

For calendar year 2021, or tax year beginning 01-01-2021 , and ending 12-31-2021

Name of foundation JOSEPH ROWNTREE FOUNDATION		A Employer identification number 98-0100375	
Number and street (or P.O. box number if mail is not delivered to street address) THE HOMESTEAD 40 WATER END		Room/suite	
B Telephone number (see instructions) (201) 612-0015			
City or town, state or province, country, and ZIP or foreign postal code YORK, YORK YO30 6WP United Kingdom (England, Northern Ireland, Scotland, and Wales)		C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☒ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 689,749,304		J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	7,206,120	65,772	7,206,120	
	5a Gross rents	581,575		581,575	
	b Net rental income or (loss) 581,575				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	375,995	0	375,995	
	12 Total. Add lines 1 through 11	8,163,690	65,772	8,163,690	
	13 Compensation of officers, directors, trustees, etc.	496,455		0	496,455
	14 Other employee salaries and wages	6,646,097		0	6,646,097
	15 Pension plans, employee benefits	-5,798,168		0	-5,798,168
	16a Legal fees (attach schedule)	122,821		0	122,821
	b Accounting fees (attach schedule)	52,748		0	52,748
	c Other professional fees (attach schedule)	8,407,397		0	8,407,397
	17 Interest	309,723		0	309,723
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion	181,461		0	
	20 Occupancy	295,172		0	295,172
	21 Travel, conferences, and meetings	59,510		0	59,510
	22 Printing and publications	12,208		0	12,208
	23 Other expenses (attach schedule)	1,526,384		0	1,526,384
	24 Total operating and administrative expenses. Add lines 13 through 23	12,311,808	0	0	12,130,347
	25 Contributions, gifts, grants paid	7,660,560			7,660,560
	26 Total expenses and disbursements. Add lines 24 and 25	19,972,368	0	0	19,790,907
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-11,808,678			
	b Net investment income (if negative, enter -0-)		65,772		
	c Adjusted net income (if negative, enter -0-)			8,163,690	

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			6,596,901	2,316,833	2,316,833
	2	Savings and temporary cash investments					
	3	Accounts receivable ▶ <u>365,175</u>					
		Less: allowance for doubtful accounts ▶ _____			352,673	365,175	365,175
	4	Pledges receivable ▶ _____					
		Less: allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____					
		Less: allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges			352,673	451,735	451,735
	10a	Investments—U.S. and state government obligations (attach schedule)			15,119,846	 6,424,646	6,942,556
	b	Investments—corporate stock (attach schedule)			307,071,648	 333,683,390	600,603,323
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment: basis ▶ <u>22,254,035</u>					
	Less: accumulated depreciation (attach schedule) ▶ _____			22,124,086	22,254,035	21,069,245	
12	Investments—mortgage loans			235,115	151,480	151,480	
13	Investments—other (attach schedule)			65,146,091	 53,238,187	54,076,834	
14	Land, buildings, and equipment: basis ▶ <u>7,212,883</u>						
	Less: accumulated depreciation (attach schedule) ▶ <u>3,440,760</u>			3,723,572	3,772,123	3,772,123	
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)			420,722,605	422,657,604	689,749,304	
Liabilities	17	Accounts payable and accrued expenses			3,641,555	3,193,253	
	18	Grants payable			3,798,754	4,832,483	
	19	Deferred revenue.					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)			 20,498,782	 12,222,543	
	23	Total liabilities (add lines 17 through 22).			27,939,091	20,248,279	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☒						
	and complete lines 24, 25, 29 and 30.						
	24	Net assets without donor restrictions			392,783,514	402,409,325	
	25	Net assets with donor restrictions					
	Foundations that do not follow FASB ASC 958, check here ▶ ☐						
	and complete lines 26 through 30.						
	26	Capital stock, trust principal, or current funds					
	27	Paid-in or capital surplus, or land, bldg., and equipment fund					
	28	Retained earnings, accumulated income, endowment, or other funds					
	29	Total net assets or fund balances (see instructions)			392,783,514	402,409,325	
30	Total liabilities and net assets/fund balances (see instructions)			420,722,605	422,657,604		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 392,783,514
2	Enter amount from Part I, line 27a	2 -11,808,678
3	Other increases not included in line 2 (itemize) ▶ 	3 21,434,489
4	Add lines 1, 2, and 3	4 402,409,325
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6 402,409,325

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
	3	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		

Part V

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter

Bracket for line 1a

"N/A" on line 1.

1a

Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)

All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)

b

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

Add lines 1 and 2.

3

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.

5

Credits/Payments:

6

a

2021 estimated tax payments and 2020 overpayment credited to 2021

6a

12,776

b

Exempt foreign organizations—tax withheld at source

6b

9,866

c

Tax paid with application for extension of time to file (Form 8868)

6c

0

d

Backup withholding erroneously withheld

6d

0

7

Total credits and payments. Add lines 6a through 6d

7

22,642

8

Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

0

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

20,011

11

Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

11

20,011

Part VI-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes

No

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.

No

1b

No

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c

Did the foundation file Form 1120-POL for this year?.

No

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. \$ 0 (2) On foundation managers. \$ 0

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0

2

Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on Form 990-T for this year?.

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.

6

No

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

Part VI-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.JRF.ORG.UK	13		No
14	The books are in care of ► _____ Telephone no. ► _____			
	Located at ► _____ ZIP+4 ► _____			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► and enter the amount of tax-exempt interest received or accrued during the year 15 _____			
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)		No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)		No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
c	Organizations relying on a current notice regarding disaster assistance check here. ► ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years ► 20____, 20____, 20____, 20____	2a		No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
b If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here
d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Yes

No

5a(1)

No

5a(2)

No

5a(3)

No

5a(4)

No

5a(5)

No

5b

5d

6a

No

6b

No

7a

No

7b

8

No

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL KISSACK	CHIEF EXECUTIVE (PT-50%)	102,042	6,120	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	20.00			
GRAEME COOKE	DIRECTOR OF INSIGHT AND POLICY (FT)	70,495	3,983	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	40.00			
HELEN BARNARD	JRF EXECUTIVE DIRECTOR (FT)	55,087	3,303	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	40.00			
FRANK SOODEEN	DIRECTOR OF COMMUNICATION (PT 90%)	46,222	5,376	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	36.00			
TRACEY PREECE	DIRECTOR OF FINANCE (PT - 50%)	66,412	3,982	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	20.00			
CLAIRE TOWNSON	DIRECTOR OF CORPORATE SERVICES(PT-50%)	66,412	3,982	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	20.00			
DEBORAH CADMAN OBE	TRUSTEE	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	0.00			
DR HILARY COTTAM	TRUSTEE	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	0.00			
PAUL JENKINS	TRUSTEE	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	0.00			
WILL HAIRE CB	TRUSTEE	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	0.00			
FARAH ELAHI	TRUSTEE	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	0.00			
GILLIAN RUSSELL	TRUSTEE	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	0.00			
MAUREEN LOFFILL	TRUSTEE	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	0.00			
SAPHIE ASHTIANY	TRUSTEE	0	0	

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK	0.00			
HELEN EVANS	TRUSTEE 0.00	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK				
DAVID LUNTS	TRUSTEE 0.00	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK				
SOPHIA PARKER	DIRECTOR OF EMERGING FUTURE (FT) 40.00	44,944	2,046	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK				
PROFESSOR CAROL TANNAHILL OBE	TRUSTEE 0.00	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK				
PROFESSOR JO SWAFFIELD	TRUSTEE 0.00	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK				
KENE UMEASIEGBU	TRUSTEE 0.00	0	0	0
THE HOMESTEAD 40 WATER END YORK, YO30 6WP UK				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HUSNA MORTUZA	DEPUTY DIRECTOR ADVO 40.00	94,935	5,697	0
THE HOMESTEAD 40 WATER END YORK,YO30 6WP UK				
PETER MATEJIC	HEAD OF EVIDENCE 40.00	89,868	5,392	0
THE HOMESTEAD 40 WATER END YORK,YO30 6WP UK				
CHRISTOPHER BIRT	ASSOCIATE DIRECTOR S 40.00	88,042	5,283	0
THE HOMESTEAD 40 WATER END YORK,YO30 6WP UK				
DENISE HOLLE	HEAD OF SOCIAL INVES 40.00	83,091	4,985	0
THE HOMESTEAD 40 WATER END YORK,YO30 6WP UK				
DAISY SANDS	HEAD OF CAMPAIGNS AN 40.00	79,526	4,772	0
THE HOMESTEAD 40 WATER END YORK,YO30 6WP UK				
Total number of other employees paid over \$50,000. ▶				24

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Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GENERATION INVESTMENT MANAGEMENT LIMITED	INVESTMENT MANAGER	4,955,104
0 AIR STREET 7TH FLOOR LONDON,W1B5AN UK		
RUFFER LLP	INVESTMENT MANAGER	704,710
80 VICTORIA STREET LONDON,SW1E 5JL UK		
WELLINGTON MANAGEMENT INTERNATIONAL LIMITED	INVESTMENT MANAGER	503,993
CARDINAL PLACE 80 VICTORIA STREET LONDON,SW1E 5JL UK		
COMGEST GROWTH PLC	INVESTMENT MANAGER	402,226
SIXTH FLOOR 2 GRAND CANAL SQUARE DUBLIN EI		
SCHRODER INVESTMENT MANAGEMENT LIMITED	INVESTMENT MANAGER	174,336
1 LONDON WALL PLACE LONDON,EC2Y 5AU UK		

Total number of others receiving over \$50,000 for professional services. ▶

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	
b	Average of monthly cash balances.	1b	
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c).	1d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	
2a	Tax on investment income for 2021 from Part V, line 5.	2a	
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2021:				
a From 2016.				
b From 2017.				
c From 2018.				
d From 2019.				
e From 2020.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ _____				
a Applied to 2020, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2021 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2017				
b Excess from 2018				
c Excess from 2019.				
d Excess from 2020				
e Excess from 2021				

Part XIII

Private Operating Foundations (see instructions and Part VI-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b.

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2021	(b) 2020	(c) 2019	(d) 2018	
b	85% (0.85) of line 2a					
c	Qualifying distributions from Part XI, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List all managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b

List all managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

GRAEME COOKE
JOSEPH ROWNTREE FOUNDATION THE
HOMESTEAD 40 WATER END
YORK,YO30 6WP
UK
(201) 612-0015

b

The form in which applications should be submitted and information and materials they should include:

IN WRITING VIA INVITATION ON OUR WEBSITE TO OUR NOMINATED PERSON IN A. ABOVE

c

Any submission deadlines:

SPECIFIED ON EACH INDIVIDUAL GRANT APPLICATION INVITATION

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

UNITED KINGDOM AND HER DOMINIONS ONLY

Part

Supplementary Information (continued)

3

Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACHIEVEGOOD LTD 2ND FLOOR EXCHANGE BUILDING 16 ST CUTHBERTS STREET BEDFORD MK40 3JG UK			AMAZON FIFE COMMUNITY PROJECT	39,309
BARROW CADBURY TRUST KEAN HOUSE 6 KEAN STREET LONDON WC2B 4AS UK			FAIR BY DESIGN CAMPAIGN 2021 - 2024	204,732
BEVAN FOUNDATION 145A HIGH STREET MERTHYR TYDFIL CF47 8DP UK			BEVAN FOUNDATION PARTNERSHIP 2022	102,366
BEVAN FOUNDATION 145A HIGH STREET MERTHYR TYDFIL CF47 8DP UK			PARTICIPATION IN WALES: TOGETHER CREATING COMMUNITIES & ACTION IN CAERAU AND ELY	20,473
BLAVATNIK SCHOOL OF GOVERNMENT UNIVERSITY OF OXFORD RADCLIFFE OBSERVATORY QUARTER WOODSTOCK ROAD OXFORD OX2 6GG UK			SHEFFIELD ACTION NARRATIVE PROJECT	313,149
BRIGHT BLUE BRIGHT BLUE - SECOND FLOOR 4 8 LUDGATE CIRCUS LONDON EC4M 7LF UK			A CENTRE GROUND ON RACE: CONVERSATIONS ON BUILDING A DIVERSE SOCIETY: ESSAY COLLECTION	38,490
BRITAIN THINKS WEST WING SOMERSET HOUSE STRAND LONDON WC2R 1LA UK			EXTENSION - HOW THE PANDEMIC HAS IMPACTED ON PUBLIC ATTITUDES TO POVERTY	32,168
CITIZENS UK 136 CAVELL STREET LONDON E1 2JA UK			A LIVING WAGE IN SOCIAL CARE	74,591
COMMUNITY PRIDE CIC BEACON YOUTH CENTRE LONDON STREET BURY SALFORD M6 6QT UK			COMMUNITY PRIDE PARTNERSHIP AGREEMENT	9,827
EDELMAN INTELLIGENCE SOUTHSIDE 105 VICTORIA STREET LONDON SW1E 6QT UK			CONTINUATION OF SOCIAL LISTENING CONTRACT WITH EDELMAN INTELLIGENCE (DEC 2021 - MAY 2022)	18,835
EDELMAN INTELLIGENCE SOUTHSIDE 105 VICTORIA STREET LONDON SW1E 6QT UK			EDELMAN INTELLIGENCE 6 MONTHS ACCESS TO THE COMMAND CENTRE (MAY - NOVEMBER 2021)	18,835
EDGE HILL UNIVERSITY ST HELENS ROAD ORMSKIRK L39 4QP UK			CO-PRODUCING SOLUTIONS WITH LOWER- INCOME RENTERS IN SCOTLAND	113,759
FABIAN SOCIETY 61 PETTY FRANCE WESTMINSTER LONDON SW1H 9EU UK			COMMISSION ON POVERTY AND PLACE	37,676
FAITH IN COMMUNITY DUNDEE KIRKTON COMMUNITY CENTRE DERWENT AVENUE KIRKTON DUNDEE DD3 0AX UK			DUNDEE FIGHTING FOR FAIRNESS 2021 2023: PARTNERSHIP AGREEMENT	41,629
FAITH IN COMMUNITY SCOTLAND 759A ARGYLE STREET GLASGOW G3 8DS UK			POVERTY TRUTH COMMUNITY 2021 2024: PARTNERSHIP AGREEMENT	102,366
FUNDNG 6 GRASSROOTS ORGANISATIONS THE HOMESTEAD 40 WATER END YORK YO306WP UK			COLLABORATIVE FUNDING WITH LANKELLY CHASE OF SIX GRASSROOTS ORGANISATIONS	136,488
HERIOT-WATT UNIVERSITY CAMPUS THE AVENUE EDINBURGH EH14 4AS UK			DESTITUTION IN THE UK RESEARCH PROJECT (2022 - 2023)	674,362
HERIOT-WATT UNIVERSITY CAMPUS THE AVENUE EDINBURGH EH14 4AS UK			FAIR WAY SCOTLAND (HOMELESSNESS AND DESTITUTION)	404,255
HUGH DOUGLAS OLD CHAPEL SUMMERSIDE BUCKLAND FARINGDON SN7 8QZ UK			LIVED EXPERIENCE CONTRIBUTION IN CO- PRODUCTION AND IN PAID AND VOLUNTARY ROLES WITHIN THIRD SECTOR ORGANISATIONS	5,309
INSTITUTE FOR FISCAL STUDIES 7 RIDGMOUNT STREET LONDON WC1E 7AE UK			LIVING STANDARDS, POVERTY AND INEQUALITY 2021 - 2023	320,758
JOSEPH ROWNTREE HOUSING TRUST THE HOMESTEAD 40 WATER END YORK YO306WP UK			REVENUE GRANT	2,066,433
JOSEPH ROWNTREE HOUSING TRUST THE HOMESTEAD 40 WATER END YORK YO306WP UK			HERITAGE GRANT	584,169
JOSEPH ROWNTREE HOUSING TRUST THE HOMESTEAD 40 WATER END YORK YO306WP UK			DEVELOPMENT PROGRAMME GRANT	90,084
JRF THE HOMESTEAD 40 WATER END YORK YO306WP UK			THE HOMESTEAD PARK	436,074
KATY GOLDSTRAW REPTON COTTAGE WRENBURY ROAD ASTON NANTWICH CW5 8DQ UK			UK POVERTY 2021 GRASSROOTS POVERTY ACTION GROUP	7,985
LEGATUM INSTITUTE 11 CHARLES STREET MAYFAIR LONDON W1J 5DW UK			SOCIAL METRICS COMMISSION : LAUNCH OF A POVERTY STRATEGY COMMISSION AND POLICY SIMULATOR MODEL	204,637
LITTLE VILLAGE ST MARKS CHURCH 53 ROWFANT ROAD CAMDEN LONDON SW17 7AP UK			LITTLE VILLAGE SUPPORT FUNDING	54,595
LONDON UNEMPLOYED STRATEGIES CONGRESS HOUSE GREAT RUSSELL STREET LONDON WC1B 3LS UK			CARERS' CO-DESIGN PROJECT	111,920
LONDON UNEMPLOYED STRATEGIES CIC CONGRESS HOUSE GREAT RUSSELL STREET LONDON WC1B 3LS UK			2021 LUS SUPPORT FUNDING	22,521
LOUGHBOROUGH UNIVERSITY EPINAL WAY LOUGHBOROUGH LE11 3TU UK			MIS CONTINUATION OF A LONGITUDINAL QUALITATIVE PANEL (AKA BRINGING UP A FAMILY) POST-COVID	32,813
MANCHESTER METROPOLITAN UNIVERSITY ALL SAINTS BUILDING ALL SAINTS MANCHESTER M15 6BH UK			ASSESSING THE IMPACT OF THE LOCAL HOUSING ALLOWANCE ON POVERTY AND LOW-INCOME HOUSEHOLDS	80,030
MUMS ON A MISSION 1 CHURCH ELM LANE DAGENHAM ESSEX RM10 9QQ UK			COMMUNITY VOICES PROGRAMME - MUMS ON A MISSION	59,252
NORTH OF TYNE COMBINED AUTHORITY QUADRANT THE SILVER LINK NORTH COBALT BUSINESS PARK NORTH TYNESIDE NE27 0BY UK			NTCA: LIVED EXPERIENCE AND CO-PRODUCTION	27,298
ONE PARENT FAMILIES SCOTLAND 2 YORK PLACE EDINBURGH EH1 3EP UK			LONE PARENTS AND IN- WORK POVERTY	32,757
ONWARD FOUR MILLBANK WESTMINSTER LONDON SW1P 3JQ UK			REPAIRING OUR SOCIAL FABRIC	49,136
ONWARD FOUR MILLBANK WESTMINSTER LONDON SW1P 3JQ UK			SOCIAL FABRIC SUMMIT 2022: SCOPING WORK	8,189
POVERTY TRUTH NETWORK 10 CARTER TERRACE LEEDS LS15 7AH UK			BUILDING UPON THE GROWING IMPACT OF POVERTY TRUTH COMMISSIONS	163,786
POVERTY TRUTH NETWORK 10 CARTER TERRACE LEEDS LS15 7AH UK			SUPPORT THE DEVELOPMENT OF A NATIONAL STRUCTURE FOR THE POVERY TRUTH NETWORK	47,771
POWER TO CHANGE THE CLARENCE CENTRE 6 ST GEORGES CIRCUS LONDON SE1 6LF UK			WERE RIGHT HERE: THE CAMPAIGN FOR COMMUNITY POWER	40,946
RE GENERATE TRUST 24 CHARLTON STREET YORK YO23 1JN UK			CORE FUNDING TO REGENERATE PARTNERSHIP 2022	49,136
RE GENERATE TRUST 24 CHARLTON STREET YORK YO23 1JN UK			CAMPAIGNING ACTIVITIES WITH REGENERATE	20,473
RECLAIM PROJECT MANCHESTER DEAF CENTRE CRAWFORD HOUSE BOOTH ST E MANCHESTER M13 9GH UK			CLASS DIVERSITY IN THINK TANKS	27,298
SAVANTA 3 MELVILLE STREET EDINBURGH EH3 7PE UK			THE FINANCIAL POSITION OF LOW INCOME HOUSEHOLDS AS COVID SUPPORT COMES TO AN END	42,502
SHARED FUTURE CIC 28 CROMWELL GROVE MANCHESTER M19 3GB UK			SHARED FUTURE CIC RENEWED CONSULTANCY AGREEMENT	30,710
SHARED FUTURE CIC 28 CROMWELL GROVE MANCHESTER M19 3GB UK			CONSULTANCY AGREEMENT: SHARED FUTURE CIC	25,387
SHELTER 88 OLD STREET LONDON EC1V 9HU UK			DOCUMENTING THE LIVES OF PEOPLE IN TEMPORARY ACCOMMODATION: LIVING IN LIMBO 2021	47,771
STAFFORDSHIRE UNIVERSITY COLLEGE ROAD STOKE ON TRENT ST4 2DE UK			ACTION ON POVERTY AND HARDSHIP BURSARIES FUNDING	40,946
THE ORWELL FOUNDATION INSTITUTE OF ADVANCED STUDIES UNIVERSITY COLLEGE LONDON GOWER STR LONDON WC1E 6BT UK			THE ORWELL PRIZE FOR EXPOSING BRITAINS SOCIAL EVILS 2022	54,595
THE POVERTY ALLIANCE STANDARD BUILDING 3RD FLOOR 94 HOPE STREET GLASGOW G2 6QB UK			END POVERTY EDINBURGH	34,122
TOM POLLARD 59 CONIFER GARDENS LONDON SW16 2TY UK			KEEP THE LIFELINE	7,780
VOICE4CHANGE ENGLAND 2C FALKLAND ROAD KOSMOS CENTRE LONDON NW5 2PT UK			REFRAMING RACE: TESTING TIMES FOR NEW FRAMES	125,501
YOUGOV 50 FEATHERSTONE STREET LONDON EC1Y 8RT UK			SCOTLAND ELECTION POLLING	31,938
YOUGOV 50 FEATHERSTONE STREET LONDON EC1Y 8RT UK			HOUSING POLLING (ROUND 3)	28,990
ZERO CARBON CAMPAIGN 140 - 142 KENSINGTON CHURCH STREET LONDON W8 4BN UK			ZERO CARBON COMMISSION - ADDRESSING THE CHALLENGE OF DELIVERING AN EQUITABLE NET ZERO TRANSITION	20,473
ADVICE AT HART CIC 81 STRANTON HARTLEPOOL TS24 7QT UK			HAL SOLUTIONS SUPPORT FUND	6,824
AE PORTZ ASSOCIATES 4 DEANS LANE POCKLINGTON YORK YO42 2PX UK			ADVISER TO THE YORK COMMITTEE 2021	5,050
AE PORTZ ASSOCIATES 4 DEANS LANE POCKLINGTON YORK YO42 2PX UK			ADVISER TO THE YORK COMMITTEE (2021 - 2022)	6,824
AGE UK YORK NORMAN COLLINSON HOUSE 70 WALMGATE YORK YO1 9TL UK			OUT AND ABOUT REBUILDING SOCIAL CONNECTIONS	6,824
BIONATION PRODUCTIONS CIC NORMAN COLLINSON HOUSE 70 WALMGATE YORK YO1 9TL UK			UPFADERS YOUTH MUSIC PROJECT	10,919
CITIZENS ADVICE YORK WEST OFFICES STATION RISE YORK YO1 6GA UK			WOMENS ADVICE SERVICE	7,415
COTERMINOUS CIC 15 PRIORY STREET YORK YO1 6ET UK			HOMELESS BOUND	10,086
DOOR 84 84 LOWTHER STREET THE GROVE YORK YO31 7LX UK			COMMUNITY SPARKS (2021-2022) - A SOCIAL & CREATIVE GROUP FOR ADULTS WITH DISABILITIES AND THEIR CARERS	8,189
FOXWOOD COMMUNITY CENTRE CRANFIELD PLACE FOXWOOD YORK YO24 3HY UK			FOXWOOD COMMUNITY HUB	6,175
FRIENDS OF ST NICHOLAS FIELDS ST NICKS ENVIRONMENT CENTRE RAWDON AVENUE YORK YO10 3FW UK			GREEN WOODWORK	6,824
GOOD HELP LTD UNIT 8 PICCADILLY MILL LOWER STREET STROUD GL5 2HT UK			HAL SOLUTIONS SUPPORT FUND	8,701
HARTLEPOOL CIC 26 ELDON GROVE HARTLEPOOL TS26 9LY UK			HAL SOLUTIONS SUPPORT FUND	41,076
HARTLEPOOL NEW DEAL FOR COMMUNITIES TRUST UNIT 1-2 BALTIC STREET HARTLEPOOL TS25 1PS UK			HAL SOLUTIONS SUPPORT FUND	17,743
KYRA WOMEN'S PROJECT KYRA WOMEN'S PROJECT CMC ST SAVIOURGATE YORK YO1 8NQ UK			ROSE PROJECT (REACHING OUT, SUPPORTING, AND EMPOWERING)	6,756
MARYGATE PRE-SCHOOL CLIFTON METHODIST CHURCH CLIFTON YORK YO30 6AL UK			PROVISION OF FREE CHILDCARE PLACES FOR DISADVANTAGED AND ISOLATED CHILDREN	6,224
MYSIGHT YORK 14 MERCHANTS PLACE MERCHANTGAT YORK YO1 9TU UK			MYSIGHT YORK (CORE ORGANISATIONAL COSTS)	6,824
OLDER CITIZENS ADVOCACY YORK (OCAY) THE PRIORY STREET CENTRE 15 PRIORY STREET YORK YO1 6ET UK			BENEFITS ADVOCACY AND POVERTY REDUCTION	6,824
PEASHOLME CHARITY 25 MICKLEGATE YORK YO1 6JH UK			PEASHOLME CHARITY CORE COSTS	6,824
REFUGEE ACTION YORK C/O CLIFTON CHILDRENS CENTRE KINGSWAY NORTH YORK YO30 6JA UK			ADVICE: EQUAL ACCESS FOR ALL	9,845
THE SNAPPY TRUST 84 LOWTHER STREET THE GROVES YORK YO31 7LX UK			NEXT STEPS	6,854
TRANSITIONS YORK CIC 4 THE TERRACE OAK ROAD COWTHORPE WETHERBY LS22 5EY UK			WELLBEING THROUGH CONNECTION	8,653
VICTIM SUPPORT (NORTH YORKSHIRE) 15 PRIORY STREET YORK YO1 6ET UK			STAY SAFE ONLINE PROJECT PREVENTING FRAUD & SCAMS	6,824
YORK CENTRE FOR VOLUNTARY SERVICE PRIORY STREET CENTRE 15 PRIORY STREET YORK YO1 6ET UK			CO-ORDINATION OF THE BESPOKE PACKAGES OF SUPPORT BEING OFFERED TO ORGANISATIONS WITHIN YORKS VOLUNTARY, COMMUNITY AND SOCIAL ENTERPRISE (VCSE) SECTOR	2,457
YORK CENTRE FOR VOLUNTARY SERVICE PRIORY STREET CENTRE 15 PRIORY STREET YORK YO1 6ET UK			SUPPORTING YORKS VOLUNTARY, COMMUNITY AND SOCIAL ENTERPRISE (VCSE) SECTOR THROUGH THE 2021 JOSEPH ROWNTREE HOUSING TRUST (JRHT) YORK COMMITTEE FUNDOR PLUS OFFER	32,430
Total			3a	7,660,560
b Approved for future payment				
Total			3b	

Part XV-A

Unrelated business income

Excluded by section 512, 513, or 514

(e) Related or exempt function income (See instructions.)

1 Program service revenue:

a _____

b _____

C _____

d _____

■ Fees and contracts from government agencies

2 Membership dues and assessments

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

■ ■ ■

5 Net rental income or (loss) from real estate:

a Debt-financed property.**b** Not debt-financed property. . . .

6 Net rental income or (loss) from personal property

7 Other investment income

8 Gain or (loss) from sales of assets other than inventory

9 Net income or (loss) from special events:

10 Gross profit or (loss) from sales of inventory

11 Other revenue:

a SOCIAL INVESTMENT INCOME

b ALLOTMENT INCOME

C _____

d _____

e _____

12 Subtotal. Add columns (b), (d), and (e).

13 Total. Add line 12, columns (b), (d), and (e).	13	8,163,690
--	-----------	------------------

(See worksheet in line 13 instructions to verify calculations.)

Part XV-B

Line No.

▼

Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

4	JOSEPH ROWNTREE FOUNDATION (JRF) IS A UK BASED CHARITY WITH A MISSION
---	---

5B TO SOLVE UK POVERTY. IT IS SUPPORTED BY ENDOWMENT FUNDS AND RAISES NO

11A	REVENUE OTHER THAN THE RETURN ON ITS INVESTMENTS. THIS RETURN IS USED
-----	---

11B	TO FULFILL ITS CHARITABLE OBJECTIVES.
-----	---------------------------------------

Part XVI

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3)* Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements.

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

		2022-11-14	
Signature of officer or trustee	Date		Title

May the IRS discuss this return with the preparer shown below?
See instructions. ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN P00736849
	FRANK BAGLIERI				
	Firm's name ▶ MURPHY MILLER BAGLIERI LLP				Firm's EIN ▶ 20-168927
	Firm's address ▶ 65 HARRISTOWN ROAD GLEN ROCK, NJ 07452				Phone no. (201) 612-0015

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	52,748		0	52,748

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESTMENTS - CORPORATE STOCK	333,683,390	600,603,323

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

**US Government Securities - End of
Year Book Value:**

6,424,646

**US Government Securities - End of
Year Fair Market Value:**

6,942,556

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
INVESTMENTS - OTHER	AT COST	53,238,187	54,076,834

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	122,821		0	122,821

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSES	1,526,384		0	1,526,384

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SOCIAL INVESTMENT INCOME	371,938		371,938
ALLOTMENT INCOME	4,057		4,057

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

Description	Amount
SURPLUS ON SALES OVER BOOK COST	21,434,489

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

Description	Beginning of Year - Book Value	End of Year - Book Value
PAST SERVICE PENSION	20,498,782	12,222,543

TY 2021 IRS 990 e-File Render

Name: JOSEPH ROWNTREE FOUNDATION

EIN: 98-0100375

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER PROFESSIONAL	8,407,397		0	8,407,397