Form 990

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2021

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

A For the 2021 calendar year, or tax year beginning 01-01-2021 , and ending 12-31-2021

B Check if applicable:
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Final return/terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization
WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) | Room/suite
204 EAST 4TH STREET

City or town, state or province, country, and ZIP or foreign postal code
NORTH LITTLE ROCK, AR 72114

D Employer identification number
71-0603560

E Telephone number
(501) 280-3000

G Gross receipts $ 104,422,236

F Name and address of principal officer:
MIKE MYERS
204 EAST 4TH STREET
NORTH LITTLE ROCK,AR 72114

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list. See instructions.

H(c) Group exemption number ▶

I Tax-exempt status: ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.WINROCK.ORG

K Form of organization: ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1984

M State of legal domicile: AR

Part I Summary

1 Briefly describe the organization's mission or most significant activities:
WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT IS A RECOGNIZED LEADER IN U.S. AND INTERNATIONAL DEVELOPMENT, PROVIDING SOLUTIONS TO SOME OF THE WORLD'S MOST COMPLEX SOCIAL, AGRICULTURAL AND ENVIRONMENTAL CHALLENGES. WINROCK IS A NON-PROFIT ORGANIZATION THAT COMBINES TECHNICAL EXPERTISE WITH ENTREPRENEURIAL INNOVATION TO IMPROVE LIVES AROUND THE GLOBE AND IMPLEMENTS A PORTFOLIO OF MORE THAN 100 AGRICULTURE, ENVIRONMENT, AND SOCIAL DEVELOPMENT PROJECTS IN MORE THAN 40 COUNTRIES.

Activities & Governance

2	Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
3	Number of voting members of the governing body (Part VI, line 1a)	**3**	20
4	Number of independent voting members of the governing body (Part VI, line 1b)	**4**	19
5	Total number of individuals employed in calendar year 2021 (Part V, line 2a)	**5**	718
6	Total number of volunteers (estimate if necessary)	**6**	130
7a	Total unrelated business revenue from Part VIII, column (C), line 12	**7a**	1,640
b	Net unrelated business taxable income from Form 990-T, Part I, line 11	**7b**	0

		Prior Year	Current Year
8	Contributions and grants (Part VIII, line 1h)	91,784,576	87,296,495
9	Program service revenue (Part VIII, line 2g)	3,787,462	4,843,698
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,314,927	7,384,419
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0	-404,179
12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	96,886,965	99,120,433
13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	7,115,377	8,270,890
14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	49,066,236	50,018,853
16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
b	Total fundraising expenses (Part IX, column (D), line 25) ▶0		
17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	35,889,921	33,762,866
18	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	92,071,534	92,052,609
19	Revenue less expenses. Subtract line 18 from line 12	4,815,431	7,067,824

Revenue

Expenses

		Beginning of Current Year	End of Year
20	Total assets (Part X, line 16)	132,378,293	132,106,340
21	Total liabilities (Part X, line 26)	60,530,228	49,361,784
22	Net assets or fund balances. Subtract line 21 from line 20	71,848,065	82,744,556

Net Assets or Fund Balances

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer — Date: 2022-10-27

▶ MIKE MYERS SENIOR VP - CFO AND TREASURER
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
		2022-10-17		P01064483

Firm's name ▶ HOGANTAYLOR LLP — Firm's EIN ▶ 73-1413977

Firm's address ▶ 11300 CANTRELL ROAD SUITE 301
LITTLE ROCK, AR 72212 — Phone no. (501) 227-5800

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . . ☑

1 Briefly describe the organization's mission:

WINROCK'S MISSION IS TO EMPOWER THE DISADVANTAGED, INCREASE ECONOMIC OPPORTUNITY AND SUSTAIN NATURAL RESOURCES.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 13,636,737 including grants of $ 811,466) (Revenue $ 4,843,698)

ENVIRONMENT AND ENERGY: SUSTAINABLE ECONOMIC GROWTH DEPENDS ON A HEALTHY PLANET. WINROCK USES SCIENCE-DRIVEN, EVIDENCE-BASED SOLUTIONS TO ADDRESS THE MYRIAD WAYS HUMANS USE NATURAL RESOURCES. FROM NATURE-BASED SOLUTIONS TO CLIMATE CHANGE, TO COMMUNITY-BASED NATURAL RESOURCE MANAGEMENT, TO MARKET-DRIVEN INNOVATIONS IN CLEAN ENERGY AND PROMOTING ECOSYSTEM SERVICES, WINROCK DEVELOPS SOLUTIONS TO PROTECT NATURAL RESOURCES, ADDRESS CLIMATE CHANGE AND PROMOTE LONG-TERM ECONOMIC WELL-BEING AND HEALTH. OUR APPROACH EMPOWERS LOCAL COMMUNITIES, GOVERNMENTS, CIVIL SOCIETY AND THE PRIVATE SECTOR, PROVIDING THEM WITH THE INFORMATION NEEDED TO DEVELOP SUSTAINABLE, WIN-WIN SOLUTIONS.ENVIRONMENTAL RESOURCES TRUST (ERT): ERT'S MISSION IS TO HARNESS THE POWER OF MARKETS TO IMPROVE THE ENVIRONMENT. ERT ENHANCES CONFIDENCE IN THE SCIENTIFIC INTEGRITY AND SOCIAL EQUITY OF ENVIRONMENTAL MARKETS TO CATALYZE AMBITIOUS AND TRANSFORMATIONAL CLIMATE ACTION. THROUGH ITS AMERICAN CARBON REGISTRY (ACR) ENTERPRISE, ERT HAS CATALYZED OVER 200 MILLION TONS OF HIGH-QUALITY EMISSION REDUCTIONS AND REMOVALS ISSUED AS SERIALIZED CARBON OFFSET CREDITS IN THE FORESTRY, ENERGY, TRANSPORTATION AND INDUSTRIAL SECTORS.

4b (Code:) (Expenses $ 34,735,873 including grants of $ 1,978,725) (Revenue $)

AGRICULTURE, RESILIENCE AND WATER (ARW): ARW'S PURPOSE IS TO CATALYZE TRANSFORMATIONAL AND SUSTAINABLE DEVELOPMENT GAINS FOR THE COMMUNITIES THAT WE SERVE. ARW MANAGES AWARDS FOR A VARIETY OF CLIENTS, INCLUDING USAID AND USDA IN THE AREAS OF FOOD SECURITY, MARKET SYSTEMS, WATER SYSTEMS, AND RESILIENCE. ARW PARTNERS WITH COMMUNITIES AROUND THE WORLD TO ADDRESS SOME OF THE ROOT CAUSES OF FOOD, WATER AND ECONOMIC INSECURITY BY CO-CREATING INNOVATIVE SOLUTIONS WITH FARMERS, COMMUNITIES, AGRICULTURAL BUSINESSES, COOPERATIVES AND OTHER STAKEHOLDERS ACROSS AFRICA, ASIA, AND LATIN AMERICA.WALLACE CENTER: THE WALLACE CENTER BRINGS TOGETHER DIVERSE PEOPLE AND IDEAS TO CO-CREATE SOLUTIONS THAT BUILD HEALTHY FARMS, EQUITABLE ECONOMIES, AND RESILIENT FOOD SYSTEMS IN THE UNITED STATES. THE CENTER'S WORK FOCUSES ON FIVE LEVERS FOR FOOD SYSTEMS CHANGE CATALYTIC SYSTEMS LEADERSHIP; EQUITY AND JUSTICE IN FARMING AND FOOD SYSTEMS; LEARNING, COLLABORATION, INNOVATION AND ACTION ACROSS COMMUNITIES; VALUES-BASED SUPPLY CHAINS; AND REGENERATIVE LAND USE.

4c (Code:) (Expenses $ 24,054,758 including grants of $ 4,216,247) (Revenue $)

HUMAN RIGHTS, EDUCATION, AND EMPOWERMENT GROUP (HREE): HREE DELIVERS INTERNATIONAL DEVELOPMENT SOLUTIONS IN THE FOLLOWING TECHNICAL AREAS: COUNTERING TRAFFICKING IN PERSONS, SAFE MIGRATION, CHILD LABOR, GENDER EQUALITY AND SOCIAL INCLUSION, EDUCATION AND YOUTH.

(Code:) (Expenses $ 5,080,389 including grants of $ 1,264,452) (Revenue $)

OTHER PROGRAMS: OTHER PROGRAMS INCLUDES U.S. PROGRAMS: SINCE 1985, WINROCK'S U.S. PROGRAMS HAS USED INNOVATIVE APPROACHES TO ADDRESS DOMESTIC ISSUES. WINROCK'S U.S. PROGRAMS BRINGS TOOLS, EXPERIENCE, AND TRAINING TO PEOPLE AND COMMUNITIES IN ARKANSAS AND THROUGHOUT THE MID-SOUTH HELPING THEM UNLOCK THEIR STRENGTHS, CREATIVITY, AND RESOURCEFULNESS.

4d Other program services (Describe in Schedule O.)
(Expenses $ 5,080,389 including grants of $ 1,264,452) (Revenue $)

4e **Total program service expenses** ▶ 77,507,757

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors*? See instructions.	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Rev. Proc. 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D,* Part I	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D,* Part III	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X, as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D,* Part VI.	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d	Yes	
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I.* See instructions.	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L,* Part I	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L,* Part I	25b		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? *If "Yes," complete Schedule L,* Part III	27		No
28	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of any individual described in line 28a? *If "Yes," complete Schedule L, Part IV*	28b		No
c	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O.	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☑

				Yes	No
1a	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable	1a	204		
b	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance *(continued)*

2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return **2a** 265				
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. See instructions.	**2b**	Yes		
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?	**3a**	Yes		
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*	**3b**	Yes		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial	**4a**	Yes		
b	account)? If "Yes," enter the name of the foreign country: ▶ BG,UV,BR,CB,CA,CH,GH,GV,ID,JM,KZ,KE,KG,LA,LI,MI,ML,MZ,NP,NG,NI,PK,RP,SG,BD,TT,TZ,TH,UZ,VM See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	**5a**			No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	**5b**			No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	**5c**			
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	**6a**			No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	**6b**			
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?	**7a**			No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	**7b**			
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	**7c**			No
d	If "Yes," indicate the number of Forms 8282 filed during the year **7d**				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	**7e**			No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**7f**			No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	**7g**			
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	**7h**			
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	**8**			
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the sponsoring organization make any taxable distributions under section 4966?	**9a**			
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	**9b**			
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12 **10a**				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities **10b**				
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders **11a**				
b	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) **11b**				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?	**12a**			
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year. **12b**				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O.	**13a**			
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans **13b**				
c	Enter the amount of reserves on hand **13c**				
14a	Did the organization receive any payments for indoor tanning services during the tax year?	**14a**			No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*	**14b**			
15	Is the organization subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N.	**15**			No
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.	**16**			No
17	**Section 501(c)(21) organizations.** Did the trust, any disqualified person, or mine operator engage in any activities that would result in the imposition of an excise tax under section 4951, 4952, or 4953? If "Yes," complete Form 6069.	**17**			

Part VI **Governance, Management, and Disclosure.** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	**1a**	20		
b	Enter the number of voting members included in line 1a, above, who are independent	**1b**	19		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		**2**	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		**4**		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		**5**		No
6	Did the organization have members or stockholders?		**6**		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		**7a**		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		**7b**		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?		**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?		**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		**9**		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	**10a**		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	**11a**	Yes	
b	Describe on Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe on Schedule O how this was done*	**12c**	Yes	
13	Did the organization have a written whistleblower policy?	**13**	Yes	
14	Did the organization have a written document retention and destruction policy?	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	Yes	
b	Other officers or key employees of the organization	**15b**	Yes	
	If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ AR, CA, FL, GA, HI, IL, KS, KY, ME, MD, MA, MI, MN, MS, NH, NJ, NM, NY, NC, ND, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI

18 Section 6104 requires an organization to make its Form 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records: ▶ CHARLES MICHAEL MYERS 204 EAST 4TH STREET NORTH LITTLE ROCK, AR 72114 (501) 280-3000

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See the instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) RODNEY FERGUSON PRESIDENT AND CEO	40.00 1.00	X		X				620,356	0	52,053
(2) PALOMA ADAMS ALLEN BOARD MEMBER	1.00	X						0	0	0
(3) JERRY B ADAMS BOARD MEMBER	1.00	X						0	0	0
(4) SAMAR S ALI BOARD MEMBER	1.00	X						0	0	0
(5) JASON BORDOFF BOARD MEMBER	1.00	X						0	0	0
(6) DAVID BRAMAN BOARD MEMBER	1.00	X						0	0	0
(7) WILLIAM BUMPERS BOARD MEMBER	1.00	X						0	0	0
(8) EARL DEVANEY BOARD MEMBER	1.00	X						0	0	0
(9) THOMAS GREEN BOARD MEMBER	1.00	X						0	0	0
(10) JUDE KEARNEY CHAIR	1.00	X		X				0	0	0
(11) RICHARD LEACH BOARD MEMBER	1.00	X						0	0	0
(12) NANETTE MEDVED-PO BOARD MEMBER	1.00	X						0	0	0
(13) LISA MILTON BOARD MEMBER	1.00	X						0	0	0
(14) JOHN M NEES BOARD MEMBER	1.00	X						0	0	0
(15) TUAN NGUYEN BOARD MEMBER	1.00	X						0	0	0
(16) PETER O'NEILL BOARD MEMBER	1.00	X						0	0	0
(17) RUTH ONIANG'O BOARD MEMBER	1.00	X						0	0	0

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) WILLIAM ROCKEFELLER BOARD MEMBER	1.00	X						0	0	0
(19) SUZANNE E SISKEL VICE CHAIR	1.00	X		X				0	0	0
(20) SAUD SIDDIQUE BOARD MEMBER	1.00	X						0	0	0
(21) JOHN TYSON BOARD MEMBER	1.00	X						0	0	0
(22) MIKE MYERS SENIOR VP - CFO & TREASURE	40.00 1.00			X				319,814	0	50,145
(23) JOYJIT DEB ROY EXECUTIVE VP	40.00 2.00			X				346,685	0	43,193
(24) ELISABETH WHITBECK BOARD SECRETARY	40.00			X				150,974	0	21,491
(25) CHARLOTTE YOUNG VP GC AND CRCO	40.00 1.00				X			288,658	0	47,231
(26) MALIKA MAGAGULA VP AND COO	40.00				X			301,472	0	35,399
(27) PATRICIA J MCCALL VP CORP AFFAIRS	40.00				X			295,125	0	45,908
(28) EDNA CRUZ CHRO	40.00				X			252,881	0	38,637
(29) BRAULIO OLIVEIRA CHIEF INFORMATION OFFICER	40.00					X		297,719	0	47,258
(30) BRIAN BEAN CHIEF OF PARTY	40.00					X		285,393	0	39,378
(31) MARY GRADY CEO OF ERT	40.00					X		279,438	0	40,069
(32) AMANDA HILLIGAS ASSOCIATE VP	40.00					X		263,184	0	49,280
(33) ALEXIS ELLICOTT CHIEF OF PARTY	40.00					X		259,925	0	36,914
1b Sub-Total ▶										
c Total from continuation sheets to Part VII, Section A ▶										
d Total (add lines 1b and 1c) ▶								3,961,624	0	546,956

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 95

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
CESC PARK TWO LLC PO BOX 644762 PITTSBURGH, PA 15264	ARLINGTON, VA LEASED OFFICE SPACE	1,565,041
APX INC 2150 NORTH FIRST ST STE 200 SAN JOSE, CA 95131	MANAGEMENT SERVICES OF ACR	811,308
WIPFLI LLP 11 SCOTT ST WAUSAU, WI 54402	ERP IMPLEMENTATION/ CONSULTATION	219,975
ROCKEFELLER CAPITAL MANAGEMENT 900 17TH ST NW STE 603 WASHINGTON, DC 20006	INVESTMENT MANAGEMENT SERVICES	168,545
DELTEK INC 2291 WOOD OAK DRIVE HERNDON, VA 20171	ERP IMPLEMENTATION	166,546

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 9

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII . . . . . ☐

	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants, and OtherAmt Similar Amounts				
1a Federated campaigns . . **1a**				
b Membership dues . . **1b**				
c Fundraising events . . **1c**				
d Related organizations **1d**				
e Government grants (contributions) **1e**	75,010,483			
f All other contributions, gifts, grants, and similar amounts not included above **1f**	12,286,012			
g Noncash contributions included in lines 1a - 1f:$ **1g** 56,481				
h Total. Add lines 1a-1f . . . . . ▶	87,296,495			
Program Service Revenue	Business Code			
2a CARBON SERVICE REGISTRY	541620	4,843,698	4,843,698	
b				
c				
d				
e				
f All other program service revenue.				
g Total. Add lines 2a–2f. . . . . ▶	4,843,698			
3 Investment income (including dividends, interest, and other similar amounts)		1,128,695	1,640	1,127,055
4 Income from investment of tax-exempt bond proceeds ▶				
5 Royalties . . . . . ▶				
	(i) Real	(ii) Personal		
6a Gross rents **6a**				
b Less: rental expenses **6b**				
c Rental income or (loss) **6c**				
d Net rental income or (loss)				
	(i) Securities	(ii) Other ▶		
7a Gross amount from sales of assets other than inventory **7a**	6,372,374	5,185,153		
b Less: cost or other basis and sales expenses **7b**	1,142,573	4,159,230		
c Gain or (loss) **7c**	5,229,801	1,025,923		
d Net gain or (loss) . . . . . ▶		6,255,724		6,255,724
Other Revenue **8a** Gross income from fundraising events (not including $ _____ of contributions reported on line 1c). See Part IV, line 18 . . . . **8a**				
b Less: direct expenses **8b**				
c Net income or (loss) from fundraising events . . ▶				
9a Gross income from gaming activities. See Part IV, line 19 . . . **9a**				
b Less: direct expenses **9b**				
c Net income or (loss) from gaming activities . . ▶				
10a Gross sales of inventory, less returns and allowances . . **10a**				
b Less: cost of goods sold . . **10b**				
c Net income or (loss) from sales of inventory . . ▶				
Miscellaneous Revenue	Business Code			
11a LOSS ON BOND DEFEASANCE	900001	-404,179		-404,179
b				
c				
d All other revenue				
e Total. Add lines 11a–11d . . . . . ▶		-404,179		
12 Total revenue. See instructions . . . . ▶		99,120,433	4,843,698	1,640	6,978,600

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX . . . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	2,954,591	2,954,591		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	5,316,299	5,316,299		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	2,910,022	570,477	2,339,545	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	42,883,137	36,118,525	6,764,612	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	2,494,470	1,786,308	708,162	
9 Other employee benefits	22,145	14,054	8,091	
10 Payroll taxes	1,709,079	1,208,323	500,756	
11 Fees for services (non-employees):				
a Management				
b Legal	246,730	136,287	110,443	
c Accounting	311,901	101,574	210,327	
d Lobbying	8,400		8,400	
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	213,894		213,894	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	5,024,657	4,279,413	745,244	
12 Advertising and promotion	40,662	28,417	12,245	
13 Office expenses	3,274,841	2,216,128	1,058,713	
14 Information technology	108,459	89,468	18,991	
15 Royalties				
16 Occupancy	2,803,077	2,704,198	98,879	
17 Travel	1,566,112	1,505,176	60,936	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	577,748	546,292	31,456	
20 Interest	132,042		132,042	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	623,433		623,433	
23 Insurance	367,212	165,357	201,855	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a SUBCONTRACTS	12,405,538	12,405,538		
b FELLOWSHIPS	1,996,833	1,985,709	11,124	
c ACR MANAGEMENT SERVICES	811,308	811,308		
d VEHICLES AND EQUIPMENT	803,600	803,600		
e All other expenses	2,446,419	1,760,715	685,704	
25 **Total functional expenses.** Add lines 1 through 24e	92,052,609	77,507,757	14,544,852	0
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if following SOP 98-2 (ASC 958-720).				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX . . . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing		7,023,239	1	4,564,848
	2	Savings and temporary cash investments		23,345,419	2	24,736,343
	3	Pledges and grants receivable, net		2,230,061	3	993,611
	4	Accounts receivable, net		13,120,923	4	11,265,828
	5	Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		2,995,839	9	4,010,417
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 11,171,396			
	b	Less: accumulated depreciation	10b 2,725,012	9,064,382	10c	8,446,384
	11	Investments—publicly traded securities		55,605,949	11	62,764,394
	12	Investments—other securities. See Part IV, line 11		2,537,795	12	1,838,061
	13	Investments—program-related. See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11		16,454,686	15	13,486,454
	16	**Total assets.** Add lines 1 through 15 (must equal line 33)		132,378,293	16	132,106,340
Liabilities	17	Accounts payable and accrued expenses		10,645,585	17	10,161,220
	18	Grants payable			18	
	19	Deferred revenue		20,763,013	19	18,327,994
	20	Tax-exempt bond liabilities		3,551,608	20	0
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21	
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			22	
	23	Secured mortgages and notes payable to unrelated third parties		2,266,912	23	1,349,135
	24	Unsecured notes and loans payable to unrelated third parties		2,800,000	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D		20,503,110	25	19,523,435
	26	**Total liabilities.** Add lines 17 through 25		60,530,228	26	49,361,784
Net Assets or Fund Balances		**Organizations that follow FASB ASC 958, check here ▶ ☑ and complete lines 27, 28, 32, and 33.**				
	27	Net assets without donor restrictions		65,921,855	27	76,448,681
	28	Net assets with donor restrictions		5,926,210	28	6,295,875
		Organizations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 29 through 33.				
	29	Capital stock or trust principal, or current funds			29	
	30	Paid-in or capital surplus, or land, building or equipment fund			30	
	31	Retained earnings, endowment, accumulated income, or other funds			31	
	32	Total net assets or fund balances		71,848,065	32	82,744,556
	33	Total liabilities and net assets/fund balances		132,378,293	33	132,106,340

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	99,120,433
2	Total expenses (must equal Part IX, column (A), line 25)	2	92,052,609
3	Revenue less expenses. Subtract line 2 from line 1	3	7,067,824
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	71,848,065
5	Net unrealized gains (losses) on investments	5	2,172,048
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	1,656,619
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column	10	82,744,556

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☑ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b	Yes	

Additional Data

Return to Form

Software ID:

Software Version:

Form 990, Special Condition Description:

Special Condition Description

SCHEDULE A (Form 990)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990).)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)

9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:

10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations . . . . . .

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document? Yes	(iv) No	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . .	94,772,810	90,941,913	87,881,376	91,924,875	87,296,495	452,817,469
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge..						
4 **Total.** Add lines 1 through 3	94,772,810	90,941,913	87,881,376	91,924,875	87,296,495	452,817,469
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . .						
6 **Public support.** Subtract line 5 from line 4.						452,817,469

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
7 Amounts from line 4. .	94,772,810	90,941,913	87,881,376	91,924,875	87,296,495	452,817,469
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . .	1,380,493	985,254	1,249,229	113,528	1,128,695	4,857,199
9 Net income from unrelated business activities, whether or not the business is regularly carried on. .						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). .	3,549					3,549
11 **Total support.** Add lines 7 through 10						457,678,217

12 Gross receipts from related activities, etc. (see instructions) **12**

13 **First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . . ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2021 (line 6, column (f) divided by line 11, column (f))	**14**	98.940 %
15 Public support percentage for 2020 Schedule A, Part II, line 14	**15**	98.900 %

16a **33 1/3% support test—2021.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ☑

b **33 1/3% support test—2020.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ☐

17a **10%-facts-and-circumstances test—2021.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ☐

b **10%-facts-and-circumstances test—2020.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . . ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 **Public support.** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 **Total support.** (Add lines 9, 10c, 11, and 12.). .						

14 **First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**. . . . . ▶☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2021 (line 8, column (f) divided by line 13, column (f))	15	
16	Public support percentage from 2020 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2021** (line 10c, column (f) divided by line 13, column (f))	17	
18	Investment income percentage from **2020** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2021.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶☐

b **33 1/3% support tests—2020.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . ▶☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in* ***Part VI*** *how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.*	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *how the organization determined that the supported organization was described in section 509(a)(1) or (2).*	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer lines 3b and 3c below.*	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in* ***Part VI*** *when and how the organization made the determination.*	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in* ***Part VI*** *what controls the organization put in place to ensure such use.*	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.*	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in* ***Part VI*** *how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.*	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.*	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in* ***Part VI,*** *including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).*	5a		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* ***Part VI.***	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990) .*	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? *If "Yes," complete Part I of Schedule L (Form 990).*	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in* ***Part VI.***	9a		
b	Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in* ***Part VI.***	9b		
c	Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in* ***Part VI.***	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer line 10b below.*	10a		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).*	10b		

Part IV Supporting Organizations (continued)

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, the governing body of a supported organization?	**11a**		
b	A family member of a person described on 11a above?	**11b**		
c	A 35% controlled entity of a person described on line 11a or 11b above? *If "Yes" to 11a, 11b, or 11c, provide detail in* ***Part VI****.*	**11c**		

Section B. Type I Supporting Organizations

			Yes	No
1	Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*	**1**		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.*	**2**		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*	**1**		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	**1**		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s).*	**2**		
3	By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard.*	**3**		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year **(see instructions)**:

- **a** ☐ The organization satisfied the Activities Test. Complete **line 2** below.
- **b** ☐ The organization is the parent of each of its supported organizations. Complete **line 3** below.
- **c** ☐ The organization supported a governmental entity. Describe in **Part VI** how you supported a government entity (see instructions)

2 Activities Test. **Answer lines 2a and 2b below.**

			Yes	No
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*	**2a**		
b	Did the activities described on line 2a, above constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*	**2b**		

3 Parent of Supported Organizations. **Answer lines 3a and 3b below.**

a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *If "Yes" or "No", provide details in* ***Part VI.***	**3a**		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in* ***Part VI.*** *the role played by the organization in this regard.*	**3b**		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 *(explain in **Part VI**)*. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

			(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	**Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4)	8		

Section B - Minimum Asset Amount

			(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1		
a	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
c	Fair market value of other non-exempt-use assets	1c		
d	**Total** (add lines 1a, 1b, and 1c)	1d		
e	**Discount** claimed for blockage or other factors (*explain in detail in **Part VI***):			
2	Acquisition indebtedness applicable to non-exempt use assets	2		
3	Subtract line 2 from line 1d	3		
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by 0.035	6		
7	Recoveries of prior-year distributions	7		
8	**Minimum Asset Amount** (add line 7 to line 6)	8		

Section C - Distributable Amount

			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	**Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	

7 ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

Section D - Distributions		**Current Year**
1 Amounts paid to supported organizations to accomplish exempt purposes	**1**	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	**2**	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	**3**	
4 Amounts paid to acquire exempt-use assets	**4**	
5 Qualified set-aside amounts (*prior IRS approval required - provide details in* ***Part VI***)	**5**	
6 Other distributions (*describe in* ***Part VI***). See instructions	**6**	
7 **Total annual distributions.** Add lines 1 through 6.	**7**	
8 Distributions to attentive supported organizations to which the organization is responsive (*provide details in* ***Part VI***). See instructions	**8**	
9 Distributable amount for 2021 from Section C, line 6	**9**	
10 Line 8 amount divided by Line 9 amount	**10**	

Section E - Distribution Allocations (see instructions)	**(i) Excess Distributions**	**(ii) Underdistributions Pre-2021**	**(iii) Distributable Amount for 2021**
1 Distributable amount for 2021 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2021 (reasonable cause required-- *explain in* ***Part VI***). See instructions.			
3 Excess distributions carryover, if any, to 2021:			
a From 2016.			
b From 2017.			
c From 2018.			
d From 2019.			
e From 2020.			
f **Total** of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2021 distributable amount			
i Carryover from 2016 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2021 from Section D, line 7: $			
a Applied to underdistributions of prior years			
b Applied to 2021 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2021, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, *explain in* ***Part VI***. See instructions.			
6 Remaining underdistributions for 2021. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, *explain in* ***Part VI***. See instructions.			
7 **Excess distributions carryover to 2022.** Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2017.			
b Excess from 2018.			
c Excess from 2019.			
d Excess from 2020.			
e Excess from 2021.			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE C (Form 990)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶**Complete if the organization is described below.** ▶**Attach to Form 990 or Form 990-EZ.**
▶**Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2021

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization	Employer identification number
WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT	71-0603560

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. See instructions for definition of "political campaign activities."

2 Political campaign activity expenditures. See instructions ▶ $

3 Volunteer hours for political campaign activities. See instructions

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

	(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds. If none, enter -0-.	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1					
2					
3					
4					
5					
6					

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)	9,708	
c Total lobbying expenditures (add lines 1a and 1b)	9,708	
d Other exempt purpose expenditures	92,042,901	
e Total exempt purpose expenditures (add lines 1c and 1d)	92,052,609	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

g	Grassroots nontaxable amount (enter 25% of line 1f)	250,000	
h	Subtract line 1g from line 1a. If zero or less, enter -0-.	0	
i	Subtract line 1f from line 1c. If zero or less, enter -0-.	0	

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) Total
2a Lobbying nontaxable amount			1,000,000	1,000,000	2,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					3,000,000
c Total lobbying expenditures			8,400	9,708	18,108
d Grassroots nontaxable amount			250,000	250,000	500,000
e Grassroots ceiling amount (150% of line 2d, column (e))					750,000
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures. See Instructions	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
PART II-A, LINE 1	COMPRISED OF PAYMENTS TO (I) ARKANSAS STATE CHAMBER OF COMMERCE LABELED AS LOBBYING EXPENSE, (II) US GLOBAL LEADERSHIP CAMPAIGN MEMBERSHIP PARTIALLY LABELED AS LOBBYING EXPENSE AND (III) LABOR COSTS CHARGED BY INTERNAL RESOURCES

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**
▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

		Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $ _____

(ii) Assets included in Form 990, Part X ▶ $ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ $ _____

b Assets included in Form 990, Part X ▶ $ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- **a** ☐ Public exhibition
- **b** ☐ Scholarly research
- **c** ☐ Preservation for future generations
- **d** ☐ Loan or exchange programs
- **e** ☐ Other .....

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?. . . ☐ **Yes** ☐ **No**

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? . . . . . ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII and complete the following table:

		Amount
c	Beginning balance	**1c**
d	Additions during the year	**1d**
e	Distributions during the year	**1e**
f	Ending balance	**1f**

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? . . . ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

		(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a	Beginning of year balance	56,863,222	52,275,309	45,911,693	51,686,511	47,510,280
b	Contributions . . .					
c	Net investment earnings, gains, and losses	8,168,658	6,817,891	8,547,890	-3,626,657	6,624,221
d	Grants or scholarships . . .					
e	Other expenditures for facilities and programs . . .	2,037,160	2,229,978	2,184,274	2,148,161	2,447,990
f	Administrative expenses					
g	End of year balance	62,994,720	56,863,222	52,275,309	45,911,693	51,686,511

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- **a** Board designated or quasi-endowment ▶ 100.000 %
- **b** Permanent endowment ▶ .....
- **c** Term endowment ▶ .....

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) Unrelated organizations	**3a(i)**	Yes	
(ii) Related organizations	**3a(ii)**		No
b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?	**3b**		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		575,773		575,773
b Buildings		3,917,304	725,132	3,192,172
c Leasehold improvements		3,980,905	586,670	3,394,235
d Equipment		693,156	444,090	249,066
e Other		2,004,258	969,120	1,035,138
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* . . ▶				8,446,384

Part VII Investments - Other Securities.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11b.See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3)Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 12.)* ▶		

Part VIII Investments - Program Related.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 13.)* ▶		

Part IX Other Assets.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)RIGHT OF USE ASSET	13,486,454
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* ▶	13,486,454

Part X Other Liabilities.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.
See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 25.)* ▶	19,523,435

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☑

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains (losses) on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue. Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12.)			5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses. Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18.)			5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART V, LINE 4:	WINROCK HAS BOARD-DESIGNATED ENDOWMENT FUNDS THAT ARE INCLUDED IN NET ASSETS WITHOUT DONOR RESTRICTIONS. AS REQUIRED BY GAAP, NET ASSETS ASSOCIATED WITH ENDOWMENT FUNDS, INCLUDING FUNDS DESIGNATED BY THE BOARD TO FUNCTION AS ENDOWMENTS, ARE CLASSIFIED AND REPORTED BASED ON THE EXISTENCE OF DONOR-IMPOSED RESTRICTIONS.
PART X, LINE 2:	WINROCK IS EXEMPT FROM INCOME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE AND A SIMILAR PROVISION OF STATE LAW, EXCEPT FOR TAXES ON UNRELATED BUSINESS INCOME. FOR THE YEAR ENDED DECEMBER 31, 2021, NO PROVISION FOR INCOME TAXES WAS MADE, AS WINROCK HAD NO NET SIGNIFICANT UNRELATED BUSINESS INCOME. WINROCK FOLLOWS THE AUTHORITATIVE GUIDANCE RELATING TO ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES INCLUDED IN FASB ASC TOPIC 740, INCOME TAXES. THESE PROVISIONS PROVIDE CONSISTENT GUIDANCE FOR THE ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES RECOGNIZED IN AN ENTITY'S CONSOLIDATED FINANCIAL STATEMENTS AND PRESCRIBE A THRESHOLD OF "MORE LIKELY THAN NOT" FOR RECOGNITION AND DERECOGNITION OF TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN. WINROCK PERFORMED AN EVALUATION OF UNCERTAINTY IN INCOME TAXES FOR THE YEAR ENDED DECEMBER 31, 2021, AND DETERMINED THAT THERE WERE NO MATTERS THAT WOULD REQUIRE RECOGNITION IN THE CONSOLIDATED FINANCIAL STATEMENTS OR THAT MAY HAVE ANY EFFECT ON ITS TAX-EXEMPT STATUS. AS OF DECEMBER 31, 2021, THERE WERE TAX RETURNS THAT REMAINED OPEN WITH THE U.S. FEDERAL JURISDICTION OR THE VARIOUS STATES AND LOCAL JURISDICTIONS IN WHICH WINROCK FILES TAX RETURNS, HOWEVER, THERE ARE CURRENTLY NO AUDITS FOR ANY TAX PERIODS IN PROGRESS. IT IS WINROCK'S POLICY TO RECOGNIZE INTEREST AND/OR PENALTIES RELATED TO UNCERTAINTY IN INCOME TAXES, IF ANY, IN INTEREST OR INCOME TAX EXPENSE. AS OF DECEMBER 31, 2021, WINROCK HAD NO ACCRUALS FOR INTEREST AND/OR PENALTIES.

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.**
▶ **Attach to Form 990.**
▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activites per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	**(b)** Number of offices in the region	**(c)** Number of employees, agents, and independent contractors in the region	**(d)** Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	**(e)** If activity listed in (d) is a program service, describe specific type of service(s) in the region	**(f)** Total expenditures for and investments in the region
(1) CENTRAL AMERICA AND THE CARIBBEAN	1	5	PROGRAM SERVICES	HUMAN RIGHTS, EDUCATION, & EMPOWERMENT	377,680
(2) EAST ASIA AND THE PACIFIC	14	141	PROGRAM SERVICES	AGRICULTURE, RESILIENCE, & WATER, ENVIRONMENT AND ENERGY, HUMAN RIGHTS, EDUCATION, & EMPOWERMENT, MANAGEMENT & GENERAL	6,712,051
(3) RUSSIA AND NEIGHBORING STATES	5	44	PROGRAM SERVICES	AGRICULTURE, RESILIENCE, & WATER, HUMAN RIGHTS, EDUCATION, & EMPOWERMENT	2,457,396
(4) SOUTH ASIA	11	216	PROGRAM SERVICES	AGRICULTURE, RESILIENCE, & WATER, ENVIRONMENT AND ENERGY, HUMAN RIGHTS, EDUCATION, & EMPOWERMENT	13,865,514
(5) SUB-SAHARAN AFRICA	17	150	PROGRAM SERVICES	AGRICULTURE, RESILIENCE, & WATER, ENVIRONMENT AND ENERGY, HUMAN RIGHTS, EDUCATION, & EMPOWERMENT, MANAGEMENT & GENERAL	11,315,619
(6) SOUTH AMERICA	1	2	PROGRAM SERVICES	ENVIRONMENT AND ENERGY, HUMAN RIGHTS, EDUCATION, & EMPOWERMENT	113,405
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total	49	558			34,841,665
b Total from continuation sheets to Part I . . .	0	0			0
c Totals (add lines 3a and 3b)	49	558			34,841,665

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

(a)	(b) Name of organization	(c) IRC code (section) (enter number)	(d) Region	(e) Number of grants	(f) Amount of cash grant	(g) Amount of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)	(i) Description of non-cash assistance	(j) Method of valuation (book, FMV, appraisal, other)
1	SUB-SAHARAN AFRICA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		874,447.	CHECK, EFT AND/OR CHECK			
2	SUB-SAHARAN AFRICA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		264,648.	CHECK, EFT AND/OR CHECK			
3	SUB-SAHARAN AFRICA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		187,998.	CHECK, EFT AND/OR CHECK			
4	SUB-SAHARAN AFRICA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		178,758.	CHECK, EFT AND/OR CHECK			
5	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		172,418.	CHECK, EFT AND/OR CHECK			
6	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		115,334.	CHECK, EFT AND/OR CHECK			
7	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		104,899.	CHECK, EFT AND/OR CHECK			
8	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		102,286.	CHECK, EFT AND/OR CHECK			
9	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		96,499.	CHECK, EFT AND/OR CHECK			
10	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		85,178.	CHECK, EFT AND/OR CHECK			
11	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		84,206.	CHECK, EFT AND/OR CHECK			
12	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		83,723.	CHECK, EFT AND/OR CHECK			
13	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		83,326.	CHECK, EFT AND/OR CHECK			
14	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		78,339.	CHECK, EFT AND/OR CHECK			
15	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		76,934.	CHECK, EFT AND/OR CHECK			
16	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		72,368.	CHECK, EFT AND/OR CHECK			
17	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		69,662.	CHECK, EFT AND/OR CHECK			
18	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		67,734.	CHECK, EFT AND/OR CHECK			
19	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		67,182.	CHECK, EFT AND/OR CHECK			
20	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		66,898.	CHECK, EFT AND/OR CHECK			
21	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		66,185.	CHECK, EFT AND/OR CHECK			
22	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		64,373.	CHECK, EFT AND/OR CHECK			
23	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		62,336.	CHECK, EFT AND/OR CHECK			
24	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		61,373.	CHECK, EFT AND/OR CHECK			
25	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		61,186.	CHECK, EFT AND/OR CHECK			
26	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		60,249.	CHECK, EFT AND/OR CHECK			
27	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		55,448.	CHECK, EFT AND/OR CHECK			
28	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		53,286.	CHECK, EFT AND/OR CHECK			
29	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		52,483.	CHECK, EFT AND/OR CHECK			
30	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		51,373.	CHECK, EFT AND/OR CHECK			
31	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		47,736.	CHECK, EFT AND/OR CHECK			
32	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		46,404.	CHECK, EFT AND/OR CHECK			
33	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		45,184.	CHECK, EFT AND/OR CHECK			
34	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		45,108.	CHECK, EFT AND/OR CHECK			
35	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		43,736.	CHECK, EFT AND/OR CHECK			
36	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		38,193.	CHECK, EFT AND/OR CHECK			
37	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		36,758.	CHECK, EFT AND/OR CHECK			
38	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		35,227.	CHECK, EFT AND/OR CHECK			
39	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		35,156.	CHECK, EFT AND/OR CHECK			
40	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		35,109.	CHECK, EFT AND/OR CHECK			
41	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		35,108.	CHECK, EFT AND/OR CHECK			
42	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		32,539.	CHECK, EFT AND/OR CHECK			
43	CENTRAL AMERICA AND THE CARIBBEAN		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		31,449.	CHECK, EFT AND/OR CHECK			
44	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		30,324.	CHECK, EFT AND/OR CHECK			
45	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		29,698.	CHECK, EFT AND/OR CHECK			
46	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		29,587.	CHECK, EFT AND/OR CHECK			
47	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		29,583.	CHECK, EFT AND/OR CHECK			
48	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		29,477.	CHECK, EFT AND/OR CHECK			
49	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		27,473.	CHECK, EFT AND/OR CHECK			
50	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		23,819.	CHECK, EFT AND/OR CHECK			
51	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		22,898.	CHECK, EFT AND/OR CHECK			
52	CENTRAL AMERICA AND THE CARIBBEAN		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		22,436.	CHECK, EFT AND/OR CHECK			
53	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		22,404.	CHECK, EFT AND/OR CHECK			
54	CENTRAL AMERICA AND THE CARIBBEAN		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		21,166.	CHECK, EFT AND/OR CHECK			
55	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		21,126.	CHECK, EFT AND/OR CHECK			
56	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		21,125.	CHECK, EFT AND/OR CHECK			
57	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		21,004.	CHECK, EFT AND/OR CHECK			
58	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		18,726.	CHECK, EFT AND/OR CHECK			
59	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		18,330.	CHECK, EFT AND/OR CHECK			
60	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		18,318.	CHECK, EFT AND/OR CHECK			
61	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		18,308.	CHECK, EFT AND/OR CHECK			
62	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		18,423.	CHECK, EFT AND/OR CHECK			
63	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		17,758.	CHECK, EFT AND/OR CHECK			
64	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		16,578.	CHECK, EFT AND/OR CHECK			
65	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		16,298.	CHECK, EFT AND/OR CHECK			
66	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		15,527.	CHECK, EFT AND/OR CHECK			
67	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		15,423.	CHECK, EFT AND/OR CHECK			
68	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		14,623.	CHECK, EFT AND/OR CHECK			
69	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		14,387.	CHECK, EFT AND/OR CHECK			
70	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		13,977.	CHECK, EFT AND/OR CHECK			
71	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		13,178.	CHECK, EFT AND/OR CHECK			
72	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		13,178.	CHECK, EFT AND/OR CHECK			
73	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		13,164.	CHECK, EFT AND/OR CHECK			
74	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		13,143.	CHECK, EFT AND/OR CHECK			
75	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		13,089.	CHECK, EFT AND/OR CHECK			
76	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		12,823.	CHECK, EFT AND/OR CHECK			
77	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		12,788.	CHECK, EFT AND/OR CHECK			
78	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		12,583.	CHECK, EFT AND/OR CHECK			
79	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		12,398.	CHECK, EFT AND/OR CHECK			
80	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		12,253.	CHECK, EFT AND/OR CHECK			
81	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		12,228.	CHECK, EFT AND/OR CHECK			
82	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		12,178.	CHECK, EFT AND/OR CHECK			
83	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		11,898.	CHECK, EFT AND/OR CHECK			
84	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		11,863.	CHECK, EFT AND/OR CHECK			
85	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		11,218.	CHECK, EFT AND/OR CHECK			
86	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		10,896.	CHECK, EFT AND/OR CHECK			
87	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		10,773.	CHECK, EFT AND/OR CHECK			
88	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		10,638.	CHECK, EFT AND/OR CHECK			
89	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		10,633.	CHECK, EFT AND/OR CHECK			
90	CENTRAL AMERICA AND THE CARIBBEAN		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		10,237.	CHECK, EFT AND/OR CHECK			
91	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		9,704.	CHECK, EFT AND/OR CHECK			
92	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		9,436.	CHECK, EFT AND/OR CHECK			
93	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		9,397.	CHECK, EFT AND/OR CHECK			
94	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		9,287.	CHECK, EFT AND/OR CHECK			
95	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		9,126.	CHECK, EFT AND/OR CHECK			
96	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,894.	CHECK, EFT AND/OR CHECK			
97	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,878.	CHECK, EFT AND/OR CHECK			
98	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,809.	CHECK, EFT AND/OR CHECK			
99	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,788.	CHECK, EFT AND/OR CHECK			
100	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,758.	CHECK, EFT AND/OR CHECK			
101	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,571.	CHECK, EFT AND/OR CHECK			
102	EAST ASIA AND PACIFIC		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,350.	CHECK, EFT AND/OR CHECK			
103	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,288.	CHECK, EFT AND/OR CHECK			
104	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,198.	CHECK, EFT AND/OR CHECK			
105	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,173.	CHECK, EFT AND/OR CHECK			
106	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,127.	CHECK, EFT AND/OR CHECK			
107	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,108.	CHECK, EFT AND/OR CHECK			
108	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,098.	CHECK, EFT AND/OR CHECK			
109	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		8,094.	CHECK, EFT AND/OR CHECK			
110	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,978.	CHECK, EFT AND/OR CHECK			
111	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,929.	CHECK, EFT AND/OR CHECK			
112	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,924.	CHECK, EFT AND/OR CHECK			
113	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,867.	CHECK, EFT AND/OR CHECK			
114	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,849.	CHECK, EFT AND/OR CHECK			
115	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,748.	CHECK, EFT AND/OR CHECK			
116	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,697.	CHECK, EFT AND/OR CHECK			
117	MIDDLE AND NEIGHBOURING STATES		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,693.	CHECK, EFT AND/OR CHECK			
118	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,686.	CHECK, EFT AND/OR CHECK			
119	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,679.	CHECK, EFT AND/OR CHECK			
120	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,668.	CHECK, EFT AND/OR CHECK			
121	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,667.	CHECK, EFT AND/OR CHECK			
122	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,646.	CHECK, EFT AND/OR CHECK			
123	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,627.	CHECK, EFT AND/OR CHECK			
124	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,598.	CHECK, EFT AND/OR CHECK			
125	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,594.	CHECK, EFT AND/OR CHECK			
126	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,587.	CHECK, EFT AND/OR CHECK			
127	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,586.	CHECK, EFT AND/OR CHECK			
128	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,579.	CHECK, EFT AND/OR CHECK			
129	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,566.	CHECK, EFT AND/OR CHECK			
130	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,560.	CHECK, EFT AND/OR CHECK			
131	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,546.	CHECK, EFT AND/OR CHECK			
132	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,539.	CHECK, EFT AND/OR CHECK			
133	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,529.	CHECK, EFT AND/OR CHECK			
134	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,526.	CHECK, EFT AND/OR CHECK			
135	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,524.	CHECK, EFT AND/OR CHECK			
136	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,519.	CHECK, EFT AND/OR CHECK			
137	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,516.	CHECK, EFT AND/OR CHECK			
138	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,513.	CHECK, EFT AND/OR CHECK			
139	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,509.	CHECK, EFT AND/OR CHECK			
140	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,504.	CHECK, EFT AND/OR CHECK			
141	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,503.	CHECK, EFT AND/OR CHECK			
142	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,502.	CHECK, EFT AND/OR CHECK			
143	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,501.	CHECK, EFT AND/OR CHECK			
144	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
145	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
146	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
147	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
148	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
149	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
150	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
151	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
152	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
153	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
154	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
155	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
156	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
157	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
158	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
159	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
160	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
161	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
162	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
163	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
164	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
165	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
166	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
167	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
168	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
169	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
170	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
171	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
172	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
173	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
174	SOUTH ASIA		SUBGRANTS AND/OR EMPLOYMENTS BASED ON-FUNDED AGREEMENTS		7,500.	CHECK, EFT AND/OR CHECK			
175	SOUTH ASIA		SUBG

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of noncash assistance	**(g)** Description of noncash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)
(1)								
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								
(17)								
(18)								

Part IV Foreign Forms

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*	☑ Yes	☐ No
2	Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)*	☐ Yes	☑ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*	☐ Yes	☑ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* .	☐ Yes	☑ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*	☐ Yes	☑ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).*	☐ Yes	☑ No

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

ReturnReference	Explanation
PART I, LINE 2:	- WINROCK INTERNATIONAL IMPLEMENTS PROJECTS IN OVER 45 COUNTRIES WORLD-WIDE INCLUDING THE REGIONS OF SOUTH, SOUTHEAST AND CENTRAL ASIA, AFRICA, CENTRAL AND SOUTH AMERICA. WINROCK PROJECTS ARE FUNDED BY BOTH DOMESTIC AND INTERNATIONAL FUNDERS, WITH OVER 80% OF FUNDING PROVIDED BY THE U.S. GOVERNMENT DURING 2021. WHEN AWARDED, WINROCK ESTABLISHES A PRESENCE IN THE REQUIRED AREA AND CARRIES OUT THE SCOPE OF WORK INCLUDED WITHIN THE AWARD. WINROCK FOLLOWS ITS POLICY TO AWARD GRANTS WHICH PROVIDES GUIDELINES AROUND COMPETITION, SOLICITATION PROCEDURES, AND EVALUATION CRITERIA. WINROCK MONITORS GRANT ACTIVITIES FOR COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE GRANT AGREEMENT AND TO ENSURE ACHIEVEMENT OF THE BENCHMARKS AND OBJECTIVES. MONITORING EFFORTS ARE DOCUMENTED AND INCLUDE PROCEDURES PERTINENT TO THE GRANT TYPE, INCLUDING FINANCIAL MANAGEMENT, MAINTENANCE AND INVENTORY OR EQUIPMENT, AND GRANT FILE RETENTION.
PART III ACCOUNTING METHOD:	

Additional Data

Software ID:

Software Version:

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ **Attach to Form 990.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) VIAMO PBC 1250 CONNECTICUT AVE NW STE 200 WASHINGTON,DC 20036	82-0825124		383,511	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(2) CARTWHEEL STARTUP STUDIO INC 409 SW A ST BENTONVILLE,AR 72712	87-1553342		229,593	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(3) OPPORTUNITY INTERNATIONAL 550 W VAN BUREN ST STE 200 CHICAGO,IL 60607	54-0907624	501(C)3	151,740	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(4) ALLIANCE FOR RURAL IMPACT 404 E GRIFFEN ST HARRISBURG,AR 72432	81-5083680	501(C)3	127,296	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(5) GLOBAL AID TECHNOLOGIES INC 417 MAIN STREET LITTLE ROCK,AR 72201	84-4141545		125,000	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(6) FEMPAQ INC 125 W CENTRAL AVE 200 BENTONVILLE,AR 72712	85-1768592		125,000	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(7) UNIV OF AR FOR MEDICAL SCIENCES 4301 W MARKHAM LITTLE ROCK,AR 72205	71-6046242		115,915	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(8) NITEOWL GROCERY SALES LLC 987 GUTENSOHN SPRINGDALE,AR 72762	82-4381530		100,000	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(9) LAPOVATIONS LLC 1722 N COLLEGE AVE STE C 159 FAYETTEVILLE,AR 72703	81-3670683		100,000	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(10) OZARKA COLLEGE 218 COLLEGE DRIVE MELBOURNE,AR 72556	71-0443460	501(C)3	91,931	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(11) REJOICY INC 3802 SW HANSOM LOOP BENTONVILLE,AR 72712	86-1262344		84,240	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(12) BROADBAND DEVELOPMENT GROUP LLC 124 W CAPITOL AVE STE 1901 LITTLE ROCK,AR 72201	47-3017458		37,500	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(13) CONNECTED NATION INC PO BOX 3448 BOWLING GREEN,KY 42102	61-1394934	501(C)3	37,114	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(14) NATURAL SOYBEAN AND GRAIN ALLIANCE 700 RESEARCH CENTER BLVD FAYETTEVILLE,AR 72701	46-4480503	501(C)3	28,347	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(15) SSG ADVISORS LLC	27-1226648		21,513	0	CASH		SUBGRANTS

TA RESONANCE 1 MILL STREET STE 201 BULINGTON,VT 05401							IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(16) OVERSEAS STRATEGIC CONSULTING LTD & SUBSIDIARIES 1500 WALNUT STREET STE 1300 PHILADELPHIA,PA 19102	23-2720769		21,321	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(17) CRAFTON TULL & ASSOCATES INC 901 N 47TH ST STE 200 ROGERS,AR 72756	71-0393591		11,839	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(18) MOZAK LLC 9817 CASTAIN DRIVE RALEIGH,NC 27617	81-3292851		11,350	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(19) CHAMBERLIN RESEARCH INC 8 IRON HORSE ROAD LITTLE ROCK,AR 72223	84-2302582		7,700	0	CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶ 5

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶ 14

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of noncash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of noncash assistance
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						
(7)						

Part IV **Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	WINROCK INTERNATIONAL'S WORK IN THE UNITED STATES INCLUDES FARMERS IN THE MID-SOUTH, CONSERVATION PROGRAMS THAT REDUCE WATER POLLUTION, AND A PORTFOLIO OF ENTREPRENEURIAL DEVELOPMENT WORK. WINROCK FOLLOWS ITS POLICY TO AWARD GRANTS WHICH PROVIDES GUIDELINES AROUND COMPETITION, SOLICITATION PROCEDURES, AND EVALUATION CRITERIA. WINROCK MONITORS GRANT ACTIVITIES FOR COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE GRANT AGREEMENT AND TO ENSURE ACHIEVEMENT OF THE BENCHMARKS AND OBJECTIVES. MONITORING EFFORTS ARE DOCUMENTED AND INCLUDE PROCEDURES PERTINENT TO THE GRANT TYPE, INCLUDING FINANCIAL MANAGEMENT, MAINTENANCE AND INVENTORY OR EQUIPMENT, AND GRANT FILE RETENTION.

Additional Data

Return to Form

Software ID:

Software Version:

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
▶ **Attach to Form 990.**
▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	☐ First-class or charter travel	☑ Housing allowance or residence for personal use		
	☐ Travel for companions	☐ Payments for business use of personal residence		
	☐ Tax idemnification and gross-up payments	☑ Health or social club dues or initiation fees		
	☐ Discretionary spending account	☐ Personal services (e.g., maid, chauffeur, chef)		
b	If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
	☑ Compensation committee	☑ Written employment contract		
	☑ Independent compensation consultant	☑ Compensation survey or study		
	☑ Form 990 of other organizations	☑ Approval by the board or compensation committee		
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	**4c**		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	**5a**		No
b	Any related organization?	**5b**		No
	If "Yes," on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	**6a**		No
b	Any related organization?	**6b**		No
	If "Yes," on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	**9**		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		**(B)** Breakdown of W-2, 1099-MISC compensation, and/or 1099-NEC			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
1RODNEY FERGUSON PRESIDENT AND CEO	(i)	536,814	50,000	33,542	29,000	23,053	672,409	0
	(ii)	0	0	0	0	0	0	0
2JOYJIT DEB ROY EXECUTIVE VP	(i)	281,846	42,750	22,089	26,648	16,545	389,878	0
	(ii)	0	0	0	0	0	0	0
3MIKE MYERS SENIOR VP - CFO & TREASURE	(i)	267,303	38,743	13,768	24,149	25,996	369,959	0
	(ii)	0	0	0	0	0	0	0
4BRAULIO OLIVEIRA CHIEF INFORMATION OFFICER	(i)	263,953	25,347	8,419	27,000	20,258	344,977	0
	(ii)	0	0	0	0	0	0	0
5PATRICIA J MCCALL VP CORP AFFAIRS	(i)	254,839	36,000	4,286	22,898	23,010	341,033	0
	(ii)	0	0	0	0	0	0	0
6MALIKA MAGAGULA VP AND COO	(i)	260,859	33,900	6,713	23,413	11,986	336,871	0
	(ii)	0	0	0	0	0	0	0
7CHARLOTTE YOUNG VP GC AND CRCO	(i)	247,761	35,325	5,572	22,221	25,010	335,889	0
	(ii)	0	0	0	0	0	0	0
8BRIAN BEAN CHIEF OF PARTY	(i)	152,009	10,000	123,384	15,578	23,800	324,771	0
	(ii)	0	0	0	0	0	0	0
9MARY GRADY CEO OF ERT	(i)	240,307	35,000	4,131	24,334	15,735	319,507	0
	(ii)	0	0	0	0	0	0	0
10AMANDA HILLIGAS ASSOCIATE VP	(i)	235,208	25,000	2,976	24,386	24,894	312,464	0
	(ii)	0	0	0	0	0	0	0
11ALEXIS ELLICOTT CHIEF OF PARTY	(i)	187,756	10,000	62,169	19,015	17,899	296,839	0
	(ii)	0	0	0	0	0	0	0
12EDNA CRUZ CHRO	(i)	250,220	0	2,661	25,384	13,253	291,518	0
	(ii)	0	0	0	0	0	0	0
13ELISABETH WHITBECK BOARD SECRETARY	(i)	135,633	15,000	341	11,980	9,511	172,465	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 1A	WINROCK ALLOWS EXECUTIVE TEAM MEMBERS TO BE REIMUBURSED FOR COSTS UP TO $1,250 ANNUALLY TO COVER EXPENSES THAT PROMOTE A HEALTHY LIFESTYLE INCLUDING HEALTH CLUB MEMBERSHIPS, WEIGHT-MANAGEMENT/FITNESS PROGRAMS, SMOKING CESSATION AND SIMILAR PROGRAMS.

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	2	56,481	STOCK QUOTE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ ( )				
26 Other ▶ ( )				
27 Other ▶ ( )				
28 Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement — 29

		Yes	No
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?		No
b	If "Yes," describe the arrangement in Part II.		
31	Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	Yes	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?		No
b	If "Yes," describe in Part II.		
33	If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶ **Attach to Form 990 or 990-EZ.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number

71-0603560

Return Reference	Explanation
FORM 990 PART I LINE 5 AND PART V LINE 2A:	THE EMPLOYEE COUNT ON PART I LINE 5 IS THE WORLDWIDE EMPLOYEE COUNT, INCLUDING IN-COUNTRY EMPLOYEES. THE COUNT ON PART V LINE 2A IS FROM THE FORM W-3 AND INCLUDES ONLY US EMPLOYEES.
FORM 990, PART VI, SECTION A, LINE 2	BOARD MEMBERS PETER O'NEILL AND WILL ROCKEFELLER ARE RELATED.
FORM 990, PART VI, SECTION B, LINE 11B	FINANCE MANAGEMENT AND THE TAX RETURN PREPARER PRESENTED THE FORM 990 TO THE AUDIT AND COMPLIANCE COMMITTEE. AT THEIR RECOMMENDATION, ALL MEMBERS OF THE BOARD OF DIRECTORS WERE PROVIDED A COMPLETE COPY PRIOR TO FILING FOR THE OPPORTUNITY TO REVIEW THE ACCURACY AND COMPLETENESS OF THE RETURN.
FORM 990, PART VI, SECTION B, LINE 12C	ANNUALLY, BOARD MEMBERS, EXECUTIVE TEAM MEMBERS, AND ALL EMPLOYEES ARE FURNISHED THE CODE OF CONDUCT (CODE), WHICH INCLUDES THE CONFLICTS OF INTEREST POLICY. EACH BOARD AND STAFF MEMBER IS REQUIRED TO (I) CERTIFY THAT THEY HAVE READ, UNDERSTAND, AND WILL COMPLY WITH THE CODE AND (II) PURSUANT TO THE POLICY, IDENTIFY AND DISCLOSE ANY KNOWN OR POTENTIAL CONFLICTS OF INTEREST FOR APPROVAL BY THE COMPLIANCE OFFICE PRIOR TO PROCEEDING. FOR DIRECTORS, OFFICERS, AND THE EXECUTIVE TEAM, THE COMPLIANCE OFFICE FURTHER PROVIDES A DISCLOSURE FORM TO EACH INDIVIDUAL ANNUALLY TO CERTIFY THAT NO CONFLICTS HAVE ARISEN DURING THE PAST YEAR. EVERY CONFLICT DISCLOSURE IS REVIEWED BY THE COMPLIANCE OFFICE TO DETERMINE THE IMPACT ON WINROCK, WHETHER THE ACTION CAN PROCEED, AND APPROPRIATE MITIGATION STEPS, IF NECESSARY.
FORM 990, PART VI, SECTION B, LINE 15	A COMPENSATION COMMITTEE OF THE BOARD OF DIRECTORS USES COMPENSATION SURVEYS AND OTHER RESOURCES TO DETERMINE THE APPROPRIATE COMPENSATION FOR THE CEO. THE DECISION BY THE COMPENSATION COMMITTEE IS THEN APPROVED BY THE FULL BOARD BEFORE BEING FINALIZED. THE COMPENSATION COMMITTEE ALSO REVIEWS ANNUALLY THE COMPENSATION OF EXECUTIVES.
FORM 990, PART VI, SECTION C, LINE 19	THE ARTICLES OF INCORPORATION ARE MADE PUBLIC THROUGH THE ARKANSAS SECRETARY OF STATE. ARTICLES OF INCORPORATION AND THE BYLAWS MAY BE DIRECTLY REQUESTED FROM THE BOARD SECRETARY AT WINROCK INTERNATIONAL'S CORPORATE MAILING ADDRESS. THE CONFLICTS OF INTEREST POLICY IS AVAILABLE AT WINROCK.ORG AS PART OF WINROCK'S CODE OF BUSINESS CONDUCT. THE AUDITED INSTITUTIONAL FINANCIAL STATEMENTS AND COMPLIANCE AUDIT UNDER UNIFORM GUIDANCE ARE AVAIALABLE UPON REQUEST TO THE CHIEF FINANCIAL OFFICER AT THE CORPORATE MAILING ADDRESS.
FORM 990, PART XI, LINE 9:	MERGER OF ARKANSAS REGIONAL INNOVATION HUB: EIN 46-3376034 1,656,619.

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**
▶ **Attach to Form 990.**
▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I **Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) WINROCK INTERNATIONAL FOUNDATION 204 EAST 4TH STREET NORTH LITTLE ROCK, AR 72114 71-0603560	TO SUPPORT WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT	AR	6,131,918	62,995,140	WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT
(2) WINROCK SOLUTIONS LLC 204 EAST 4TH STREET NORTH LITTLE ROCK, AR 72114 71-0603560	TO SUPPORT WINROCK IN SOCIAL, AGRICULTURAL, & ENVIRONMENT SOLUTIONS	AR	1,337,435	1,337,435	WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT
(3) ENVIRONMENTAL RESOURCES TRUST LLC 204 EAST 4TH STREET NORTH LITTLE ROCK, AR 72114 71-0603560	TO IMPROVE NATURAL RESOURCE MANAGEMENT & ENVIRONMENTAL MARKET CONFIDENCE	AR	1,583,374	1,583,374	WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT

Part II **Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	(g) No
(1)THE ARKANSAS REGIONAL INNOVATION HUB 201 E BROADWAY ST NORTH LITTLE ROCK, AR 72114 46-3376034	TO INCREASE INNOVATIVE AND ENTREPRENEURIAL ACTIVITY IN ARKANSAS	AR	501(C)(3)	LINE 12A	WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT		No

Part III **Identification of Related Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations? Yes	(h) Disproprtionate allocations? No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) General or managing partner? No	(k) Percentage ownership
(1) INNOVATION FUND LLC 204 EAST 4TH STREET NORTH LITTLE ROCK, AR 72114 81-3781111	SMALL BUSINESS STARTUP INVESTMENTS IN ARKANSAS	AR		NO INCOME				No			No	

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	(i) Section 512(b)(13) controlled entity? No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

			Yes	No
1	During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity	1a		No
b	Gift, grant, or capital contribution to related organization(s)	1b		No
c	Gift, grant, or capital contribution from related organization(s)	1c		No
d	Loans or loan guarantees to or for related organization(s)	1d	Yes	
e	Loans or loan guarantees by related organization(s)	1e		No
f	Dividends from related organization(s)	1f		No
g	Sale of assets to related organization(s)	1g		No
h	Purchase of assets from related organization(s)	1h		No
i	Exchange of assets with related organization(s)	1i	Yes	
j	Lease of facilities, equipment, or other assets to related organization(s)	1j		No
k	Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l	Performance of services or membership or fundraising solicitations for related organization(s)	1l		No
m	Performance of services or membership or fundraising solicitations by related organization(s)	1m		No
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		No
o	Sharing of paid employees with related organization(s)	1o		No
p	Reimbursement paid to related organization(s) for expenses	1p		No
q	Reimbursement paid by related organization(s) for expenses	1q		No
r	Other transfer of cash or property to related organization(s)	1r		No
s	Other transfer of cash or property from related organization(s)	1s	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) THE ARKANSAS REGIONAL INNOVATION HUB	D	156,447	CASH
(2) THE ARKANSAS REGIONAL INNOVATION HUB	I	1,656,619	NET ASSETS FROM MERGER

Part VI **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

Return Reference	Explanation

Additional Data

Return to Form

Software ID:

Software Version: