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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0047

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning 1/1, 2019, and ending 12/31, 2019

Name of foundation **Astor Family Foundation / Jeff Astor Foundation**Number and street (or P.O. box number if mail is not delivered to street address) **931 Andover Way** Room/suite **94**City or town, state or province, country, and ZIP or foreign postal code **Los Altos, CA 94024**City or town, state or province, country, and ZIP or foreign postal code **Los Altos, CA 94024**G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name changeH Check type of organization ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ **\$583,307.38** J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) \_\_\_\_\_ (Part I, column (d), must be on cash basis)**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	915,700			
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	34.48	34.48	34.48	
4 Dividends and interest from securities	15,238.43	15,238.43	15,238.43	
5a Gross rents	N/A			
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	40,337.90			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)	40,337.90			
8 Net short-term capital gain			1,942.34	
9 Income modifications				
10a Gross sales less returns and allowances	N/A			
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	64,767.83	55,610.81	17,515.25	
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)	5,174.42			
17 Interest				
18 Taxes (attach schedule) (see instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	5,174.42			
25 Contributions, gifts, grants paid	23,150			
26 Total expenses and disbursements. Add lines 24 and 25	28,324.42		17,515.25	
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	36,443.46			
b Net investment income (if negative, enter -0-)		55,610.81		
c Adjusted net income (if negative, enter -0-)			17,515.25	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2019)

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing <i>statement 10</i>	33,197 <sup>12</sup>	26,207 <sup>12</sup>	26,207 <sup>12</sup>
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	499,809.39	557,099.93	557,099.93
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶ )				
16 <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	513,006 <sup>51</sup>	583,307 <sup>38</sup>	583,307 <sup>38</sup>	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0	0	0
Net Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/></b> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	<b>Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/></b> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg, and equipment fund	10,000	10,000 <sup>38</sup>	10,000 <sup>38</sup>
	28 Retained earnings, accumulated income, endowment, or other funds	503,006 <sup>51</sup>	573,307 <sup>38</sup>	573,307 <sup>38</sup>
	29 <b>Total net assets or fund balances</b> (see instructions)	513,006 <sup>51</sup>	583,307 <sup>38</sup>	583,307 <sup>38</sup>
	30 <b>Total liabilities and net assets/fund balances</b> (see instructions)	513,006 <sup>51</sup>	583,307 <sup>38</sup>	583,307 <sup>38</sup>

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	513,006 <sup>51</sup>
2 Enter amount from Part I, line 27a	2	36,443.46
3 Other increases not included in line 2 (itemize) ▶ <i>statement 16</i>	3	33,857.41
4 Add lines 1, 2, and 3	4	583,307.38 <sup>7</sup>
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	583,307.38

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	Various publicly traded stocks	P	Various	Various
b				
c	Statement 14			
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 366,795.67	N/A	326,457.97	40,337.70
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	N/A		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	40,337.70
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	1,942.34

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	27,768.32	583,307.38	.0476049
2017	39,691	578,082	.0686598
2016	35,584	517,584	.0687501
2015	27,237	531,237	.0512709
2014	24,163	482,925	.0500343

2	Total of line 1, column (d)	2	.28632
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.057264
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	8213.35
5	Multiply line 4 by line 3	5	470.32
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	556.10
7	Add lines 5 and 6	7	1026.42
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	28,324.42

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	
	Date of ruling or determination letter <u>                    </u> (attach copy of letter if necessary—see instructions)	
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1 536.10
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2 0
3	Add lines 1 and 2	3 536.10
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4 0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5 536.10
6	Credits/Payments	
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a
b	Exempt foreign organizations—tax withheld at source	6b
c	Tax paid with application for extension of time to file (Form 8868)	6c
d	Backup withholding erroneously withheld	6d
7	Total credits and payments. Add lines 6a through 6d	7
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9 536.10
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10
11	Enter the amount of line 10 to be <b>Credited to 2020 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
b		X
1b		X
c		X
1c		X
d		X
e		X
2		X
3		X
4a		X
4b		X
5		X
6		X
7		X
8a		X
8b		X
9		X
10		X

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ▶ <u>Jeff Astor Foundation, org</u>		
14 The books are in care of ▶ <u>Stephen Astor</u> Telephone no ▶ <u>650-964-2981</u>		
Located at ▶ <u>931 Andover way Los Altos, CA</u> ZIP+4 ▶ <u>94024</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> <u>N/A</u>		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		X
Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		N/A
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

	Yes	No
5a		
5b		X
6a		X
6b		X
7a		X
7b		X
8		X

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Stephen Astor 94124 431 Andover Way Los Altos CA	trustee	0	0	0
Merry Astor 94124 431 Andover Way Los Altos CA	trustee	0	0	0
Beth Astor 94124 1243 Franklin #2 San Mateo CA	trustee	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
no other				
no one paid anything				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
N/A (no one)		

Total number of others receiving over \$50,000 for professional services

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
3 All other program-related investments. See instructions	

Total. Add lines 1 through 3

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	state 12	1a 518,454.65
b	Average of monthly cash balances	state 11	1b 29,102.29
c	Fair market value of all other assets (see instructions)		1c
d	<b>Total</b> (add lines 1a, b, and c)		1d 547,556.94
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	547,556.94
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	82,133.55
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	539,343.59
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	26,967.18

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	26,967.18
2a	Tax on investment income for 2019 from Part VI, line 5	2a	556.10
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	556.10
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	26,411.08
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	26,411.08
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	26,411.08

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	28,324.42
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	28,324.42
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	556.10
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	27,768.32

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

*Subtotal 13*

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				26,411.08
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only			0	
b Total for prior years 20 <u>15</u> , 20 <u>16</u> , 20 <u>17</u>		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	38,991.05			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>28,324.42</u>			0	
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	26,411.08			
d Applied to 2019 distributable amount				0
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	26,411.08			26,411.08
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	26,411.08			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	39,614.87			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

**Part XIV Private Operating Foundations** (see instructions and Part VII question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling 7/1/11

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2019	2,175,442			
2018				
2017				
2016				

b 85% of line 2a

c Qualifying distributions from Part XII, line 4, for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information *(continued)***3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Statement 8				
Total				23,150 <sup>00</sup>
b Approved for future payment				
N/A				
Total				



## Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- |  | Yes | No                                  |
|--|-----|-------------------------------------|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |     |                                     |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:  |     |                                     |
| <b>(1)</b> Cash  |     | <input checked="" type="checkbox"/> |
| <b>(2)</b> Other assets  |     | <input checked="" type="checkbox"/> |
| <b>b</b> Other transactions  |     |                                     |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization  |     | <input checked="" type="checkbox"/> |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization  |     | <input checked="" type="checkbox"/> |
| <b>(3)</b> Rental of facilities, equipment, or other assets  |     | <input checked="" type="checkbox"/> |
| <b>(4)</b> Reimbursement arrangements  |     | <input checked="" type="checkbox"/> |
| <b>(5)</b> Loans or loan guarantees  |     | <input checked="" type="checkbox"/> |
| <b>(6)</b> Performance of services or membership or fundraising solicitations  |     | <input checked="" type="checkbox"/> |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees  |     | <input checked="" type="checkbox"/> |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received |     |                                     |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no

Aster Family ~~trust~~ Aster ~~trust~~  
2019

94 2776707

~~Part~~

Statement 1 Capital Gains  
990 PF. Part IV 2019

	proceed	cost	gain
short	225,072.84	223,130.50	1,942.34

Long	141,722.83	103,327.47	38,395.36
	<hr/>	<hr/>	<hr/>
	366,795.67	326,457.97	40,337.69

Aster Family Foundation / Aster Family Foundation

94-2776707 2019

Statement 2 ~~Part~~

IRS 990 PF 2019 Part 1  
contributions received

Foundation

2019

Donations Received

100

180

500

540

54

25

540

100

50

50

18

250

100

54

36

50

50

36

36

18

100

50

360

50

250

200

200

100

4097

100

100

25

100

50

180

36

180

25

50

108

130

36

180

100

100

200

50

180

180

18

1175

2203

36

50

25

50

54

200

100

108

100

100

50

100

250

100

50

234

50

(Total 5000)

1000

(250 for 200)

50

100

50

2857

9157

5117



Aster Family Funded Jeff Aster  
Funder

94-277 6707

2019

~~State~~ 3  
IRS 990 PF Interest

Part I line 3 Wells Fargo Int 34.48

Statement of  
Aster Family Fund / Jeff Aster  
Fund

94-2776207

2019

IRS 990 PF

Part Analysis of Revenue

Dividends + Interest from Securities

Wells - 14,986.15

TD

252.28

---

15,238.43

Astor Family Foundation / Jeff Astor Foundation  
Statement 5 2019

IRS 990 PF

Part I line 16a other professional fees

Wells F Investment advisor - \$5,174.42

Aster Family Foundation / ~~Staff~~ Aster Foundation

Statement 6 94 2006007

2019

IRS 990 PF

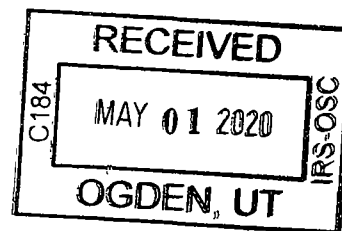
Part II line 10b Investments stocks

Rosenbach Wells Fargo 545,300.34

TD Ameritrade

11,799.59

557,099.93



Ais for Family Foundation / Jeff Aster Foundation  
2019

Stated 8 94 2776707

IRS 990 ~~RF~~ 2019

Part 1 line 25 Contributions paid  
23,150.00

43

Statement		
Form 990PF, IRS, Part XV, line 3A, Grants and Contributions Paid During the Year or Approved for Future Payment		
Name	Headings	Organization
AJU	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable American Jewish University 15600 Mulholland Dr Los Angeles, CA 90077 None Exempt \$2,000 <sup>00</sup>
Friendship Circle Palo Alto	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable Friendship Circle 3921 Fabian Way A023 Palo Alto, CA 94303 None Exempt 2,250 <sup>00</sup>
Goldstein Village	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable PEF Endowment 630 Third Ave, 15th floor New York, NY 10017 None Exempt 1,500 <sup>00</sup>
Hebrew Free Loan	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable Hebrew Free Loan 131 Steueart St #520 San Francisco, CA 94105 None Exempt 4,000 <sup>00</sup>

	Donee Status Amount Given	Exempt
Israel Guide Dogs For The Blind	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable Israel Guide Dogs for Blind 968 Easton Rd Suite H Warrington, PA 18976 None Exempt 2,400 <sup>00</sup>
JCA Shalom Fire Relief	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable Shalom Institute/Jewish Fed 19710 Ventura Blvd Woodland Hills, CA 91364 None Exempt 500 <sup>00</sup>
Jewish Federation	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable Jewish Fedrtin Los Angeles 6505 Wilshire Blvd Los Angeles, CA 90048 None Exempt 1,000 <sup>00</sup>
Jewish Baby Network (Write checks and send to Kol Emeth with note the money is for Jewish Baby Network)	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable Jewish Baby Network 4175 Manuela Ave, Palo Alto, CA 94306 None Exempt 2,000 <sup>00</sup>
Our House	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given 2 chks 1000+800	Charitable Our House 1663 Sawtelle Blvd. #300 Los Angeles, CA 90025 None Exempt 1,800 <sup>00</sup>
Ramah Ojai	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable Camp Ramah in California 17525 Ventura Blvd #310 Encino, CA 91316 None Exempt 3,000 <sup>00</sup>

Ramah Galim	Class of Activity Donee's Name Donee's Street Address Donee's City, State, Zip Relationship to Donee Donee Status Amount Given	Charitable Ramah Galim 969 G Edgewater Blvd #804 Foster City CA 94404 None Exempt 2,700 <sup>00</sup>
Readers To Leaders	Class of Activity Donee's Name	Charitable ReaderstoLeaders/Stephen Wise

3/3



Aster Family Foundation / Jeff Aster Foundation

94-2776807

2019

IRS 990 PF

Statement 10 Part II line 1 non-interest cash

Rosenbush Wells Fargo check 14,361.94

Wells <sup>Fargo</sup> Los Altos Savings 11,845.51

---

26,207.45

Aster Family Foundation / Jeff Aster Foundation

94-2776707

2019

IRS 990 PF

Statement II

IRS 990 PF Part X, line 1b Avg month cash

begin yr 2019 cash - 33,197.12

end yr 2019 cash - 26,207.45

~~29~~  
59,404.57

$\div 2 = 29,702.29$

Aster Family Foundation / Jeff Aster Foundation

94-2776807

2019

IRS 990 PF

Statement 12

IRS 990 PF Part X line 1a  
Avg month securities

end 2019	Wells R	545,300.34
	TD Amer	<u>11,799.59</u>
		557,099.93

begin 2019	479,809.39
end 2019	<u>557,099.93</u>
	10,36,109.32
$\frac{1}{2}$	<u>518,454.65</u>

Aster Family Foundation / Jeff Aster Foundation

94-2776807

2019

IRS 990 PF

Statement 12

IRS 990 PF Part X line 1a  
Avg month securities

end 2019	Wells Rv	545,300.34
	TD Amer	<u>11,799.59</u>
		557,099.93

begin 2019	479,809.39
end 2019	<u>557,099.93</u>
	10,361,909.32
$\frac{1}{2}$	<u>518,454.65</u>

Aster Family Foundation / Jeff Aster Foundation

94-2776807

2019

IRS 990 PF

Statement 13 Part XIII

KI Celebrates Rabbi Reuben

26,411.08	from 2017
13,203.79	13,203.79
<u>13,208.29</u>	from 2018
	13,208.29
	<u>26,411.08</u>

KI Celebrates Rabbi Reuben

34	38,991.05	41	0
40	26,411.08	5	26,411.08
42	0		
	<u>0</u>		
-5	26,411.08	-1	26,411.08
	<u>38,991.05</u>		<u>0</u>

took from 2017 <sup>corpus take</sup> 13,203.79  
 took from 2018 <sup>corpus take</sup> 13,208.29 <sup>26,411.08</sup>  
<sup>what was required</sup>  
 this money in 2018 = 13,203.79  
 removed 2019 = 26,411.08  
39,614.87

Reubenism 2

Be the kind of adult you want your children to grow up to be.

Reubenism 3:

What we do matters, what we say matters, who we are matters.

Astor Family Foundation / Jeff Astor Foundation

94-2776907

2019

IRS 990 PF

Statement 14 Part IV

Copies of our 2 Brokerage accounts  
for Cap gains into

+  
Dividend into

Aster Family Foundation / Jeff Aster Foundation  
94 2776707 2019

IRS 990 PF

Schedule 16

Part III line 3 other

- ① Stock market fluctuations in Wells+TD part folios
- ② and also, IRS 990 PF refund of 21.32 for 2018
- ③ See schedule 14 but received
- ④ & schedule 15 1/15/19.

- ⑤ And also C21-L FTB refund of 50.00 on 4/3/2019 - see attached next page

Astor Family Foundation / Jeff Astor Foundation

94-2776807

2019

IRS 990 PF

Statement 15

Copies of our 2 bank accts  
for interest & checks paid into