPTION CENTER IN NEW YORK CITY ARE ALL LABORATORIES FOR UNDERSTANDING THE MYRIAD PROBLEMS ANIMALS FACE AND INFORM THEIR WORK TO ADVANCE POLICIES THAT WILL PREVENT CRUELTY IN THE FUTURE. THE LESSONS THE ASPCA TAKES FROM THESE PROGRAMS ENABLE THEM TO BRING EXPERT VOICES AND INFORMED OPINIONS TO THEIR WORK FOR LAWS TO DETER CRUEL TREATMENT OF ANIMALS.

1A. VOLUNTEERS: THE ASPCA WORKS WITH VOLUNTEERS HOLDING CITIZEN TRAINING WORKSHOPS IN LOCAL COMMUNITIES, PROVIDING OPPORTUNITIES FOR THEM TO JOIN THEIR STAFF AT THE STATE AND FEDERAL CAPITOLS TO PROMOTE OR OPPOSE LEGISLATION THROUGH MEETINGS WITH LEGISLATORS AND THEIR AIDES. THE ASPCA EMPLOYS TRAINING TOOLS SUCH AS WEBINARS AND CONFERENCES.

1B. PAID STAFF OR MANAGEMENT: ASPCA MANAGEMENT AND STAFF STRATEGIZE AND COORDINATE THEIR PUBLIC POLICY EFFORTS AIMED AT ENHANCING OUR ABILITY TO PERFORM DIRECT CARE WORK AND TO HELP PREVENT CRUELTY. THEY CULTIVATE AND EXPAND CONTACTS WITHIN GOVERNMENT BODIES, INCLUDING LEGISLATURES AND REGULATORY AGENCIES, AND WORK WITH OTHER NATIONAL AND LOCAL ORGANIZATIONS TO PROMOTE HUMANE POLICIES.

1C. MEDIA ADVERTISEMENTS: PERIODICALLY, THE ASPCA BUYS ADVERTISEMENT

Part IV Supplemental Information *(continued)*

SPACE IN PUBLIC POLICY-FOCUSED NEWSPAPERS (E.G., ROLL CALL, THE HILL)  
THAT MEMBERS OF CONGRESS AND THEIR STAFFS REGULARLY READ. THE  
ADVERTISEMENTS ARE STRATEGICALLY SCHEDULED (OFTEN AHEAD OF A CRUCIAL  
COMMITTEE VOTE OR FLOOR VOTE) TO MAXIMIZE EXPOSURE AND TIMELINESS TO  
THEIR ISSUES. THE ASPCA ALSO BUYS STRATEGICALLY TARGETED ADVERTISING  
SPACE ON SOCIAL MEDIA (E.G., FACEBOOK) FOR THE SAME PURPOSE.

1D. MAILINGS TO MEMBERS, LEGISLATORS, OR THE PUBLIC: THE ASPCA  
COMMUNICATES WITH THEIR MEMBERS, UNPAID VOLUNTEERS, LEGISLATORS AND THE  
PUBLIC THROUGH MAILINGS, EMAIL, AND ELECTRONIC ALERTS TO UPDATE AND  
INFORM AS WELL AS TO ENCOURAGE THEIR PARTICIPATION IN POSITIVE OUTCOMES  
FOR ANIMALS. THE ASPCA EMPLOYS TRADITIONAL AND SOCIAL MEDIA TOOLS TO  
INFORM THE PUBLIC OF LEGISLATION, REGULATIONS, AND OTHER POLICIES THAT  
PROMOTE ANIMAL WELFARE OR THAT ARE HOSTILE TO IT AND TO PROVIDE THEM  
WITH SUPPORT AND TOOLS FOR POLICY CHANGE.

1F. GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES: THE ASPCA  
PROVIDES GRANTS TO ORGANIZATIONS TO PROMOTE ANIMAL WELFARE INCLUDING  
THOSE WORKING TO FURTHER ANIMAL PROTECTION EFFORTS IN LOCAL AND STATE  
LEGISLATURES AND CONGRESS AS WELL AS IN REGULATIONS AT ALL LEVELS.

1G. DIRECT CONTACT WITH LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS,  
OR A LEGISLATIVE BODY: THE ASPCA PROMOTES ANTI-CRUELTY LEGISLATION  
THROUGH DIRECT CONTACTS WITH FEDERAL AND STATE LEGISLATORS, THEIR  
STAFF, GOVERNMENT OFFICIALS AT ALL LEVELS, AND LOCAL LEGISLATURES.  
THE ASPCA'S STAFF, UNPAID VOLUNTEERS, AND CONSULTANTS WORK TO INFLUENCE  
LEGISLATION TO HELP ANIMALS THROUGH SUCH CONTACTS.

**Part IV** Supplemental Information *(continued)*

1H. RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES,  
OR ANY OTHER MEANS: THE ASPCA HOLDS VOICES FOR ANIMALS DAYS, LOBBY  
DAYS, LEADERSHIP TRAINING SUMMITS, CITIZEN LOBBYING WORKSHOPS,  
INCLUDING SPEECHES AND SEMINARS, AND GIVES PRESENTATIONS AND SPEECHES  
TO ENCOURAGE PUBLIC AWARENESS OF HUMANE LEGISLATION AND TO PROMOTE  
ACTION INFLUENCING POSITIVE OUTCOMES FOR ANIMAL WELFARE POLICY.

1I. OTHER ACTIVITIES: THE ASPCA WORKS CLOSELY WITH OTHER NATIONAL,  
STATE, AND LOCAL SHELTERS AND ANIMAL WELFARE ORGANIZATIONS AS WELL AS  
OTHER INDUSTRY OR NON-PROFIT ORGANIZATIONS WITH COMMON INTERESTS TO  
ALIGN PUBLIC POLICIES WITH BEST PRACTICES FOR ANIMAL WELFARE AND TO  
ENSURE THAT LAW ENFORCEMENT, FIELD WORK, DISASTER RELIEF, ANTI-CRUELTY  
EFFORTS, AND SHELTERING OPERATIONS ARE ABLE TO BEST PROTECT ANIMALS.  
THE ASPCA EMPLOYS PROFESSIONAL CONSULTANTS TO SUPPORT AND INFORM THEIR  
LOBBYING EFFORTS AND TO CONDUCT COALITION WORK, INTERNAL COORDINATION  
AND GRASSROOTS NETWORKING AND CULTIVATION FOR HUMANE PUBLIC POLICY  
ADVANCEMENT.

**SCHEDULE D**  
(Form 990)

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Name of the organization **THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

Employer identification number  
**13-1623829**

OMB No. 1545-0047  
**2017**  
Open to Public Inspection

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		

- 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?  Yes  No
- 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?  Yes  No

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

- 1 Purpose(s) of conservation easements held by the organization (check all that apply).  
 Preservation of land for public use (e.g., recreation or education)  Preservation of a historically important land area  
 Protection of natural habitat  Preservation of a certified historic structure  
 Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

- 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶
- 4 Number of states where property subject to conservation easement is located ▶
- 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?  Yes  No
- 6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶
- 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$
- 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(f)(4)(B)(i) and section 170(h)(4)(B)(ii)?  Yes  No
- 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
- (i) Revenue included on Form 990, Part VIII, line 1 ▶ \$
- (ii) Assets included in Form 990, Part X ▶ \$
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
- a Revenue included on Form 990, Part VIII, line 1 ▶ \$
- b Assets included in Form 990, Part X ▶ \$

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2017

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount	
c Beginning balance		
d Additions during the year		
e Distributions during the year		
f Ending balance		

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	57,060,223.	52,413,558.	54,180,975.	54,562,237.	49,486,784.
b Contributions	601,555.		51,514.	62,521.	15,594.
c Net investment earnings, gains, and losses	8,336,291.	4,646,665.	-1,818,931.	1,871,471.	7,297,776.
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	65,998,069.	57,060,223.	52,413,558.	54,180,975.	54,562,237.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  $\blacktriangleright$  83.95 %
- b Permanent endowment  $\blacktriangleright$  11.37 %
- c Temporarily restricted endowment  $\blacktriangleright$  4.68 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
- (ii) related organizations

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? .....

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		5,321,057.		5,321,057.
b Buildings		18,829,196.	9,974,052.	8,855,144.
c Leasehold improvements		38,334,999.	13,077,674.	25,257,325.
d Equipment		20,921,669.	18,020,916.	2,900,753.
e Other		16,671,901.	4,970,758.	11,701,143.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				<b>54,035,422.</b>

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) EQUITY LONG	15,514,796.	END-OF-YEAR MARKET VALUE
(B) GLOBAL ASSET ALLOCATION	17,183,680.	END-OF-YEAR MARKET VALUE
(C) FUND OF FUNDS - PRIVATE		
(D) EQUITY	1,480,077.	END-OF-YEAR MARKET VALUE
(E) FUND OF FUNDS - CAPITAL	7,170,431.	END-OF-YEAR MARKET VALUE
(F) PRIVATE EQUITY	13,476,838.	END-OF-YEAR MARKET VALUE
(G) EMERGING MARKETS	8,053,435.	END-OF-YEAR MARKET VALUE
(H)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.)	<b>62,879,257.</b>	

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.)		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) PERPETUAL TRUSTS	20,125,603.
(2) REMAINDER TRUSTS	1,001,536.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.)	<b>21,127,139.</b>

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DEFERRED RENT	4,433,510.
(3) ANNUITY OBLIGATIONS	7,280,697.
(4) UNFUNDED PENSION OBLIGATIONS	5,921,977.
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.)	<b>17,636,184.</b>

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI** Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	260,512,068.
2	Amounts included on line 1 but not on Form 990, Part IV, line 12a:		
a	Net unrealized gains (losses) on investments	2a	13,118,114.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII)	2d	-690,152.
e	Add lines 2a through 2d		
3	Subtract line 2e from line 1	2e	12,427,962.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:	3	248,084,106.
a	Investment expenses not included on Form 990, Part VIII, line 7b		
b	Other (Describe in Part XIII)		
c	Add lines 4a and 4b	4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	248,084,106.

**Part XII** Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	220,580,939.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII)	2d	
e	Add lines 2a through 2d	2e	0.
3	Subtract line 2e from line 1	3	220,580,939.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	690,152.
b	Other (Describe in Part XIII)	4b	
c	Add lines 4a and 4b	4c	690,152.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	221,271,091.

**Part XIII** Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4:**

THE ASPCA MAINTAINS AN ENDOWMENT FOR THE PURPOSE OF GENERATING INCOME TO SUPPORT THE ORGANIZATION'S CHARITABLE MISSION. THE ORGANIZATION'S ENDOWMENT CONSISTS OF A PORTFOLIO OF ACTIVELY MANAGED FUNDS ESTABLISHED TO PROVIDE BOTH A SOURCE OF OPERATING FUNDS AS WELL AS LONG-TERM FINANCIAL STABILITY. THE ENDOWMENT'S PRINCIPAL IS INTENDED TO BE LEFT UNTOUCHED, WHILE THE INCOME GENERATED IS USED TO FUND ASPCA PROGRAMS. SOME OF THE ENDOWMENT FUNDS MAY HAVE PURPOSE RESTRICTIONS ON THE USE OF INCOME.

**PART X, LINE 2:**

THE ASPCA QUALIFIES AS A TAX-EXEMPT ORGANIZATION UNDER SECTION 501(C)(3)

OF THE IRC AND CORRESPONDING PROVISIONS OF THE STATE LAW IN NEW YORK

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

**Part XIII** Supplemental Information *(continued)*

STATE, AND IS NOT SUBJECT TO FEDERAL OR STATE INCOME TAXES. ACCORDINGLY,  
 DONORS ARE ENTITLED TO A CHARITABLE CONTRIBUTION DEDUCTION AS DEFINED IN  
 THE IRC. CONTINUED QUALIFICATION OF TAX-EXEMPT STATUS IS CONTINGENT UPON  
 COMPLIANCE WITH THE REQUIREMENTS OF THE IRC. THE ASPCA RECOGNIZES THE  
 EFFECTS OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY  
 THAN NOT OF BEING SUSTAINED. NO PROVISION FOR INCOME TAXES WAS REQUIRED  
 FOR 2017 OR 2016.

**PART XI, LINE 2D - OTHER ADJUSTMENTS:**

INVESTMENT EXPENSES

-690,152.

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2017**

Department of the Treasury  
Internal Revenue Service

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.  
▶ Attach to Form 990.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Open to Public  
Inspection

Name of the organization

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

Employer identification number

**13-1623829**

**Part I** General information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? .....  Yes  No
- For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
NORTH AMERICA - CANADA	0	2	PROGRAM SERVICES	COMMUNITY OUTREACH SVC	216,652.
CENTRAL AMERICA AND THE CARIBBEAN	0	0	INVESTMENT		18,663,757.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	INVESTMENT		4,447,936.
<b>3 a</b> Sub-total .....	0	2			23,328,345.
<b>b</b> Total from continuation sheets to Part I .....	0	0			0.
<b>c</b> Totals (add lines 3a and 3b) .....	0	2			23,328,345.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2017





**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

13-1623829

Page 4

**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*  Yes  No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)*  Yes  No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)*  Yes  No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)*  Yes  No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*  Yes  No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)*  Yes  No

Schedule F (Form 990) 2017





**THE AMERICAN SOCIETY FOR THE PREVENTION**

13-1623829 Page 2

Schedule G (Form 990 or 990-EZ) 2017 **Part II** **Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

	(a) Event #1 (event type)	(b) Event #2 (event type)	(c) Other events		(d) Total events (add col. (a) through col. (c))
			BERGH BALL (event type)	HUMANE AWARD (total number)	
1	Gross receipts	1,581,276.	473,885.	1,045,785.	3,100,946.
2	Less: Contributions	786,932.	156,085.	874,280.	1,817,297.
3	Gross income (line 1 minus line 2)	794,344.	317,800.	171,505.	1,283,649.
4	Cash prizes				
5	Noncash prizes				
6	Rent/facility costs		22,452.	11,419.	33,871.
7	Food and beverages	110,965.	85,437.	40,308.	236,710.
8	Entertainment	36,443.		1,000.	37,443.
9	Other direct expenses	74,566.	96,115.	301,777.	472,458.
10	Direct expense summary. Add lines 4 through 9 in column (d)				780,482.
11	Net income summary. Subtract line 10 from line 3, column (d)				503,167.

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

	(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
1	Gross revenue			
2	Cash prizes			
3	Noncash prizes			
4	Rent/facility costs			
5	Other direct expenses			
6	Volunteer labor			
7	Direct expense summary. Add lines 2 through 5 in column (d)			
8	Net gaming income summary. Subtract line 7 from line 1, column (d)			

9 Enter the state(s) in which the organization conducts gaming activities:

a Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

b If "No," explain:

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year?  Yes  No

b If "Yes," explain:

**Schedule G (Form 990 or 990-EZ) 2017 OF CRUELTY TO ANIMALS**

- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:

a The organization's facility	13a	%
b An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name

Address

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No

b If "Yes," enter the amount of gaming revenue received by the organization  \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party  \$ \_\_\_\_\_

c If "Yes," enter name and address of the third party:

Name

Address

16 Gaming manager information:

Name

Gaming manager compensation  \$ \_\_\_\_\_

Description of services provided

- Director/officer  Employee  Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year  \$ \_\_\_\_\_

**Part IV** Supplemental information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

**SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:**

- (I) NAME OF FUNDRAISER: DONOR SERVICES GROUP, LLC
- (I) ADDRESS OF FUNDRAISER: 1200 WILSHIRE BLVD #650, LOS ANGELES, CA 90017
- (I) NAME OF FUNDRAISER: DIALOGUEDIRECT, INC.
- (I) ADDRESS OF FUNDRAISER: 589 8TH AVE, FL# 21, NEW YORK, NY 10018
- (I) NAME OF FUNDRAISER: SD&A TELESERVICES, INC.

**Part IV** Supplemental Information *(continued)*

(I) ADDRESS OF FUNDRAISER:

5757 WEST CENTURY BLVD, SUITE 300, LOS ANGELES, CA 90045

(I) NAME OF FUNDRAISER: APPCO GROUP SUPPORT

(I) ADDRESS OF FUNDRAISER:

315 WEST 36TH STREET, 10TH FLOOR, NEW YORK, NY 10018

(I) NAME OF FUNDRAISER: UP FUNDRAISING

(I) ADDRESS OF FUNDRAISER:

550 QUEEN STREET EAST SUITE 145, TORONTO, ONTARIO, CANADA M5A 1V2

**SCHEDULE I**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

**2017**

OMB No. 1545-0047

Open to Public Inspection

Name of the organization

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

Employer identification number

**13-1623829**

**Part I** General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II** Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of FMV, appraisal, valuation (book, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
A FAIR SHAKE FOR YOUTH, INC. 210 WEST 101ST ST. PH 6 NEW YORK, NY 10025	27-3855519	501(C)3	13,000.	0.			ANTI-CRUELTY
A GREENER WORLD 8154 11TH STREET, SUITE 1 TERRHONNE, OR 97760	81-2116665	501(C)3	30,000.	0.			FARM ANIMALS
ACADIANA ANIMAL AID 142 LE MEDICIN ROAD CARENCRO, LA 70520	23-7414331	501(C)3	12,500.	0.			LIVE RELEASE/RELOCATION
ACTORS & OTHERS FOR ANIMALS 11523 BURBANK BOULEVARD NORTH HOLLYWOOD, CA 91601	95-2783139	501(C)3	10,000.	0.			SAFETY NET/SURRENDER PREVENTION
ADA HOME KENT MEMORIAL SHELTER INC. - 2259 RIVER RD. - CALVERTON, NY 11933	23-7007068	501(C)3	32,505.	0.			SPAY/NEUTER
ADAMS COUNTY HUMANE SOCIETY INC 1982 11TH AVE FRIENDSHIP WI 53934	23-7381869	501(C)3	30,000.	0.			LIVE RELEASE

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table **193.**

3 Enter total number of other organizations listed in the line 1 table **214.**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2017)

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALASKA SOCIETY FOR PREVENTION OF CRUELTY TO ANIMALS - 549 W INTERNATIONAL AIRPORT RD B2 - ANCHORAGE, AK 99518	92-0068910	501(C)3	7,300.	0.			LIVE RELEASE
ALL ABOUT ANIMALS RESCUE 23451 PINWOOD STREET WARREN, MI 48091	20-3006686	501(C)3	89,300.	0.			SPAY/NEUTER
ALLEGANY COUNTY SOCIETY FOR PREVENTION OF CRUELTY TO ANIMALS - P.O. BOX 381, 1374 STATE ROUTE 195 - WELLSVILLE, NY 14895	23-7379932	501(C)3	204,932.	0.			SPAY/NEUTER
ALLEN COUNTY SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS - 4914 S. HANNA ST. - FORT WAYNE, IN 46806	35-6042135	501(C)3	20,000.	0.			LIVE RELEASE
ALLEY CAT ADVOCATES INC 3044 BARDSTOWN RD., #204 LOUISVILLE, KY 40205	61-1343210	501(C)3	41,900.	0.			SPAY/NEUTER
ALLIANCE FOR CONTRACEPTION IN CATS AND DOGS - 11145 NW OLD CORNELIUS PASS ROAD - PORTLAND, OR 97231	41-2185841	501(C)3	25,000.	0.			SPAY/NEUTER
AMARILLO-PANHANDLE HUMANE SOCIETY INC - BOX 30102 - AMARILLO, TX 79120	75-1311215	501(C)3	50,000.	0.			SPAY/NEUTER
AMIGOS DE LOS ANIMALES INC HC 2 BOX 7622 LOIZA, PR 00772	66-0673931	501(C)3	10,000.	0.			DISASTER/EMERGENCY
ANIMAL ALLIES HUMANE SOCIETY INC 4006 AIRPORT ROAD DULUTH, MN 55811	41-0917362	501(C)3	12,500.	0.			LIVE RELEASE

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ANIMAL CARE AND CONTROL OF NEW YORK CITY INC - 11 PARK PLACE, SUITE 805 - NEW YORK, NY 10007		501(C)3	1,008,768.	0.		SAFETY NET/SURRENDER PREVENTION/LIVE RELEASE	ANTI-CRUELTY
ANIMAL FOLKS 1043 GRAND AVE #115 SAINT PAUL, MN 55105		501(C)3	10,000.	0.			ANTI-CRUELTY
ANIMAL HUMANE ASSOCIATION OF NEW MEXICO INC - 615 VIRGINIA ST, SE - ALBUQUERQUE, NM 87108		501(C)3	174,440.	0.		LIVE RELEASES/SPAY/NEUTER/SAFETY NET/SURRENDER PREVENTION	NET/SURRENDER PREVENTION
ANIMAL PROTECTION OF NEW MEXICO INC - PO BOX 11395 - ALBUQUERQUE, NM 87192-0395		501(C)3	7,000.	0.			EQUINE
ANIMAL PROTECTIVE FOUNDATION OF SCHENECTADY INC - 53 MAPLE AVENUE - SCOTIA, NY 12302		501(C)3	90,220.	0.			SPAY/NEUTER
ANIMAL RESCUE LEAGUE OF IOWA INCORPORATED - 5452 NE 22ND STREET - DES MOINES, IA 50313		501(C)3	11,400.	0.			LIVE RELEASES/ANTI-CRUELTY
ANIMAL WELFARE SOCIETY INC PO BOX 43 WEST KENNEBUNK, ME 04094		501(C)3	8,100.	0.			LIVE RELEASES/ANTI-CRUELTY
ANIMALKIND INC P.O. BOX 902, 721 WARREN STREET HUDSON, NY 12534		501(C)3	132,900.	0.			SPAY/NEUTER/LIVE RELEASE
ANIMALS & SOCIETY INSTITUTE 2512 CARPENTER RD #202-A ANN ARBOR, MI 48108		501(C)3	35,000.	0.			ANTI-CRUELTY

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

13-1623829

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN CATS RESCUE PO BOX 2084, RADIO CITY STATION NEW YORK, NY 10101	26-0129778	501(C)3	20,000.	0.			LIVE RELEASE
ARIZONA HUMANE SOCIETY 1521 W DOBBINS RD PHOENIX, AZ 85041-8200	86-0135567	501(C)3	37,000.	0.			SPAY/NEUTER
ASHVILLE HUMANE SOCIETY INC 14 FOREVER FRIEND LN ASHVILLE, NC 28806	56-1444098	501(C)3	15,000.	0.			LIVE RELEASE/SAFELY NET/SURRENDER PREVENTION
ASSOCIATION OF SHELTER VETERINARIANS INC - 3225 ALPHAWOOD DRIVE - APEX, NC 27539	73-1627937	501(C)3	22,500.	0.			LIVE RELEASE
AUGUSTA REGIONAL SPCA INC P. O. BOX 2014 STANTON, VA 24402	23-7089566	501(C)3	7,600.	0.			SPAY/NEUTER
BALTIMORE ANIMAL RESCUE AND CARE SHELTER INC - 301 STOCKHOLM STREET - BALTIMORE, MD 21230	86-1130456	501(C)3	10,000.	0.			DISASTER/EMERGENCY
BENTON ANIMAL CONTROL AND ADOPTION CENTER - 606 W. WILLOW - BENTON, AR 72015	71-6000806	GOVERNMENTAL (MU)	7,000.	0.			DISASTER/EMERGENCY
BEVERLY ANIMAL SHELTER 50 E. RIVER RD WATERLOO, NY 13165	16-1472747	501(C)3	20,000.	0.			SPAY/NEUTER
BLUE BARTH-NICOLLET COUNTY HUMANE SOCIETY INC - 1250 N. RIVER DRIVE - MANKATO, MN 56001	41-1236942	501(C)3	11,000.	0.			LIVE RELEASE

Schedule I (Form 990)

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BLUEBONNET EQUINE HUMANE SOCIETY INC - PO BOX 632 - COLLEGE STATION, TX 77841	84-1673775	501(C)3	7,000.	0.			EQUINE
BRANDYWINE VALLEY SPCA 1212 PHOENIXVILLE PIKE WEST CHESTER, PA 19380	23-1381030	501(C)3	10,000.	0.			LIVE RELEASE/DISASTER/EMERGENCY
BROOKLYN BRIDGE ANIMAL WELFARE COALITION, INC - POBOX 22948 - BROOKLYN, NY 11202	26-1482964	501(C)3	15,000.	0.			LIVE RELEASE
BUENA VISTA COUNTY EMERGENCY MANAGEMENT AGENCY - PO BOX 276 - STORM LAKE, IA 50588	42-6005256	GOVERNMENTAL (NU)	10,000.	0.			DISASTER/EMERGENCY
CAT ADOPTION TEAM 14175 SW GALBRAITH DR. SHRWOOD, OR 97140	20-0773189	501(C)3	15,000.	0.			RELOCATION
CENTRAL NEW YORK CAT COALITION INCORPORATED - PO BOX 6182 - SYRACUSE, NY 13217	06-1688749	501(C)3	25,000.	0.			SPAY/NEUTER
CENTRAL NEW YORK SPAY NEUTER ASSISTANCE PROGRAM CNY SNAF - 178 CENTRAL AVENUE - CORTLAND, NY 13045	20-3322730	501(C)3	50,000.	0.			SPAY/NEUTER
CHARLESTON ANIMAL SOCIETY 2455 REMOUNT ROAD NORTH CHARLESTON, SC 29406	57-6021863	501(C)3	8,700.	0.			LIVE RELEASE/SPAY/NEUTER
CHARLESTON REGIONAL SPAY NEUTER CENTER INC - P.O. BOX 7349 - CHARLESTON, WV 25313	46-3553568	501(C)3	89,500.	0.			SPAY/NEUTER

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHARLOTTE/MECKLENBURG ANIMAL CARE AND CONTROL - 8315 BYRUM DR - CHARLOTTE, NC 28217	52-1333483	GOVERNMENTAL (MU)	72,500.	0.		LIVE RELEASE/SPAY/NEUTER/SAFETY NET/SURRENDER PREVENTION	LIVE RELEASE
CHEMUNG COUNTY HUMANE SOCIETY AND SPCA, INC - 2435 STATE ROUTE 352 - ELMIRA, NY 14903	16-0743999	501(C)3	47,936.	0.		SPAY/NEUTER	
CITY OF EVERETT ANIMAL SHELTER 333 SMITH ISLAND RD EVERETT, WA 98201	00-0000000	GOVERNMENTAL (MU)	16,400.	0.		LIVE RELEASE	
CITY OF LOS ANGELES - DEPARTMENT OF ANIMAL SERVICES - 221 N. FIGUEROA STREET, SUITE #600 - LOS ANGELES, CA 90012	95-6000735	GOVERNMENTAL (MU)	200,000.	0.		LIVE RELEASE	
CLARK COUNTY HUMANE SOCIETY INC PO BOX 127 NELLISVILLE, WI 54456	39-1595272	501(C)3	7,500.	0.		LIVE RELEASE	
COLORADO STATE UNIVERSITY FOUNDATION - 1601 CAMPUS MAIL - FORT COLLINS, CO 80523	23-7098397	501(C)3	28,000.	0.		SAFETY NET/SURRENDER PREVENTION	
COLUMBIA GREENE HUMANE SOCIETY INC 111 HUMANE SOCIETY ROAD HUDSON, NY 12534	14-1487056	501(C)3	27,500.	0.		LIVE RELEASE/SPAY/NEUTER	
COLUMBIA HUMANE SOCIETY BOX 845, 2084 OREGON STREET SAINT HELENS, OR 97051	93-0919021	501(C)3	7,500.	0.		RELOCATION	
COLUMBUS HUMANE 3015 SCIOTO-DARBY EXECUTIVE COURT HILLIARD, OH 43026	31-4379492	501(C)3	10,000.	0.		DISASTER/EMERGENCY	

Schedule I (Form 990)

732241 04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

13-1623829

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COMMUNICATION ALLIANCE TO NETWORK THOROUGHbred EX-RACERHORSES - 8619 EDGWOOD PARK DRIVE - COMMERCE TOWNSHIP, MI 48382	90-0626283	501(C)3	46,000.	0.			EQUINE
COMPANION ANIMAL ALLIANCE 2680 PROGRESS ROAD BAYON ROUGE, LA 70807	27-1204719	501(C)3	34,460.	0.			LIVE RELEASE/SPAY/NEUTER
CONWAY ANIMAL WELFARE 1105 PRAIRIE CONWAY, AR 72032	71-6001898	GOVERNMENTAL (MU)	40,000.	0.			LIVE RELEASE
COULEE REGION HUMANE SOCIETY INC 911 CRITZER COURT ONLASKA, WI 54650	23-7366713	501(C)3	8,000.	0.			EQUINE
CYNTHIA LARAVE 3 OAKLAND AVE. MENANDS, NY 12204	14-1338459	501(C)3	22,000.	0.			SPAY/NEUTER
DANE COUNTY HUMANE SOCIETY 5132 VOEGES ROAD MADISON, WI 53718	39-0806335	501(C)3	35,500.	0.			LIVE RELEASE
DAVS END FARM HORSE RESCUE INC PO BOX 309 LISBON, MD 21765	52-1759077	501(C)3	29,000.	0.			EQUINE
DELAWARE COUNTY SOCIETY FOR THE PREY OF CRUELTY TO ANIMALS - 555 SANDY BANK RD - MEDIA, PA 19063	23-1440112	501(C)3	6,100.	0.			LIVE RELEASE
DELAWARE VALLEY HUMANE SOCIETY INC PO BOX 182 SIDNEY, NY 13838	16-0907863	501(C)3	6,000.	0.			DISASTER/EMERGENCY

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II	Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)						
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DENISON ANIMAL WELFARE GROUP INC P.O. BOX 1330 DENISON, TX 75021	46-5190514	501(C)3	25,000.	0.			LIVE RELEASE
DENVER DUMB FRIENDS LEAGUE 2080 S. GURBERG ST. DENVER, CO 80231	84-0405254	501(C)3	450,000.	0.			LIVE RELEASE/SPAY/NEUTER
DOG IS MY COPilot, INC. P.O. BOX 3399 JACKSON HOLE, WY 83001	45-5441984	501(C)3	10,000.	0.			RELOCATION
DOGS PLAYING FOR LIFE 728 ROCKY MOUNTAIN PLACE LONGMONT, CO 80504	46-5559418	501(C)3	306,500.	0.			LIVE RELEASE
DREAMCATCHER HORSE RANCH RESCUE CENTER INC - 10627 TOAD RD - CLERMONT, FL 34715	32-0283874	501(C)3	7,500.	0.			EQUINE
DREAMCATCHER WILD HORSE AND BURRO PO BOX 9 FAVENDALE, CA 96123	91-2160463	501(C)3	15,000.	0.			EQUINE
EAGLE VALLEY HORSE RESCUE 33933 COLORADO RIVER ROAD MCCOY, CO 80463	20-3009675	501(C)3	5,500.	0.			EQUINE
EMANCIPATE, INC. 7010 EASY WIND DRIVE #260 AUSTIN, TX 78752	74-2913624	501(C)3	260,000.	0.			SPAY/NEUTER
EMERALD CITY PET RESCUE 2962 FIRST AVE S, SUITE B SEATTLE, WA 98134	46-2429065	501(C)3	75,000.	0.			LIVE RELEASE

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
EQUINE RESCUE AND ADOPTION FOUNDATION INC - P O BOX 1199 - PALM CITY, FL 34991	65-1037400	501(C)3	6,000.	0.			EQUINE
EQUINE WELFWING RESCUE INC 8369 BUCKSKIN TRAIL SNOWLAKE, AZ 85937	45-2835562	501(C)3	10,000.	0.			EQUINE
EXCELLER FUND INC P.O. BOX 1422 BATAVIA, IL 60510	75-2937532	501(C)3	10,000.	0.			EQUINE
FARMASPER ANIMAL SANCTUARY, INC. 10065 67TH LN, N. STILLWATER, MN 55082	81-4117401	501(C)3	15,000.	0.			FARM ANIMALS
PRINES INC. 6379 N. PAULINA ST. CHICAGO, IL 60660	36-2922975	501(C)3	50,000.	0.			RELOCATION
FIXATION 7680 CUYBORN AVENUE LOS ANGELES, CA 91352	83-0452460	501(C)3	31,200.	0.			LIVE RELEASE/SPAY/NEUTER
FLAGLER HUMANE SOCIETY INC 1 SHRILTER DR. PALM COAST, FL 32137	59-2247034	501(C)3	27,000.	0.			ANTI-CRUELTY
FLORIDA ANIMAL CONTROL ASSOCIATION INC - P.O. BOX 211267 - ROYAL PALM BEACH, FL 33421	59-2929688	501(C)6	6,000.	0.			ANTI-CRUELTY
FOOD ANIMAL CONCERNS TRUST 3525 W. PETERSON AVE, SUITE 213 CHICAGO, IL 60659	36-3172605	501(C)3	25,000.	0.			FARM ANIMALS

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FOOD BANK FOR NEW YORK CITY 39 BROADWAY, 10TH FLOOR NEW YORK, NY 10006	13-3179546	501(C)3	100,000.	0.			SAFETY NET/SURRENDER PREVENTION
FOOD CHAIN WORKERS ALLIANCE 1730 W OLYMPIC BLVD, SUITE 300 LOS ANGELES, CA 90015	90-0728464	501(C)3	20,000.	0.			FARM ANIMALS
FREEDOM HILL HORSE RESCUE P.O. BOX 606 DUNKIRK, MD 20754	20-1933165	501(C)3	10,000.	0.			EQUINE
FRIENDS OF GONZALES ANIMAL SHELTER 505 ST. FRANCIS STREET GONZALES, TX 78629	33-1094740	501(C)3	10,000.	0.			EQUINE
FRIENDS OF THE SHELTER TOBACCO VALLEY ANIMAL SHELTER INC - P.O. BOX 1815 - EUREKA, MT 59917	81-0496968	501(C)3	7,500.	0.			ANTI-CRUELTY/DISASTER/EMERGENCY
GENTLE SPIRIT HORSES RESCUE & SANGTARY - 4810 W CAYMAN ST - STIOUX FALLS, SD 57107	27-4282458	501(C)3	23,500.	0.			EQUINE
GIRTS PLACE ANIMAL RESCUE AND SANGTARY - 4455 COUNTY ROAD 702 - FARMERSVILLE, TX 75442	81-4633428	501(C)3	10,000.	0.			DISASTER/EMERGENCY
GLOBAL FEDERATION OF ANIMAL SANGTUARIES - P.O. BOX 73308 - PHOENIX, AZ 85050	26-1676217	501(C)3	30,000.	0.			EQUINE
GRAND STRAND HUMANE SOCIETY INC 3241 MR. JOE WHITE AVENUE MYRTLE BEACH, SC 29577	57-0641207	501(C)3	9,100.	0.			LIVE RELEASE/DISASTER/EMERGENCY

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GRAT RIVER RESCUE 1612 CARR LAKE ROAD SE BEMIDJI, MN 56601	41-1551248	501(C)3	8,000.	0.			LIVE RELEASE
GREY 2K USA WORLDWIDE, INC. P.O. BOX F ARLINGTON, MA 02476	04-3554776	501(C)4	10,000.	0.			ANTI-CRUELTY
HEART OF THE VALLEY HUMANE SOCIETY INC - 1549 E CAMERON BRIDGE RD - BOZEMAN, MT 59718	23-7375919	501(C)3	62,300.	0.			LIVE RELEASE
HONOR SANCTUARY INC 4951 LORRAINE RD. BRADENTON, FL 34211	26-0878064	501(C)3	5,650.	0.			DISASTER/EMERGENCY
HUMANE SOCIETY OF CHARLOTTE INC 2700 TOOMEY AVENUE CHARLOTTE, NC 28203	58-1342479	501(C)3	95,700.	0.			LIVE RELEASE/SPAY/NEUTER/SAFETY NET/SURRENDER PREVENTION
HUMANE SOCIETY OF CLARKSVILLE MONTGOMERY COUNTY INCORPORATED - P.O. BOX 571 - CLARKSVILLE, TN 37041	58-1465345	501(C)3	25,000.	0.			SPAY/NEUTER
HUMANE SOCIETY OF GRAND FORKS 4375 N WASHINGTON STREET GRAND FORKS, ND 58203	23-7367340	501(C)3	7,500.	0.			LIVE RELEASE
HUMANE SOCIETY OF PARK COUNTY INC 3 BUSINESS PARK ROAD LIVINGSTON, MT 59047	36-3432468	501(C)3	11,500.	0.			LIVE RELEASE
HUMANE SOCIETY OF ROCHESTER AND MONROE COUNTY PCA INC - 99 VICTOR RD - FAIRPORT, NY 14450	16-0743047	501(C)3	31,250.	0.			SPAY/NEUTER

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HUMANE SOCIETY OF SARASOTA COUNTY, INC - 2331 15TH STREET - SARASOTA, FL 34237	59-6014943	501(C)3	20,000.	0.			RELOCATION
HUMANE SOCIETY OF SKagit COUNTY 18841 KELLER ROAD BURLINGTON, WA 98233	91-0903532	501(C)3	11,615.	0.			LIVE RELEASE
HUMANE SOCIETY OF TAMPA BAY INC 3607 N ARMENIA AVE TAMPA, FL 33607	59-0799907	501(C)3	10,000.	0.			RELOCATION
HUMANE SOCIETY OF THE PIRKS PEAK REGION - 610 ABBOT LANE - COLORADO SPRINGS, CO 80905	84-0410111	501(C)3	14,750.	0.			SPAY/NEUTER
HUMANE SOCIETY OF THE UNITED STATES - 700 PROFESSIONAL DRIVE, STE. C - GAITHERSBURG, MD 20879	53-0225390	501(C)3	55,000.	0.			EQUINE/LIVE RELEASE
HUMANE SOCIETY OF WEST MICHIGAN 3077 WILSON DR NW GRAND RAPIDS, MI 49534	38-1360926	501(C)3	11,000.	0.			LIVE RELEASE
HUMANE SOCIETY OF WESTERN MONTANA 5930 HIGHWAY 93 SOUTH MISSOULA, MT 59804	81-0290933	501(C)3	23,000.	0.			LIVE RELEASE
HUMANE TOMORROW 1601 ARROWHEAD DRIVE FLOWER MOUND, TX 75028	75-2727224	501(C)3	25,000.	0.			RELOCATION
INDIANA GAMING COMMISSION, GAMING CONTROL DIVISION - 101 WEST WASHINGTON ST, STE. 1600 EAST TOWER - INDIANAPOLIS, IN 47804	35-6000158	GOVERNMENTAL (MU)	8,160.	0.			ANTI-CRUELTY

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II	Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)						
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
IRVINE ANIMAL CARE CENTER - CITY OF IRVINE - 6443 OAK CANYON RD. - IRVINE, CA 92618	95-2759391	GOVERNMENTAL (MU)	16,000.	0.		RELOCATION	LIVE RELEASE
JASON DRBUS HEIGL FOUNDATION 3500 W. OLIVE AVE, SUITE 1190 BURBANK, CA 91505	27-0187750	501(C)3	6,000.	0.		LIVE RELEASE	LIVE RELEASE
KENTUCKY EQUINE HUMANE CENTER INC P.O. BOX 910124 LEXINGTON, KY 40591-0124	20-5883736	501(C)3	25,000.	0.		EQUINE	EQUINE/DISASTER/EMERGENCY/ LIVE RELEASE/RELOCATION/SAFETY NET/SURRENDER PREVENTION
KENTUCKY HUMANE SOCIETY - ANIMAL RESCUE LEAGUE - 1000 LYNDON LANE - LOUISVILLE, KY 40222	61-0463938	501(C)3	89,770.	0.		LIVE RELEASE/RELOCATION/SAFETY NET/SURRENDER PREVENTION	LIVE RELEASE/RELOCATION
KITSAP HUMANE SOCIETY 9167 DICKER ROAD NW SILVERDALE, WA 98383	91-0728353	501(C)3	22,500.	0.		LIVE RELEASE/RELOCATION	LIVE RELEASE/RELOCATION
LAZICKIS BIRD HOUSE & RESCUE INC 2141 WEST SHORE ROAD WARWICK, RI 02886	37-1469313	501(C)3	7,500.	0.		ANTI-CRUELTY	ANTI-CRUELTY
LEWIS & CLARK HUMANE SOCIETY P.O. BOX 4455 HELENA, MT 59604	81-6014910	501(C)3	59,300.	0.		LIVE RELEASE	LIVE RELEASE
LITTLE MEWS RESCUE 6370 CRY RD 32 NORWICH, NY 13815	26-3989256	501(C)3	8,100.	0.		SPAY/NEUTER	SPAY/NEUTER
LONGMONT HUMANE SOCIETY INC 9595 NELSON ROAD LONGMONT, CO 80501	84-0645455	501(C)3	7,500.	0.		LIVE RELEASE/ANTI-CRUELTY	Schedule I (Form 990)

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LOS ANGELES COUNTY ANIMAL CARE FOUNDATION - PO BOX 100515 - PASADENA, CA 91189	95-3909782	501(C)3	620,000.	0.			LIVE RELEASE
LOS ANGELES PET RESCUE 10856 FARRALONE AVE CHATSWORTH, CA 91311	27-1292225	501(C)3	7,500.	0.			ANTI-CRUELTY
LOUISIANA SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS - 1700 MARDI GRAS BLVD. - NEW ORLEANS, LA 70114	72-0471368	501(C)3	203,700.	0.			LIVE RELEASE/RELOCATION
LOUISVILLE METRO ANIMAL SERVICES 3705 MANSLICK RD LOUISVILLE, KY 40215	32-0049006	GOVERNMENTAL (MU)	28,890.	0.			SPAY/NEUTER/LIVE RELEASE
LUCKY ORPHANS HORSE RESCUE INC. PO BOX 334 DOVER PLAINS, NY 12522	26-2729197	501(C)3	10,000.	0.			EQUINE
MACON COUNTY HEALTH DEPARTMENT 503 N. MISSOURI STREET MACON, MO 63552-1751	43-1596180	GOVERNMENTAL (MU)	5,141.	0.			DISASTER/EMERGENCY
MAIN LINE RESCUE INC PO BOX 89 CHESTER SPRINGS, PA 19425	23-3017210	501(C)3	14,886.	0.			LIVE RELEASE
MAINE STATE SOCIETY FOR THE PROTECTION OF ANIMALS ROOM 13 - P.O. BOX 10 - SOUTH WINDHAM, ME 04082	01-0212545	501(C)3	10,000.	0.			EQUINE
MAVORS ALLIANCE FOR NYC'S ANIMALS INC. - 244 FIFTH AVE STE R290 - NEW YORK, NY 10001-7604	73-1653635	501(C)3	500,000.	0.			SPAY/NEUTER/RELOCATION

Schedule I (Form 990)

732241 04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
--	---------	-------------------------------	--------------------------	-----------------------------------	---	--	------------------------------------

MRADOW HAVEN HORSE RESCUE 755 COUNTY ROAD 212 SMILEY, TX 78159	06-1667945	501(C)3	7,500.	0.			EQUINE
MGM ANIMAL FOUNDATION 85943 MCBETH RD EUGENE, OR 97405	74-2946340	501(C)3	10,000.	0.			RELOCATION
MIAMI-DADE COUNTY ANIMAL SERVICES 3599 NW 79 AVENUE DORAL, FL 33122	59-6000573	GOVERNMENTAL (MU)	79,110.	0.			LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION/ANTI-CRUELTY
MIDATLANTIC HORSE RESCUE INC PO BOX 407320, 320 WOODSTOCK FARM I CHESAPEAKE CITY, MD 21915	27-3543490	501(C)3	21,000.	0.			EQUINE
MILWAUKEE AREA DOMESTIC ANIMAL CONTROL ASSOCIATION - 3839 W. BURNHAM STREET - WEST MILWAUKEE, WI 53215	39-1947192	GOVERNMENTAL (MU)	63,300.	0.			LIVE RELEASE
MINN-KOTA PAWS PEOPLE ADVOCATING ANIMAL WELFARE SERVICES - 2125 1ST AVE S - FARGO, ND 58103	30-0245020	501(C)3	14,000.	0.			LIVE RELEASE
MISSOURI FORGET-ME-NOT HORSE RESCUE AND SANCTUARY - 1025 HERITAGE ROAD - LINN CREEK, MO 65052	45-3787871	501(C)3	10,000.	0.			EQUINE
MOBILE SOCIETY FOR PREVENTION OF CRUELTY TO ANIMALS - 620 ZEIGLER CIR W - MOBILE, AL 36608	63-0500374	501(C)3	11,115.	0.			LIVE RELEASE/RELOCATION
MONROE COUNTY FRIENDS OF ANIMALS PO BOX 106 VONORE, TN 37885	20-1909433	501(C)3	44,300.	0.			LIVE RELEASE

Schedule I (Form 990)

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

13-1623829

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MT PLEASANT ANIMAL SHELTER INC 194 ROUTE 10 WEST EAST HANOVER, NJ 07936	23-7189562	501(C)3	17,500.	0.			LIVE RELEASE
NATIONAL ALLIANCE OF STATE ANIMAL AND AGRICULTURAL EMERGENCY PROGRAMS - BOX #193, 1843 CENTRAL AVE. - ALBANY, NY 12205	26-3487301	501(C)3	20,000.	0.			DISASTER/EMERGENCY
NATIONAL HORSE SHOW ASSOCIATION OF AMERICA LTD. - 2245 STONE GARDEN LANE LEXINGTON, KY 40513 - LEXINGTON, KY 40513	13-2726232	501(C)3	10,000.	0.			EQUINE
NEW VOCACTION RACEHORSE ADOPTION PROGRAM - 3293 WRIGHT RD - LAURA, OH 45337	31-1681380	501(C)3	36,000.	0.			EQUINE
NEW YORK POLICE DEPARTMENT GRANTS UNIT, ONE POLICE PLAZA, ROOM NEW YORK, NY 10038	13-6400434	GOVERNMENTAL (MU)	500,000.	0.			ANTI-CRUELTY
NEW YORK STATE ANIMAL PROTECTION FEDERATION EDUCATION FUND, INC. - PO BOX 1115 - ALBANY, NY 12201	82-1433077	501(C)3	50,000.	0.			ANTI-CRUELTY
NORTH CAROLINA ANIMAL FEDERATION 2700 TOMMY AVE CHARLOTTE, NC 28203	26-0768375	501(C)3	8,000.	0.			ANTI-CRUELTY
NORTH CAROLINA DEPARTMENT OF AGRICULTURE AND CONSUMER SERVICES, VERMONTARY DIVIS - 1031 MAIL SERVICES CENTER - RALEIGH, NC	00-0000000	GOVERNMENTAL (OF)	15,700.	0.			ANTI-CRUELTY
NORTHWOODS HUMANE SOCIETY PO BOX 264 WYOMING, NM 55092	41-1487872	501(C)3	5,500.	0.			LIVE RELEASE

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OHIO FEDERATED HUMANE SOCIETIES P.O. BOX 21328 COLUMBUS, OH 43221	34-1423507	501(C) 3	15,000.	0.			LIVE RELEASE
OKLAHOMA HUMANE SOCIETY PO BOX 18471 OKLAHOMA CITY, OK 73154	20-8446621	501(C)3	30,000.	0.			RELOCATION
OKTIBBEHA COUNTY HUMANE SOCIETY INC - PO BOX 297 - STARKVILLE, MS 39760	64-0618170	501(C)3	36,200.	0.			LIVE RELEASE
OLD FRIENDS INC 1841 PAYNES DEPOT ROAD GEORGETOWN, KY 40324	20-0049798	501(C)3	12,000.	0.			EQUINE
OREGON HUMANE SOCIETY 1067 NE COLUMBIA BLVD PORTLAND, OR 97211	93-0388880	501(C)3	501,200.	0.			ANTI-CRUELTY/LIVE RELEASE
OSHKOSH AREA HUMANE SOCIETY INC 1925 SHELTER CT. OSHKOSH, WI 54901	39-1709813	501(C)3	12,000.	0.			LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION
PANHANDLE ANIMAL WELFARE SOCIETY INC AKA PAWS INC - 752 LOVEJOY ROAD - FORT WALTON BEACH, FL 32548	59-0815515	501(C)3	5,500.	0.			EQUINE
PARADISE GARDEN ANIMAL HAVEN INC 598 KENT HILL ROAD WOODHULL, NY 14898	13-4244183	501(C)3	48,000.	0.			SPAY/NEUTER
PARTNERS CANINES COUNSELING AND SHELTER SERVICES INC - P.O. BOX 33 - TODD, NC 28684	26-2324565	501(C)3	28,500.	0.			RELOCATION

Schedule I (Form 990)

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PASADENA HUMANE SOCIETY 361 S. RAYMOND AVENUE PASADENA, CA 91105	95-1643344	501(C)3	330,615.	0.			LIVE RELEASE
PAWS AND HOOVERS RESCUE FOUNDATION RT 6 BOX 282 STIMWELL, OK 74960	46-0565942	501(C)3	31,000.	0.			LIVE RELEASE
PAWSIBILITIES ANIMAL RESCUE 2048 MAINLAND ROAD HARTLEYSVILLE, PA 19438	27-1271134	501(C)3	7,000.	0.			OTHER
PEACEFUL KINGDOM P.O. BOX 9394 KNOXVILLE, TN 37940	62-1818180	501(C)3	40,000.	0.			RELOCATION
PEOPLE FOR ANIMALS INC 401 HILLSIDE AVENUE HILLSIDE, NJ 07205	22-2331492	501(C)3	6,500.	0.			SAFETY NET/SURRENDER PREVENTION/ANTI-CRUELTY
PETS ARE WONDERFUL SUPPORT, INC. 240 KENT AVENUE, 2ND FLOOR BROOKLYN, NY 11249	80-0233785	501(C)3	27,473.	0.			SAFETY NET/SURRENDER PREVENTION
PHYLLIS KUBHN PO BOX 5202 NOVATO, CA 94948	81-2015973	501(C)3	60,000.	0.			SAFETY NET/SURRENDER PREVENTION
POLK COUNTY SHERIFF'S OFFICE 850 MAIN ST DALLAS, OR 97338	93-6002310	GOVERNMENTAL (MU)	11,500.	0.			EQUINE
PRETTY GOOD CAT BOX 432, 6475 PACIFIC COAST HIGHWAY LONG BEACH, CA 90803	45-0829960	501(C)3	25,000.	0.			SAFETY NET/SURRENDER PREVENTION

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
--	---------	-------------------------------	--------------------------	-----------------------------------	---	--	------------------------------------

PROTECT OUR PETS FOUNDATION 2 CAMBRIDGE CIRCLE AUBURN, NY 13021	46-3634821	501(C)3	37,845.	0.			SPAY/NEUTER
PROTECTORS OF ANIMALS INC 144 MAIN ST., UNIT E EAST HARTFORD, CT 06118	06-0959891	501(C)3	9,600.	0.			SPAY/NEUTER
RANCHO COASTAL HUMANE SOCIETY 389 RIQUEZA ST ENCINITAS, CA 92024-3710	95-2151583	501(C)3	10,000.	0.			ANTI-CRUELTY
RANCHO TIERRA MADRA CORP 27115 N. 45TH STREET CAVE CREEK, AZ 85331	61-1544459	501(C)3	10,000.	0.			EQUINE
READY FOR RESCUE 130 WEST 16TH STREET #23 NEW YORK, NY 10011	27-2424487	501(C)3	6,139.	0.			LIVE RELEASE
REDEMPTION ROAD RESCUE INC 130 COOPER ANDERSON ROAD JACKSON, TN 38305	45-4027924	501(C)3	9,000.	0.			EQUINE
REBURN INC 236B WATERS ROAD EAST GREENBUSH, NY 12061	61-1336739	501(C)3	20,000.	0.			EQUINE
RETIRED RACEHORSE PROJECT LTD 440 DODON ROAD DAVIDSONVILLE, MD 21035	27-1622725	501(C)3	20,000.	0.			EQUINE
RETURN TO FREEDOM INC P.O. BOX 926 LOMPOC, CA 93438	06-1484961	501(C)3	45,000.	0.			EQUINE

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ROCHESTER ANIMAL SERVICES 184 VERONA STREET ROCHESTER, NY 14608	16-6002551	GOVERNMENTAL (MU)	25,000.	0.			SPAY/NEUTER
RONDOUT VALLEY ANIMALS FOR ADOPTION INC - 4628 ROUTE 209 - ACCORD, NY 12404	22-3584869	501(C)3	17,600.	0.			ANTI-CRUELTY
RUTHERFORD COUNTY PET ADOPTION AND WELFARE SERVICES - 285 JOHN R. RICE BLVD. - MURFREESBORO, TN 37129	62-6000818	GOVERNMENTAL (MU)	8,000.	0.			SPAY/NEUTER
RVR HORSE RESCUE INC 12611 HAYES CIAN RD RIVERVIEW, FL 33579	45-1536701	501(C)3	10,000.	0.			ROUTINE
SCRAPS HOPE FOUNDATION 6815 E. TRENT SPOKANE VLY, WA 99212	26-4118735	501(C)3	89,500.	0.			LIVE RELEASE/ROUTINE
SEATTLE AREA PELINE RESCUE 14717 AVORNA AVE N SHORELINE, WA 98133	91-2041961	501(C)3	17,000.	0.			LIVE RELEASE
SECOND CHANCE ANIMAL RESCUE INC HC-01 BOX 3547, RT 151 KM 9.1 BO. 1 VILLALBA, PR 00766	66-0585342	501(C)3	11,000.	0.			DISASTER/EMERGENCY
SECOND CHANCE ANIMAL SERVICES INC 111 YOUNG ROAD, PO BOX 136 EAST BROOKFIELD, MA 01515	04-3490671	501(C)3	65,000.	0.			LIVE RELEASES/DISASTER/EMERGENCY
SHelter ANIMALS COUNT 41 WATCHUNG PLAZA SUITE 393 MONTCLAIR, NJ 07042	46-2215168	501(C)3	50,000.	0.			LIVE RELEASE

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II	Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)						
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SHELTER OUTREACH SERVICES 78 DODGE RD ITHACA, NY 14850	06-1697719	501(C)3	75,288.	0.			SPAY/NEUTER
SHELTER TRANSPORT ANIMAL RESCUE TEAM (START) - PO BOX 4792 - VALLEY VILLAGE, CA 91617	45-4258426	501(C)3	20,000.	0.			RELOCATION
SOCIETY OF ANIMAL WELFARE ADMINISTRATORS - 15508 W. BELL ROAD, SUITE 101 - 613 - SURPRISE, AZ 85374	41-1618666	501(C)6	50,000.	0.			LIVE RELEASE
SOUTHERN PINES ANIMAL SHELTER PO BOX 2021 HATTIESBURG, MS 39403	64-0514796	501(C)3	59,800.	0.			LIVE RELEASE/RELOCATION
SPAY AND NEUTER SYRACUSE (SANS) 2616 ERIE BLVD EAST SYRACUSE, NY 13224	55-0852853	501(C)3	20,700.	0.			SPAY/NEUTER
SPAY NEUTER IDAHO PETS, INC 1077 S. GARDEN PL. BOISE, ID 83705	26-2679583	501(C)3	69,600.	0.			SPAY/NEUTER
SPCA OF TEXAS 2400 IONN STAR DR. DALLAS, TX 75212	75-1216660	501(C)3	368,000.	0.			SAFETY NET/SURRENDER PREVENTION/ANTI-CRUELTY/FA RM ANIMALS/DISASTER/EMERGENCY
SPOKANIMAL C A R E 710 N NAPA ST SPOKANE, WA 99202-2867	91-1223929	501(C)3	25,000.	0.			RELOCATION
SPATEEN ISLAND HOPE ANIMAL RESCUE INC - 51 WINDSOR ROAD - STATEN ISLAND, NY 10314	27-2122819	501(C)3	6,941.	0.			LIVE RELEASE

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

13-1623829

Page 1

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
STEVENS-SWAN-HUMANE-SOCIETY OF ONIDA COUNTY - 5664 HORATIO STREET - UTICA, NY 13502	15-0551485	501(C)3	12,000.	0.			SPAY/NEUTER
THE BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM - 21 N. PARK STREET, SUITE 6401 - MADISON, WI 53715-1218	39-6006492	501(C)3	20,000.	0.			SAFETY NET/SURRENDER PREVENTION
THE HUMANE ASSOCIATION OF WILSON COUNTY - 507 JIM DRAPER BLVD - LEBANON, TN 37087	62-1048196	501(C)3	80,000.	0.			SPAY/NEUTER
THE HUMANE SOCIETY FOR GREATER SAVANNAH INC - 7215 SALLIE MOOD DRIVE - SAVANNAH, GA 31419	58-0619035	501(C)3	6,640.	0.			LIVE RELEASE
THE PEGASUS PROJECT INC PO BOX 26 BEN WHEELER, TX 75754	27-2108244	501(C)3	10,000.	0.			EQUINE
THE UNITED STATES HEALTHFUL FOOD COUNCIL - 1200 18TH ST. NW, SUITE 700 - WASHINGTON, DC 20036	45-4482654	501(C)3	35,000.	0.			FARM ANIMALS
THRAPONY PO BOX 3002 RAMONA, CA 92065	80-0839845	501(C)3	25,000.	0.			EQUINE
THIS OLD HORSE, INC. 13926 60TH STREET SOUTH AFTON, MN 55001	45-4234611	501(C)3	20,000.	0.			EQUINE
THOROUGHbred AFTERCARE ALLIANCE FOUNDATION INC - 821 CORPORATE DRIVE - LEXINGTON, KY 40503	45-4783644	501(C)3	10,000.	0.			EQUINE

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule I (Form 990)

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TOLEDO AREA HUMANE SOCIETY 827 ILLINOIS AVE MAUMEE, OH 43537	34-4429093	501(C)3	35,157.	0.			SPAY/NEUTER/LIVE RELEASE
TOMKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850	15-0624378	501(C)3	68,000.	0.			SPAY/NEUTER
TRI-COUNTY HUMANE SOCIETY 735 8TH ST NE, PO BOX 701 ST. CLOUD, MN 56302	23-7449686	501(C)3	19,000.	0.			LIVE RELEASE
TRUSTEES OF TUFTS COLLEGE 169 HOLLAND STREET SOMERVILLE, MA 02144	04-2103634	501(C)3	22,000.	0.			SAFETY NET/SURRENDER PREVENTION/LIVE RELEASE
COLLEGE OF VETERINARY MEDICINE, UNIVERSITY OF FLORIDA - 2015 SW 16TH AVENUE - GAINESVILLE, FL 32610	59-0974739	501(C)3	75,834.	0.			ANTI-CRUELTY
UPLANDS PEAK SANCTUARY 4205 W. GRANDVIEW RD. SALEM, IN 47167	46-1798261	501(C)3	8,229.	0.			FARM ANIMALS
VALLEY VIEW RANCH EQUINE RESCUE 57025 JOLON ROAD KING CITY, CA 93930	26-3832985	501(C)3	15,000.	0.			EQUINE
VANCE COUNTY ANIMAL SERVICES 1243 BRODIE RD HENDERSON, NC 27537	56-6000346	GOVERNMENTAL (NU)	7,865.	0.			ANTI-CRUELTY
VANCOUVER HUMANE SOCIETY & S P C A 1100 NE 192ND AVE VANCOUVER, WA 98684	91-0759124	501(C)3	22,100.	0.			RELOCATION

Schedule I (Form 990)

732241  
04-01-17

THE AMERICAN SOCIETY FOR THE PREVENTION

Schedule I (Form 990)

OF CRUELTY TO ANIMALS

13-1623829

Page 1

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VOICE FOR THE ANIMALS 2633 LINCOLN BLVD #202 SANTA MONICA, CA 90405	95-4754776	501(C)3	8,500.	0.			LIVE RELEASE
VOLUNTEERS FOR ANIMALS PO BOX 1621 BATAVIA, NY 14021	22-2783890	501(C)3	20,000.	0.			SPAY/NEUTER
MADENA COUNTY HUMANE SOCIETY PO BOX 248 MADENA, MN 56482	41-1878213	501(C)3	12,600.	0.			LIVE RELEASE
WAGS AND WALKS 8721 SANTA MONICA BLVD, #344 WEST HOLLYWOOD, CA 90069	45-3749303	501(C)3	159,000.	0.			RELOCATION/LIVE RELEASE
WASHINGTON COUNTY HUMANE SOCIETY INC - 3650 HIGHWAY 60 - SLINGER, WI 53086	23-7009054	501(C)3	9,200.	0.			LIVE RELEASE/SPAY/NEUTER/RELOCATION
WASHINGTON HUMANE SOCIETY 71 OGLETHORPE ST NW WASHINGTON, DC 20011	53-0219724	501(C)3	67,510.	0.			LIVE RELEASE
WATONWAN COUNTY HUMANE SOCIETY PO BOX 346, 1309 WESTON AVE NORTH ST. JAMES, MN 56081	31-1524826	501(C)3	6,000.	0.			LIVE RELEASE
WENATCHEE VALLEY HUMANE SOCIETY INC - P.O. BOX 55 - WENATCHEE, WA 98807	91-0838299	501(C)3	70,900.	0.			LIVE RELEASE
ZEUS RESCUES LOW PROFIT LLC 2520 NAPOLKON AVENUE NEW ORLEANS, LA 70115	46-1940493	501(C)3	7,500.	0.			DISASTER/EMERGENCY

Schedule I (Form 990)

732241  
04-01-17



THE AMERICAN SOCIETY FOR THE PREVENTION

**Part III** Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance

**Part IV** Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

PART I, LINE 2:

ASPCA GRANTS PROVIDE SUPPORT TO A VARIETY OF U.S. BASED NON-PROFIT OR

MUNICIPAL ANIMAL WELFARE ORGANIZATIONS THROUGH CASH GRANTS, SPONSORSHIPS,

SCHOLARSHIPS AND TRAINING. THE ASPCA DOES NOT ACCEPT UNSOLICITED GRANT

PROPOSALS BY MAIL, ELECTRONICALLY, OR IN ANY FORMAT OTHER THAN BY

SUBMITTING AN APPLICATION THROUGH ITS WEBSITE.

THE ASPCA CAREFULLY CONSIDERS A NUMBER OF FACTORS IN ITS GRANT REVIEW

PROCESS. AMONG THOSE FACTORS IS AN ORGANIZATION'S ABILITY TO DEMONSTRATE

Part IV Supplemental Information

ITS STABILITY, PROFESSIONALISM AND POSITIVE IMPACT ON THE LIVES OF AT RISK ANIMALS. ORGANIZATIONS THAT CAN DEMONSTRATE THE FOLLOWING QUALIFICATIONS IN THEIR APPLICATION ARE IN THE BEST POSITION TO RECEIVE FUNDING FROM THE ASPCA IN A TIMELY MANNER:

- INNOVATIVE PROGRAMS THAT MAKE A SIGNIFICANT AND POSITIVE IMPACT ON THE LIVES OF ANIMALS AT RISK OF SUFFERING OR HOMELESSNESS
- COLLABORATION WITH OTHER ANIMAL WELFARE ORGANIZATIONS
- UP-TO-DATE AND ACCURATE WEBSITE INCLUDING REPORTS ON THE ORGANIZATION'S ACTIVITIES AND STATISTICS
- ACTIVE FUNDRAISING EFFORTS
- ACCESS TO OTHER SOURCES OF FUNDING

THE ASPCA'S FUNDING PRIORITIES INCLUDE GRANTS FOR THE FOLLOWING PURPOSES:

- ANTI-CRUELTY EFFORTS
- EMERGENCY AND DISASTER RESPONSE AND PREPAREDNESS
- EQUINE PROJECTS
- FARM ANIMAL WELFARE
- ANIMAL RELOCATION INITIATIVES
- ANIMAL SHELTERING AND SPAY/NEUTER PROGRAMS
- ANIMAL WELFARE SPONSORSHIPS AND SCHOLARSHIPS

THE ASPCA CONDUCTS REGULAR REVIEW OF ITS GRANT APPLICANTS' NON-PROFIT STATUS OR STANDING IN THE COMMUNITY SERVED. GRANTEES ARE REQUIRED TO REPORT BACK TO THE ASPCA WITH RESPECT TO THE USE AND IMPACT OF THE GRANT FUNDS PROVIDED.

**SCHEDULE J  
(Form 990)**

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees  
 ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.  
 ▶ Attach to Form 990.

Department of the Treasury  
Internal Revenue Service

Name of the organization

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Employer identification number  
13-1623829

OMB No. 1545-0047

**2017**

Open to Public Inspection

**Part I Questions Regarding Compensation**

	Yes	No
<b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <input type="checkbox"/> First-class or charter travel <input type="checkbox"/> Travel for companions <input type="checkbox"/> Tax indemnification and gross-up payments <input type="checkbox"/> Discretionary spending account <input type="checkbox"/> Housing allowance or residence for personal use <input type="checkbox"/> Payments for business use of personal residence <input type="checkbox"/> Health or social club dues or initiation fees <input type="checkbox"/> Personal services (such as, maid, chauffeur, chef)		
<b>b</b> If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain		
<b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a? .....	1b	
<b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <input checked="" type="checkbox"/> Compensation committee <input checked="" type="checkbox"/> Independent compensation consultant <input checked="" type="checkbox"/> Form 990 of other organizations <input type="checkbox"/> Written employment contract <input checked="" type="checkbox"/> Compensation survey or study <input checked="" type="checkbox"/> Approval by the board or compensation committee	2	
<b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: <b>a</b> Receive a severance payment or change-of-control payment? .....	4a	X
<b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan? .....	4b	X
<b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement? .....	4c	X
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		
<b>5</b> Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9. For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: <b>a</b> The organization? .....	5a	X
<b>b</b> Any related organization? .....	5b	X
If "Yes" on line 5a or 5b, describe in Part III.		
<b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: <b>a</b> The organization? .....	6a	X
<b>b</b> Any related organization? .....	6b	X
If "Yes" on line 6a or 6b, describe in Part III.		
<b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III .....	7	X
<b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III .....	8	X
<b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? .....	9	

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2017

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

13-1623829

Schedule J (Form 990) 2017

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)-(D)	(F) Compensation reported as deferred in column (B) on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) MATTHEW BERSHADKER, PRES & CEO	527,062.	276,500.	810.	21,600.	26,259.	852,231.	0.
(2) TODD HENDRICKS	307,141.	25,000.	1,242.	21,600.	30,286.	385,269.	0.
(3) ELIZABETH ESTROFF	299,978.	17,500.	810.	21,600.	31,308.	371,196.	0.
(4) SARAH LEVIN GOODSTINE	291,826.	10,000.	486.	21,600.	35,090.	359,002.	0.
(5) JULIE MORRIS	292,879.	0.	3,564.	21,600.	13,207.	331,250.	0.
(6) STACY WOLF	267,553.	0.	1,032.	18,230.	10,735.	297,550.	0.
(7) BERT TROUGHTON	250,742.	0.	10,996.	19,766.	23,601.	305,105.	0.
(8) BEVERLY JONES	235,888.	0.	517.	19,197.	28,160.	283,762.	0.
(9) STEPHEN J. NUSSO	250,405.	6,159.	3,564.	21,024.	23,395.	304,547.	0.
(10) J'MAI GAYLE	248,782.	0.	687.	18,374.	32,795.	300,638.	0.
DIRECTOR, SURGERY	0.	0.	0.	0.	0.	0.	0.
(11) NANCY PERRY	240,141.	0.	1,205.	19,061.	13,790.	274,197.	0.
(12) GAIL BUCHWALD	230,383.	0.	509.	18,681.	11,994.	261,567.	0.
(13) RANDALL LOCKWOOD	222,101.	0.	6,181.	18,183.	22,609.	269,074.	0.
SVP, FORENSIC SCIENCE & ANTI-CRUELTY	0.	0.	0.	0.	0.	0.	0.
(14) J'MAI GAYLE	0.	0.	0.	0.	0.	0.	0.
(15) NANCY PERRY	0.	0.	0.	0.	0.	0.	0.
(16) GAIL BUCHWALD	0.	0.	0.	0.	0.	0.	0.
(17) RANDALL LOCKWOOD	0.	0.	0.	0.	0.	0.	0.

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 7:

THE FOLLOWING EMPLOYEES RECEIVED DISCRETIONARY, NON-FIXED PAYMENTS THAT ARE

REPORTED IN SCHEDULE J, PART II, COLUMN B(II). THE DISCRETIONARY NON-FIXED

PAYMENTS ARE DETERMINED BASED ON THE PERFORMANCE EVALUATION PROCESS:

MATTHEW BERSHADKER \$276,500

TODD HENDRICKS \$25,000

ELIZABETH ESTROFF \$17,500

SARAH LEVIN GOODSTINE \$10,000

STEPHEN J. MUSSO \$ 6,159

SCHEDULE J, PART II, COLUMN B(II)

TOTAL COMPENSATION OF THE PRESIDENT OF THE ASPCA INCLUDES MERIT

ADJUSTMENTS AND PERFORMANCE RELATED BONUSES PAID IN CALENDAR YEAR 2017,

BUT APPLIES TO PERFORMANCE FROM 2015 THROUGH 2017 DUE TO CHANGE IN

REVIEW DATES.





**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

OMB No. 1545-0047

**2017**

Open to Public Inspection

Employer identification number  
13-1623829

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE ASPCA WAS FOUNDED ON THE BELIEF THAT ANIMALS ARE ENTITLED TO KIND AND RESPECTFUL TREATMENT AT THE HANDS OF HUMANS AND MUST BE PROTECTED UNDER THE LAW. THE ASPCA'S MISSION, AS STATED BY FOUNDER HENRY BERGH IN 1866, IS "TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS THROUGHOUT THE UNITED STATES."

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

ANIMAL HEALTH SERVICES -  
ANIMAL HEALTH SERVICES (AHS) IS COMPRISED OF RESOURCES AND PROGRAMS THAT SUPPORT THE WELFARE OF ANIMALS AND HELP PET OWNERS CARE FOR THEIR PETS. AHS INCLUDES THE ASPCA ANIMAL HOSPITAL, THE ASPCA ANIMAL POISON CONTROL CENTER, THE ASPCA SPAY / NEUTER ALLIANCE (FORMERLY HUMANE ALLIANCE) BASED IN ASHEVILLE, NORTH CAROLINA, AND COMMUNITY MEDICINE IN NEW YORK CITY, LOS ANGELES, AND IN MIAMI.

THE ASPCA ANIMAL POISON CONTROL CENTER (APCC) PROVIDES EMERGENCY ASSISTANCE TO PET OWNERS AND VETERINARIANS TO HELP ANIMALS WHO HAVE BEEN EXPOSED TO POTENTIALLY HAZARDOUS SUBSTANCES. THE TEAM ANSWERED OVER 288,000 CALLS IN 2017, A 15% INCREASE OVER 2016. EACH YEAR, APCC CONTINUES TO HELP MORE ANIMALS. APCC STAFF ALSO PROVIDED 44 HOURS OF CONTINUING EDUCATION FOR VETERINARY PROFESSIONALS AND PROVIDES POISON-PREVENTION TIPS AND EDUCATIONAL INFORMATION TO PET OWNERS.

THE ASPCA ANIMAL HOSPITAL (AAH) OPERATES TO RELIEVE SUFFERING AND

PROVIDE LIFESAVING CARE FOR ANIMALS WHO HAVE BEEN VICTIMS OF CRUELTY

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2017)

Name of the organization	THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS
Employer identification number	13-1623829

AND NEGLECT, AND FOR PETS WHOSE OWNERS ARE EXPERIENCING FINANCIAL CHALLENGES. IN 2017, AAH TREATED MORE THAN 10,250 PUBLIC CLIENTS, AND PROVIDED CARE VIA THE AAH ONE ASPCA FUND TO 5,062 ANIMALS WHOSE OWNERS WERE UNABLE TO AFFORD VETERINARY CARE. IN ADDITION, AAH PROVIDED MEDICAL CARE TO 652 ANIMALS FROM NYPD CASES, TO 1,808 ADOPTION CENTER ANIMALS, AND TO 171 ANIMALS RESCUED BY ASPCA'S COMMUNITY ENGAGEMENT. SINCE OPENING A STATIONARY SPAY/NEUTER CLINIC IN SOUTH LOS ANGELES IN 2014, TWO MOBILE SPAY/NEUTER CLINICS AND ONE MOBILE VETERINARY UNIT WERE ADDED, THE LATTER DEDICATED TO PRIMARY PET CARE (PPC) FOR OWNERS WITH LITTLE ACCESS TO VETERINARY SERVICES. IN 2017, THESE FACILITIES PROVIDED WELLNESS EXAMS FOR OVER 1,000 DOGS AND CATS BEING RELOCATED BY THE ASPCA FROM SHELTERS IN THE LOS ANGELES AREA TO PARTS OF THE COUNTRY WHERE THEY HAD A BETTER CHANCE OF FINDING NEW HOMES. COMMUNITY MEDICINE PROVIDED VACCINATIONS AND OTHER SERVICES FOR KITTENS IN THE PEE WEE DIVERSION PROGRAM. THESE KITTENS WERE TRANSFERRED FROM THE SHELTERS TO FOSTER HOMES, WHERE THEY RECEIVED LOVING CARE UNTIL THEY WERE OLD ENOUGH TO BE SPAYED OR NEUTERED AND ADOPTED.

THE ASPCA SPAY/NEUTER ALLIANCE (ASNA), FORMERLY HUMANE ALLIANCE, CONTINUED TO INCREASE CAPACITY FOR SPAY/NEUTER SURGERIES ACROSS THE COUNTRY. IN 2017, ASNA PERFORMED 26,438 SURGERIES AT ITS CLINIC IN ASHEVILLE, NC, WORKING WITH MORE THAN 50 ANIMAL WELFARE GROUPS ACROSS THE WESTERN PART OF THE STATE. ASNA ALSO TAUGHT SPAY/NEUTER BEST PRACTICES TO MORE THAN 950 VETERINARIANS, VETERINARY EXTERNS AND PROFESSIONALS, INCLUDING STAFF FROM SPAY/NEUTER CLINICS NATIONWIDE. CUMULATIVELY, OVER THE COURSE OF 2017, THE NETWORK OF SPAY/NEUTER CLINICS FOSTERED AND TRAINED BY ASNA STERILIZED OVER 1.1 MILLION COMPANION ANIMALS ACROSS THE COUNTRY.

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

THE ASPCA'S COMMUNITY MEDICINE (CM) DEPARTMENT OFFERS SPAY/NEUTER  
SERVICES AS WELL AS DOOR-TO-DOOR ADVOCACY, FULLY SUBSIDIZED SERVICES  
AND MOBILE CLINICS IN KEY AREAS, OFFERING SERVICES LIKE VACCINATIONS,  
PREVENTATIVE MEDICATIONS, AND OTHER TREATMENTS. IT ALSO MAKES REFERRALS  
TO PARTNERS FOR MORE SPECIALIZED CARE IN AN EFFORT TO PREVENT  
RELINQUISHMENT AND FOCUS ON PET RETENTION, PLAYING AN IMPORTANT ROLE IN  
THE ORGANIZATION'S WORK TO PROTECT ANIMALS AND REDUCE OVERPOPULATION.

IN THE SOUTH BRONX AND BROOKLYN'S EAST NEW YORK NEIGHBORHOOD, TWO NEW  
MOBILE CLINICS PROVIDED PRIMARY PET CARE (PPC) FOR OWNERS WITH LITTLE  
ACCESS TO VETERINARY SERVICES. IN 2017, THE ASPCA PERFORMED 46,572  
SPAY/NEUTER SURGERIES AND TREATED 3,848 PRIMARY PET CARE PATIENTS IN  
NYC.

IN LA, THE ORGANIZATION TREATED MORE THAN 4,000 PRIMARY PET CARE  
PATIENTS AND PERFORMED 16,758 SPAY/NEUTER SURGERIES.

IN MIAMI, CM DEVELOPED PLANS TO BUILD A SPAY/NEUTER AND PRIMARY PET  
CARE CLINIC IN COLLABORATION WITH MIAMI-DADE ANIMAL SERVICES. THE  
CLINIC IS SCHEDULED TO OPEN IN 2019.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

PUBLIC EDUCATION AND COMMUNICATIONS -

CRITICALLY IMPORTANT TO THE ASPCA'S MISSION IS EDUCATING THE PUBLIC AND  
BRINGING AWARENESS TO ITS PROGRAMS, ILLUSTRATING HOW PEOPLE AND  
ORGANIZATIONS CAN GET INVOLVED. IN 2017, THE ASPCA HAD MORE THAN 50.8  
MILLION PAGE VIEWS ON ASPCA.ORG, BRINGING AWARENESS TO SUPPORTERS AND  
THE PUBLIC AT LARGE ON ACTION THEY CAN TAKE ON BEHALF OF ANIMALS.

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

SOCIAL MEDIA POSTINGS ARE AN ESSENTIAL PART OF THE ASPCA'S EDUCATION  
PROCESS, AND THEY UPDATED THE PUBLIC OF REGULATORY WINS AND PROVIDED  
DETAILS OF THE ASPCA'S ANTI-CRUELTY EFFORTS. IN 2017, THE ASPCA'S  
PROMOTIONS GENERATED TENS OF MILLIONS OF SOCIAL MEDIA IMPRESSIONS. THE  
PUBLIC WAS UPDATED ON ACTION THAT CAN BE TAKEN TO ENSURE THAT ANIMALS  
ARE GIVEN THE GREATEST POSSIBLE PROTECTION UNDER THE LAW AND MADE AWARE  
OF HOW EACH PERSON CAN HELP THIS EFFORT. MORE THAN 150 ADVOCACY  
E-MAILS WERE SENT IN 2017 TO ASPCA SUPPORTERS URGING THEM TO TAKE  
ACTION ON A VARIETY OF ANIMAL WELFARE ISSUES. WITH THE HELP OF  
ADVOCATES, THE ASPCA SECURED NEW ANIMAL PROTECTION LAWS AND REGULATORY  
WINS FOR ANIMALS AT THE NATION'S CAPITAL AND IN STATE LEGISLATURES  
ACROSS THE COUNTRY.

IN 2017, THE ASPCA DISTRIBUTED MORE THAN 1,800,000 COPIES OF ASPCA  
ACTION, ITS MEMBER MAGAZINE, AND 15,000 COPIES, COMBINED PRINT AND  
DIGITAL, OF ITS ANNUAL REPORT. ASPCA ACTION INCLUDES INFORMATION ON  
ASPCA EVENTS AND PROGRAMS AS WELL AS PET CARE BEHAVIOR AND ADVICE.  
LEGISLATIVE AND ANIMAL ADVOCACY NEWS KEEPS MEMBERS UP-TO-DATE ON  
CURRENT AND FUTURE INITIATIVES AND HOW THEY CAN HELP ENSURE THAT  
ANIMALS RECEIVE NECESSARY PROTECTION UNDER THE LAW. THIS MAGAZINE IS  
AVAILABLE ON THE ASPCA WEBSITE, WHICH HAS MANY ADDITIONAL EDUCATIONAL  
RESOURCES FOR THE PUBLIC.

THE ASPCA WAS A LEADING VOICE IN NATIONAL AND LOCAL MEDIA ON ANIMAL  
CRUELTY AND WELFARE ISSUES IN 2017. THIS MEDIA COVERAGE--ALONG WITH  
THE VOICES OF NOTABLE CELEBRITY INFLUENCERS--BROUGHT CRITICAL EXPOSURE  
TO THE NEEDS OF VULNERABLE AND VICTIMIZED ANIMALS AND THE ASPCA'S

Name of the organization	THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS
Employer identification number	13-1623829

COMMITMENT TO THEIR RESCUE AND PROTECTION. HIGH-PROFILE MEDIA OUTLETS FEATURING THE WORK OF THE ASPCA POSITIONED THEIR EXPERTS ON CRITICALLY IMPORTANT TOPICS INCLUDING BLOOD SPORTS, DISASTER RELIEF, THE ASPCA-NEW YORK POLICE DEPARTMENT (NYPD) PARTNERSHIP, ANIMAL HOMELESSNESS AND SHELTERING, BETTER LEGAL PROTECTIONS FOR COMPANION AND FARM ANIMALS.

IN TOTAL, THE ASPCA GENERATED MORE THAN 19,000 FAVORABLE MEDIA PLACEMENTS ACROSS TRADITIONAL MEDIA OUTLETS AND BLOGS IN 2017. MAJOR MEDIA OUTLETS COVERING ASPCA CAMPAIGNS AND EVENTS INCLUDED: GOOD MORNING AMERICA, NBC; MEGYN KELLY TODAY, FOX AND FRIENDS, THE DR. OZ SHOW, NBC; ON THE MONEY, THE NEW YORK TIMES, THE WASHINGTON POST, CNN, THE ASSOCIATED PRESS AND USA TODAY. THE ASPCA ALSO ENGAGED MANY CELEBRITY INFLUENCERS WHO LENT THEIR VOICES TO HIGHLIGHT THE ORGANIZATION'S WORK.

IN JANUARY 2017, THE ASPCA ESTABLISHED A TEMPORARY SHELTER TO QUARANTINE APPROXIMATELY 500 CATS ORIGINATING FROM ANIMAL CARE CENTERS OF NYC SHELTERS IN ORDER TO ABATE AN OUTBREAK OF THE H7N2 VIRUS. NEWS OF THE ASPCA'S INVOLVEMENT IN PREVENTING THE SPREAD OF THE DISEASE AND TREATING THOSE ANIMALS ALREADY INFECTED WAS REPORTED BY BOTH LOCAL AND NATIONAL MEDIA OUTLETS, INCLUDING THE ASSOCIATED PRESS, REUTERS, NPR, THE NEW YORK TIMES, THE NEW YORK POST, AM NEW YORK, AND WABC. IN FEBRUARY, THE ASPCA ANNOUNCED THAT SOME OF THE CATS WERE READY FOR ADOPTION, PROMPTING ANOTHER ROUND OF MEDIA COVERAGE HIGHLIGHTING THE ASPCA'S EFFORTS AROUND THE OUTBREAK. MEDIA OUTLETS REPORTING ON THE ADOPTION OF QUARANTINED CATS INCLUDED THE NEW YORK DAILY NEWS, NEW YORK POST, NY1, AND AM NEW YORK.

Name of the organization	THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS
Employer identification number	13-1623829

IN NEW YORK CITY, THE ASPCA'S PARTNERSHIP WITH THE NYPD RESULTED IN MORE THAN 120 ANIMAL CRUELTY ARRESTS AND MORE THAN 650 ANIMAL VICTIMS TREATED BY THE ASPCA. STORIES OF THAT SUCCESS AND VARIOUS FACETS OF OUR NYC ANTI-CRUELTY WORK WERE COVERED BY A VARIETY OF NEW YORK CITY MEDIA OUTLETS. FOR EXAMPLE, THE COMPELLING STORY OF BLUE, A DEAF DOG THE ASPCA HAS BEEN TRAINING FOR MONTHS AFTER BEING RESCUED FROM FREEZING WEATHER, HIGHLIGHTED THE WORK OF THE ASPCA'S CANINE ANNEX FOR RECOVERY AND ENRICHMENT (CARE). BOTH NATIONAL AND LOCAL OUTLETS REPORTED ON BLUE'S AMAZING STORY, INCLUDING PEOPLE, AOL, MSN, THE NEW YORK POST, WNYW, AND WTG. STORIES ABOUT OTHER ASPCA-NYPD CASES APPEARED IN THE NEW YORK POST, THE NEW YORK DAILY NEWS, NY1, WNBC, AOL, THE DAILY MAIL, PEOPLE, AND GOTHAMIST.

IN 2017, THE ASPCA'S VETERINARY FORENSICS TEAM WAS HIGHLIGHTED IN AN ARTICLE FOR THE NEW YORK TIMES, POSITIONING THE ORGANIZATION AS EXPERT ON ANIMAL CRUELTY ISSUES AND VETERINARY FORENSICS AND EXPLAINING THE WORK REQUIRED TO GATHER EVIDENCE THAT HELPS PUT ANIMAL CRUELTY PERPETRATORS BEHIND BARS. THIS PROGRAM WAS ALSO FEATURED IN FORENSIC MAGAZINE AND SCIENTIFIC AMERICAN.

DURING A VERY DESTRUCTIVE 2017 HURRICANE SEASON, THE ASPCA WAS DIRECTLY INVOLVED IN DISASTER RELIEF EFFORTS ASSOCIATED WITH HURRICANE HARVEY IN TEXAS, HURRICANE IRMA IN FLORIDA, AND HURRICANE MARIA IN THE U.S. VIRGIN ISLANDS. FROM WATER RESCUES TO TRANSPORTING ANIMALS OUT OF HARM'S WAY TO ESTABLISHING EMERGENCY SHELTERS, THE ASPCA'S DISASTER RESPONSE EFFORTS WERE REPORTED NATIONALLY AND REGIONALLY ACROSS THE U.S. MEDIA OUTLETS COVERING THE ASPCA'S EFFORTS INCLUDE: THE ASSOCIATED PRESS, USA TODAY, WASHINGTON POST, NATIONAL GEOGRAPHIC, TODAY, AND FOX

Name of the organization <u>THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS</u>	Employer identification number <u>13-1623829</u>
--	---

NEWS, THE BOSTON GLOBE, THE HERALD TRIBUNE, THE DEMOCRAT & CHRONICLE, THE POST & COURIER, THE BRADENTON HERALD, WNYW, ST. THOMAS SOURCE, WCBD, AND TC PALM, CNBC, NATIONAL GEOGRAPHIC, WBTV, WIS, AND THE SALISBURY POST, YAHOO, MASHABLE, ESQUIRE, THE MIAMI HERALD, WAGT, GREENVILLE NEWS, WXIA, WSPA, THE TIMES PICAYUNE, AND THE HERALD JOURNAL.

THE ASPCA ASSISTED OAKLAND ANIMAL SERVICES IN THEIR RELIEF EFFORTS FOLLOWING WILDFIRES IN CALIFORNIA. THE ASPCA'S RELOCATION TEAM COLLABORATED WITH ALASKA AIRLINE TO RELOCATE 11 DISPLACED CATS TO SEATTLE FOR ADOPTION. THE TRANSPORT WAS REPORTED BY REGIONAL MEDIA OUTLETS INCLUDING KING AND KGO AND POSITIONED THE ASPCA AS AN EXPERT ON DISASTER RELIEF AND PREPAREDNESS.

THIS YEAR, THE ASPCA HAD ANOTHER SUCCESSFUL NATIONAL DOG FIGHTING AWARENESS DAY (NDFAD), AN ANNUAL CAMPAIGN DESIGNED TO EDUCATE THE PUBLIC ON THE PREVALENCE AND BRUTALITY OF DOG FIGHTING IN THE U.S. AND ENCOURAGE ANIMAL LOVERS NATIONWIDE TO TAKE ACTION AGAINST IT. THE #GETTOUGH ANTI-DOG FIGHTING CAMPAIGN AND OTHER ELEMENTS OF NDFAD GENERATED 110 MEDIA PLACEMENTS IN OUTLETS INCLUDING TODAY, PEOPLE PETS, NY DAILY NEWS, MSN, US WEEKLY, HUFFINGTON POST, BUZZFEED, ESQUIRE, YAHOO, QUAD-CITY TIMES, AND KWQC-TV. THE CAMPAIGN ALSO RECEIVED ENORMOUS SOCIAL MEDIA SUPPORT, GENERATING POSTS FROM TOP INFLUENCERS INCLUDING UPWORTHY, BARKBOX, THE DOGIST AND DOGS OF INSTAGRAM. THE ORGANIZATION RECEIVED SUPPORT FROM MANY NOTABLE CELEBRITIES WHO GOT INVOLVED BY SHARING SELFIES AND ENCOURAGING THEIR MILLIONS OF FOLLOWERS TO JOIN THE #GETTOUGH MOVEMENT AGAINST DOG FIGHTING.

Name of the organization	THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS	Employer identification number	13-1623829
--------------------------	---	--------------------------------	------------

THE ASPCA HOSTED MULTIPLE ADOPTION CAMPAIGNS COVERED BY MAJOR MEDIA IN 2017. DURING ADOPT A SHELTER DOG MONTH IN OCTOBER, THE ASPCA LAUNCHED FIND YOUR FIDO, A NEW ADOPTION CAMPAIGN TO HELP SHELTERS FIND MORE HOMES FOR AVAILABLE DOGS. HUNDREDS OF ANIMAL SHELTERS AND RESCUE GROUPS ACROSS THE COUNTY PARTICIPATED IN THE DIGITAL CAMPAIGN TO RAISE AWARENESS ABOUT ADOPTION AND ANIMAL HOMELESSNESS. NEWS OF THE FIND YOUR FIDO CAMPAIGN WAS REPORTED BY NATIONAL AND LOCAL MEDIA OUTLETS INCLUDING GOOD MORNING AMERICA, NBC'S ON THE MONEY, WATCH WHAT HAPPENS LIVE WITH ANDY COHEN, THE DODO, WINS-AM, METRO NEW YORK, NY1, AM NEW YORK, AND WNYW.

IN AUGUST, THE ASPCA PARTNERED WITH THE PASADENA HUMANE SOCIETY AND THE L.A. COUNTY DEPARTMENT OF ANIMAL CARE AND CONTROL TO CREATE A LIFE-SAVING "KITTEN-GARTEN" NURSERY FOR KITTENS BETWEEN FOUR AND EIGHT WEEKS OLD. NEWS OF THE NURSERY WAS REPORTED BY KTLA, KNBC, KCAL, KABC, THE SAN GABRIEL VALLEY TRIBUNE, THE PASADENA STAR-NEWS, PASADENA NOW, THE LOS ANGELES DAILY NEWS AND KTTV.

FORM 990, PART III, LINE 4B, DESCRIPTION OF PROGRAM SERVICE -CONTINUED

IN 2017, THE ASPCA CONTINUED TO ADVOCATE FOR ANIMALS RAISED FOR FOOD IN THE U.S., PROMOTING HUMANE FARM PRACTICES AND LEGISLATION TO PROTECT THE ANIMALS RAISED FOR FOOD. IN AN EFFORT TO PROVIDE CONSUMERS WITH ACCURATE INFORMATION ABOUT THE ANIMAL WELFARE STANDARDS IN THEIR FOOD PRODUCT CHOICES, THE ASPCA SHARED HUMANE FOOD LABEL FACTS AND RECOMMENDATIONS WITH THE MEDIA. RESULTING COVERAGE APPEARED IN NATIONAL MEDIA OUTLETS INCLUDING THE NEW YORK TIMES. THE ASPCA PROVIDED INFORMATION ON HOW CONSUMERS CAN HELP ANIMALS BY MAKING RESPONSIBLE FOOD CHOICES WITH THE ASPCA'S GOOD GROCERIES GUIDE. THE HUFFINGTON POST

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

FEATURED THE ASPCA'S GOOD GROCERIES GUIDE AS WELL AS THE SHOP WITH YOUR HEART CAMPAIGN.

USA TODAY HIGHLIGHTED THE REAL CERTIFIED PROGRAM IN MAY, WHICH IS THE RESULT OF THE ASPCA'S WORK WITH THE UNITED STATES HEALTHFUL FOOD COUNCIL (USHFC) TO ADD TRANSPARENT AND MEANINGFUL ANIMAL WELFARE STANDARDS TO ITS RESTAURANT CERTIFICATION PROGRAM.

OTHER FARM-RELATED ASPCA MEDIA COVERAGE INCLUDES THE HILL, BLOOMBERG, THE LOS ANGELES TIMES, CIVIL EATS, THE HUFFINGTON POST, AND THE MOTHER NATURE NETWORK.

THE ASPCA SUPPORTED A CALIFORNIA BILL THAT PASSED IN OCTOBER WHICH BANS PET STORES FROM SELLING DOGS, CATS AND RABBITS WHO DO NOT COME FROM RESCUE ORGANIZATIONS OR SHELTERS, DEALING A SERIOUS BLOW TO PUPPY MILLS. MULTIPLE NATIONAL MEDIA OUTLETS REPORTED ON THE HISTORIC LEGISLATION, INCLUDING THE NEW YORK TIMES, NEWSWEEK, TODAY.COM, THE HUFFINGTON POST, PEOPLE, BUZZFEED, ONE GREEN PLANET, THE MOTHER NATURE NETWORK, AND MARIE CLAIRE. REGIONAL OUTLETS KNDS, THE LOS ANGELES DAILY NEWS, THE DAILY BREEZE, KNX-AM, KQED, AND THE ORANGE COUNTY REGISTER ALSO REPORTED ON THE BILL.

A NEW LAW SIGNED BY NEW YORK GOVERNOR ANDREW CUOMO MAKES NON-PROFIT SHELTERS AND RESCUES ACCOUNTABLE UNDER THE SAME ANIMAL WELFARE REGULATIONS THAT COVER LICENSED PET DEALERS AND MUNICIPAL SHELTERS. THE ASPCA VOICED SUPPORT FOR THE BILL, WHICH AIMS TO CLOSE LOOPHOLES ALLOWING UNSCRUPULOUS PET DEALERS TO MASQUERADE AS NOT-FOR-PROFIT ANIMAL SHELTERS. NATIONAL AND LOCAL OUTLETS REPORTING ON THE BILL

Name of the organization	THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS
Employer identification number	13-1623829

INCLUDED THE ASSOCIATED PRESS, LAW.COM, THE NEW YORK LAW JOURNAL, NEW YORK DAILY NEWS, THE TIMES UNION, AND THE DEMOCRAT & CHRONICLE.

THE ASPCA CELEBRATED THE FOURTH ANNUAL HELP A HORSE DAY, A NATIONWIDE COMPETITION DESIGNED TO RAISE AWARENESS ABOUT THE YEAR-ROUND LIFESAVING WORK EQUINE RESCUES AND SANCTUARIES DO FOR HORSES. IN 2017, 173 GROUPS HOSTED EVENTS ACROSS 38 STATES. MEDIA COVERAGE INCLUDED NJ.COM, THE BALTIMORE SUN, THE NEW JERSEY HERALD, THE TENNESSEAN, AND THE SAN DIEGO UNION-TRIBUNE. NATIONAL OUTLET THE HORSE ALSO REPORTED ON THE COMPETITION. IN JUNE, THE ASPCA AWARDED MORE THAN \$100,000 TO THE 12 WINNERS.

THE ASPCA PROVIDED INFORMATION TO PET OWNERS ON KEEPING ANIMALS SAFE ON HALLOWEEN, AS WELL AS DURING THE COLD WINTER MONTHS AND HOLIDAY SEASON. THE ASPCA'S ADVICE, WHICH POSITIONED THE ORGANIZATION AS AN EXPERT IN ANIMAL WELFARE ISSUES, APPEARED IN NATIONAL MEDIA OUTLETS INCLUDING THE WASHINGTON POST, MARTHA STEWART, CONSUMER REPORTS, PETMD, AND PET INSIDER.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:  
ANTI-CRUELTY PROGRAMS -

IN 2017, THE ASPCA EXPANDED AND FURTHER DEVELOPED INNOVATIVE PROGRAMS TO HELP END ANIMAL CRUELTY AND SAVE THE LIVES OF ANIMALS ACROSS THE COUNTRY.

IN 2017, THE FIELD INVESTIGATIONS AND RESPONSE TEAM MANAGED 30

DEPLOYMENTS, 80 INVESTIGATIONS, AND 44 CONSULTATIONS THAT RESULTED IN

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

THE FILING OF 668 CRIMINAL CHARGES, 104 CONVICTIONS AND 47,214 ANIMALS  
RESCUED OR ASSISTED.

DEPLOYING ACROSS 21 STATES/TERRITORIES IN 2017, FIR RESPONDED TO  
MULTIPLE ANIMAL FIGHTING CASES, CRUELTY CASES, WILDFIRES, AND THE  
BACK-TO-BACK HURRICANES HARVEY, IRMA AND MARIA WHILE JUGGLING MULTIPLE  
TEMPORARY SHELTER OPERATIONS ACROSS THE COUNTRY.

IN RESPONSE TO THE WIDESPREAD DEVASTATION OF HURRICANE MARIA, FIR  
TRAVELED TO THE U.S. VIRGIN ISLANDS, CONDUCTING AN ISLAND-WIDE  
ANIMAL-NEEDS ASSESSMENT, DISTRIBUTING CRITICAL ANIMAL SUPPLIES,  
PROVIDING SEARCH AND RESCUE SERVICES AND SUBSEQUENTLY SETTING UP A  
TEMPORARY ANIMAL SHELTER ON ST. CROIX. THE TEMPORARY SHELTER HOUSED  
NEARLY 600 ANIMAL VICTIMS DISPLACED BY THE HURRICANE. THE TEAM WORKED  
TO REUNITE THESE VICTIMS WITH THEIR FAMILIES, AND ALSO FLEW MORE THAN  
300 UNOWNED ANIMALS FROM THE ISLAND TO THE CONTINENTAL U.S. FOR  
PLACEMENT WITH THE ASPCA'S RESPONSE PARTNER NETWORK. THESE EFFORTS  
REQUIRED AT LEAST 162,164 HOURS BY 1,316 UNIQUE RESPONDERS IN THE  
FIELD.

IN 2017, FORENSIC SCIENCES PARTICIPATED IN 14 DEPLOYMENTS, CONDUCTED  
1,017 CASE CONSULTATIONS AND EXAMS, AND 57 TRAININGS, RESULTING IN THE  
TRAINING OF MORE THAN 3,800 PEOPLE.

IN MAY 2017, THE INTERNATIONAL VETERINARY FORENSIC SCIENCES ASSOCIATION  
(IVFSA) COLLABORATED WITH THE ASPCA TO HOST ITS 10TH ANNUAL VETERINARY  
FORENSIC SCIENCES CONFERENCE IN NEW YORK CITY. ATTENDED BY 335

INDIVIDUALS FROM 38 STATES, WASHINGTON, D.C., PUERTO RICO, FIVE

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

CANADIAN PROVINCES, AND 13 COUNTRIES, THE THREE-DAY EVENT BROUGHT TOGETHER A VARIETY OF EXPERTS IN VETERINARY MEDICINE, LAW ENFORCEMENT, CRIMINAL PROSECUTION, FORENSIC SCIENCE, ANIMAL WELFARE, AND HUMAN SOCIAL SERVICES. THE CONFERENCE HIGHLIGHTED THE PARTNERSHIP AMONG THE ASPCA, NEW YORK CITY POLICE DEPARTMENT (NYPD), AND NYC DISTRICT ATTORNEY'S OFFICES TO AGGRESSIVELY FIGHT ANIMAL CRUELTY AND CARE FOR ANIMAL CRUELTY VICTIMS.

SINCE THE PARTNERSHIP BEGAN IN 2014, THE ASPCA HUMANE LAW ENFORCEMENT (HLE) DEPARTMENT HAS CONTINUED TO WORK CLOSELY WITH THE NEW YORK CITY POLICE DEPARTMENT (NYPD). IN 2017, MORE THAN 9,000 311-CALLS WERE RECEIVED REGARDING POSSIBLE ANIMAL CRUELTY AND APPROXIMATELY 1,400 NYPD CALLS WERE PLACED TO THE 24-HOUR HLE HOTLINE. THROUGH THE ASPCA-NYPD PARTNERSHIP, 123 ARRESTS WERE MADE, 34 SUMMONSES WERE ISSUED, 302 COMPLAINTS WERE RESPONDED TO BY THE ASPCA AND NYPD, AND 17 SEARCH WARRANTS WERE ISSUED. MORE THAN 900 ANIMALS WERE ASSISTED AND MORE THAN 100 CASES WERE REFERRED TO THE ASPCA'S COMMUNITY ENGAGEMENT TEAM BY NYPD PERSONNEL, RESULTING IN MORE THAN 260 ANIMALS ASSISTED IN 2017.

THE HLE DEPARTMENT CONDUCTED DOZENS OF TRAININGS TO OVER 3,400 NYPD PERSONNEL AND ATTENDED PRECINCT COMMUNITY COUNCIL MEETINGS, COMMUNITY BOARD MEETINGS AND DISTRICT CABINET MEETINGS THROUGHOUT THE FIVE BOROUGHS.

THE ASPCA COMMUNITY ENGAGEMENT TEAM IN NEW YORK CITY PARTNERS WITH THE NYPD, NYC ACC, SOCIAL SERVICE AGENCIES, AND THE LOCAL COMMUNITY TO IMPROVE THE WELFARE OF THOUSANDS OF PETS EVERY YEAR. THESE EFFORTS FOCUS ON VETERINARY CARE, HOARDING SITUATIONS, OUTDOOR DOGS, AND

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

RESOURCES FOR DOMESTIC VIOLENCE SURVIVORS. AS A RESULT OF EXTENSIVE  
OUTREACH TO SOCIAL SERVICE AGENCIES, THE NUMBER OF REFERRALS FROM  
CASEWORKERS NEARLY DOUBLED. THANKS TO THE ASPCA'S FOCUSED EFFORTS IN  
THE SOUTH BRONX, CE CASES IN THAT BOROUGH TRIPLED, WITH MANY REFERRALS  
COMING FROM COMMUNITY MEMBERS THE TEAM INTERACTED WITH DURING MEETINGS,  
EVENTS AND OUTREACH EFFORTS.

IN 2017, THE CE TEAM IN LA CELEBRATED THREE YEARS OF PARTNERSHIP WITH  
DOWNEY AND BALDWIN PARK ANIMAL CARE CENTERS. CE WORKED CLOSELY WITH THE  
LOS ANGELES COUNTY DEPARTMENT OF ANIMAL CARE AND CONTROL (LADACC) TO  
FIELD SERVICE REFERRALS FROM ANIMAL CONTROL OFFICERS INCLUDING EMERGENCY  
MEDICAL CARE, SPAY/NEUTER SURGERIES, VACCINES, PET SUPPLIES,  
RETURN-TO-OWNER FEE ASSISTANCE, AND HUMANE EUTHANASIA. IN LA, CE  
ASSISTED MORE THAN 9,400 ANIMALS.

IN NYC, COMMUNITY ENGAGEMENT ASSISTED MORE THAN 2,000 ANIMALS.

IN 2017, ASPCA CE IN MIAMI STAFF WORKED CLOSELY WITH MIAMI-DADE ANIMAL  
SERVICES (MDAS) TO DEVELOP PET RETENTION PROGRAMS TO REDUCE ANIMAL  
INTAKE VIA OWNER SURRENDER BOTH AT THE SHELTER AND, WITH THE ASSISTANCE  
OF THE HUMANE ANIMAL RESPONSE TEAM, THROUGHOUT MIAMI-DADE COUNTY. IN  
2017, 641 PETS IN MIAMI RECEIVED PET RETENTION SERVICES AND SUPPLIES.  
ASPCA FORENSIC VETERINARIANS WORKED WITH MDAS TO DEVELOP AND IMPLEMENT  
ADVANCED FORENSIC PROTOCOLS, AS WELL AS PROVIDED DIRECT FORENSIC  
CASEWORK ASSISTANCE. ASPCA STAFF COLLABORATED WITH MDAS TO ENGAGE  
MULTIPLE LEVELS OF LAW ENFORCEMENT LEADERSHIP, INCLUDING PROVIDING FIVE  
TRAININGS ON BEST PRACTICES TO IDENTIFY AND COMBAT ANIMAL CRUELTY.

Name of the organization	THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS
Employer identification number	13-1623829

IN ANTICIPATION OF LAUNCHING AN EXPANDED PROGRAM AT A NEW NORTH CAROLINA FACILITY, THE ASPCA BEHAVIORAL REHABILITATION CENTER (BRC) WOUND DOWN OPERATIONS AT ITS PILOT PROJECT LOCATION AT ST. HUBERT'S ANIMAL WELFARE CENTER IN MADISON, NEW JERSEY. BRC TEAM FINALIZED DESIGN DETAILS TO BUILD AND STOCK A NEW, STATE-OF-THE-ART FACILITY IN WEAVERVILLE, NORTH CAROLINA, WHICH MORE THAN DOUBLED THE PREVIOUS CAPACITY TO ASSIST DOGS IN NEED. THE BRC ACHIEVED A HIGH SUCCESS RATE OF 87% FOR THE MORE THAN 300 DOGS ACCEPTED INTO THE BRC PILOT PROGRAM.

THE ASPCA'S GOVERNMENT RELATIONS (GR) DEPARTMENT HELPED SHEPHERD MANY LEGISLATIVE INITIATIVES IN 2017 THAT RESULTED IN STRONG ANIMAL PROTECTION LAWS AND INCREASED FUNDING FOR HUMANE PROGRAMS ACROSS THE COUNTRY.

HIGHLIGHTS FROM THE YEAR INCLUDE:

- SHELTER FUNDING & TRANSPARENCY: IN NEW YORK STATE, \$5 MILLION WAS SECURED TO CREATE A FIRST-IN-THE-NATION CAPITAL FUND TO SUPPORT CRITICAL IMPROVEMENTS TO NONPROFIT AND MUNICIPAL ANIMAL SHELTERS. IN NEW YORK CITY, AN INVESTMENT OF \$79.2 MILLION WAS SECURED FOR THE CITY'S ANIMAL SHELTERS IN ALL FIVE BOROUGHS, INCLUDING THE CONSTRUCTION OF A NEW \$60 MILLION NYC ACC FACILITY IN THE BRONX. THE BROOKLYN SHELTER WILL GET A \$27.3 MILLION UPGRADE, WHILE THE QUEENS ANIMAL RECEIVING CENTER WILL RELOCATE TO A LARGER FACILITY. ALSO IN NEW YORK, A BILL WAS PASSED ALLOWING THE STATE TO GATHER INFORMATION ABOUT THE NUMBER, SIZE, SCOPE AND CAPACITY OF NONPROFIT ANIMAL WELFARE ORGANIZATIONS TRANSPORTING AND OFFERING ANIMALS FOR ADOPTION.

- HOUSING: IN CALIFORNIA, PET-FRIENDLY HOUSING WAS EXPANDED VIA

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

LEGISLATION REQUIRING STATE-FUNDED HOUSING TO ALLOW TENANTS TO OWN PETS.

- PUPPY LEASING: PREDATORY LENDING PRACTICES FOR PET PURCHASES WERE OUTLAWED IN CALIFORNIA. THIS PROTECTS CONSUMERS FROM UNSCRUPULOUS LEASING OR "RENT-TO-OWN" SCHEMES OFFERED BY SOME PET SELLERS AND REDUCES THE POTENTIAL THAT A PUPPY, KITTEN OR OTHER LEASED ANIMAL WILL BE SURRENDERED DUE TO FINANCIAL CIRCUMSTANCES.

- PUPPY MILLS: IN GEORGIA, LEGISLATION THAT WOULD HAVE UNDERMINED THE AUTHORITY OF TOWNS AND COUNTIES TO REGULATE THE SALE OF PETS IN PET STORES WAS DEFEATED. AT THE NATIONAL LEVEL, THE ASPCA SUPPORTED STRONG LANGUAGE IN THE FINAL FY2018 AGRICULTURE APPROPRIATIONS BILL DIRECTING THE U.S. DEPARTMENT OF AGRICULTURE (USDA) TO RESTORE ITS SEARCHABLE PUBLIC DATABASE OF ANIMAL WELFARE ACT VIOLATORS.

- ANIMAL FIGHTING: THE HELP EXTRACT ANIMALS FROM RED TAPE (HEART) TEAM, A NATIONAL NETWORK OF LOCAL LEADERS WORKING TO BUILD SUPPORT FOR THE HEART ACT IN CONGRESS, WAS LAUNCHED IN 2017. THE HEART ACT WOULD ENABLE DOGS WHO ARE SEIZED IN FEDERAL DOGFIGHTING CASES TO MOVE MORE RAPIDLY INTO SHELTERS OR RESCUES WHERE THEY CAN RECEIVE SUPPORT, CARE AND ASSESSMENT FOR ADOPTION.

- HORSE PROTECTION: GR WORKED CLOSELY WITH CONGRESSIONAL LEADERS TO ENSURE LANGUAGE PREVENTING HORSE SLAUGHTER PLANTS FROM REOPENING ON U.S. SOIL WAS INCLUDED IN THE FY2018 OMNIBUS APPROPRIATIONS PACKAGE.

THE GR TEAM ALSO FOUGHT TO MAINTAIN CRITICAL PROTECTIONS FOR WILD HORSES. IN ADDITION, THE ASPCA'S HORSE ACTION TEAM (HAT), A DEDICATED

Name of the organization <u>THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS</u>	Employer identification number <u>13-1623829</u>
--	---

GROUP OF CITIZEN ADVOCATES FOCUSED ON BUILDING SUPPORT FOR THE  
SAFEGUARD AMERICAN FOOD EXPORTS (SAFE) ACT, HELPED GARNER OVER 200  
COSPONSORS FOR THAT BILL IN THE U.S. HOUSE OF REPRESENTATIVES.

FORM 990, PART III, LINE 4C, DESCRIPTION OF PROGRAM SERVICE -CONTINUED  
IN NEW YORK CITY, LEGAL ADVOCACY FILED 19 PETITIONS UNDER NEW YORK'S  
SECURITY POSTING LAW IN 2017 WITH THE GOAL OF REDUCING THE TIME THAT  
ANIMALS SEIZED IN NEW YORK CITY POLICE DEPARTMENT CASES ARE HELD IN  
LEGAL LIMBO. NINE RESULTED IN COURT-ORDERED FORFEITURE OF ANIMALS, NINE  
IN VOLUNTARY SURRENDER OF OWNERSHIP, AND ONE IN THE RETURN OF THE DOG  
WITH ASPCA MONITORING. IN ADDITION, THE LEGAL ADVOCACY TEAM PROVIDED  
SUBSTANTIAL LEGAL ASSISTANCE IN APPROXIMATELY 31 ANIMAL CRUELTY CASES  
NATIONWIDE. THE LEGAL ADVOCACY TEAM CONTINUED TO ADVOCATE FOR STATE  
STATUTES THAT ALLOW FOR FASTER DISPOSITION OF SEIZED ANIMALS SO THAT  
ANIMAL CRUELTY VICTIMS CAN BE PLACED IN NEW HOMES MORE QUICKLY. THE  
LEGAL ADVOCACY TEAM ALSO PROVIDED LEGAL ANALYSIS AND DRAFTING EXPERTISE  
ON APPROXIMATELY 40 LEGISLATIVE BILLS AND PROVIDED TRAINING TO LAW  
ENFORCEMENT AND PROSECUTORS AROUND THE COUNTRY. THIS INCLUDED TRAINING  
APPROXIMATELY 75 ASSISTANT DISTRICT ATTORNEYS IN THE BRONX AND  
MANHATTAN, AND ASSISTING HLE WITH TRAINING OVER 3,400 MEMBERS OF THE  
NYPD AND OTHER NEW YORK CITY AGENCIES.

THE ASPCA CONTINUED ITS FARM ANIMAL WELFARE WORK TO IMPROVE THE LIVES  
OF FARM ANIMALS RAISED FOR FOOD BY FIGHTING FOR CONSUMER, CORPORATE,  
LEGISLATIVE AND REGULATORY CHANGES THAT SUPPORT MORE HUMANE AND  
TRANSPARENT FARMING PRACTICES. 2017 ACCOMPLISHMENTS INCLUDE:

- WORKING WITH 45 BRANDS AND 12 MAJOR FOOD BUYERS TO HELP THEM ADOPT

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

THE MOST RIGOROUS AND TRANSPARENT FARM ANIMAL WELFARE CERTIFICATION PROGRAMS IN THE COUNTRY, HELPING IMPROVE THE LIVES OF HUNDREDS OF THOUSANDS OF CHICKENS, COWS, PIGS AND OTHER FARM ANIMALS.

- SUBMITTING MORE THAN 56,000 COMMENTS FROM ASPCA SUPPORTERS URGING THE USDA TO REJECT A PROPOSAL BY THE CHICKEN INDUSTRY TO INCREASE SLAUGHTER SPEEDS AT CHICKEN-PROCESSING FACILITIES. FASTER SPEEDS COULD HAVE RESULTED IN MORE OF THE INHUMANE TREATMENT ALREADY DOCUMENTED AT SLAUGHTERHOUSES. THE PROPOSAL WAS ULTIMATELY REJECTED.

- DEFENDING IMPROVED ANIMAL WELFARE STANDARDS UNDER THE USDA ORGANIC PROGRAM, WHICH WERE PASSED IN 2016 BUT REPEATEDLY DELAYED BY THE CURRENT ADMINISTRATION. THE ASPCA SUBMITTED 15,000 SUPPORTER COMMENTS TO THE USDA AND AUTHORED A COMPREHENSIVE REPORT MAKING THE CASE FOR THE ENACTMENT OF THE STANDARDS, WHICH WOULD HAVE REQUIRED OUTDOOR ACCESS FOR MORE THAN 100 MILLION ANIMALS RAISED ON ORGANIC FARMS.

- ENCOURAGING MILLIONS OF AMERICANS TO CONSIDER MAKING MORE HUMANE FOOD CHOICES THROUGH OUR SHOP WITH YOUR HEART CAMPAIGN.

IN 2017, THE ASPCA ANNOUNCED THE NEWLY FORMED EQUINE WELFARE DEPARTMENT. FOCUSING ON THE PROTECTION OF HOMELESS HORSES NATIONWIDE, THE ASPCA IS WORKING COLLABORATIVELY WITH STAKEHOLDERS IN BOTH THE RESCUE COMMUNITY AND EQUINE INDUSTRIES TO HELP THESE ANIMALS SAFELY TRANSITION TO NEW CAREERS AND HOMES. THE INITIATIVE ALSO FOCUSES ON INCREASING SAFETY NET SUPPORT FOR HORSE OWNERS SO THEY HAVE ADEQUATE ACCESS TO VITAL RESOURCES, ON ENHANCING ANTI-CRUELTY EFFORTS TO STOP EQUINE ABUSE AND WORKING TOWARD A PERMANENT BAN ON HORSE SLAUGHTER IN

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

AMERICA.

THE NEW FOCUS OF THIS TEAM ALSO INCLUDES ASPCA PARTICIPATION IN THE RIGHT HORSE INITIATIVE, A COLLECTIVE OF INDUSTRY PROFESSIONALS AND EQUINE WELFARE ADVOCATES WORKING TOGETHER TO IMPROVE THE LIVES OF HORSES IN TRANSITION BY INCREASING TRAINING OPPORTUNITIES FOR HORSES AND PROMOTING ADOPTION AS THE PREFERRED METHOD FOR ACQUIRING A HORSE.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

OTHER PROGRAMS

COMMUNITY OUTREACH

MORE THAN 4,750 PETS WERE ADOPTED VIA THE ASPCA ONYX AND BREEZY SHEPETS ADOPTION CENTER IN 2017. TO HELP SOCIALIZE THE MANY ANIMALS AT THE ADOPTION CENTER, VOLUNTEERS PROVIDED APPROXIMATELY 46,858 VOLUNTEER HOURS. IN ADDITION, THE ADOPTION CENTER TRANSFERRED 109 ANIMALS TO PARTNER ORGANIZATIONS AND REUNITED 114 ANIMALS WITH THEIR FAMILIES.

IN 2017, THE ASPCA KITTEN NURSERY ADMITTED 1,575 KITTENS, WHICH INCLUDED MORE KITTENS UNDER TWO WEEKS OLD THAN IN ANY PREVIOUS SEASON. INTAKE OF KITTENS LESS THAN TWO WEEKS OF AGE INCREASED BY 19% IN 2017, AND A TOTAL OF 55% OF KITTENS WERE UNDER FIVE WEEKS OLD, UNDERSCORING THE NURSERY'S IMPORTANCE IN PROVIDING CARE FOR THE HIGHEST-RISK POPULATION OF KITTENS. THE KITTEN NURSERY ACHIEVED AN 87% LIVE RELEASE RATE AND PLAYED A MAJOR ROLE IN HELPING TO DRAMATICALLY INCREASE CAT AND KITTEN LIVE RELEASE AT ANIMAL CARE CENTERS OF NYC (NYC ACC).

THE ASPCA'S GLORIA GURNEY CANINE ANNEX FOR RECOVERY & ENRICHMENT (CARE)

IS HOME TO DOGS RESCUED THROUGH OUR PARTNERSHIP WITH THE NYPD. MANY OF

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

THE 187 DOGS WHO ENTERED THE CARE PROGRAM IN 2017 WERE SHY, FEARFUL OR UNDER-SOCIALIZED. THE ASPCA PROVIDED CUSTOMIZED AND SPECIALIZED BEHAVIORAL REHABILITATION TO PREPARE THESE DOGS AND PUPPIES FOR LIFE IN NEW HOMES.

ASPCA COMMUNITY PARTNERS ARE CITIES OR REGIONS WHOSE MAJOR ANIMAL SHELTERING AGENCIES HAVE APPLIED AND BEEN ACCEPTED TO WORK INTENSIVELY WITH ASPCA EXPERTS TO SAVE AT-RISK ANIMALS IN THEIR AREAS. IN 2017, THE THREE ACTIVE ASPCA PARTNER COMMUNITIES WERE CHARLOTTE, NORTH CAROLINA; LOUISVILLE, KENTUCKY; AND ALBUQUERQUE, NEW MEXICO. THROUGH A VARIETY OF SUCCESSFUL, LIFESAVING PROGRAMS SUCH AS OFF-SITE AND JOINT ADOPTION EVENTS, FEE-WAIVED ADOPTIONS, ADOPTION AMBASSADOR PROGRAMS AND PET-RETENTION STRATEGIES, THESE COMMUNITIES IMPACTED A COLLECTIVE 35,904 ANIMALS IN 2017.

THE NORTHERN TIER SHELTER INITIATIVE (NTSI) IS AN ASPCA PROGRAM THAT PROVIDES CONSULTATIONS, TRAINING AND GRANTS TO ANIMAL WELFARE AGENCIES IN SEVEN MIDWESTERN AND NORTHWESTERN STATES. THE NTSI WORKS TO SAVE LIVES BY ADDRESSING THE IMMEDIATE NEEDS OF THE REGION'S VULNERABLE ANIMAL POPULATIONS, WHILE SIMULTANEOUSLY COLLECTING AND ANALYZING DATA TO ADDRESS LONG-TERM CHALLENGES. THE PROGRAM ASSISTED IN OPENING SIX NEW SPAY/NEUTER CLINICS AND EXPANDED SERVICES AT FIVE OTHER CLINICS. COMMUNITY SPAY/NEUTER SURGERIES HAVE INCREASED BY MORE THAN 12,000 EACH YEAR. THE NTSI PROVIDED 132 TRAININGS FOR SHELTER STAFF FROM 97 ORGANIZATIONS ACROSS ALL SEVEN TARGET STATES AND AWARDED 164 GRANTS TOTALING \$2.25 MILLION, IMPACTING OUTCOMES FOR 252,119 SHELTER ANIMALS IN ADDITION TO HELPING IMPROVE THE WELFARE OF ANIMALS IN ADJACENT COMMUNITIES.

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

THROUGH ITS ASPCA ANIMAL RELOCATION PROGRAM, IN 2017 THE ASPCA  
RELOCATED A TOTAL OF 28,444 ANIMALS TO REGIONS WHERE THEY'RE LIKELY TO  
GET ADOPTED MORE QUICKLY. THE PROGRAM EXPANDED OPERATIONS BEYOND THE  
EAST AND WEST COASTS IN 2017 TO INCLUDE A NEW MIDWEST ROUTE: THE  
WATERSHED ANIMAL FUND RESCUE RIDE (WAFRR). THE PROGRAM IS MADE POSSIBLE  
BY AND NAMED FOR THE WATERSHED ANIMAL FUND, AN OKLAHOMA-BASED NONPROFIT  
ORGANIZATION THAT FUNDS INNOVATIVE PROGRAMS AIMED AT IMPROVING THE  
LIVES OF COMPANION ANIMALS. IN 2017, THE WAFRR MOVED MORE THAN 5,715  
ANIMALS IN 190 TRIPS, EXCEEDING ITS FIRST-YEAR GOAL BY OVER 2,000  
ANIMALS.

ON THE WEST COAST, 243 FLIGHTS AND 591 GROUND TRANSPORTS MOVED 10,769  
ANIMALS FROM SHELTERS IN THE GREATER LOS ANGELES AREA TO SHELTERS  
FURTHER NORTH OR SOUTH.

ON THE EAST COAST, THE NANCY SILVERMAN RESCUE RIDE PROGRAM TRANSPORTED  
11,960 ANIMALS VIA 282 GROUND TRANSPORTS AND THREE FLIGHTS FROM  
SHELTERS THROUGHOUT THE SOUTHEAST.

SINCE ESTABLISHING A FORMAL GRANT-MAKING PROGRAM IN 2008, THE ASPCA HAS  
AWARDED \$117.7 MILLION TO SUPPORT U.S.-BASED NONPROFIT ORGANIZATIONS  
AND GOVERNMENT AGENCIES HELPING TO IMPROVE ANIMALS' LIVES. IN 2017  
ALONE, THE ASPCA AWARDED 719 GRANTS TOTALING JUST UNDER \$12 MILLION TO  
548 ORGANIZATIONS IN ALL 50 STATES, PUERTO RICO AND WASHINGTON, D.C.  
SOME OF OUR 2017 GRANT HIGHLIGHTS INCLUDE:

-MORE THAN \$1.6 MILLION TO OUR LOS ANGELES PARTNERS AS PART OF THE

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

ASPCA'S \$25 MILLION, MULTIYEAR COMMITMENT TO PROVIDE CRITICAL SERVICES  
TO L.A.'S ANIMALS;

- MORE THAN \$1.5 MILLION TOWARD EFFORTS BENEFITTING COMPANION ANIMAL  
VICTIMS OF CRUELTY AND IMPROVING FARM ANIMAL WELFARE NATIONWIDE;

- MORE THAN \$782,000 SUPPORTING THE PROTECTION OF AT-RISK EQUINES  
ACROSS THE U.S.;

- MORE THAN \$680,000 GRANTED VIA THE ASPCA NORTHERN TIER SHELTER  
INITIATIVE; AND

- NEARLY \$538,000 TO ASSIST ANIMAL VICTIMS OF DISASTERS, INCLUDING  
HURRICANES HARVEY, IRMA AND MARIA.  
EXPENSES \$ 41,758,904. INCLUDING GRANTS OF \$ 11,840,490. REVENUE \$ 0.

FORM 990, PART VI, SECTION A, LINE 1:

THE ASPCA HAS TWO CATEGORIES OF MEMBERS, "GOVERNING MEMBERS" AND "MEMBERS",  
BUT ONLY GOVERNING MEMBERS HAVE VOTING RIGHTS. THE ASPCA'S "GOVERNING  
MEMBERS" CONSIST OF THOSE PERSONS WHO ARE CURRENTLY SERVING AS MEMBERS OF  
THE BOARD OF DIRECTORS. ONLY GOVERNING MEMBERS HAVE THE RIGHT TO ELECT THE  
MEMBERS OF THE BOARD OF DIRECTORS UNDER THE ASPCA'S BY-LAWS. THE ASPCA'S  
"MEMBERS" CONSIST OF ONE OR MORE MEMBERSHIP CATEGORIES (E.G., CHAMPIONS,  
BENEFACTORS, SPONSORS, ASSOCIATES, FRIENDS, JUNIORS, ETC.) AS MAY BE  
ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. WITH THE EXCEPTION  
OF THOSE MEMBERS WHO ARE ALSO GOVERNING MEMBERS, NO "MEMBER" HAS THE RIGHT  
TO VOTE ON THE ELECTION OF DIRECTORS TO THE BOARD OF DIRECTORS. ANY

CONTRIBUTOR OVER AGE 18 WHO MAKES A DONATION OF \$25 OR MORE TO THE ASPCA IS

Name of the organization	THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS
Employer identification number	13-1623829

DEEMED A "MEMBER" .

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 WAS PREPARED BY A NATIONALLY-REOWNED ACCOUNTING FIRM IN CONJUNCTION WITH THE ORGANIZATION'S FINANCE DEPARTMENT. THE DRAFT OF THE FORM 990 IS REVIEWED BY SENIOR MANAGEMENT, LEGAL COUNSEL, AS WELL AS THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS.

A COPY IS CIRCULATED TO THE FULL BOARD OF DIRECTORS PRIOR TO ITS FILING WITH THE INTERNAL REVENUE SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:

ALL DIRECTORS, OFFICERS AND KEY EMPLOYEES COMPLETE A WRITTEN CONFLICT OF INTEREST QUESTIONNAIRE AND DECLARATION ANNUALLY. THE SECRETARY OF THE ASPCA PROVIDES COPIES OF ALL COMPLETED DISCLOSURE STATEMENTS TO THE CHAIR OF THE AUDIT COMMITTEE AND TO THE CHIEF LEGAL OFFICER. ANY POTENTIAL CONFLICTS ARE ADDED TO RECORDS MAINTAINED BY THE ASPCA'S LEGAL DEPARTMENT.

FORM 990, PART VI, SECTION B, LINE 15:

THE AUDIT COMMITTEE OF THE ASPCA BOARD IS THE AUTHORIZED COMPENSATION-SETTING BODY THAT REVIEWS AND APPROVES THE COMPENSATION OF THE "DISQUALIFIED PERSONS" OF THE ASPCA. THE ASPCA ENGAGES AN INDEPENDENT COMPENSATION EXPERT TO CONDUCT A COMPENSATION STUDY TO ASSESS THE REASONABLENESS OF EACH "DISQUALIFIED PERSON'S" TOTAL COMPENSATION IN ACCORDANCE WITH THE REBUTTABLE PRESUMPTION "SAFE HARBOR" PROVISIONS OF SECTION 4958 OF THE INTERNAL REVENUE CODE. THE COMPENSATION EXPERT ASSESSES THE REASONABLENESS OF EACH PERSON'S TOTAL COMPENSATION BASED ON

COMPARABILITY DATA FOR THE POSITIONS UNDER REVIEW AND PROVIDES SUCH DATA

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

AND ANALYSIS TO THE AUDIT COMMITTEE FOR ITS REVIEW. THE COMPARABILITY DATA IS DRAWN FROM INDUSTRY SURVEYS AND DATA SOURCES FOR COMPARABLE POSITIONS IN ORGANIZATIONS OF SIMILAR SCOPE, OPERATING BUDGET, AND TYPE.

WITH RESPECT TO "DISQUALIFIED PERSONS" OTHER THAN THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE PRESIDENT & CEO'S ANALYSIS OF EACH INDIVIDUAL'S PERFORMANCE, DELIBERATES, AND VOTES ON WHETHER TO APPROVE THE TOTAL COMPENSATION RECOMMENDATION PROPOSED BY THE PRESIDENT & CEO. THE PERSON WHOSE COMPENSATION IS UNDER REVIEW IS NOT PRESENT AND DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT SUCH PERSON MAY ANSWER QUESTIONS THAT WILL HELP THE COMMITTEE IN ITS DELIBERATIONS.

WITH RESPECT TO THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE EXECUTIVE COMMITTEE'S ANALYSIS OF THE PRESIDENT & CEO'S PERFORMANCE, DELIBERATES, AND VOTES ON A RECOMMENDATION ON THE PRESIDENT & CEO'S TOTAL COMPENSATION (INCLUDING PERFORMANCE BONUS), WHICH RECOMMENDATION IT PROVIDES TO THE FULL BOARD OF DIRECTORS. THE FULL BOARD OF DIRECTORS ASSESSES THE AUDIT COMMITTEE'S RECOMMENDATIONS AND VOTES WHETHER TO APPROVE THE TOTAL COMPENSATION (INCLUDING PERFORMANCE BONUS) FOR THE PRESIDENT & CEO. THE PRESIDENT & CEO IS NOT PRESENT DURING EITHER THE AUDIT COMMITTEE'S REVIEW OF HIS COMPENSATION NOR THE FULL BOARD'S APPROVAL OF THE COMPENSATION AND DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT HE MAY ANSWER QUESTIONS THAT WILL HELP THE COMMITTEE OR THE FULL BOARD OF DIRECTORS IN THEIR DELIBERATIONS.

FOR ALL "DISQUALIFIED PERSONS," THE AUDIT COMMITTEE DOCUMENTS THE BASIS FOR

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Employer identification number  
13-1623829

ITS DETERMINATIONS CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY  
DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATIONS WERE MADE. THE  
MINUTES INCLUDE THE FOLLOWING INFORMATION: 1. THE TERMS OF THE APPROVED  
COMPENSATION AND THE DATE APPROVED; 2. THE NAMES OF MEMBERS OF  
THE AUDIT COMMITTEE WHO WERE PRESENT DURING DISCUSSION OF THE COMPENSATION  
AND THOSE WHO VOTED ON IT; 3. THE COMPARABILITY DATA THAT WAS RELIED ON BY  
THE AUDIT COMMITTEE AND HOW SUCH DATA WAS OBTAINED; AND 4. ANY ACTIONS  
(SUCH AS RECUSAL) TAKEN BY A MEMBER OF THE AUDIT COMMITTEE HAVING A  
CONFLICT OF INTEREST. THE AUDIT COMMITTEE THEN APPROVES THE  
MINUTES WITHIN A REASONABLE PERIOD OF TIME AFTER ITS PREPARATION.  
SIMILARLY, THE BOARD DOCUMENTS THE BASIS FOR ITS DETERMINATION OF THE  
PRESIDENT & CEO'S COMPENSATION CONCURRENTLY WITH THE APPROVAL OF THE  
COMPENSATION BY DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATION  
WAS MADE.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:  
AL,AR,CA,CT,FL,GA,HI,IL,KS,KY,LA,MD,MA,MI,MN,MS,NH,NJ,NM,NY,OK,OR,PA,SC,TN  
UT,VA,WV,WI,RI

FORM 990, PART VI, SECTION C, LINE 19:  
AUDITED FINANCIAL STATEMENTS, CONFLICT OF INTEREST POLICY, CERTIFICATE OF  
INCORPORATION AND BY-LAWS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST AND  
THROUGH CHARITABLE REGISTRATION REQUIREMENTS IN OVER 40 STATES. THE ASPCA  
MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE  
OF BUSINESS AND PLACING A COPY ON ITS WEBSITE. THE FORM 990 IS ALSO  
PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG.

PART VII, SECTION A, COLUMN (D) - MATTHEW BERSHADKER

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

TOTAL COMPENSATION OF THE PRESIDENT OF THE ASPCA INCLUDES MERIT

ADJUSTMENTS AND PERFORMANCE RELATED BONUSES PAID IN CALENDAR YEAR 2017,

BUT APPLIES TO PERFORMANCE FROM 2015 THROUGH 2017 DUE TO CHANGE IN

REVIEW DATES.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS 2,103,897.

PENSION-RELATED ADJUSTMENT 501,102.

TOTAL TO FORM 990, PART XI, LINE 9 2,604,999.

FORM 990, PART IV, LINE 2

THE ASPCA IS NOT REQUIRED TO COMPLETE SCHEDULE B FOR THE PERIOD ENDED

12/31/2017, IN ACCORDANCE WITH THE FORM 990 AND 990 SCHEDULE B

INSTRUCTIONS, BECAUSE NO ONE CONTRIBUTOR DONATED, IN THE AGGREGATE, AN

AMOUNT GREATER THAN 2% OF THE TOTAL CONTRIBUTIONS RECEIVED BY THE

ORGANIZATION DURING THE YEAR.





**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
		Yes	No
a	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	X	
b	Gift, grant, or capital contribution to related organization(s)	X	
c	Gift, grant, or capital contribution from related organization(s)	X	
d	Loans or loan guarantees to or for related organization(s)	X	
e	Loans or loan guarantees by related organization(s)	X	
f	Dividends from related organization(s)	X	
g	Sale of assets to related organization(s)	X	
h	Purchase of assets from related organization(s)	X	
i	Exchange of assets with related organization(s)	X	
j	Lease of facilities, equipment, or other assets to related organization(s)	X	
k	Lease of facilities, equipment, or other assets from related organization(s)	X	
l	Performance of services or membership or fundraising solicitations for related organization(s)	X	
m	Performance of services or membership or fundraising solicitations by related organization(s)	X	
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	X	
o	Sharing of paid employees with related organization(s)	X	
p	Reimbursement paid to related organization(s) for expenses		X
q	Reimbursement paid by related organization(s) for expenses		X
r	Other transfer of cash or property to related organization(s)		X
s	Other transfer of cash or property from related organization(s)		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
ASPCA VETERINARY SERVICES OF NORTH CAROLINA, PC	L	221,492.	
ASPCA VETERINARY SERVICES OF NORTH CAROLINA, PC	O	328,767.	
(3)			
(4)			
(5)			
(6)			





**Exempt Organization Business Income Tax Return**  
(and proxy tax under section 6033(e))

**2017**

For calendar year 2017 or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

▶ Go to [www.irs.gov/Form990T](http://www.irs.gov/Form990T) for instructions and the latest information.

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for 501(c)(3) Organizations Only

Department of the Treasury  
Internal Revenue Service

**A**  Check box if address changed

**B** Exempt under section  501(c)(3) or  408(e)  220(e)  408A  530(a)  529(a)

Name of organization (  Check box if name changed and see instructions.)  
**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

Number, street, and room or suite no. If a P.O. box, see instructions.  
**424 EAST 92ND STREET**

City or town, state or province, country, and ZIP or foreign postal code  
**NEW YORK, NY 10128-6804**

**D** Employer identification number (Instructions.)  
**13-1623829**

**E** Unrelated business activity codes (See instructions.)  
**525990**

**C** Book value of all assets at end of year  
**307,475,683.**

**F** Group exemption number (See instructions.) ▶

**G** Check organization type  501(c) corporation  501(c) trust  401(a) trust  Other trust

**H** Describe the organization's primary unrelated business activity. ▶ **INVESTMENTS**

**I** During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidary controlled group? if "Yes," enter the name and identifying number of the parent corporation. ▶

**J** The books are in care of ▶ **PETER ACCINNO** Telephone number ▶ **212-876-7700**

<b>Part I Unrelated Trade or Business Income</b>		(A) Income	(B) Expenses	(C) Net
<b>1 a</b>	Gross receipts or sales			
<b>b</b>	Less returns and allowances			
<b>2</b>	Cost of goods sold (Schedule A, line 7)			
<b>3</b>	Gross profit. Subtract line 2 from line 1c			
<b>4 a</b>	Capital gain net income (attach Schedule D)			
<b>b</b>	Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)	<b>773.</b>		<b>773.</b>
<b>c</b>	Capital loss deduction for trusts			
<b>5</b>	Income (loss) from partnerships and S corporations (attach statement)			
<b>6</b>	Rent income (Schedule C)	<b>144,491.</b>		<b>144,491.</b>
<b>7</b>	Unrelated debt-financed income (Schedule E)			
<b>8</b>	Interest, annuities, royalties, and rents from controlled organizations (Sch. F)			
<b>9</b>	Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)			
<b>10</b>	Exploited exempt activity income (Schedule I)			
<b>11</b>	Advertising income (Schedule J)			
<b>12</b>	Other income (See instructions; attach schedule)			
<b>13</b>	<b>Total.</b> Combine lines 3 through 12	<b>145,264.</b>		<b>145,264.</b>

<b>Part II Deductions Not Taken Elsewhere</b> (See instructions for limitations on deductions.) (Except for contributions, deductions must be directly connected with the unrelated business income.)		21	22a
<b>14</b>	Compensation of officers, directors, and trustees (Schedule K)		
<b>15</b>	Salaries and wages		
<b>16</b>	Repairs and maintenance		
<b>17</b>	Bad debts		
<b>18</b>	Interest (attach schedule)		
<b>19</b>	Taxes and licenses		
<b>20</b>	Charitable contributions (See instructions for limitation rules)		<b>250.</b>
<b>21</b>	Depreciation (attach Form 4562)		
<b>22</b>	Less depreciation claimed on Schedule A and elsewhere on return		
<b>23</b>	Depletion		
<b>24</b>	Contributions to deferred compensation plans		
<b>25</b>	Employee benefit programs		
<b>26</b>	Excess exempt expenses (Schedule I)		
<b>27</b>	Excess readership costs (Schedule J)		
<b>28</b>	Other deductions (attach schedule)		
<b>29</b>	<b>Total deductions.</b> Add lines 14 through 28		
<b>30</b>	Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13		<b>11,596.</b>
<b>31</b>	Net operating loss deduction (limited to the amount on line 30)		<b>11,846.</b>
<b>32</b>	Unrelated business taxable income before specific deduction. Subtract line 31 from line 30		<b>133,418.</b>
<b>33</b>	Specific deduction (Generally \$1,000, but see line 33 instructions for exceptions)		<b>133,418.</b>
<b>34</b>	Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller of zero or line 32		<b>1,000.</b>
			<b>0.</b>

**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

13-1623829

**Part III Tax Computation**

**35 Organizations Taxable as Corporations.** See instructions for tax computation.  
Controlled group members (sections 1561 and 1563) check here  See instructions and:  
**a** Enter your share of the \$50,000, \$25,000, and \$9,925,000 taxable income brackets (in that order):  
(1) \$ \_\_\_\_\_ (2) \$ \_\_\_\_\_ (3) \$ \_\_\_\_\_  
**b** Enter organization's share of: (1) Additional 5% tax (not more than \$11,750) \$ \_\_\_\_\_  
(2) Additional 3% tax (not more than \$100,000) \$ \_\_\_\_\_  
**c** Income tax on the amount on line 34 **35c** 0.

**36 Trusts Taxable at Trust Rates.** See instructions for tax computation. Income tax on the amount on line 34 from:  
 Tax rate schedule or  Schedule D (Form 1041) **36** \_\_\_\_\_  
**37** Proxy tax. See instructions **37** \_\_\_\_\_  
**38** Alternative minimum tax **38** \_\_\_\_\_  
**39** Tax on Non-Compliant Facility Income. See instructions **39** \_\_\_\_\_  
**40** Total. Add lines 37, 38 and 39 to line 35c or 36, whichever applies **40** 0.

**Part IV Tax and Payments**

**41a** Foreign tax credit (corporations attach Form 1118; trusts attach Form 1116) **41a** \_\_\_\_\_  
**b** Other credits (see instructions) **41b** \_\_\_\_\_  
**c** General business credit. Attach Form 3800 **41c** \_\_\_\_\_  
**d** Credit for prior year minimum tax (attach Form 8801 or 8827) **41d** \_\_\_\_\_  
**e** Total credits. Add lines 41a through 41d **41e** 0.  
**42** Subtract line 41e from line 40 **42** 0.  
**43** Other taxes. Check if from:  Form 4255  Form 8611  Form 8697  Form 8866  Other (attach schedule) **43** 0.  
**44** Total tax. Add lines 42 and 43 **44** 0.  
**45 a** Payments: A 2016 overpayment credited to 2017 \_\_\_\_\_  
**b** 2017 estimated tax payments \_\_\_\_\_  
**c** Tax deposited with Form 8868 \_\_\_\_\_  
**d** Foreign organizations: Tax paid or withheld at source (see instructions) \_\_\_\_\_  
**e** Backup withholding (see instructions) \_\_\_\_\_  
**f** Credit for small employer health insurance premiums (Attach Form 8941) \_\_\_\_\_  
**g** Other credits and payments:  Form 2439  Other \_\_\_\_\_  
 Form 4136 \_\_\_\_\_ Total **45g** \_\_\_\_\_  
**46** Total payments. Add lines 45a through 45g **46** \_\_\_\_\_  
**47** Estimated tax penalty (see instructions). Check if Form 2220 is attached  **47** \_\_\_\_\_  
**48** Tax due. If line 46 is less than the total of lines 44 and 47, enter amount owed **48** 0.  
**49** Overpayment. If line 46 is larger than the total of lines 44 and 47, enter amount overpaid **49** 0.  
**50** Enter the amount of line 49 you want credited to 2018 estimated tax **50** 0.  
**Refunded** \_\_\_\_\_

**Part V Statements Regarding Certain Activities and Other Information (see instructions)**

**51** At any time during the 2017 calendar year, did the organization have an interest in or a signature or other authority over a financial account (bank, securities, or other) in a foreign country? If YES, the organization may have to file FinCEN Form 114, Report of Foreign Bank and Financial Accounts. If YES, enter the name of the foreign country here **Yes**  **No**

**52** During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If YES, see instructions for other forms the organization may have to file. **Yes**  **No**

**53** Enter the amount of tax-exempt interest received or accrued during the tax year **\$** \_\_\_\_\_

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer <i>Julius C. Green</i>	Date 10/4/18	Title INTERIM CFO
Print/type preparer's name JULIUS C. GREEN, CPA	Preparer's signature <i>Julius C. Green</i>	Date 10/1/18
Firm's name BAKER TILLY VIKCHOW KRAUSE, LLP	Firm's EIN 39-0859910	PTIN P00350393
Firm's address 1650 MARKET STREET, SUITE 4500 PHILADELPHIA, PA 19103-7341	Phone no. 215.972.0701	

May the IRS discuss this return with the preparer shown below (see instructions)?  Yes  No

Form 990-T (2017) **THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

13-1623829

**Schedule A - Cost of Goods Sold.** Enter method of inventory valuation **N/A**

1	Inventory at beginning of year	6	Inventory at end of year	7	Yes	No
2	Purchases		Cost of goods sold. Subtract line 6 from line 5. Enter here and in Part I, line 2			
3	Cost of labor					
4a	Additional section 263A costs (attach schedule)					
4b	Other costs (attach schedule)					
5	Total. Add lines 1 through 4b		Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization?			

**Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property)** (see instructions)

1. Description of property	2. Rent received or accrued		3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule)
	(a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%)	(b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income)	
(1)			
(2)			
(3)			
(4)			
Total	0.	0.	0.

(c) **Total income.** Add totals of columns 2(a) and 2(b). Enter here and on page 1, Part I, line 6, column (A) **0.**

**Schedule E - Unrelated Debt-Financed Income** (see instructions)

1. Description of debt-financed property	2. Gross income from or allocable to debt-financed property	3. Deductions directly connected with or allocable to debt-financed property	
		(a) Straight line depreciation (attach schedule)	(b) Other deductions (attach schedule)
(1)			
(2)			
(3)			
(4)			
4. Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	6. Column 4 divided by column 5	7. Gross income reportable (column 2 x column 6)	8. Allocable deductions (column 6 x total of columns 3(a) and 3(b))
(1)	%		
(2)	%		
(3)	%		
(4)	%		

**Totals** Enter here and on page 1, Part I, line 7, column (A) **0.**  
 Enter here and on page 1, Part I, line 7, column (B) **0.**  
**Total dividends-received deductions included in column 8** **0.**

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

**Schedule F - Interest, Annuities, Royalties, and Rents From Controlled Organizations** (see instructions)

1. Name of controlled organization		2. Employer identification number		3. Net unrelated income (loss) (see instructions)		4. Total of specified payments made		5. Part of column 4 that is included in the controlling organization's gross income		6. Deductions directly connected with income in column 5	
(1)											
(2)											
(3)											
(4)											

**Nonexempt Controlled Organizations**

7. Taxable income	8. Net unrelated income (loss) (see instructions)	9. Total of specified payments made	10. Part of column 9 that is included in the controlling organization's gross income	11. Deductions directly connected with income in column 10
(1)				
(2)				
(3)				
(4)				

**Totals** ..... **0.**      **0.**      **0.**      **0.**      **0.**

Add columns 5 and 10. Enter here and on page 1, Part I, line 8, column (B).      Add columns 6 and 11. Enter here and on page 1, Part I, line 8, column (B).

**Schedule G - Investment Income of a Section 501(c)(7), (9), or (17) Organization** (see instructions)

1. Description of income	2. Amount of income	3. Deductions directly connected (attach schedule)	4. Set-asides (attach schedule)	5. Total deductions and set-asides (col. 3 plus col. 4)
(1)				
(2)				
(3)				
(4)				

**Totals** ..... **0.**      **0.**      **0.**      **0.**      **0.**

Enter here and on page 1, Part I, line 9, column (A).      Enter here and on page 1, Part I, line 9, column (B).

**Schedule I - Exploited Exempt Activity Income, Other Than Advertising Income** (see instructions)

1. Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expenses directly connected with production of unrelated business income	4. Net income (loss) from unrelated trade or business (column 2 minus column 3). If a gain, compute cols. 5 through 7.	5. Gross income from activity that is not unrelated business income	6. Expenses attributable to column 5	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						

**Totals** ..... **0.**      **0.**      **0.**      **0.**      **0.**      **0.**      **0.**

Enter here and on page 1, Part I, line 10, col. (A).      Enter here and on page 1, Part I, line 10, col. (B).      Enter here and on page 1, Part II, line 26.

**Schedule J - Advertising Income** (see instructions)  
**Part I - Income From Periodicals Reported on a Consolidated Basis**

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						

**Totals (carry to Part II, line (5))** ..... **0.**      **0.**      **0.**      **0.**      **0.**      **0.**      **0.**

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

**Part II** Income From Periodicals Reported on a Separate Basis (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis.)

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
<b>Totals from Part I</b>	<b>0.</b>	<b>0.</b>				<b>0.</b>
<b>Totals, Part II (lines 1-5)</b>	<b>0.</b>	<b>0.</b>				<b>0.</b>

**Schedule K - Compensation of Officers, Directors, and Trustees** (see instructions)

1. Name	2. Title	3. Percent of time devoted to business	4. Compensation attributable to unrelated business
(1)		%	
(2)		%	
(3)		%	
(4)		%	
<b>Total. Enter here and on page 1, Part II, line 14</b>		<b>▲</b>	<b>0.</b>

THE AMERICAN SOCIETY FOR THE PREVENTION

13-1623829

FORM 990-T

OTHER DEDUCTIONS

STATEMENT 1

DESCRIPTION

AMOUNT

TAX RETURN PREPARATION FEES 9,000.  
 INVESTMENT MANAGEMENT FEES 2,596.

TOTAL TO FORM 990-T, PAGE 1, LINE 28

11,596.

FORM 990-T

NET OPERATING LOSS DEDUCTION

STATEMENT 2

TAX YEAR	LOSS SUSTAINED	LOSS PREVIOUSLY APPLIED	LOSS REMAINING	AVAILABLE THIS YEAR
12/31/98	65,904.	22,526.	43,378.	43,378.
12/31/99	190,746.	0.	190,746.	190,746.
12/31/00	111,615.	0.	111,615.	111,615.
12/31/01	3,412.	0.	3,412.	3,412.
12/31/02	33,793.	0.	33,793.	33,793.
12/31/03	33,894.	0.	33,894.	33,894.
12/31/04	24,123.	0.	24,123.	24,123.
12/31/05	0.	0.	0.	0.
12/31/06	0.	0.	0.	0.
12/31/07	0.	0.	0.	0.
12/31/08	0.	0.	0.	0.
12/31/09	3,080.	0.	3,080.	3,080.
12/31/10	0.	0.	0.	0.
12/31/11	9,597.	0.	9,597.	9,597.
12/31/12	18,648.	0.	18,648.	18,648.
12/31/13	5,860.	0.	5,860.	5,860.
12/31/14	42,510.	0.	42,510.	42,510.
12/31/15	144,157.	0.	144,157.	144,157.

NOL CARRYOVER AVAILABLE THIS YEAR

664,813.

664,813.

THE AMERICAN SOCIETY FOR THE PREVENTION

13-1623829

FORM 990-T INCOME (LOSS) FROM PARTNERSHIPS STATEMENT 3

PARTNERSHIP NAME	GROSS INCOME	DEDUCTIONS	NET INCOME OR (LOSS)
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND III (US)	-77.	2.	-79.
EIG ENERGY FUND XVI, LP	64,419.	67,117.	-2,698.
VENTURE INVESTMENT ASSOCIATES VI, LP	1,568.	3,603.	-2,035.
PARK SQUARE	149,303.	0.	149,303.
TOTAL TO FORM 990-T, PAGE 1, LINE 5	215,213.	70,722.	144,491.

**SCHEDULE D**  
**(Form 1120)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**  
▶ Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T.  
▶ Go to [www.irs.gov/Form1120](http://www.irs.gov/Form1120) for instructions and the latest information.

OMB No. 1545-0123

**2017**

Name

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

Employer identification number

**13-1623829**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below.  
This form may be easier to complete if you round off cents to whole dollars.

**1a** Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
<b>1b</b> Totals for all transactions reported on Form(s) 8949 with Box A checked		<b>3.</b>		<b>3.</b>
<b>2</b> Totals for all transactions reported on Form(s) 8949 with Box B checked				
<b>3</b> Totals for all transactions reported on Form(s) 8949 with Box C checked	<b>1,177.</b>	<b>407.</b>		<b>770.</b>
<b>4</b> Short-term capital gain from installment sales from Form 6252, line 26 or 37			<b>4</b>	
<b>5</b> Short-term capital gain or (loss) from like-kind exchanges from Form 8824			<b>5</b>	
<b>6</b> Unused capital loss carryover (attach computation)			<b>6</b>	
<b>7</b> Net short-term capital gain or (loss). Combine lines 1a through 6 in column h			<b>7</b>	<b>773.</b>

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below.  
This form may be easier to complete if you round off cents to whole dollars.

**8a** Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
<b>8b</b> Totals for all transactions reported on Form(s) 8949 with Box D checked				
<b>9</b> Totals for all transactions reported on Form(s) 8949 with Box E checked				
<b>10</b> Totals for all transactions reported on Form(s) 8949 with Box F checked				
<b>11</b> Enter gain from Form 4797, line 7 or 9			<b>11</b>	
<b>12</b> Long-term capital gain from installment sales from Form 6252, line 26 or 37			<b>12</b>	
<b>13</b> Long-term capital gain or (loss) from like-kind exchanges from Form 8824			<b>13</b>	
<b>14</b> Capital gain distributions			<b>14</b>	
<b>15</b> Net long-term capital gain or (loss). Combine lines 8a through 14 in column h			<b>15</b>	

**Part III Summary of Parts I and II**

<b>16</b> Enter excess of net short-term capital gain (line 7) over net long-term capital loss (line 15)		<b>773.</b>
<b>17</b> Net capital gain. Enter excess of net long-term capital gain (line 15) over net short-term capital loss (line 7)		
<b>18</b> Add lines 16 and 17. Enter here and on Form 1120, page 1, line 8, or the proper line on other returns. If the corporation has qualified timber gain, also complete Part IV		<b>773.</b>

Note: If losses exceed gains, see Capital losses in the instructions.

JWA

For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Schedule D (Form 1120) 2017

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

13-1623829

Part IV

Alternative Tax for Corporations with Qualified Timber Gain. Complete Part IV only if the corporation has qualified timber gain under section 1201(b). Skip this part if you are filing Form 1120-RIC. See instructions.

19	Enter qualified timber gain (as defined in section 1201(b)). Skip this part if you are filing Form 1120-RIC. See instructions.	
20	Enter taxable income from Form 1120, page 1, line 30, or the applicable line of your tax return	
21	Enter the smallest of: (a) the amount on line 19; (b) the amount on line 20; or (c) the amount on Part III, line 17	
22	Multiply line 21 by 23.8% (0.238)	
23	Subtract line 17 from line 20. If zero or less, enter -0-	
24	Enter the tax on line 23, figured using the Tax Rate Schedule (or applicable tax rate) appropriate for the return with which Schedule D (Form 1120) is being filed	
25	Add lines 21 and 23	
26	Subtract line 25 from line 20. If zero or less, enter -0-	
27	Multiply line 26 by 35% (0.35)	
28	Add lines 22, 24, and 27	
29	Enter the tax on line 20, figured using the Tax Rate Schedule (or applicable tax rate) appropriate for the return with which Schedule D (Form 1120) is being filed	
30	Enter the smaller of line 28 or line 29. Also enter this amount on Form 1120, Schedule J, line 2, or the applicable line of your tax return	



