

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation THE HEARTHLAND FOUNDATION C/O BRESLAUER RUTMAN & ANDERSON INC		A Employer identification number 95-4016320	
Number and street (or P.O. box number if mail is not delivered to street address) 11400 W OLYMPIC BLVD NO 550		Room/suite	B Telephone number (see instructions) (310) 481-3513
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 900641551		C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☒ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>316,160,924</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	40,657,685			
	2	Check ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	4,567,795	4,567,795		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	19,218,855			
	b	Gross sales price for all assets on line 6a				
		246,647,111				
	7	Capital gain net income (from Part IV, line 2)		19,218,855		
	8	Net short-term capital gain				
	9	Income modifications				
Operating and Administrative Expenses	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	Total. Add lines 1 through 11	64,444,335	23,786,650	0	
	13	Compensation of officers, directors, trustees, etc.	0	0	0	
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	44,345	22,172	0	22,173
	b	Accounting fees (attach schedule)	25,000	12,500	0	12,500
	c	Other professional fees (attach schedule)	1,384,213	0	0	1,384,213
	17	Interest				
18	Taxes (attach schedule) (see instructions)	449,167	72,257	0		
19	Depreciation (attach schedule) and depletion					
20	Occupancy					
21	Travel, conferences, and meetings	18,478	9,239	0	9,239	
22	Printing and publications					
23	Other expenses (attach schedule)	739,525	735,670	0	3,855	
24	Total operating and administrative expenses. Add lines 13 through 23	2,660,728	851,838	0	1,431,980	
25	Contributions, gifts, grants paid	19,158,499			19,158,499	
26	Total expenses and disbursements. Add lines 24 and 25	21,819,227	851,838	0	20,590,479	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements		42,625,108			
b	Net investment income (if negative, enter -0-)			22,934,812		
c	Adjusted net income (if negative, enter -0-)				0	

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		39,311,562	26,208,005	26,208,005
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ 37,328 Less: allowance for doubtful accounts ▶ _____ 0		137,046	37,328	37,328
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)		13,478,181	86,759,665	109,961,867
	c	Investments—corporate bonds (attach schedule)		10,543,699	17,887,439	17,926,635
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)		158,640,594	133,843,753	162,027,089
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)					
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		222,111,082	264,736,190	316,160,924	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22).		0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐					
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ ☒					
	26	Capital stock, trust principal, or current funds		0	0	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund		0	0	
	28	Retained earnings, accumulated income, endowment, or other funds		222,111,082	264,736,190	
	29	Total net assets or fund balances (see instructions)		222,111,082	264,736,190	
	30	Total liabilities and net assets/fund balances (see instructions) .		222,111,082	264,736,190	

Part III Analysis of Changes in Net Assets or Fund Balances					
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1		222,111,082	
2	Enter amount from Part I, line 27a	2		42,625,108	
3	Other increases not included in line 2 (itemize) ▶ _____	3		0	
4	Add lines 1, 2, and 3	4		264,736,190	
5	Decreases not included in line 2 (itemize) ▶ _____	5		0	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6		264,736,190	

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a BERNSTEIN 21906 SHORT TERM	P	2020-11-20	2020-12-31
b SCHWAB 2523-5991 SHORT TERM	P	2019-08-01	2020-05-20
c SCHWAB 2523-5991 LONG TERM	P	2019-05-07	2020-05-20
d SCHWAB 4905-0951 SHORT TERM	P	2019-04-25	2020-03-12
e SCHWAB 4905-0951 LONG TERM	P	2018-12-28	2020-03-12
SCHWAB 7583-6553 SHORT TERM	P	2019-11-08	2020-04-19
SCHWAB 7583-6553 LONG TERM	P	2018-12-19	2020-03-16
SCHWAB 1371-9054 SHORT TERM	P	2019-09-03	2020-06-08
SCHWAB 1371-9054 LONG TERM	P	2019-05-09	2020-06-08
SCHWAB 6596-2837 SHORT TERM	P	2020-11-25	2020-12-31
SCHWAB 6956-2837 LONG TERM	P	2019-07-22	2020-09-17
SCHWAB 1416-7410 SHORT TERM	P	2020-08-20	2020-12-01
SCHWAB 1416-7410 LONG TERM	P	2018-03-11	2020-06-05
RA CAPITAL HEALTHCARE	P	2019-07-31	2020-10-31
CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,054,841		1,054,095	746
b 10,744,207		11,085,364	-341,157
c 49,134,180		47,597,678	1,536,502
d 775,534		1,089,055	-313,521
e 1,288,822		2,074,889	-786,067
19,591,372		21,282,413	-1,691,041
11,970,181		13,096,044	-1,125,863
7,229,572		6,356,614	872,958
32,910,704		27,985,982	4,924,722
20,450,954		18,623,009	1,827,945
28,747,335		22,622,157	6,125,178
24,673,252		23,001,953	1,671,299
30,044,287		26,559,003	3,485,284
8,005,271		5,000,000	3,005,271
26,599			26,599

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
a			746
b			-341,157
c			1,536,502
d			-313,521
e			-786,067
			-1,691,041
			-1,125,863
			872,958
			4,924,722
			1,827,945
			6,125,178
			1,671,299
			3,485,284
			3,005,271
			26,599

Capital gain net income or (net capital loss)		2	19,218,855
Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved		2	
3 Reserved.		3	
4 Reserved		4	
5 Reserved		5	
6 Reserved		6	
7 Reserved		7	
8 Reserved		8	

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.		
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved	1	318,794
All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	318,794
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	318,794
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	387,369
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	300,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	687,369
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	147
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	368,428
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded	11	0

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).			No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c				No
c	Did the foundation file Form 1120-POL for this year?			No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0 (2) On foundation managers. ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>BRESLAUER RUTMAN ANDERSON INC</u> Telephone no. ▶ <u>(310) 481-3513</u> Located at ▶ <u>11400 W OLYMPIC BLVDSUITE 550 LOS ANGELES CA</u> ZIP+4 ▶ <u>900641551</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ▶ <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.

☒ Yes

☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes

☒ No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes

☒ No

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Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

☐ Yes

☒ No

Yes

No

5b

No

6b

No

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATE CAPSHAW SPIELBERG 11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	DIRECTOR 0.50	0	0	0
STEVEN SPIELBERG 11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	DIRECTOR 0.50	0	0	0
KRISTIE MACOSKO KRIEGER 11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	DIRECTOR 0.50	0	0	0
GERALD BRESLAUER 11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	PRESIDENT, DIRECTOR 0.50	0	0	0
MICHAEL RUTMAN 11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	CFO 0.50	0	0	0
TAMMY ANDERSON 11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	SECRETARY, DIRECTOR 0.50	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

Form 990-PF (2020)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
FUNDAMENTAL PHILANTHROPY INC	GRANT ADMINISTRATION & CONSULTING	765,000
400 S BEVERLY DRIVE BEVERLY HILLS,CA 90212		
OFFIT CAPITAL ADVISORS LLC	INVESTMENT ADVISORY FEES	383,671
485 LEXINGTON AVENUE 24TH FL NEW YORK,NY 10017		
SUMMIT ROCK ADVISORS LLC	INVESTMENT ADVISORY FEES	299,980
9 W 57TH ST 12TH FLOOR NEW YORK,NY 10019		
MOONSHOTS & OTHER ADVENTURES INC	CONSULTING	238,393
52 LOVELL AVENUE MILL VALLEY,CA 94941		
SYPARTNERS LLC	CONSULTING	170,000
395 HUDSON STREET 8TH FLOOR NEW YORK,NY 10014		
Total number of others receiving over \$50,000 for professional services. 2		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	205,784,328
b	Average of monthly cash balances.	1b	32,732,248
c	Fair market value of all other assets (see instructions).	1c	37,328
d	Total (add lines 1a, b, and c).	1d	238,553,904
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	238,553,904
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,578,309
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	234,975,595
6	Minimum investment return. Enter 5% of line 5.	6	11,748,780

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	11,748,780
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	318,794
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	318,794
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	11,429,986
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	11,429,986
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	11,429,986

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	20,590,479
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	20,590,479
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	20,590,479
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				11,429,986
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	9,831,670			
b From 2016.	7,587,235			
c From 2017.	3,404,182			
d From 2018.	4,775,299			
e From 2019.	1,666,907			
f Total of lines 3a through e.	27,265,293			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ 20,590,479				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				11,429,986
e Remaining amount distributed out of corpus	9,160,493			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	36,425,786			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	9,831,670			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	26,594,116			
10 Analysis of line 9:				
a Excess from 2016	7,587,235			
b Excess from 2017	3,404,182			
c Excess from 2018.	4,775,299			
d Excess from 2019	1,666,907			
e Excess from 2020	9,160,493			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

KATE CAPSHAW SPIELBERG

STEVEN SPIELBERG

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or email address of the person to whom applications should be addressed:

b

The form in which applications should be submitted and information and materials they should include:

c

Any submission deadlines:

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)					
Grants and Contributions Paid During the Year or Approved for Future Payment					
Name and address (home or business)	Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
2714 Wilshire Blvd 3500 RGC 20 JAY STREET SUITE 732 BROOKLYN, NY 11201		NONE	PC	ENVIRONMENT & CLIMATE CHANGE	100,000
ACADEMY OF AMERICAN POETS 75 MADISON LANE SUITE 901 NEW YORK, NY 10038		NONE	PC	ARTS, CULTURE & MEDIA	20,000
ACADEMY OF MOTION PICTURE ARTS & SCIENCES 8549 WILSHIRE BLVD BEVERLY HILLS, CA 90211		NONE	PC	ENTERTAINMENT & INDUSTRY	155,150
ACLU FUND OF MICHIGAN 2966 WOODWARD AVE DETROIT, MI 48201		NONE	PC	CIVIC ENGAGEMENT & GOTV	200,000
ALLIANCE FOR JUSTICE 1305 W 17TH STREET SUITE 500 WASHINGTON, DC 20015		NONE	PC	CIVIC ENGAGEMENT & GOTV	50,000
ALLIANCE FOR YOUNG ARTISTS AND WRITERS INC 557 BROADWAY NEW YORK, NY 10012		NONE	PC	ARTS, CULTURE & MEDIA	25,000
ALLIANCE FOR YOUTH ORGANIZING 915 5TH ST NW WASHINGTON, DC 20001		NONE	PC	CIVIC ENGAGEMENT & GOTV	200,000
ALITES FOR EVERY CHILD 9521 W CLAUSON AVENUE SUITE 140 CULVER CITY, CA 90230		NONE	PC	YOUTH	25,000
AMERICAN ASSOCIATES OF THE OLD 733 THIRD AVE NEW YORK, NY 10017		NONE	PC	ARTS, CULTURE & MEDIA	50,000
AMERICAN CINEMATHEQUE 167 GRANVILLE AVE LOS ANGELES, CA 90049		NONE	PC	ARTS, CULTURE & MEDIA	25,000
AMERICAN FILM INSTITUTE 2021 NORTH WESTERN AVENUE LOS ANGELES, CA 90027		NONE	PC	ARTS, CULTURE & MEDIA	100,000
AMERICAN HEART ASSOCIATION 816 SOUTH FIGUEROA STREET LOS ANGELES, CA 90017		NONE	PC	HEALTH	10,000
AMERICAN MUSEUM OF NATURAL HISTORY 1400 KETTER STREET AT 79TH ST NEW YORK, NY 10024		NONE	PC	ARTS, CULTURE & MEDIA	25,000
ANPO WICAHPI PINE RIDGE GIRLS SCHOOL INC 2237 DIA 27 PORCUPINE, SD 57722		NONE	PC	EDUCATION	70,000
ART OF FLY SIUM 3278 WILSHIRE BLVD PH LOS ANGELES, CA 90010		NONE	PC	ARTS, CULTURE & MEDIA	5,000
ARTFUL LEARNING 121 W 17TH STREET SUITE 1104 NEW YORK, NY 10001		NONE	PC	ARTS EDUCATION	50,000
ARTHUR MILLER FOUNDATION 56 WEST 12TH STREET SUITE 2 NEW YORK, NY 10011		NONE	PC	ARTS EDUCATION	25,000
ARTISTS STRIVING TO END POVERTY 165 WEST 46TH STREET SUITE 1310 NEW YORK, NY 10036		NONE	PC	ARTS, CULTURE & MEDIA	25,000
BATS BERKELEY INC 8850 - 8854 W PICO BLVD LOS ANGELES, CA 90035		NONE	PC	JEWISH	50,000
BARACK OBAMA FOUNDATION 5235 SOUTH HARPER COURT SUITE 1140 CHICAGO, IL 60615		NONE	PC	CIVIC ENGAGEMENT & GOTV	2,000,000
BIG BROTHERS BIG SISTERS OF GREATER LOS ANGELES 800 S FIGUEROA STREET SUITE 620 LOS ANGELES, CA 90017		NONE	PC	YOUTH	50,000
BLACK EMOTIONAL AND MENTAL HEALTH COLLECTIVE 1825 N VERMONT AVE 27945 LOS ANGELES, CA 90027		NONE	PC	MENTAL HEALTH	1,000
BREAST CANCER RESEARCH FOUNDATION 28 WEST 44TH STREET SUITE 609 NEW YORK, NY 10036		NONE	PC	HEALTH	100,000
BROWN UNIVERSITY 100 SOUTH BARRINGTON PLACE LOS ANGELES, CA 90049		NONE	PC	EDUCATION	20,000
BROWN UNIVERSITY 350 EDDY STREET SIXTH FLOOR PROVIDENCE, RI 02902		NONE	PC	EDUCATION	18,000
BVM CAPACITY BUILDING INSTITUTE 3645 MARKETPLACE BLVD ST130-209 EAST POINT, GA 30344		NONE	PC	RACIAL JUSTICE	50,000
BVP100 EDUCATION FUND PO BOX 15254 CHICAGO, IL 60615		NONE	PC	RACIAL JUSTICE	5,000
CALIFORNIA COMMUNITY FOUNDATION 221 S FIGUEROA ST SUITE 400 LOS ANGELES, CA 90012		NONE	PC	ARTS, CULTURE & MEDIA	50,000
CASA OF LOS ANGELES 200 CENTRE PLAZA DRIVE SUITE 1100 MONTEREY PARK, CA 91754		NONE	PC	YOUTH	25,000
CENTER FOR AMERICAN PROGRESS 1333 H STREET NW 10TH FLOOR WASHINGTON, DC 20002		NONE	PC	CIVIC ENGAGEMENT & GOTV	250,000
CENTER FOR REPRODUCTIVE RIGHTS 199 WATER STREET NEW YORK, NY 10038		NONE	PC	WOMEN	50,000
CENTER FOR RURAL STRATEGIES 46 EAST MAIN STREET WHITESBURG, KY 41858		NONE	PC	ARTS, CULTURE & MEDIA	100,000
CITY YEAR INC 287 COLUMBUS AVENUE BOSTON, MA 02116		NONE	PC	EDUCATION	500,000
COLORADOCHANGEORG EDUCATION FUND 1714 FRANKLIN ST SUITE 100-136 OAKLAND, CA 94612		NONE	PC	RACIAL JUSTICE	15,000
COMMUNITY SENSE MEDIA 1100 GLENDON AVE 17TH FLOOR LOS ANGELES, CA 90024		NONE	PC	ARTS, CULTURE & MEDIA	22,500
COMMUNITY FUNDS INC 909 THIRD AVE 22ND FLOOR NEW YORK, NY 10022		NONE	PC	HUMANITARIAN AID	400,000
CONSUMERS CITIZENS FOR SUSTAINABILITY PO BOX 147 MILANVILLE, PA 18443		NONE	PC	ENVIRONMENT & CLIMATE CHANGE	15,000
DATA FOR BLACK LIVES 193 BROOKLINE STREET CAMBRIDGE, MA 21390		NONE	PC	RACIAL JUSTICE	25,000
DAVID LYNCH FOUNDATION FOR CONSCIOUSNESS 621 S HIGHLAND LOS ANGELES, CA 90036		NONE	PC	MENTAL HEALTH	20,000
DEER HILL FOUNDATION PO BOX 180 MANCOS, CO 81328		NONE	PC	ENVIRONMENT & CLIMATE CHANGE	10,000
DELAWARE VALLEY ARTS ALLIANCE PO BOX 170-37 MAIN STREET NARROWSBURG, NY 12764		NONE	PC	ARTS, CULTURE & MEDIA	10,000
DESTINATION CRENSHAW 9444 CRENSHAW BLVD LOS ANGELES, CA 90004		NONE	PC	ARTS, CULTURE & MEDIA	100,000
DIGNITY AND POWER NOW (JUSTICELA) 3655 SOUTH GRAND AVE SUITE 240 NEW YORK, NY 10007		NONE	PC	RACIAL JUSTICE	50,000
ETOWN 1535 SPRUCE STREET BOULDER, CO 80302		NONE	PC	ARTS, CULTURE & MEDIA	50,000
EDUCATIONAL THEATRE ASSOCIATION FOUNDATION 3368 CENTRAL PKY CINCINNATI, OH 45225		NONE	PC	ARTS, CULTURE & MEDIA	10,000
ELEANOR WHITMORE EARLY CHILDHOOD CENTER INC PO BOX 63 EAST HAMPTON, NY 11937		NONE	PC	EDUCATION	20,000
ELIZABETH GLASER PEDIATRIC AIDS FOUNDATION 16130 VENTURA BLVD SUITE 250 ENCINO, CA 91436		NONE	PC	HEALTH	25,000
ELLEN P HERMANSON FOUNDATION 200 WEST END AVENUE SUITE 12G NEW YORK, NY 10023		NONE	PC	HEALTH	10,000
ENTERTAINMENT INDUSTRY FUND 10880 WILSHIRE BLVD SUITE 1400 LOS ANGELES, CA 90024		NONE	PC	ENVIRONMENT & CLIMATE CHANGE	70,000
EQUAL JUSTICE INITIATIVE 122 WILMERCE ST MONTGOMERY, AL 36104		NONE	PC	HUMAN RIGHTS	363,500
EVERY MOTHER COUNTS 333 HUDSON STREET SUITE 1006 NEW YORK, NY 10013		NONE	PC	WOMEN	10,000
FAIR COURT INC PO BOX 170382 ATLANTA, GA 30317		NONE	PC	CIVIC ENGAGEMENT & GOTV	250,000
FAITH IN ACTION NETWORK 990 NORTH CAPITOL STREET NE WASHINGTON, DC 20002		NONE	PC	BRIDGING DIVIDES	100,000
FEMINIST MAJORITY FOUNDATION 433 SOUTH BEVERLY DRIVE BEVERLY HILLS, CA 90212		NONE	PC	WOMEN	20,000
FIRST CORINTHIAN BAPTIST CHURCH INC 1912 ARLANTON POWELL JR BLVD NEW YORK, NY 10026		NONE	PC	MENTAL HEALTH	67,000
FOOD BANK FOR NEW YORK CITY 39 BROADWAY 10TH FLOOR NEW YORK, NY 10006		NONE	PC	HUNGER & FOOD	25,000
FOOD FORWARD 7412 HULTON AVE SUITE 3 NORTH HOLLYWOOD, CA 91605		NONE	PC	HUNGER & FOOD	18,500
FRIENDS OF GEORGICA POND FOUNDATION INC PO BOX 1393 WAINSCOTT, NY 11975		NONE	PC	ENVIRONMENT & CLIMATE CHANGE	25,000
FRIENDS OF THE BRENTWOOD ARTS CENTER 13031 MONTANA AVENUE LOS ANGELES, CA 90049		NONE	PC	ARTS EDUCATION	25,000
GEFFEN PLAYHOUSE INC 10886 LE CONTE AVENUE LOS ANGELES, CA 90024		NONE	PC	ARTS, CULTURE & MEDIA	100,000
GET LIT WORDS IGNITE & COALITION FOR ENGAGED EDUCATION 672 SOUTH LAFAYETTE PARK PLACE LOS ANGELES, CA 90027		NONE	PC	ARTS EDUCATION	100,000
GIRLS FOR GENDER EQUITY INC 25 CHAPEL STREET STE 1006 BROOKLYN, NY 11201		NONE	PC	WOMEN	25,000
GLSEN INC 100 WILTIAM STREET 30TH FLOOR NEW YORK, NY 10038		NONE	PC	HUMAN RIGHTS	50,000
GOERGE STREET PLAYHOUSE 9 LIVINGSTON AVE NEW BRUNSWICK, NJ 08901		NONE	PC	ARTS, CULTURE & MEDIA	100,000
GRID ALTERNATIVES OF GREATER LOS ANGELES 1338 S FLOWER ST LOS ANGELES, CA 90015		NONE	PC	ENVIRONMENT & CLIMATE CHANGE	35,000
GUILD HALL OF EAST HAMPTON 1901 MAIN ST EAST HAMPTON, NY 11937		NONE	PC	ARTS, CULTURE & MEDIA	25,000
HAMPTONS YOUTH CAMPS INC 801 COUNTRY RD 39 14 SOUTHAMPTON, NY 11968		NONE	PC	YOUTH	3,000
HARVARD-WESTLAKE SCHOOL 700 NORTH FARING ROAD LOS ANGELES, CA 90077		NONE	PC	EDUCATION	6,000
HEALTH RESEARCH INC 150 BROADWAY SUITE 560 MANASSAS, VA 22044		NONE	PC	HUMANITARIAN AID	100,000
HOPEWELL FUND (PROJECT OVER ZERO) 1201 CONNECTICUT AVE NW STE 300 WASHINGTON, DC 20036		NONE	PC	BRIDGING DIVIDES	100,000
HOPEWELL FUND (WE THE ACTION) 1201 CONNECTICUT AVE NW STE 300 WASHINGTON, DC 20036		NONE	PC	CIVIC ENGAGEMENT & GOTV	150,000
HUMAN RIGHTS WATCH INC 350 FIFTH AVE 34TH FLOOR NEW YORK, NY 10118		NONE	PC	HUMAN RIGHTS	583,333
I HAVE A DREAM FOUNDATION 3580 WILSHIRE BLVD SUITE 720 LOS ANGELES, CA 90010		NONE	PC	YOUTH	10,000
IKAR 1737 S LA CIENEGA BLVD LOS ANGELES, CA 90035		NONE	PC	JEWISH	103,600
IMAGINE NORTH CAROLINA FIRST PO BOX 428 RALEIGH, NC 27602		NONE	PC	CIVIC ENGAGEMENT & GOTV	50,000
INTERNATIONAL REFUGEE ASSISTANCE PROGRAM ONE BATTERY PARK PLAZA 4TH FLOOR NEW YORK, NY 10004		NONE	PC	HUMAN RIGHTS	50,000
ISLAND THEATRE WORKSHOP PO BOX 1893 VINNYARD AVEN, MA 02568		NONE	PC	ARTS, CULTURE & MEDIA	10,000
JACOB BURNS FILM CENTER INC 405 MANVILLE ROAD PLEASANTVILLE, NY 10570		NONE	PC	ARTS, CULTURE & MEDIA	25,000
JEWISH COUNCIL FOR PUBLIC AFFAIRS (RESETTING THE TABLE) 116 E 27TH ST 10TH FLOOR NEW YORK, NY 10016		NONE	PC	BRIDGING DIVIDES	100,000
LOS ANGELES FIRE DEPARTMENT FOUNDATION 1875 CENTURY PARK EAST STE 200 LOS ANGELES, CA 90067		NONE	PC	HUMANITARIAN AID	5,000
LOS ANGELES LGBT CENTER 1125 N MCCADDEN PLACE LOS ANGELES, CA 90038		NONE	PC	HUMAN RIGHTS	20,000
LOS ANGELES PHILHARMONIC ASSOCIATION 151 SOUTH GRAND AVENUE LOS ANGELES, CA 90012		NONE	PC	ARTS EDUCATION	250,000
LOS ANGELES POLICE FOUNDATION 633 WEST 5TH STREET SUITE 960 LOS ANGELES, CA 90071		NONE	PC	HUMANITARIAN AID	5,000
SPORTS ACADEMY FOUNDATION (MAMBA & MAMABICITA SPORTS FOUNDATION) 10111 RANCHO CONEJO BLVD THOUSAND OAKS, CA 91320		NONE	PC	YOUTH	25,000
MAYOR'S FUND FOR LOS ANGELES 200 NORTH SPRING STREET RM 305B LOS ANGELES, CA 90012		NONE	PC	HUMANITARIAN AID	500,000
MICHIGAN CIVIC EDUCATION FUND 28342 DARTMOUTH ST MADISON HEIGHTS, MI 48071		NONE	PC	CIVIC ENGAGEMENT & GOTV	100,000
MICHIGAN ORGANIZING PROJECT 4405 WESSON ST DETROIT, MI 48210		NONE	PC	CIVIC ENGAGEMENT & GOTV	50,000
MORE IN COMMON INC 115 BROADWAY ST FLOOR 5 NEW YORK, NY 10006		NONE	PC	BRIDGING DIVIDES	100,000
MOTHERING JUSTICE 17320 LIVERNOIS AVENUE DETROIT, MI 48221		NONE	PC	WOMEN	65,000
MOTION PICTURE AND TELEVISION FUND 23388 MULHOLLAND DR N/5 220 WOODLAND HILLS, CA 91364		NONE	PC	ENTERTAINMENT & INDUSTRY	100,000
MUSEUM OF JEWISH HERITAGE 36 BATTERY PLACE NEW YORK, NY 10280		NONE	PC	JEWISH	25,000
MY FRIENDS PLACE 5850 HOLLYWOOD BLVD LOS ANGELES, CA 90028		NONE	PC	YOUTH	50,000
NATIONAL COLLEGE ADVISING CORPS 301 WEST BARBEE CHAPEL ROAD CHAPELL HILL, NC 27517		NONE	PC	EDUCATION	50,000
NATIONAL DOMESTIC WORKERS ALLIANCE 45 BROADWAY SUITE 320 NEW YORK, NY 10006		NONE	PC	HUMAN RIGHTS	150,000
NATIONAL PUBLIC RADIO INC PO BOX 791490 BALTIMORE, MD 21279		NONE	PC	ARTS, CULTURE & MEDIA	50,000
NATIONAL REDISTRICTING WASHINGTON, DC 20036 17 EAST MONROE STREET 214 CHICAGO, IL 60603		NONE	PC	CIVIC ENGAGEMENT & GOTV	125,000
NATIONAL WOMEN'S LEADER CENTER 13 DOWNTOWN CIRCLE NW SUITE 800 WASHINGTON, DC 20036		NONE	PC	WOMEN	666,666
NATURE CONSERVANCY PO BOX 5125 EAST HAMPTON, NY 11937		NONE	PC	ENVIRONMENT & CLIMATE CHANGE	5,000
NEW VENTURE FUND (CENTER FOR SECURE AND MODERN ELECTIONS) 1201 CONNECTICUT AVE NW STE 300 WASHINGTON, DC 20036		NONE	PC	CIVIC ENGAGEMENT & GOTV	250,000
NEW VENTURE FUND (VOTING RIGHTS LAB C3) 1201 CONNECTICUT AVE NW STE 300 WASHINGTON, DC 20036		NONE	PC	CIVIC ENGAGEMENT & GOTV	250,000
NEW YORK ACADEMY OF ART 111 FRANKLIN STREET NEW YORK, NY 10013		NONE	PC	ARTS, CULTURE & MEDIA	25,000
NEW YORK SHAKESPEARE FESTIVAL (PUBLIC THEATRE) 425 LAFAYETTE STREET NEW YORK, NY 10003		NONE	PC	ARTS, CULTURE & MEDIA	50,000
NORMAN ROCKWELL MUSEUM AT STOCKBRIDGE INC 9 GLENDALE ROAD / ROUTE 183 STOCKBRIDGE, MA 01262		NONE	PC	ARTS, CULTURE & MEDIA	25,000
OFICINA LEGAL DEL PUEBLO UNIDO INC 1405 HORTONPOLIS DRIVE AUSTIN, TX 78741		NONE	PC	HUMAN RIGHTS	100,000
OHIO ENVIRONMENTAL COUNCIL 1145 CHESAPEAKE AVE SUITE I COLUMBUS, OH 43212		NONE	PC	CIVIC ENGAGEMENT & GOTV	50,000
ON BEING PROJECT 1619 HENNEPIN AVENUE SOUTH MINNEAPOLIS, MN 55403		NONE	PC	ARTS, CULTURE & MEDIA	500,000
ONE AMERICA MOVEMENT 1342 FLORENCE AVE NW WASHINGTON, DC 20009		NONE	PC	BRIDGING DIVIDES	200,000
OUR FABULOUS VARIETY SHOW INC PO BOX 2424 SOUTHAMPTON, NY 11969		NONE	PC	ARTS, CULTURE & MEDIA	2,000
P 5 ARTS 1788 ABBOT KINNEY BOULEVARD SUITE 101 VENICE, CA 90291		NONE	PC	ARTS EDUCATION	25,000
PARTNERS FOR JUSTICE 125 LINCOLN PLACE BROOKLYN, NY 11217		NONE	PC	HUMAN RIGHTS	50,000
PARTNERSHIP FOR LOS ANGELES SCHOOLS 1055 WILSHIRE BLVD STE 1850 LOS ANGELES, CA 90017		NONE	PC	EDUCATION	15,000
PECONIC LAND TRUST INCORPORATED PO BOX 1776 SOUTHAMPTON, NY 11969		NONE	PC	ENVIRONMENT & CLIMATE CHANGE	50,000
PEOPLE'S ACTION INSTITUTE (NATIONAL PEOPLE'S ACTION) 2125 W NORTH AVE 3RD FLOOR CHICAGO, IL 60647		NONE	PC	CIVIC ENGAGEMENT & GOTV	250,000
PINE RIDGE GIRLS SCHOOL INC 16531 AKRON STREET PACIFIC PALISADES, CA 90272		NONE	PC	EDUCATION	82,500
PIONEER WORKS 159 PIONEER STREET BROOKLYN, NY 11249		NONE	PC	ARTS, CULTURE & MEDIA	80,000
PLANNED PARENTHOOD HUDSON PECONIC INC 4 SKYLINE DRIVE HAWTHORNE, NY 10532		NONE	PC	HEALTH	5,000
PLANNED PARENTHOOD LOS ANGELES 400 WEST 30TH STREET LOS ANGELES, CA 90007		NONE	PC	HEALTH	50,000
POLARIS PROJECT PO BOX 6523 WASHINGTON, DC 20035		NONE	PC	HUMAN RIGHTS	100,000
PROSECUTOR IMPACT 9124 S MAIN STREET LOS ANGELES, CA 90003		NONE	PC	HUMAN RIGHTS	150,000
PS1 CONTEMPORARY ART CENTER INC 22-25 JACKSON AVENUE AT 46 AVENUE ISLAND CITY, NY 11101		NONE	PC	ARTS EDUCATION	25,000
QUEEN'S COLLEGE FOUNDATION 65-30 KISSENA BLVD KIELY HALL 9TH FLOOR FUSHING, NY 11367		NONE	PC	EDUCATION	25,000
RAPE FOUNDATION THE 1223 WILSHIRE BLVD NO 410 SANTA MONICA, CA 90403		NONE	PC	HUMAN RIGHTS	25,000
RIGHT TO VOTE FOUNDATION 700 13TH AVE NW STE 100 WASHINGTON, DC 20005		NONE	PC	CIVIC ENGAGEMENT & GOTV	100,000
RIGHTHOUS PERSONS FOUNDATION 11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064		TRANSFEROR AND GRANTEE ARE CONTROLLED BY SAME DIRECTORS	PF	JEWISH	2,475,500
SAG - AMERICAN FEDERATION OF TELEVISION AND RADIO 5757 WILSHIRE BLVD STE PH1 LOS ANGELES, CA 90036		NONE	PC	ENTERTAINMENT & INDUSTRY	10,000
SANDY HOOK PROMISE FOUNDATION PO BOX 3489 NEWTOWN, CT 06470		NONE	PC	YOUTH	150,000
SAVE THE CHILDREN FOUNDATION INC 501 KINGS HWY EAST SUITE 400 FAIRFIELD, CT 06825		NONE	PC	EDUCATION	141,500
SEVEN ARROWS INC 191 WILSHIRE BLVD SANTA MONICA, CA 90404		NONE	PC	EDUCATION	2,500
SHAKESPEARE CENTER OF LOS ANGELES INC 1238 WEST 1ST STREET LOS ANGELES, CA 90026		NONE	PC	ARTS, CULTURE & MEDIA	50,000
SIMON WIESENTHAL CENTER 1399 S ROXBURY DRIVE LOS ANGELES, CA 90035		NONE	PC	JEWISH	25,000
SOLUTIONS JOURNALISM NETWORK 1825 WILSHIRE BLVD 79 MADISON AVENUE 2ND FLOOR NEW YORK, NY 10016		NONE	PC	ARTS, CULTURE & MEDIA	250,000
SOUTHAMPTON HOSPITAL FOUNDATION INC 240 MEETING HOUSE LANE SOUTHAMPTON, NY 11968		NONE	PC	HEALTH	10,000
STORYCORPS 85 WILSON PLACE 2ND FLOOR BROOKLYN, NY 11217		NONE	PC	BRIDGING DIVIDES	516,000
STRIVE FOUNDATION 9124 S MAIN STREET LOS ANGELES, CA 90003		NONE	PC	YOUTH	25,000
THE JAIN FAMILY INSTITUTE 568 BROADWAY SUITE 601 NEW YORK, NY 10012		NONE	PC	RACIAL JUSTICE	30,000
THE LINK 1210 GLENWOOD AVENUE MINNEAPOLIS, MN 55405		NONE	PC	YOUTH	5,000
TIDES CENTER 11849 W OLYMPIC BLVD SUITE 101 LOS ANGELES, CA 90064		NONE	PC	YOUTH	33,000
TIDES FOUNDATION 11849 W OLYMPIC BLVD SUITE 101 LOS ANGELES, CA 90064		NONE	PC	HUMAN RIGHTS	760,000
TRUHH 266 WEST 37TH STREET SUITE 803 NEW YORK, NY 10018		NONE	PC	CIVIC ENGAGEMENT & GOTV	50,000
UCLA FOUNDATION PO BOX 7145 PASADENA, CA 91109		NONE	PC	EDUCATION	10,000
UNITED STATES ARTISTS 200 W MADISON ST FL 3 CHICAGO, IL 60606		NONE	PC	ARTS, CULTURE & MEDIA	250,000
UNIVERSITY OF CALIFORNIA SANTA BARBARA PHELPS HALL 1110 SANTA BARBARA, CA 93106		NONE	PC	EDUCATION	2,500
USC SHOAH FOUNDATION 650 WEST 35TH STREET SUITE 401 LOS ANGELES, CA 90007		NONE	PC	JEWISH	31,250
UTAH DINE BIKEYAH 352 DENVER ST SUITE 315 SALT LAKE CITY, UT 84111		NONE	PC	ENVIRONMENT & CLIMATE CHANGE	225,000
VARIATIONS THEATRE GROUP 312 W 36TH ST 4TH FLOOR NEW YORK, NY 10018		NONE	PC	ARTS, CULTURE & MEDIA	5,000
VERA INSTITUTE OF JUSTICE 233 BROADWAY 12TH FLOOR NEW YORK, NY 10279		NONE	PC	HUMAN RIGHTS	50,000
VIP COMMUNITY MENTAL HEALTH CENTER INC 1721 GRIFFIN AVENUE SUITE 219 LOS ANGELES, CA 90031		NONE	PC	HEALTH	32,500
WARM COOKIES OF THE REVOLUTION 576 N GILPIN ST DENVER, CO 80218		NONE	PC	CIVIC ENGAGEMENT & GOTV	5,000
WEST HARLEM ENVIRONMENTAL ACTION INC 1854 AMSTERDAM AVENUE 2ND FLOOR NEW YORK, NY 10031		NONE</			

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	4,567,795	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	19,218,855	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		0		23,786,650	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13	23,786,650	23,786,650

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements.

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2023-11-15

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JULIA CHIN

Preparer's Signature

Date _____

Check if self-employed ☐

PTIN

P01276526

Firm's name ► BRESLAUER RUTMAN & ANDERSON INC

Firm's EIN ► 85-251759

Firm's address ► 11400 W OLYMPIC BLVD STE 550

Phone no.
(310) 481-3513

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.	OMB No. 1545-0047
		2020
Name of the organization THE HEARTHLAND FOUNDATION C/O BRESLAUER RUTMAN & ANDERSON INC		Employer identification number 95-4016320

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE HEARTHLAND FOUNDATION C/O BRESLAUER RUTMAN & ANDERSON INC	Employer identification number 95-4016320
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Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AMBLIN ENTERTAINMENT INC	\$ 111,622	☒ Person
	11400 W OLYMPIC BLVD SUITE 550		☐ Payroll
	LOS ANGELES, CA 90064		☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	KATE CAPSHAW AND STEVEN SPIELBERG	\$ 1,000,000	☒ Person
	11400 W OLYMPIC BLVD SUITE 550		☐ Payroll
	LOS ANGELES, CA 90064		☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3	KATE CAPSHAW AND STEVEN SPIELBERG	\$ 1,000,000	☒ Person
	11400 W OLYMPIC BLVD SUITE 550		☐ Payroll
	LOS ANGELES, CA 90064		☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
4	KATE CAPSHAW AND STEVEN SPIELBERG	\$ 1,250,000	☒ Person
	11400 W OLYMPIC BLVD SUITE 550		☐ Payroll
	LOS ANGELES, CA 90064		☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
5	KATE CAPSHAW AND STEVEN SPIELBERG	\$ 2,000,000	☒ Person
	11400 W OLYMPIC BLVD SUITE 550		☐ Payroll
	LOS ANGELES, CA 90064		☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6	KATE CAPSHAW AND STEVEN SPIELBERG	\$ 1,250,000	☒ Person
	11400 W OLYMPIC BLVD SUITE 550		☐ Payroll
	LOS ANGELES, CA 90064		☐ Noncash (Complete Part II for noncash contributions.)

Schedule B (Form 990, 990-EZ, or 990-PF) (2020)

Name of organization THE HEARTHLAND FOUNDATION C/O BRESLAUER RUTMAN & ANDERSON INC	Employer identification number 95-4016320
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Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	KATE CAPSHAW AND STEVEN SPIELBERG	\$ 1,000,000	☒ Person
	11400 W OLYMPIC BLVD SUITE 550		☐ Payroll
	LOS ANGELES, CA 90064		☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
8	KATE CAPSHAW AND STEVEN SPIELBERG		☒ Person
	11400 W OLYMPIC BLVD SUITE 550		☐ Payroll

	LOS ANGELES, C A 90064	\$ 150,000	☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
9	KATE CAPSHAW AND STEVEN SPIELBERG 11400 W OLYMPIC BLVD SUITE 550 LOS ANGELES, C A 90064	\$ 11,000,000	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
10	L'CHAIM GLOBAL INVESTMENTS LLC 11400 W OLYMPIC BLVD SUITE 550 LOS ANGELES, C A 90064	\$ 7,764,089	☐ Person ☐ Payroll ☒ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
11	L'CHAIM GLOBAL INVESTMENTS LLC 11400 W OLYMPIC BLVD SUITE 550 LOS ANGELES, C A 90064	\$ 7,512,929	☐ Person ☐ Payroll ☒ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
12	L'CHAIM GLOBAL INVESTMENTS LLC 11400 W OLYMPIC BLVD SUITE 550 LOS ANGELES, C A 90064	\$ 6,619,045	☐ Person ☐ Payroll ☒ Noncash (Complete Part II for noncash contributions.)

Name of organization THE HEARTHLAND FOUNDATION C/O BRESLAUER RUTMAN & ANDERSON INC	Employer identification number 95-4016320
--	--

Part II

Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
10	216203.036 SHARES, AKER FOCUS FD INST	\$ 7,764,089	2020-06-01
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
11	234573.901 SHARES EDGEWOOD GROWTH INSTL	\$ 7,512,929	2020-06-01
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
12	458255.246 SHARES WCM FOCUSED INTL GROWTH FUND	\$ 6,619,045	2020-06-01
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	

Name of organization THE HEARTHLAND FOUNDATION C/O BRESLAUER RUTMAN & ANDERSON INC	Employer identification number 95-4016320
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

Additional Data

[Return to Form](#)

Software ID:

Software Version:

TY 2020 IRS 990 e-File Render

Name: THE HEARTHLAND FOUNDATION

C/O BRESLAUER RUTMAN & ANDERSON INC

EIN: 95-4016320

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDIT	25,000	12,500	0	12,500

Name: THE HEARTHLAND FOUNDATION
C/O BRESLAUER RUTMAN & ANDERSON INC
EIN: 95-4016320

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
RIGHTEOUS PERSONS FOUNDATION	11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	2020-01-31	185,000	TO FUND INNOVATIVE APPROACHES THAT INSPIRE ACTIVISM FOR SOCIAL JUSTICE, HELP BRING PEOPLE TOGETHER ACROSS LINES OF DIFFERENCE; UNLOCK THE POWER OF ART AND STORYTELLING; MAKE JEWISH HISTORY AND TRADITION MORE ACCESSIBLE; AND ENSURE THAT THE MORAL LESSONS OF THE HOLOCAUST ARE NOT FORGOTTEN	185,000	NONE	3/31/20; 6/30/20; 9/30/20; 12/31/20	2021-10-31	TO THE KNOWLEDGE OF THE HEARTHLAND FOUNDATION BASED ON THE REPORTS FURNISHED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR PURPOSES OTHER THAN FOR ITS INTENDED PURPOSE.
RIGHTEOUS PERSONS FOUNDATION	11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	2020-04-08	240,000	TO FUND INNOVATIVE APPROACHES THAT INSPIRE ACTIVISM FOR SOCIAL JUSTICE, HELP BRING PEOPLE TOGETHER ACROSS LINES OF DIFFERENCE; UNLOCK THE POWER OF ART AND STORYTELLING; MAKE JEWISH HISTORY AND TRADITION MORE ACCESSIBLE; AND ENSURE THAT THE MORAL LESSONS OF THE HOLOCAUST ARE NOT FORGOTTEN	240,000	NONE	3/31/20; 6/30/20; 9/30/20; 12/31/20	2021-10-31	TO THE KNOWLEDGE OF THE HEARTHLAND FOUNDATION BASED ON THE REPORTS FURNISHED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR PURPOSES OTHER THAN FOR ITS INTENDED PURPOSE.
RIGHTEOUS PERSONS FOUNDATION	11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	2020-06-11	375,000	TO FUND INNOVATIVE APPROACHES THAT INSPIRE ACTIVISM FOR SOCIAL JUSTICE, HELP BRING PEOPLE TOGETHER ACROSS LINES OF DIFFERENCE; UNLOCK THE POWER OF ART AND STORYTELLING; MAKE JEWISH HISTORY AND TRADITION MORE ACCESSIBLE; AND ENSURE THAT THE MORAL LESSONS OF THE HOLOCAUST ARE NOT FORGOTTEN	375,000	NONE	3/31/20; 6/30/20; 9/30/20; 12/31/20	2021-10-31	TO THE KNOWLEDGE OF THE HEARTHLAND FOUNDATION BASED ON THE REPORTS FURNISHED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR PURPOSES OTHER THAN FOR ITS INTENDED PURPOSE.
RIGHTEOUS PERSONS FOUNDATION	11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	2020-07-16	427,500	TO FUND INNOVATIVE APPROACHES THAT INSPIRE ACTIVISM FOR SOCIAL JUSTICE, HELP BRING PEOPLE TOGETHER ACROSS LINES OF DIFFERENCE; UNLOCK THE POWER OF ART AND STORYTELLING; MAKE JEWISH HISTORY AND TRADITION MORE ACCESSIBLE; AND ENSURE THAT THE MORAL LESSONS OF THE HOLOCAUST ARE NOT FORGOTTEN	427,500		3/31/20; 6/30/20; 9/30/20; 12/31/20	2021-10-31	TO THE KNOWLEDGE OF THE HEARTHLAND FOUNDATION BASED ON THE REPORTS FURNISHED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR PURPOSES OTHER THAN FOR ITS INTENDED PURPOSE.
RIGHTEOUS PERSONS FOUNDATION	11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	2020-08-21	185,000	TO FUND INNOVATIVE APPROACHES THAT INSPIRE ACTIVISM FOR SOCIAL JUSTICE, HELP BRING PEOPLE TOGETHER ACROSS LINES OF DIFFERENCE; UNLOCK THE POWER OF ART AND STORYTELLING; MAKE JEWISH HISTORY AND TRADITION MORE ACCESSIBLE; AND ENSURE THAT THE MORAL LESSONS OF THE HOLOCAUST ARE NOT FORGOTTEN	185,000		3/31/20; 6/30/20; 9/30/20; 12/31/20	2021-10-31	TO THE KNOWLEDGE OF THE HEARTHLAND FOUNDATION BASED ON THE REPORTS FURNISHED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR PURPOSES OTHER THAN FOR ITS INTENDED PURPOSE.
RIGHTEOUS PERSONS FOUNDATION	11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	2020-09-15	13,000	TO FUND INNOVATIVE APPROACHES THAT INSPIRE ACTIVISM FOR SOCIAL JUSTICE, HELP BRING PEOPLE TOGETHER ACROSS LINES OF DIFFERENCE; UNLOCK THE POWER OF ART AND STORYTELLING; MAKE JEWISH HISTORY AND TRADITION MORE ACCESSIBLE; AND ENSURE THAT THE MORAL LESSONS OF THE HOLOCAUST ARE NOT FORGOTTEN	13,000		3/31/20; 6/30/20; 9/30/20; 12/31/20	2021-10-31	TO THE KNOWLEDGE OF THE HEARTHLAND FOUNDATION BASED ON THE REPORTS FURNISHED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR PURPOSES OTHER THAN FOR ITS INTENDED PURPOSE.
RIGHTEOUS PERSONS FOUNDATION	11400 W OLYMPIC BLVD STE 550 LOS ANGELES, CA 90064	2020-12-14	1,050,000	TO FUND INNOVATIVE APPROACHES THAT INSPIRE ACTIVISM FOR SOCIAL JUSTICE, HELP BRING PEOPLE TOGETHER ACROSS LINES OF DIFFERENCE; UNLOCK THE POWER OF ART AND STORYTELLING; MAKE JEWISH HISTORY AND TRADITION MORE ACCESSIBLE; AND ENSURE THAT THE MORAL LESSONS OF THE HOLOCAUST ARE NOT FORGOTTEN	1,050,000	NONE	3/31/20; 6/30/20; 9/30/20; 12/31/20	2021-10-31	TO THE KNOWLEDGE OF THE HEARTHLAND FOUNDATION BASED ON THE REPORTS FURNISHED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR PURPOSES OTHER THAN FOR ITS INTENDED PURPOSE.

TY 2020 IRS 990 e-File Render

Name: THE HEARTHLAND FOUNDATION
C/O BRESLAUER RUTMAN & ANDERSON INC
EIN: 95-4016320

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	17,887,439	17,926,635

TY 2020 IRS 990 e-File Render

Name: THE HEARTHLAND FOUNDATION
C/O BRESLAUER RUTMAN & ANDERSON INC
EIN: 95-4016320

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	86,759,665	109,961,867

TY 2020 IRS 990 e-File Render

Name: THE HEARTHLAND FOUNDATION
C/O BRESLAUER RUTMAN & ANDERSON INC
EIN: 95-4016320

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
EXCHANGE TRADED AND MUTUAL FUNDS	FMV	4,413,095	4,482,205
PARTNERSHIPS	FMV	129,430,658	157,544,884

TY 2020 IRS 990 e-File Render

Name: THE HEARTHLAND FOUNDATION
C/O BRESLAUER RUTMAN & ANDERSON INC
EIN: 95-4016320

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING FEES	44,345	22,172	0	22,173

TY 2020 IRS 990 e-File Render

Name: THE HEARTHLAND FOUNDATION

C/O BRESLAUER RUTMAN & ANDERSON INC

EIN: 95-4016320

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES	732,301	732,301	0	0
FILING FEES	486	0	0	486
OFFICE EXPENSE	6,738	3,369	0	3,369

TY 2020 IRS 990 e-File Render

Name: THE HEARTHLAND FOUNDATION
C/O BRESLAUER RUTMAN & ANDERSON INC
EIN: 95-4016320

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING FEES	1,384,213	0	0	1,384,213

TY 2020 IRS 990 e-File Render

Name: THE HEARTHLAND FOUNDATION

C/O BRESLAUER RUTMAN & ANDERSON INC

EIN: 95-4016320

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	376,531	0	0	0
FOREIGN TAX	72,257	72,257	0	0
LATE PAYMENT PENALTY	379	0	0	0