

For use of organizations applying for exemption under section 501(a) and described in section 501(c)(3) of the Internal Revenue Code, which are organized and operated (or will operate) exclusively for one or more of the following purposes (check purpose(s));

- Religious Charitable Scientific Testing for Public Safety
 Educational For the prevention of cruelty to children or animals Literary

Every organization that claims to be exempt must furnish the information and data specified in duplicate. If any organization fails to submit the information and data required, this application will not be considered on its merits and the organization will be notified accordingly.

This application shall be open to public inspection in accordance with section 6104(a)(1) of the Internal Revenue Code. See separate instructions for Form 1023 to properly answer the questions below.

1a. Full name of organization
THE SOUTHWEST RESEARCH AND INFORMATION CENTER

b. Employer identification number
application attached

2. Complete address (number, street, city or town, State and Postal ZIP code)
P.O. Box 4524, Albuquerque, New Mexico 87106

3a. Is the organization incorporated?
 Yes No

b. If "Yes," in which State and under which law (General corporation, not for profit, membership, educational, eleemosynary, etc.)? Cite statutory provisions.
New Mexico Non Profit Corporation Law

4a. If not incorporated, what is form of organization?
N/A

b. Date incorporated or organized
March 23, 1971

c. Month and day on which the annual accounting period ends
not determined

5a. Has organization filed Federal income tax return(s)?
 Yes No

b. If "Yes," form number of return filed and Internal Revenue District where filed.
N/A

c. Year(s) filed
N/A

6. After July 1, 1950, did the creator of your organization (if a trust), or a contributor to your organization, or a brother or sister (whole or half blood), spouse, ancestor, or lineal descendant of such creator or contributor, or a corporation controlled directly or indirectly by such creator or contributor, enter into any of the transactions (or activities) enumerated below? NOTE: If you have any knowledge or contemplate that you will be a party to any of the transactions (or activities) enumerated in 6a through 6f, check "planned" in the applicable block(s) and see instructions.

	Yes	No	Planned		Yes	No	Planned
a. Borrow any part of your income or corpus?		<input checked="" type="checkbox"/>		d. Purchase any securities or other property from you?		<input checked="" type="checkbox"/>	
b. Receive any compensation from you?		<input checked="" type="checkbox"/>		e. Sell any securities or other property to you?		<input checked="" type="checkbox"/>	
c. Have any part of your services made available to him?		<input checked="" type="checkbox"/>		f. Receive any of your income or corpus in any other transaction?		<input checked="" type="checkbox"/>	

7. Have you issued or do you plan to issue membership, stock, or other certificates evidencing voting power in the organization?
X

8a. Are you the outgrowth or continuation of any form of predecessor(s)?
X

b. Do you have capital stock issued and outstanding?
X

c. Have you made or do you plan to make any distribution of your property to shareholders or members?
X

d. Did you receive or do you expect to receive 10 percent or more of your assets from any organization, group of affiliated organizations (affiliated through stockholding, common ownership, or otherwise), any individual, or members of a family group (brother or sister whether whole or half blood, spouse, ancestor, or lineal descendant)?
X

e. Does any part or will any part of your receipts represent payment for services of any character rendered or to be rendered by you?
X

f. Are you now, have you ever been, or do you plan to be engaged in carrying on propaganda, or otherwise advocating or opposing pending or proposed legislation?
X

g. Do you participate or plan to participate in or intervene in (including the publishing or distributing of statements) any political campaign on behalf of or in opposition to any candidate for public office?
X

h. Have you made or do you plan to make any payments to members or shareholders for services rendered or to be rendered?
X

i. Does any part or do you plan to have any part of your net income inure to the benefit of any private shareholder or individual?
X

j. Are you now or are you planning to be affiliated in any manner with any organization(s)?
For description of limited affiliation see answer to 10(h)
X

k. Do you hold or plan to hold 10 percent or more of any class of stock or 10 percent or more of the total combined voting power of stock in any corporation?
X

9. Has any State or any court (including a Court of Probate, Surrogate's Court, etc.) ever declared whether you were or were not organized and operated for charitable, etc., purposes? Yes No. If "Yes," attach copies in duplicate of pertinent administrative or judicial decisions.

10. You must attach copies in duplicate of the following:

- a. If incorporated, a copy of your articles of incorporation, or if not incorporated, a copy of your constitution, articles of association, declaration of trust, or other document whereby you were created setting forth your aims and purposes, a copy of all amendments thereto, and any changes presently proposed. **Attached--no changes proposed.**

- b. A copy of your bylaws or other similar code of regulations, all amendments thereto, and any changes presently proposed. **Attached--no changes proposed.**

- c. A complete statement of assets and liabilities as of the end of each annual accounting period (or as of the date of the filing of this application, if you were in existence for less than a year). **See attached schedule.**

- d. A statement of receipts and expenditures for each annual accounting period of operation (or for the period for which you were in existence, if less than a year). **See attached schedule.**

Omitted--see Articles and By-laws attached.

- e. A statement which clearly indicates what State statutes or court decisions govern the distribution of assets upon dissolution. (This statement may be omitted if your charter, certificate, or other instrument of organization makes provision for such distribution.)

- f. A brief statement of the specific purposes for which you were formed. (Do not quote from or make reference to your articles of incorporation, constitution, articles of association, declaration of trust, or other document whereby you were created for this question.) **See attached schedule.**

- g. A statement explaining in detail each fund-raising activity and each business enterprise you have engaged in or plan to engage in, accompanied by copies of all agreements, if any, with other parties for the conduct of each fund-raising activity or business enterprise. **See attached schedule.**

- h. A statement which describes in detail the nature of each of your activities which you have checked on page 1, activities which you sponsor, and proposed activities. **See attached schedule.**

- i. A statement which explains fully any specific activities that you have engaged in or sponsored and which have been discontinued. Give dates of commencement and termination and the reasons for discontinuance. **See attached schedule.**

- j. A statement which describes the purposes, other than in payment for services rendered or supplies furnished, for which your funds are expended or will be expended. **See attached schedule.**

- k. A schedule indicating the name and position of each officer, director, trustee, etc., of the organization and the relationship, if any, by blood, marriage, adoption, or employment, of each such person to the creator of the organization (if a trust), to any person who has made a substantial contribution to the organization, or to a corporation controlled (by ownership of 50 percent or more of voting stock or 50 percent or more of value of all stock), directly or indirectly, by such creator or contributor. The schedule shall also indicate the time devoted to position and compensation (including salary and expense account allowance), if any, of each officer, director, trustee, etc., of the organization. **See attached schedule.**

None.

- l. A copy of each lease, if any, in which you are the lessee or lessor of property (real, personal, gas, oil, or mineral) or in which you own an interest under such lease, together with copies of all agreements with other parties for development of the property.

SIGNATURE AND VERIFICATION

Under penalties of perjury, I declare that I have examined this application, including accompanying statements, and to the best of my knowledge and belief it is true, correct, and complete.

Date

15/ Katherine Montague

Signature of officer

President

Title

SCHEDULE PURSUANT TO
ITEM 10 OF THE FORM 1023
APPLICATION OF
"THE SOUTHWEST RESEARCH AND INFORMATION CENTER"
FOR EXEMPTION

10.c. A statement of assets and liabilities, as of the date of filing this application, which is also the same as the period the Corporation has been in existence, is:

<u>Assets</u>	<u>Liabilities</u>
\$1000	\$1000

The Corporation has received a loan, now held in a bank account by the Corporation, to be repaid from the proceeds of the Wookbook (see 10.h below) after it is published.

10.d. A statement of receipts and expenditures, as of the date of filing this application, which is also the same as the period the Corporation has been in existence, is:

<u>Receipts</u>	<u>Expenditures</u>
\$1000	0

None of the money received as a loan (see 10.c above) has yet been expended.

10.f. The primary purpose for which the Corporation was formed is to acquire and make available to the public verifiable, reliable scientific information about the complex interfaces of technology and the human environment and to promote citizen interest in environmental problems. That is, the Center's central mission will be to collect, synthesize, and disseminate bibliographies and other forms of information for the use and benefit of the general public.

10.g. The Corporation has not engaged in and does not intend to engage in any business enterprise. The Corporation will solicit contributions from interested persons and philanthropic organizations. Any royalties received from the publication of informational materials will be used to offset actual operating costs and expenses and any excess will be used solely to sustain further research undertaken by the Center for the public benefit.

10.h. The following projects comprise the ongoing and proposed activities sponsored by the Southwest Research and Information Center:

1. January Inventory Project.

The Center has collected information about public interest topics in the State of New Mexico, through its own research. A 300-page mimeographed book, soon to be made available to the general public at no net profit, will be the result. Copyrights will be held by the Center. The Center plans to present the book at a press conference and otherwise make known its existence, in order to reach and educate as wide a group as possible. Included are answers to a spectrum of questions concerning the state's libraries, charitable and church groups, schools, state and local government agencies, history, federal agencies operating in New Mexico, transportation, natural resources, industries, agriculture, labor (unions, manpower training), utilities, media, racial and income distribution, and population.

2. Technical library.

The Center maintains an extensive file of newspaper clippings, folders, and brochures; over a thousand subjects are indexed. The library contains the New York Times and Washington Post, as well as Albuquerque newspapers. Researchers have open access to the library; about fifty members of the public have made use of these facilities thus far, and others write to the Center for information (about five requests per week). Primarily the files focus on environmental and racial problems in the Southwest. In addition, Center personnel have demonstrated to three other groups, at their request, how to set up similar libraries.

3. Panel discussions.

Center personnel have participated in free public panel discussions of an informational nature, at the University of New Mexico and elsewhere, principally on environmental issues. One was a teach-in for Earth Day at the University, April 23, 1971, entitled "Energy Sources as Pollutants." Another was a series of three classes for students at Los Alamos High School, concerning New Mexico's mercury pollution and student involvement in environmental problems, May 14, 1971. In the future, Center workers will again speak at educational panels, discussions, and lectures on subjects within their expertise.

4. Coal tests.

The Center tested ninety-five samples of coal from the Four Corners Power Plant for mercury content. Results of these tests are available

in mimeographed report form to the interested public. Center researchers also presented their findings at a federal congressional fact-finding hearing held in Albuquerque, May 24, 1971. N.B.: That information-gathering hearing of the Senate Interior and Insular Affairs Committee was not conducted in connection with any specific legislation, but was an investigation of the environmental impact of the power plant; the Center took no position with regard to any pending or proposed bills of any kind; and the Center was merely asked to contribute what it had scientifically determined.

5. Cotton project.

The Center has started the early stages of investigation into the cotton-producing sector of Southwestern and Southeastern agricultural economy. Among the questions to be answered with empirical data are the uses and effects (biological and economic) of chemical pesticides, uses and effects of heavy machinery (technological change and the small farmer), correlation of these variables with cotton quality and economic structure, and workings of the cotton subsidy program. Both original research and collation of existing materials will be included. This project will generate a generally-available informational book, copyrighted by the Center. Proceeds from the sale of the cotton project book will be used solely for the purpose of meeting actual expenses and of furthering future exempt projects undertaken by the Center.

6. Workbook.

The Workbook for Social Change project will produce a commercially-published book (at present a first printing of 100,000 copies is anticipated), copyrighted by the Center. Funds received by the Center from the Workbook's sale will be used solely to offset actual costs and to finance additional educational and scientific undertakings. Primarily the Workbook is a comprehensive, annotated, subject-indexed checklist of about 4,500 sources of information--people, books, and places--for specific problem-solving. Citizens can answer their "Where do I find out about ___?" questions in accurate and complete detail. Most subjects will center around consumer issues--food and malnutrition; consumer credit; pesticides; utilities; fire and radiation hazards; care for the elderly; child care facilities; housing codes; farm labor and subsidies; criminal law and law schools; transportation needs, systems, and safety; welfare; taxation; zoning and land use; workmen's compensation; race and sex discrimination; and technological change. Then the Workbook will go on to explain how to go about gathering, storing, and retrieving information; how to do effective research and reporting; how to locate expert help when necessary; and the like. In essence the Workbook will educate people to educate themselves.

Some research has begun on this project.

The Center expects to cooperate in the Workbook project with the Center for Study of Responsive Law, Washington, D.C. CSRL is a tax-exempt organization under §501(c)(3). SRIC and CSRL plan to share the research and expenses producing the book and to divide the proceeds from its sale. (no other affiliations of any type with any other organizations are contemplated.) See Question 8.j above.

No additional activities are planned by the Center at this time. The outlined projects and future projects are chosen by consultation among the Board of Directors.

10.i. The Corporation has not engaged in or sponsored any activities which it has discontinued.

10.j. Funds have not and will not be expended by the Corporation other than in payment for services rendered or supplies furnished.

10.k.

<u>Name</u>	<u>Position</u>
Katherine Montague	President, Assistant Secretary, and Director
Sheldon Novik	Vice-President and Director
Harrison Wellford	Secretary-Treasurer and Director
Kevin Shea	Director
Aileen Adams Cowan	Director

No officer or director has received or will receive any compensation of any kind.

The above-named individuals are not related in any way to any of the categories of persons enumerated in the question.

S T A T E M E N T

THE SOUTHWEST RESEARCH AND INFORMATION CENTER
is not a private foundation and does not want to be
classified as such. The undersigned states there is
a reasonable basis in law and in fact for the fore-
going statement and that to the best of her knowledge
and belief, the foregoing statement is complete and
correct.

S/
Katherine Montague, President
The Southwest Research and
Information Center