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Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning 1 January, 2013, and ending 31 December, 2013

Name of foundation Joseph Rowntree Foundation		A Employer identification number 98 0100375
Number and street (or P O box number if mail is not delivered to street address) The Homestead, 40 water End	Room/suite	B Telephone number (see instructions) (UK) 1904 629241
City or town, state or province, country, and ZIP or foreign postal code York, YO30 6WP, England		C If exemption application is pending, check here ☐
G Check all that apply: ☒ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☒ 2. Foreign organizations meeting the 85% test, check here and attach computation ☒
H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 536210226	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	152343		152343	
	4 Dividends and interest from securities	13023654	526336	13023654	
	5a Gross rents	806423		806423	
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	501738		501738	
	12 Total. Add lines 1 through 11	14484158	526336	14484158	
	13 Compensation of officers, directors, trustees, etc	637169		637169	
	14 Other employee salaries and wages	3675106		3675106	
	15 Pension plans, employee benefits	355707		355707	
	16a Legal fees (attach schedule)	169650		169650	
	b Accounting fees (attach schedule)	18215		18215	
	c Other professional fees (attach schedule)	346991		346991	
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion	199382			
	20 Occupancy	314209			314209
	21 Travel, conferences, and meetings	193740	06 27 2014		193740
	22 Printing and publications	17983			17983
	23 Other expenses (attach schedule)	1288260	MS-FILA-PA 503		1288260
	24 Total operating and administrative expenses. Add lines 13 through 23	7216412			7017030
	25 Contributions, gifts, grants paid	9395577			9395577
	26 Total expenses and disbursements. Add lines 24 and 25	16611989			16412607
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2127831			
	b Net investment income (if negative, enter -0-)		526336		
	c Adjusted net income (if negative, enter -0-)			14484158	

For Paperwork Reduction Act Notice, see instructions.

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Form 990-PF (2013)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		15674759	28226471	28226471
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶				
	Less allowance for doubtful accounts ▶		1681442	1722136	1722136
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ▶				
	Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges		137849	127504	127504
	10a Investments—U.S. and state government obligations (attach schedule)		3470928	5350213	5542297
	b Investments—corporate stock (attach schedule)		320102336	347123005	470833638
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment basis ▶				
Liabilities	Less accumulated depreciation (attach schedule) ▶		24309581	21125972	12768645
	12 Investments—mortgage loans		1096384	1018379	1018379
	13 Investments—other (attach schedule)		10538418	10905757	10986897
	14 Land, buildings, and equipment basis ▶ 8767991				
	Less accumulated depreciation (attach schedule) ▶ 3783732		4244479	4984259	4984259
	15 Other assets (describe ▶)				
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		381256176	420583696	536210226
	17 Accounts payable and accrued expenses		1739147	2028478	
	18 Grants payable		12401637	13972484	
	19 Deferred revenue				
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶)				
	23 Total liabilities (add lines 17 through 22)		14140784	16000962	
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted		367115392	404582734	
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions)		367445392	404582734	
	31 Total liabilities and net assets/fund balances (see instructions)		381256176	420583696	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	367445392
2 Enter amount from Part I, line 27a	2	-2127831
3 Other increases not included in line 2 (itemize) ▶ Investment Fees/Surplus on sale over book cost	3	39265173
4 Add lines 1, 2, and 3	4	404582734
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	404582734

Part IV Capital Gains and Losses for Tax on Investment Income**Not Applicable**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**Not Applicable**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	21,053.44	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	21,053.44	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,053.44	
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b	Exempt foreign organizations—tax withheld at source	6b	28,528.94	
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	28,528.94	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,475.50	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11	7,475.50	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		✓
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>		✓
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>		
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	N/A	
Website address ▶ _____				
14	The books are in care of ▶ _____ Telephone no. ▶ _____			
	Located at ▶ _____ ZIP+4 ▶ _____			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ _____				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☐ No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

6b

7b

N/A

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation** (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See separate schedules				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See separate schedule				

Total number of other employees paid over \$50,000

12

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Schroder Investment Management 31 Gresham Street, London, EC2V 7QA	Investment Manager	841393
Sarasin & Partners LLP 5th Floor, Juxon House, 100 St Paul's Churchyard, London, EC4M 8BU	Investment Manager	505579
Jupiter Asset Management 1 Grosvenor Place, London, SW1X 7JJ	Investment Manager	528267
Ruffer LLP 80 Victoria Street, London, SW1E 5JL	Investment Manager	380317
IRG Advisors LLP 20 Cannon Street, London, EC4M 6XD	Recruitment Consultant	90537
Total number of others receiving over \$50,000 for professional services		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	
6	Minimum investment return. Enter 5% of line 5	6	

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.) **Not APPLICABLE**

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	16412607
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	16412607
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	16412607

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)**NOT APPLICABLE**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ _____				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Emma Stone, Joseph Rowntree Foundation, The Homestead, 40 Water End, York, YO30 6WP, England

- b** The form in which applications should be submitted and information and materials they should include:

See attached schedule

- c** Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

United Kingdom & her dominions only

Part XV **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Total			▶	3a
b <i>Approved for future payment</i>				
Total			▶	3b 9395577

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue:						
a						
b						
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						152343
4 Dividends and interest from securities						13023654
5 Net rental income or (loss) from real estate:						
a Debt-financed property						806423
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue. a Gift Aid						463652
b Underwriting Commission						29806
c Vat Recovery						3312
d Allotment Income						3312
e Class Action Income						1656
12 Subtotal. Add columns (b), (d), and (e)						14484158
13 Total. Add line 12, columns (b), (d), and (e)					13	14484158

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes No	
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	✓
	(2) Other assets	1a(2)	✓
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	✓
	(3) Rental of facilities, equipment, or other assets	1b(3)	✓
	(4) Reimbursement arrangements	1b(4)	✓
	(5) Loans or loan guarantees	1b(5)	✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)	✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	✓
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Line 1
Signature of officer or trustee

20 JUNE 1964
Date

Head Of Financial Management

May the IRS discuss this return
with the preparer shown below

**Paid
Preparer
Use Only**

Print/Type preparer's name _____

Preparer's signature

Firm's name ▶

Firm's address ►

JOSEPH ROWNTREE FOUNDATION

REF: 98-0100375
FORM 990PF: 31 DECEMBER 2013
SUPPLEMENTARY INFORMATION

"85% Test"	
	\$
Total Income	14,484,158
Less: Income from US Sources:	
Dividends from Corporate Bodies:	526,336
US Government Bonds	65,651
Income from Non-US Sources	13,892,171
Proportion of Income from Non-US Sources	95.9%

JOSEPH ROWNTREE FOUNDATION

REF 98 – 0100375

FORM 990PF: 31 DECEMBER 2013

Part VIII, Section 1 Directors

Name	Address	Title and Average hours per week devoted to position	Compensation	Contribution to employee benefit plans and deferred compensation	Expense account, other allowances
			\$	\$	\$
Julia Unwin	35 East Mount Road, York YO24 1BD	Chief Executive (PT – 82%)	207,358	19,639	-
Claire Ainsley	10 Penleys Grove Street, York, YO31 7PN	Director of Communications & External Affairs (from November 2013) (PT – 70%)	14,083	-	-
Shaun Rafferty	Apartment 4, Drapers House, 10 York Place, Leeds LS1 2DS	Director of Central Services (PT – 57%) (From November 2011)	70,283	-	-
John Kennedy	64 The Green, Acomb, York YO26 5LR	Director of Care Services (PT – 47%)	59,444	3,549	-
Nigel Ingram	Newstones, Langton, Malton YO17 9QP	Director of Development and Asset Management (PT – 11%)	16,082	960	-
Paul Dack	5 Mill Mount, Crayke Road, Easingwold, York YO61 3PW	Director of Finance (PT – 50%)	61,798	4,184	-
Jacqueline Dale	22 Rowntree Wharf, Navigation Road, York YO1 9XA	Director of Housing and Community Services (PT – 16%)	19,676	1,173	-
John Hocking	162 Albermarle Road, York YO23 1HB	Executive Director (PT – 15%)	24,669	1,695	-
Emma Stone	22 Whiteley Wood Road, Sheffield S11 7SE	Director of Policy and Research (PT – 98%)	123,073	8,338	-

REF 98 – 0100375

FORM 990PF: 31 DECEMBER 2013

Part VIII, Section 1 Trustees' Emoluments

Name	Address	Title	Compensation	Contribution to employee benefit plans and deferred compensation	Expense account, other allowances
			\$	\$	\$
Gillian Ashmore	47 Lower Teddington Road, Hampton Wick, Kingston on Thames KT1 4HQ	Trustee	0	0	0
Jas Bains	21 Newbold Close, Bentley Heath, Solihull B93 9BS	Trustee	0	0	0
Don Brand MBE	Freshfields, Chapel Lane, Staplehurst, Kent TN12 0AJ	Trustee	0	0	0
Steven Burkeman	8 Whitby Avenue, York YO31 1ET	Trustee	0	0	0
Dr Ashok Jashapara – retired December 2013	The Barn, Festival Walk, Carshalton, Surrey SM5 3NY	Trustee	0	0	0
Dame Mavis McDonald DCB	18 Rothesay Avenue, Richmond, Surrey TW10 5EA	Trustee	0	0	0
Bharat Mehta OBE – retired August 2013	Trust for London, 6 Middle Street, London EC1A 7PH	Trustee	0	0	0
Graham Millar	The White House, Water End, York YO30 6LP	Trustee	0	0	0
Anthony Stoller CBE	16 Denham Close, Hyde, Winchester SO23 7BL	Trustee	0	0	0
Professor Dianne Willcocks CBE DL	61 Rowntree Wharf, Navigation Road, York YO1 9XA	Trustee	0	0	0

JOSEPH ROWNTREE FOUNDATION

REF 98 – 0100375

FORM 990PF: 31 DECEMBER 2013

Part VIII, Section 2 Higher Paid Employees

Name	Address	Title and Average hours per week devoted to position	Compensation	Contribution to employee benefit plans and deferred compensation	Expense account, other allowances
			\$	\$	\$
Chris Goulden	22 South Bank Avenue, York YO23 1EA	Head of Team (Poverty) (PT)	76,499	5,489	-
Philippa Hare	23 Castle Howard Drive, Malton YO17 7BA	Programme Manager – Ageing Society (PT)	75,617	5,426	-
Nancy Kelley	Flat 1, 123 First Avenue, Manor Park, London E12 6AL	Deputy Director – Policy and Research (PT)	86,181	6,143	-
Kathleen Kelly	37 Montague Street, York YO23 1JB	Programme Manager – Place (PT)	74,582	5,352	-
Claire Turner	37 Castle Lodge Avenue, Rothwell, Leeds LS26 0ZD	Head of Team (An Ageing Society) (PT)	75,926	5,450	-

JOSEPH ROWNTREE FOUNDATION

REF: 98-0100375

FORM 990PF: 31 DECEMBER 2013

PART 1 - LINE 11: OTHER INCOME

\$

Gift Aid

Underwriting Commission 463,652

VAT Recovery 3,312

Allotment Income 3,312

Class Action Income 1,656

501,738

Joseph Rowntree Foundation
Employer Identification Number 98-0100375
US Dividend Income 1 January 2013 to 31 December 2013

Portfolio Code	SEDOL	Asset Name	Units	Pay Date	Gross	Net	W/h tax	US w/h tax rate	EXRate	Dist Rate	Gross USD	US w/h tax	Net USD
ROW002	2778844	Merck & Co Inc Com USD0 50	11540	08-Jan-13	£3,080 77	£2,618 65	£462 12 15		1 6107	43	\$4,962 20	\$744 33	\$4,217 87
ROW002	B8P0S06	Kraft Foods Group COM NPV	2000	14-Jan-13	£621 50	£528 27	£93 23 15		1 60901	50	\$1,000 00	\$150 00	\$850 00
ROW002	B8CKK03	Mondelez International Inc COM USD0 01	6000	14-Jan-13	£484 77	£412 05	£72 72 15		1 60901	13	\$780 00	\$117 00	\$663 00
ROW002	2190385	JPMorgan Chase Com USD1	5180	31-Jan-13	£977 36	£830 76	£146 60 15		1 59	30	\$1,554 00	\$233 10	\$1,320 90
ROW002	2475833	Johnson & Johnson Com USD1 00	6300	12-Mar-13	£2,573 67	£2,187 62	£386 05 15		1 49320002	61	\$3,843 00	\$576 45	\$3,266 55
ROW002	2340168	M & T Bank Corp Com USD0 50	3954	29-Mar-13	£1,818 85	£1,546 02	£272 83 15		1 521729992	70	\$2,767 80	\$415 17	\$2,352 63
ROW002	2936921	Wal-Mart Stores, Inc Com USD0 10	8900	01-Apr-13	£2,734 52	£2,324 34	£410 18 15		1 5297	47	\$4,183 00	\$627 45	\$3,555 55
ROW002	2778844	Merck & Co Inc Com USD0 50	11540	05-Apr-13	£3,221 58	£2,738 34	£483 24 15		1 5403	43	\$4,962 20	\$744.33	\$4,217 87
ROW002	B8P0S06	Kraft Foods Group COM NPV	2000	12-Apr-13	£648 90	£551 56	£97 34 15		1 54106975	50	\$1,000 00	\$150 00	\$850 00
ROW002	B8CKK03	Mondelez International Inc COM USD0 01	6000	15-Apr-13	£508 09	£431 88	£76 21 15		1 535155	13	\$780 00	\$117 00	\$663 00
ROW002	2190385	JPMorgan Chase Com USD1	5180	30-Apr-13	£997 98	£848 28	£149 70 15		1 557147	30	\$1,554 00	\$233 10	\$1,320 90
ROW002	2936921	Wal-Mart Stores, Inc Com USD0 10	8900	03-Jun-13	£2,724 81	£2,316 09	£408 72 15		1 535155	47	\$4,183 00	\$627 45	\$3,555 55
ROW002	2005973	International Business Machines Com USD0 20	1150	10-Jun-13	£699 86	£594 88	£104 98 15		1 5610367	95	\$1,092 50	\$163 88	\$928 63
ROW002	2475833	Johnson & Johnson Com USD1 00	4212	11-Jun-13	£1,775 51	£1,509 18	£266 33 15		1 5657	66	\$2,779 92	\$416 99	\$2,362 93
ROW002	2340168	M & T Bank Corp Com USD0 50	3954	28-Jun-13	£1,817 89	£1,545 21	£272 68 15		1 522533	70	\$2,767 80	\$415 17	\$2,352 63
ROW002	2778844	Merck & Co Inc Com USD0 50	7852	08-Jul-13	£2,258 11	£1,919 39	£338 72 15		1 495215	43	\$3,376 36	\$506 45	\$2,869 91
ROW002	2190385	JPMorgan Chase Com USD1	5180	31-Jul-13	£1,292 19	£1,098 36	£193 83 15		1 5233	38	\$1,968 40	\$295 26	\$1,673 14
ROW002	2936921	Wal-Mart Stores, Inc Com USD0 10	8900	03-Sep-13	£2,685 49	£2,282 67	£402 82 15		1 557632	47	\$4,183 00	\$627 45	\$3,555 55
ROW002	2005973	International Business Machines Com USD0 20	1150	10-Sep-13	£694 50	£590 32	£104 18 15		1 573069	95	\$1,092 50	\$163 88	\$928 63
ROW002	2475833	Johnson & Johnson Com USD1 00	4212	10-Sep-13	£1,758 30	£1,494 55	£263 75 15		1 581027888	66	\$2,779 92	\$416 99	\$2,362 93
ROW002	2340168	M & T Bank Corp Com USD0 50	3954	30-Sep-13	£1,708 29	£1,452 05	£256 24 15		1 62022	70	\$2,767 80	\$415 17	\$2,352 63
ROW002	2190385	JPMorgan Chase Com USD1	5180	31-Oct-13	£1,223 22	£1,039 74	£183 48 15		1 6092	38	\$1,968 40	\$295 26	\$1,673 14
ROW002	2352118	Freeport-McMor C&G Com STK USD0 10	10800	01-Nov-13	£2,115 79	£1,798 42	£317 37 15		1 59515	31 25	\$3,375 00	\$506 25	\$2,868 75
ROW002	2005973	International Business Machines Com USD0 20	2140	10-Dec-13	£1,234 64	£1,049 44	£185 20 15		1 646632	95	\$2,033 00	\$304 95	\$1,728 05
ROW002	2475833	Johnson & Johnson Com USD1 00	4212	10-Dec-13	£1,696 03	£1,441 63	£254 40 15		1 639076053	66	\$2,779 92	\$416 99	\$2,362 93
ROW002	2714923	Qualcomm Inc \$0 0001	1879	19-Dec-13	£401 23	£341 05	£60 18 15		1 639075858	35	\$657 65	\$98 65	\$559 00
ROW002	2340168	M & T Bank Corp Com USD0 50	3954	31-Dec-13	£1,670 64	£1,420 04	£250 60 15		1 656726	70	\$2,767 80	\$415 17	\$2,352 63
											\$67,959 17	\$10,193 88	\$57,765 29
Sarasin at 4%- see schedule attached											\$458,376 77	\$ 18,335 06	\$ 440,041 71
Tax suffered a)											\$526,335 94	\$28,528 94	\$497,807 00
Tax due at 4% b)											\$526,335 94	\$21,053 44	\$505,282 50
Refund to claim a)- b)											<u>\$7,475 50</u>		

Joseph Rowntree Foundation
Employer Identification Number 98-0100375
US Dividend Income- Tax deducted at 4%
Sarasin & Partners LLP

ACCOUNT	ISIN	SECURITY NAME	LOC	PAY DATE	POSTING DATE	UNITS	RECLASS GROSS USD	ORIGINAL GROSS	ORIG TAX RATE	TAX AMOUNT	NET
G314509	US0311821009	AMGEN INC	DTC	08/07/13	06/06/13	8,478	\$ 3,984.66	3,984.66	4.00%	\$ 159.39	\$ 3,825.27
G314509	US0311821009	AMGEN INC	DTC	08/08/13	09/05/13	8,478	\$ 3,984.66	3,984.66	4.00%	\$ 159.39	\$ 3,825.27
G314509	US0311821009	AMGEN INC	DTC	12/06/13	12/05/13	13,918	\$ 6,541.46	6,541.46	4.00%	\$ 261.66	\$ 6,279.80
G314509	US0374111054	APACHE CORP	DTC	08/22/13	08/21/13	5,600	\$ 1,120.00	1,120.00	4.00%	\$ 44.80	\$ 1,075.20
G314509	US0374111054	APACHE CORP	DTC	11/22/13	11/21/13	9,000	\$ 1,800.00	1,800.00	4.00%	\$ 72.00	\$ 1,728.00
G314509	US0530151036	AUTOMATIC DATA PROCESSING I USD 0 1	DTC	01/02/13	12/31/12	18,800	\$ 8,178.00	8,178.00	4.00%	\$ 327.12	\$ 7,850.88
G314509	US0530151036	AUTOMATIC DATA PROCESSING I USD 0 1	DTC	04/01/13	03/29/13	11,900	\$ 5,176.50	5,176.50	4.00%	\$ 207.06	\$ 4,969.44
G314509	US0530151036	AUTOMATIC DATA PROCESSING I USD 0 1	DTC	07/01/13	06/28/13	11,900	\$ 5,176.50	5,176.50	4.00%	\$ 207.06	\$ 4,969.44
G314509	US0530151036	AUTOMATIC DATA PROCESSING I USD 0 1	DTC	10/01/13	09/30/13	16,639	\$ 7,237.97	7,237.97	4.00%	\$ 289.52	\$ 6,948.45
G314509	US0997241064	BORG WARNER INC	DTC	08/15/13	08/14/13	15,517	\$ 3,879.25	3,879.25	4.00%	\$ 155.17	\$ 3,724.08
G314509	US0997241064	BORG WARNER INC	DTC	11/15/13	11/14/13	15,517	\$ 3,879.25	3,879.25	4.00%	\$ 155.17	\$ 3,724.08
G314509	US1729874242	CITIGROUP INC USD 0 01	DTC	02/22/13	02/21/13	25,870	\$ 258.70	258.70	4.00%	\$ 10.35	\$ 248.35
G314509	US1729874242	CITIGROUP INC USD 0 01	DTC	05/24/13	05/23/13	29,970	\$ 299.70	299.70	4.00%	\$ 11.99	\$ 287.71
G314509	US1729874242	CITIGROUP INC USD 0 01	DTC	08/23/13	08/22/13	29,970	\$ 299.70	299.70	4.00%	\$ 11.99	\$ 287.71
G314509	US1729874242	CITIGROUP INC USD 0 01	DTC	11/22/13	11/21/13	29,970	\$ 299.70	299.70	4.00%	\$ 11.99	\$ 287.71
G314509	US1912161007	COCA COLA CO	DTC	04/01/13	03/29/13	32,210	\$ 9,018.80	9,018.80	4.00%	\$ 360.75	\$ 8,658.05
G314509	US1912161007	COCA COLA CO	DTC	07/01/13	06/28/13	32,210	\$ 9,018.80	9,018.80	4.00%	\$ 360.75	\$ 8,658.05
G314509	US22180K1051	COSTCO WHSL CORP NEW	DTC	02/22/13	02/21/13	11,012	\$ 3,028.30	3,028.30	4.00%	\$ 121.13	\$ 2,907.17
G314509	US22180K1051	COSTCO WHSL CORP NEW	DTC	05/31/13	05/30/13	11,012	\$ 3,413.72	3,413.72	4.00%	\$ 136.55	\$ 3,277.17
G314509	US22180K1051	COSTCO WHSL CORP NEW	DTC	08/23/13	08/22/13	12,464	\$ 3,863.84	3,863.84	4.00%	\$ 154.55	\$ 3,709.29
G314509	US22180K1051	COSTCO WHSL CORP NEW	DTC	11/29/13	11/28/13	12,464	\$ 3,863.84	3,863.84	4.00%	\$ 154.55	\$ 3,709.29
G314509	US24702R1014	DELL INC COM	DTC	01/23/13	01/22/13	27,850	\$ 2,228.00	2,228.00	4.00%	\$ 89.12	\$ 2,138.88
G314509	US2788651006	ECOLAB INC	DTC	10/15/13	10/14/13	9,930	\$ 2,283.90	2,283.90	4.00%	\$ 91.36	\$ 2,192.54
G314509	US2910111044	EMERSON ELEC CO	DTC	03/11/13	03/08/13	19,500	\$ 7,995.00	7,995.00	4.00%	\$ 319.80	\$ 7,675.20
G314509	US2910111044	EMERSON ELEC CO	DTC	06/10/13	06/07/13	19,500	\$ 7,995.00	7,995.00	4.00%	\$ 319.80	\$ 7,675.20
G314509	US2910111044	EMERSON ELEC CO	DTC	09/10/13	09/10/13	19,500	\$ 7,995.00	7,995.00	4.00%	\$ 319.80	\$ 7,675.20
G314509	US2910111044	EMERSON ELEC CO	DTC	12/10/13	12/09/13	19,500	\$ 8,385.00	8,385.00	4.00%	\$ 335.40	\$ 8,049.60
G314509	US4062161017	HALLIBURTON CO	DTC	03/27/13	03/26/13	24,800	\$ 3,100.00	3,100.00	4.00%	\$ 124.00	\$ 2,976.00
G314509	US4062161017	HALLIBURTON CO	DTC	06/26/13	06/25/13	24,800	\$ 3,100.00	3,100.00	4.00%	\$ 124.00	\$ 2,976.00
G314509	US4062161017	HALLIBURTON CO	DTC	09/25/13	09/24/13	24,800	\$ 3,100.00	3,100.00	4.00%	\$ 124.00	\$ 2,976.00
G314509	US4062161017	HALLIBURTON CO	DTC	12/27/13	12/26/13	24,800	\$ 3,720.00	3,720.00	4.00%	\$ 148.80	\$ 3,571.20
G314509	US4165151048	HARTFORD FINL SVCS GROUP INC	DTC	01/02/13	12/31/12	60,300	\$ 6,030.00	6,030.00	4.00%	\$ 241.20	\$ 5,788.80
G314509	US4165151048	HARTFORD FINL SVCS GROUP INC	DTC	04/01/13	03/29/13	60,300	\$ 6,030.00	6,030.00	4.00%	\$ 241.20	\$ 5,788.80
G314509	US4165151048	HARTFORD FINL SVCS GROUP INC	DTC	07/01/13	06/28/13	60,300	\$ 6,030.00	6,030.00	4.00%	\$ 241.20	\$ 5,788.80
G314509	US4165151048	HARTFORD FINL SVCS GROUP INC	DTC	10/01/13	09/30/13	37,750	\$ 5,662.50	5,662.50	4.00%	\$ 226.50	\$ 5,436.00
G314509	US4370781029	HOME DEPOT INC	DTC	03/28/13	03/27/13	20,350	\$ 7,936.50	7,936.50	4.00%	\$ 317.46	\$ 7,619.04
G314509	US4370781029	HOME DEPOT INC	DTC	06/20/13	06/19/13	20,350	\$ 7,936.50	7,936.50	4.00%	\$ 317.46	\$ 7,619.04
G314509	US4370781029	HOME DEPOT INC	DTC	09/19/13	09/18/13	15,445	\$ 6,023.55	6,023.55	4.00%	\$ 240.94	\$ 5,782.61
G314509	US4582001014	INTERNATIONAL BUSINESS MACHS CORP	DTC	03/09/13	03/08/13	3,840	\$ 3,264.00	3,264.00	4.00%	\$ 130.56	\$ 3,133.44

G314509	US4592001014	INTERNATIONAL BUSINESS MACHS CORP	DTC	06/10/13	06/07/13	3 840	\$	3,648 00	3,648 00	4 00%	\$ 145 92	\$ 3 502 08
G314509	US4595061015	INTERNATIONAL FLAVORS + F USD 0 125	DTC	04/04/13	04/03/13	9 600	\$	3,264 00	3 264 00	4 00%	\$ 130 56	\$ 3,133 44
G314509	US4595061015	INTERNATIONAL FLAVORS + F USD 0 125	DTC	07/11/13	07/10/13	9 600	\$	3,264 00	3,264 00	4 00%	\$ 130 56	\$ 3,133 44
G314509	US4595061015	INTERNATIONAL FLAVORS + F USD 0 125	DTC	10/10/13	10/09/13	9 600	\$	3 744 00	3 744 00	4 00%	\$ 149 76	\$ 3 594 24
G314509	US4601461035	INTL PAPER COM USD1	DTC	12/16/13	12/13/13	18 800	\$	6,580 00	6 580 00	4 00%	\$ 263 20	\$ 6,316 80
G314509	US4606901001	INTERPUBLIC GROUP COS INC USD 0 1	DTC	03/25/13	03/22/13	54 200	\$	4,065 00	4,065 00	4 00%	\$ 162 60	\$ 3,902 40
G314509	US4606901001	INTERPUBLIC GROUP COS INC USD 0 1	DTC	06/21/13	06/20/13	68 000	\$	5 100 00	5 100 00	4 00%	\$ 204 00	\$ 4,896 00
G314509	US4606901001	INTERPUBLIC GROUP COS INC USD 0 1	DTC	09/17/13	09/16/13	68,000	\$	5 100 00	5,100 00	4 00%	\$ 204 00	\$ 4 896 00
G314509	US4851703029	KANSAS CITY SOUTH USD 0 01	DTC	04/03/13	04/02/13	6,500	\$	1,397 50	1,397 50	4 00%	\$ 55 90	\$ 1,341 60
G314509	US4851703029	KANSAS CITY SOUTH USD 0 01	DTC	07/03/13	07/02/13	5,300	\$	1 139 50	1,139 50	4 00%	\$ 45 58	\$ 1 093 92
G314509	US4851703029	KANSAS CITY SOUTH USD 0 01	DTC	10/02/13	10/01/13	5,300	\$	1,139 50	1,139 50	4 00%	\$ 45 58	\$ 1 093 92
G314509	US5949181045	MICROSOFT CORP	DTC	03/14/13	03/13/13	31,460	\$	7,235 80	7,235 80	4 00%	\$ 289 43	\$ 6 946 37
G314509	US5949181045	MICROSOFT CORP	DTC	06/13/13	06/12/13	31,460	\$	7,235 80	7,235 80	4 00%	\$ 289 43	\$ 6,946 37
G314509	US5949181045	MICROSOFT CORP	DTC	09/12/13	09/11/13	34 860	\$	8,017 80	8,017 80	4 00%	\$ 320 71	\$ 7,897 09
G314509	US6745991058	OCCIDENTAL PETE CORP	DTC	04/15/13	04/12/13	13,400	\$	8,576 00	8 576 00	4 00%	\$ 343 04	\$ 8,232 96
G314509	US6745991058	OCCIDENTAL PETE CORP	DTC	07/15/13	07/15/13	13 400	\$	8 576 00	8,576 00	4 00%	\$ 343 04	\$ 8 232 96
G314509	US6934751057	PNC FINL SVCS GROUP INC USD 5 0	DTC	02/05/13	02/04/13	23 650	\$	9 460 00	9,460 00	4 00%	\$ 378 40	\$ 9 081 60
G314509	US6934751057	PNC FINL SVCS GROUP INC USD 5 0	DTC	05/05/13	05/03/13	18 900	\$	8,316 00	8,316 00	4 00%	\$ 332 64	\$ 7 983 36
G314509	US6934751057	PNC FINL SVCS GROUP INC USD 5 0	DTC	08/05/13	08/02/13	18,900	\$	8,316 00	8 316 00	4 00%	\$ 332 64	\$ 7 983 36
G314509	US6934751057	PNC FINL SVCS GROUP INC USD 5 0	DTC	11/05/13	11/04/13	18,900	\$	8,316 00	8 316 00	4 00%	\$ 332 64	\$ 7 983 36
G314509	US7170811035	PFIZER INC	DTC	03/05/13	03/04/13	56,070	\$	13,456 80	13,456 80	4 00%	\$ 538 27	\$ 12 918 53
G314509	US7170811035	PFIZER INC	DTC	06/04/13	06/03/13	56,070	\$	13,456 80	13,456 80	4 00%	\$ 538 27	\$ 12 918 53
G314509	US7170811035	PFIZER INC	DTC	09/04/13	09/03/13	56,070	\$	13,456 80	13,456 80	4 00%	\$ 538 27	\$ 12,918 53
G314509	US7170811035	PFIZER INC	DTC	12/03/13	12/02/13	56,070	\$	13,456 80	13,456 80	4 00%	\$ 538 27	\$ 12 918 53
G314509	US85590A4013	STARWOOD H COM STK USD0 01	DTC	12/27/13	12/26/13	12,639	\$	17,062 65	17 062 65	4 00%	\$ 682 51	\$ 16,380 14
G314509	US8865471085	TIFFANY + CO NEW USD 0 01	DTC	07/10/13	07/09/13	7 500	\$	2,550 00	2,550 00	4 00%	\$ 102 00	\$ 2,448 00
G314509	US8865471085	TIFFANY + CO NEW USD 0 01	DTC	10/10/13	10/09/13	10,700	\$	3,638 00	3,638 00	4 00%	\$ 145 52	\$ 3,492 48
G314509	US8873173038	TIME WARNER INC NEW USD 0 01	DTC	03/15/13	03/14/13	25 003	\$	7 188 38	7,188 38	4 00%	\$ 287 53	\$ 6,900 83
G314509	US8873173038	TIME WARNER INC NEW USD 0 01	DTC	06/15/13	06/14/13	14 863	\$	4 273 11	4 273 11	4 00%	\$ 170 92	\$ 4,102 19
G314509	US91913Y1001	VALERO ENERGY CORP COM NEW	DTC	06/19/13	06/18/13	12 380	\$	2 478 00	2,478 00	4 00%	\$ 99 04	\$ 2,376 96
G314509	US91913Y1001	VALERO ENERGY CORP COM NEW	DTC	09/11/13	09/10/13	17,230	\$	3,876 75	3 876 75	4 00%	\$ 155 07	\$ 3,721 68
G314509	US91913Y1001	VALERO ENERGY CORP COM NEW	DTC	12/18/13	12/17/13	17,230	\$	3 876 75	3 876 75	4 00%	\$ 155 07	\$ 3,721 68
G314509	US92343V1044	VERIZON COMMUNICATIONS	DTC	02/01/13	01/31/13	30 000	\$	15 450 00	15 450 00	4 00%	\$ 618 00	\$ 14,832 00
G314509	US92343V1044	VERIZON COMMUNICATIONS	DTC	05/01/13	04/30/13	30 000	\$	15 450 00	15,450 00	4 00%	\$ 618 00	\$ 14 832 00
G314509	US92343V1044	VERIZON COMMUNICATIONS	DTC	08/01/13	07/31/13	30,000	\$	15 450 00	15,450 00	4 00%	\$ 618 00	\$ 14 832 00
G314509	US9884981013	YUM BRANDS INC	DTC	02/01/13	01/31/13	7 950	\$	2 663 25	2,663 25	4 00%	\$ 106 53	\$ 2,556 72
G314509	US9884981013	YUM BRANDS INC	DTC	05/03/13	05/02/13	7,950	\$	2 663 25	2,663 25	4 00%	\$ 106 53	\$ 2,556 72
G314509	US9884981013	YUM BRANDS INC	DTC	08/02/13	08/01/13	17,450	\$	5,845 75	5 845 75	4 00%	\$ 233 83	\$ 5,611 92
G314509	US9884981013	YUM BRANDS INC	DTC	11/01/13	10/31/13	17 450	\$	6 456 50	6,456 50	4 00%	\$ 258 26	\$ 6 198 24
							\$	458,376 77	\$ 458,376 77		\$ 18,335 06	\$ 440,041 71

REF NO:
ID: 803976

JOSEPH ROWNTREE FOUNDATION
Project Funding Agreement

PARTIES

The Trustees of the Joseph Rowntree Foundation, The Homestead, 40 Water End, York YO30 6WP ("the Foundation").

«Grantee_Organization_Name», «Grantee_Organization_Street_Address», «Grantee_Organization_City», «Grantee_Organization_PostalCode» ("the Organisation").

1 INTRODUCTION

The Joseph Rowntree Foundation funds a programme of research and development directed to fields of housing, social care and social policy. By entering into a contractual agreement with those engaged in the programme, the Foundation's intention is to clarify the obligations on both sides in order to ensure that worthwhile results emerge from projects and that the resources of the Foundation are spent in the most productive way.

This Agreement specifies minimum requirements. However, the Foundation's expectations are always higher than these. It takes a close interest in the progress of each project it supports. Its continuing involvement is never intended to be one of interference but of concern to ensure that the project has access to the best available advice and support. In this way, a creative and fruitful relationship can emerge which may, in some cases, involve modification or elaboration of the original proposal in order to obtain results of most value in achieving the Foundation's objective of 'searching out the causes of weakness and social evil'.

The Agreement shall be governed by and construed in accordance with English Law and the parties agree to submit to the exclusive jurisdiction of the English Courts.

Neither party shall be under any liability to the other for any breach of any term of this Agreement or any failure or delay in performance under this Agreement arising as a result of any cause beyond its reasonable control, including but not limited to any act of God, fire, flood, earthquake, storm, epidemic, or drought.

2 PROJECT TITLE

«Request_Project_Title»

3 START OF THE PROJECT

The Project will start on «Request_Project_Start_Date».

4 COMPLETION OF THE PROJECT

The parties agree that the Project can realistically be completed by «Request_Project_End_Date»

5 DEFINITIONS

"The Project"	The research or demonstration project, set out in the Proposal.
"The Proposal"	The paper annexed at Schedule 1 which has been approved by the Foundation.
"Minimum Outputs"	Project Report and Summary Findings, unless otherwise mutually agreed.
"Completion of the Project".	The date when the minimum outputs as specified in Schedule 2 of this Agreement have been received by the Foundation.
"Materials"	All documents and products created or used by the Organisation as part of the Project.

6 OBLIGATIONS OF THE FOUNDATION

The Foundation agrees

- 6.1 To reimburse the Organisation for the costs incurred in carrying out the Project in accordance with the approved budget set out at Schedule 3, subject to the right of withholding funds set out in Clause 8.
- 6.2 To meet the increased costs of salary awards during the period of the Agreement which arise from nationally negotiated agreements, or are based on such agreements.
- 6.3 To reimburse the Organisation for the cost of meetings of Advisory Groups which may be set up by the Foundation in accordance with Clause 11 of the Agreement.
- 6.4 Unless the Foundation has indicated otherwise, to allow the Organisation to re-allocate up to 5% or £1000 if higher, of the sum in any heading in the approved budget to a different heading so long as the total budget is not increased and the scope and outputs of the Project are not affected.

6.5 To consider requests from the Organisation for changes to the Project, including changes to the budget not covered under Clause 6.2 or Clause 6.4.

6.6 To make all payments due to the Organisation under this Agreement within 28 days of the date of receipt of each claim.

7 OBLIGATIONS OF THE ORGANISATION

The Organisation agrees:

7.1 To use all reasonable endeavours to carry out the Project in accordance with the Proposal and to complete it as set out in Clause 7.

7.2 To use all reasonable endeavours to ensure that those working on the project are familiar with and abide by appropriate ethical guidelines. A copy of the Ethical Guidelines issued by the Social Research Association has already been sent to you. Where particular ethical considerations arise from research proposals to satisfy itself that appropriate consents have been obtained before the research begins.

7.3 Where individuals are named in the Proposal as having agreed expressly, or by implication, to participate in the Project, to use all reasonable endeavours to ensure they work on the Project, in the capacity specified, throughout the Project's duration:

7.4 Where the Proposal states that some person of a particular grade, eg a Research Fellow, will devote a certain period of time to the Project, to ensure that the work is carried out by a person of that grade or above for at least that period.

7.5 To make no material changes to the levels of project staffing or named personnel, or to the specified time any of them shall be required to devote to the project without the prior approval of the Foundation, such approval not to be unreasonably refused or delayed.

7.6 To report to the project's liaison person at the Foundation, as soon as it shall become reasonably known, any material change which might affect the cost or the date of completion of the project, including any additional funding from sources not disclosed at the time of application.

7.7 To submit a revised budget in the same format as Schedule 3 which incorporates changes to the approved budget arising from nationally agreed salary awards.

7.8 To submit claims to the Foundation in the same format as Schedule 3 for reimbursement of costs incurred on the Project: such claims to be

made at intervals of not less than 3 months.

- 7.9 To provide information promptly in response to any reasonable request made by the Foundation concerning the Project.
- 7.10 To submit interim and final reports at the intervals and in the form specified in Schedule 2.
- 7.11 To submit a copy of any press release about the Project to the Foundation's Associate Director of Public Affairs at the draft stage.
- 7.12 At least three months prior to the Project's end, to finalise the content and form of the outputs specified in Schedule 2 with the Project's liaison person at the Foundation.
- 7.13 To use all reasonable endeavours to ensure that the outputs from the research are properly evaluated by peer review or otherwise, and that appropriate care is taken to disseminate the results of the work in an appropriate way.
- 7.14 To co-operate with an external editor if the Foundation believes that this would improve the quality of the project's output.
- 7.15 In all publications arising from the Project to give full acknowledgement to the Foundation, such acknowledgement to be in the standard format.
- 7.16 To send two copies of any book or report arising from the Project to the Foundation's Librarian.

8 WITHHOLDING OF FUNDS

It is agreed the Foundation may withhold funds if the Organisation has unreasonably failed, after due warning, to produce an agreed output as specified in Schedule 2. In these circumstances all or part of the next instalment of funds will be withheld until such time as the agreed output has been received. The final payment will not be made until the agreed minimum outputs have been received.

9 TERMINATION OF AGREEMENT

- 9.1 In the event that either party shall commit any breach of or default in any of the terms or conditions of this Agreement, and also shall fail to remedy such a default or breach within ninety (90) days after the receipt of written notice thereof from the other party, the party giving notice may, at its option and in addition to any other remedies which it may have at law or in equity, terminate this Agreement by sending notice of termination in writing to the other party, and such termination shall be effective as of the date of receipt of such notice of termination.

- 9.2 Termination of this Agreement by either party for any reason shall not affect the rights and obligations of the parties accrued prior to the effective date of termination of this Agreement including the assignment in clause 10.1, the licence in clause 10.2 and the warranties in clause 10.8 which shall continue in force unaffected.

10 COPYRIGHT

- 10.1 The Organisation hereby assigns without payment to the Foundation the entire copyright in the Summary Findings referred to in clause 5 and all other rights of a like nature in and to the Summary Findings throughout the world for the full legal term of copyright and all renewals and extensions there.
- 10.2 The Organisation hereby grants to the Foundation the exclusive right and royalty-free licence to reproduce, communicate and make available to the public, distribute and adapt the Project Report (or its equivalent) as referred to in clause 5 in printed, electronic or any other medium in all languages throughout the world for the full legal term of copyright, and further to authorise third parties to do the same. The right to adapt the Project Report includes those activities concerned with editing, translating into languages other than English, converting to electronic formats, abridging and otherwise amending for the purposes of dissemination to as large an audience as possible. Editing by the Foundation of each report manuscript is meticulous but aims to maintain the writer's true representation of the research results.
- 10.3 The copyright and all other intellectual rights in all Materials other than the Summary Findings shall remain with the Organisation or, where the Organisation does not own the copyright, the owner of the copyright in the Materials.
- 10.4 The Foundation encourages the widest possible dissemination by the Organisation of Materials in addition to the Minimum Outputs such as, but not limited to, audio-visual material, journal articles, conference papers or books.
- 10.5 Any arrangement for publication by the Foundation of other such Project outputs shall be subject to a non-exclusive grant of licence, the terms of which shall be mutually agreed between the Foundation and the Organisation.
- 10.6 The Organisation agrees not to publish, or authorise others to publish, any other outputs or Materials generated from the Project (including, but not limited to, conference papers) funded by the Foundation without the consent of the Foundation until the Foundation or an authorised third party has first published the Minimum Outputs as defined in clause 5.

Such permission would not be unreasonably withheld.

10.7 Upon publication and in order to encourage the widest possible dissemination of the Minimum Outputs, the Foundation grants the Organisation the right to deposit the Summary Findings and the Project Report in the Organisation's authorised open access institutional repository by providing the appropriate links to their locations on the Foundation's website.

10.8 In accordance with Section 9(2)(d) of the Copyright, Designs and Patents Act 1988, copyright in the typographical arrangement of the published editions of the Minimum Outputs shall be owned by the Foundation and/or any authorised third party.

10.8.1 The Foundation agrees that the following copyright notice shall appear on all copies of the published editions of the Project Report: © «Grantee_Organization_Name».

10.9 The Organisation warrants to the Foundation that:

10.9.1 Where the Materials have been created as part of the Project they are the original works of their author(s) and the copyright of the Organisation and contain nothing defamatory or libellous;

10.9.2 Where the copyright and/or other intellectual property rights in the Materials are not owned by the Organisation, the Organisation has all necessary consents and licences to use and to authorise the Foundation to use the Materials for the purposes contemplated by this Agreement;

10.9.3 Use of the Materials by the Foundation or by any third party with the consent of the Foundation will not infringe the copyright or other intellectual property rights of any third party.

11 ADVISORY GROUPS

The Foundation may appoint an Advisory Group to the Project after prior discussion with the Organisation. The first meeting should be within a reasonable time after the start of the project and be agreed with the Foundation.

12 CHANGES TO THE PROJECT

Any application to vary the Project by, for example, changing the nature of the work or the staffing levels, or extending the period of the Project, shall be made in writing to the Director of Research. The Foundation agrees not to unreasonably withhold or delay agreement to such changes. Any letters agreeing such variation shall constitute an amendment to this Agreement.

SCHEDULES

Schedule 1

The Proposal

Schedule 2

Dates and form of interim report
Minimum expected outputs

Schedule 3

The agreed budget

Signed on behalf of The Foundation: «Letter Signer» «Letter Signers Position»	Date:
Signed on behalf of The Organisation (Authorised Signatory) Please print name: Designation:	Date:

Joseph Rowntree Foundation
 Ref 98-0100375
 Form 990PF: 31 December 2013
 Part XV Line 3b

Project/Proposal	Organisation	£
Ageing society - Delivering on our plan	Joseph Rowntree Foundation	9,122 00
Ageing society - Dementia without walls	Joseph Rowntree Foundation	94,731 33
Poverty - An anti-poverty strategy for the UK	Joseph Rowntree Foundation	40,233 81
Poverty - Minimum income standards (MIS)	Joseph Rowntree Foundation	20,700 00
Poverty - Becoming an anti-poverty employer	Joseph Rowntree Foundation	14,000 00
Poverty - Poverty and ethnicity	Joseph Rowntree Foundation	2,105 00
Poverty - MIS 2014-2016	Joseph Rowntree Foundation	820 00
Place - Derwenthorpe design, behaviour and inclusion	Joseph Rowntree Foundation	26,492 00
Place - Housing and poverty	Joseph Rowntree Foundation	10,124 00
Place - Housing market taskforce and influencing	Joseph Rowntree Foundation	3,000 00
Place - Cities, growth and poverty	Joseph Rowntree Foundation	2,670 00
Place - Climate Change and Communities	Joseph Rowntree Foundation	2,480 00
Cross-theme - Living through austerity serving deprived communities	Joseph Rowntree Foundation	23,500 00
Cross theme - Data strategy	Joseph Rowntree Foundation	9,706 00
Ageing society - Neighbourhood approaches to loneliness	Joseph Rowntree Foundation	95,889 00
Ageing society - A better life vision and roadmap	Joseph Rowntree Foundation	6,997 50
Ageing society - Risk, trust and relationships in an ageing society	Joseph Rowntree Foundation	2,939 20
Ageing society - Care homes risks and relationships	Joseph Rowntree Foundation	2,000 00
Facilitation of the National Co-Production Critical Friends Group	New Economic Foundation	10,200 00
Implementing Council Tax support what English local authorities decide to do	New Policy Institute	738 00
Community relations in Northern Ireland	Community Relations Council	51,925 00
Census - ethnicity - change	University of Manchester	15,000 00
How much does money matter - a review 10958	London School of Economics	17,280 00
Institutional care and poverty evidence and policy review	Centre for Crime and Justice	5,543 55
International and historical anti-poverty strategies evidence and policy review	New Policy Institute	5,063 00
How much does money matter advisor	John Velt-Wilson	5,000 00
Exploring the relationship between poverty and ethnicity in Wales	CAST ContinYOU	2,000 00
Universal Credit, income tax and poverty evidence and policy review	Policy in Practice	630 00
Poverty and Ethnicity in Wales dissemination strategy	People and Work Unit	450 00
Navigating the landscape for community action what role for risk, trust and confidence	Red Consultancy	3,427 80
Dementia self-help evaluation project	Mental Health Foundation	2,000 00
Party Conference 2012 & 2013	Total Politics	20,000 00
Strategic partnership with the Living Wage Foundation	Citizens UK	100,000 00
Annual intern programme	Joseph Rowntree Foundation	55,000 00
Living Standards, Poverty & Inequality in the UK 2014	Institute for Fiscal Studies	39,980 00
Impact of bedroom limit on claimants and landlords	Steve Wilcox	33,330 00
Skills for Growth	2020 Public Services	30,043 00
'Simple but not simplistic' - developing evidence enriched practice using 'A Better Life'	Swansea University	29,320 00
'Simple but not simplistic' - developing evidence enriched practice using 'A Better Life'	Swansea University	28,652 00
The impact of welfare reform on housing association and local authority tenants	Together for Peace	20,000 00
Council Tax Support 2013/2014	New Policy Institute	19,797 00
A framework of indicators for understanding poverty	Centre for Local Economic Strategies	19,152 00
Understanding the policy landscape and channels of communication Evaluation of the	Royal Society of Arts	18,716 00
Changing inequalities in individual incomes	London School of Economics	16,355 00
Supporting the design of an internal monitoring and evaluation system for JRF program	Isobel Vogel Ltd	16,000 00
Staff, Professional and Parental Perspectives on the Social Factors Influencing Child	University of Bradford	15,000 00
The impact of welfare reform on housing association and local authority tenants	London School of Economics	13,500 00
Anti-poverty strategy for the UK Communications strand	Joseph Rowntree Foundation	10,000 00
Bradford Moor Cultural Community Markets	Nageena Khan	10,000 00
Welfare-to-Work Devolution in England	University of Portsmouth	9,993 00
Conference 'A Vision for Learning, Creating a Cohesive Learning Community in your	Schools Linking Network	8,000 00
Sanctions, support and behaviour change a round-up for JRF	Henot Watt University	7,674 00
Round-up on debt, aimed at housing professionals	Grahame Whitfield	7,000 00
Modelling poverty	IPPR	6,000 00
Preparation of response to Bradford Council Public Consultation	Wordfern Ltd	5,400 00
Positive Bradford 2013	Candelsa People	5,000 00
Community Care Live	Joseph Rowntree Foundation	4,400 00
Festival of Ideas (York and Bristol)	Joseph Rowntree Foundation	4,000 00
Workers on benefits	New Policy Institute	3,915 00
Summary of learning from two Bradford evaluation reports	Sharon Telfer	3,300 00
A Citizens Income as a potential route to income adequacy	Donald Hirsch	2,500 00
'New pages on assisting destitute migrants' on the Housing Rights Website	Chartered Institute of Housing	2,400 00
Sponsorship of ILC-UK and the Actuaal Profession debate 'Public Service and Demo	International Longevity Centre - UK	2,000 00
Lower poverty in Scotland	University of Glasgow	1,987 00
Bradford areas, wards and neighbourhoods working in neighbourhoods (WIN)	Halifax Opportunities Trust	1,400 00
Race Equality Foundation input into York event on Health Inequalities	Race Equality Forum	1,145 00
"Banishing the Blues" Polish translation	Positive Minds	1,080 00
Does money matter more for people on lower incomes	Kitty Stewart	900 00
Strategic Responsive Grant to the Bevan Foundation (2014-2017)	Bevan Foundation	100,000 00
Strengthening the voluntary sector's voice in welfare reform	National Council for Voluntary Organisations	44,867 00
Public attitudes towards poverty	IPSOS MORI Social Research	37,500 00
Part-funding towards the Comm-Unit-Ty project	University of Bradford	35,000 00
Funding of the Rowntree Society 2014-2016	Rowntree Society	25,000 00
Public attitudes deep and depressed labour markets	IPSOS MORI Social Research	20,040 00
Review of JRF and JRHT role and place-based work in York	Simon Town Consultancy	19,600 00
Contribution to the Julie Cowans Memorial Trust	Julie Cowans Memorial Trust	15,000 00
Donation to Campaign for Social Sciences	Academy of Social Science	10,000 00
Enabling a consortium of disabled people's organisations to develop an agenda for dis	Equal Lives	10,000 00
European Network for Housing Research Conference	Henot Watt University	10,000 00
What can Twitter tell us about attitudes towards immigration?	DEMOS	10,000 00
Donation to York Foodbank	York Foodbank	5,000 00
International Workshop on whiteness, class and politics	Coventry University	5,000 00
Beyond the Tea Dance	Stephen Joseph Theatre	2,500 00
Donation following Julia Unwin's Bevendge Lecture at Toynbee Hall	Toynbee Hall	2,000 00
Run for All Limited	Run For All	600 00
A minimum income standard for the UK updating and influencing 2013 - 2016	Loughborough University	483,604 00
Poverty and ethnicity programme - Communications	Joseph Rowntree Foundation	93,425 00
Identifying sustainable pathways out of in-work poverty	University of York	80,000 00
Political visions	Prospect Magazine	63,600 00
Projecting employment by ethnic group to 2022	University of Warwick	48,349 00
Poverty Alliance participatory partnership - community engagement	Poverty Alliance	47,651 00
Anti-Poverty Strategy Visions Project	Joseph Rowntree Foundation	40,000 00
Employment, pay and poverty evidence and policy review	University of Westminster	39,947 00
Disability, mental health, impairments, long-term conditions and poverty evidence and	New Policy Institute	39,855 00
Personal relationships and poverty evidence and policy review	Tavistock Institute of Human Relations	39,261 00
Institutional care and poverty evidence and policy review	Centre for Crime and Justice	39,102 00

Poverty and ethnicity the impact of occupational segregation	University of Essex	38,509 00
Gender and poverty evidence and policy review	The Chancellor, Masters and Scholars c	36,435 00
International and historical anti-poverty strategies evidence and policy review	New Policy Institute	34,365 00
Area regeneration and localisation evidence and policy review	Sheffield Hallam University	35,815 00
How much does money matter? - communications	Joseph Rowntree Foundation	25,920 00
How are poverty, ethnicity and social networks related?	University of Manchester	24,745 00
Poverty across ethnic groups through recession and austerity	University of Essex	23,961 00
Homelessness and poverty reviewing the links	Henot Watt University	21,968 00
Take-up of benefits and poverty evidence and policy review	Centre for Economic and Social Inclusio	20,000 00
Devolution and poverty evidence and policy review	IPPR	20,000 00
Transport and poverty evidence and policy review	University College of London	19,978 00
Problem substance use and poverty evidence and policy review	University of West Scotland	19,948 00
Well-being and poverty evidence and policy review	Leeds Metropolitan University	19,757 00
Energy costs and poverty evidence and policy review	Centre for Sustainable Energy	19,750 00
Adult and tertiary education and poverty evidence and policy review	University of Manchester	19,694 00
Poverty and Ethnicity Programme Initial Evaluation	Cambridge Policy Consultants	19,680 00
Cost of Living and Poverty Evidence Review	New Policy Institute	19,680 00
Advice and support and poverty evidence and policy review	Centre for Economic and Social Inclusio	19,536 00
Family structure and poverty evidence and policy review	University of Lincoln	19,149 00
Means-testing, universalism and poverty evidence and policy review	Loughborough University	18,975 00
Anti-poverty strategy - collaborative event 2	University of Cambridge	18,594 00
Migration and poverty evidence and policy review	Keele University	18,054 00
Seminar series - markets and services	Social Market Foundation	17,880 00
Becoming an anti-poverty employer - communications	Joseph Rowntree Foundation	15,000 00
Seminar series - money	National Institute of Economic and Soci	12,093 00
Seminar series - complex needs	DEMOS	11,500 00
Ethnic Economic Inequalities Residential and Occupational Disadvantage	University fo Liverpool	10,673 00
The health system and poverty	The Kings Fund	10,175 00
Credit, debt and poverty review	University of Bnsto	10,000 00
Savings, wealth and assets and poverty review	University of Dundee	10,000 00
Exploring the link between demographic change & poverty	University of Southampton	10,000 00
Theory reviews - economic theory	National Institute of Economic and Soci	10,000 00
Review of the relationship between religion and poverty	Nuffield College	10,000 00
The informal economy and poverty evidence and policy review	University of Sheffield	9,997 00
Primary and secondary education and poverty review	Institute of Education	9,997 00
Theory reviews - psychology	University of Oxford	9,996 00
Refugees and asylum seekers and poverty review	University of Birmingham	9,984 00
Relationships between poverty and crime	Leeds Metropolitan University	9,979 00
Theory reviews - philosophy	University College of London	9,955 00
Welfare-to-work and poverty review	University of Portsmouth	9,919 00
An examination of poverty and sexual orientation in the UK	University of Essex	9,910 00
Universal Credit, income tax and poverty evidence and policy review	Policy in Practice	9,774 00
Childcare and poverty review	University of the East of London	9,771 00
Social networks and poverty review	Henot Watt University	9,754 00
Theory reviews - social theory	University of Leeds	9,657 00
A proposal to share Joseph Rowntree low-pay research findings with employers	Timewise Foundation	7,560 00
Omar Khan - Poverty and Ethnicity Programme Adviser	Runnymede Trust	6,000 00
Seminar series - family and community	University of Edinburgh	5,685 00
MIS animation	Leo Earle	5,000 00
Poverty and Ethnicity in Wales dissemination strategy	People and Work Trust	4,965 00
Local Authority Briefing Poverty and Ethnicity	Egino	4,900 00
MIS 2014-2016 Communications	Joseph Rowntree Foundation	4,000 00
Developing a low income and deprivation measure of poverty	Donald Hirsch	3,000 00
Out for the count exploring poverty amongst refugees and asylum seekers	Durham University	2,678 00
Social Media Exchange - Lunchtime Panel	Soundelivery	2,400 00
Open access for article on poverty prevention and reduction	The Policy Press	1,500 00
Kim Warren - modelling workshop	Strategy Dynamics	1,200 00
Esther Foreman - Cambridge event chair	Ester Foreman	250 00
Poverty focussed review of housing organisations' strategic and business plans	University of Cambrdge	119,416 00
Link between housing and poverty during the lifecourse HAP001 17932	Henot Watt University	99,906 00
Tackling Declining Cities The UK in Comparative Context	Newcastle University	89,983 00
Linking Jobs and poverty in cities	University of Warwick	89,767 00
Climate Just	Climate East Midlands	89,520 00
Planning obligations what factors deliver affordable homes and what alternatives are t	Oxford Brookes University	74,929 00
Housing and work incentives programme HAP005 22543	University of Glasgow	69,331 00
Monitoring of MVHR and IAQ at Derwenthorpe 1203002 BRE	Building Research Establishment	60,000 00
How can we build more sustainable homes more cheaply?	Henot Watt University	51,335 00
International lessons on tackling extreme housing exclusion	Henot Watt University	49,959 00
Evaluation of energy behaviours and feedback at Derwenthorpe	University of Edinburgh	48,463 00
Social Media Initiatives in Local East York (SMILEY)	Local Level	34,995 00
City jobs creating more and better jobs in urban economies	Centre for Cities	30,000 00
Evidence review cities, growth and poverty	Centre for Economic and Social Inclusio	20,580 00
Derwenthorpe design, behaviour and inclusion	Joseph Rowntree Foundation	20,050 00
Adviser for Cities programme	Proffessor Mike Campbell	6,000 00
Adviser for Cities, growth and poverty programme	Neil Lee	3,000 00
Cities, growth and poverty Communications	Joseph Rowntree Foundation	2,000 00
Dynamics of ethnic diversity Evidence from the 2011 Census	University of Manchester	59,064 00
Data Strategy - Communications	Joseph Rowntree Foundation	39,730 00
MOPSE and Scottish Independence	New Policy Institute	16,500 00
Data Strategy - User Testing	Simple Visibility Ltd	11,000 00
Generations, Work and Poverty attitudes and expectations	IPSOS MORI Social Research	97,920 00
DEED Project (Derry Engaging & Empowering Dementia)	The Old Library Trust	69,898 00
Supporting the growth of a national network to help create a dementia supportive Wale	Public Health Wales	57,000 00
Generations, work and poverty understanding age and the UK labour market	National Institute of Economic and Soci	56,708 00
Better life - Communications	Joseph Rowntree Foundation	50,000 00
Dementia without walls communications plan	Joseph Rowntree Foundation	50,000 00
The personal is political women's experiences of dementia	Innovations In Dementia	49,498 00
Lessons for the implementation of poverty reduction and ageing policies	Institute for Government	40,800 00
Redistribution of social and societal risk the impact on individuals, their networks and c	Glasgow Caledonian University	39,993 00
Evaluating the impact of, and learning from, DFC Projects in York and Bradford (Comb	Dean Knights Partnership Ltd	39,496 00
Dementia - what is truth?	Mental Health Foundation	37,020 00
Risk, trust and relationships - Communications	Joseph Rowntree Foundation	35,000 00
Residential Forum Workshops 2013 - 2015	Residential Forum	30,000 00
Public attitudes to dementia - 2014 Scottish social attitudes survey	National Centre for Social Research (NA	29,166 67
Supporting the involvement of people with dementia in the dementia without walls rese	Innovations In Dementia	23,600 00
Care homes nsks and relationships communications plan	Joseph Rowntree Foundation	23,000 00
Delivering a better life through social care	Social Care for Excellence	22,040 00

US Tax Return 2013

Delivering JRF's 'A Better Life' in Scotland	Institute for Research & Innovations in S	20,000 00
Navigating the landscape for community action what role for risk, trust and confidence	Red Consultancy	19,440 00
Loneliness in neighbourhoods - Communications	Joseph Rowntree Foundation	17,324 00
European foundations' initiative on dementia (EFID)	Network for European Foundation	17,000 00
Dementia Friendly Communities supported learning and deaf community outreach	Alzheimers Society	15,492 00
Evaluating JRF's work to become a dementia friendly employer/organisation	Sarah Frost	15,000 00
Supporting JRF York Committee's distribution and evaluation of dementia friendly projects	AE Portz Associates	14,680 00
Ready for ageing - Party conferences 2013 Fireside chats	International Longevity Centre - UK	10,450 00
Dementia Friendly Projects - Case Studies	Sharon Telfer	10,000 00
Better life songs	Sally Goldsmith	6,290 00
Contribution to support Housing LIN Conference on 18 February 2014	Housing Learning & Improvements Netw	6,000 00
Support for Housing LIN activities for 2013/2014	Housing Learning & Improvements Netw	6,000 00
Advisor for the generations work and poverty programme	Stephen McNair	5,000 00
Delivering on our plan - communication	Joseph Rowntree Foundation	5,000 00
Findings Report - Link between pay/employer practice and quality of care	Sarah Carr & associates Ltd	5,000 00
Positive Risk Taking and Dementia Friendly Communities	Mental Health Foundation	5,000 00
Conversations about dementia	Dementia Friendly Training & Consultan	5,000 00
Dementia Action Alliance Yorkshire and Humber - Funding contribution for 2014	Alzheimers Society	5,000 00
Bi-lingual outreach worker for the cultural markets	Renata Nemcove	2,400 00
Bi-lingual outreach worker for the cultural markets	Marzena Peron-Lapinska	2,400 00
Dementia Friendly Projects - Portraits	Elly Ross	2,000 00
Assessing proposals for call A redistribution of societal risk the impact on individuals,	BCD Care Associates	1,200 00
Better life songs	Sally Goldsmith	1,000 00
JRF's Better Life Photos	Jo Hanley Photography	672 50
Deliver a Goal setting and action-planning day for the York Dementia Action Alliance S	AE Portz Associates	418 00
Conditions on Procurement	Richard Macfarlane	13,700 00
Grant to support preparation of a book on impacts of austerity	Mary O'Hara	10,000 00
An anti-poverty strategy for the UK - P&D	Joseph Rowntree Foundation	30,219 64
Minimum income standard 2010 - Communications	Joseph Rowntree Foundation	17,300 00
Homestead Park	Joseph Rowntree Foundation	268849 22

£5,610,775 22

Dollar Equivalent

\$ 9,395,577 00