SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)

9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:

10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations . . . . . _____

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document? Yes	(iv) No	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . .	106,567,328	94,772,810	90,941,913	87,881,376	91,924,875	472,088,302
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge. .						
4 **Total.** Add lines 1 through 3	106,567,328	94,772,810	90,941,913	87,881,376	91,924,875	472,088,302
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). .						605,467
6 **Public support.** Subtract line 5 from line 4.						471,482,835

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
7 Amounts from line 4. .	106,567,328	94,772,810	90,941,913	87,881,376	91,924,875	472,088,302
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . .	909,622	1,380,493	985,254	1,249,229	113,528	4,638,126
9 Net income from unrelated business activities, whether or not the business is regularly carried on. .						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). .		3,549				3,549
11 **Total support.** Add lines 7 through 10						476,729,977

12 Gross receipts from related activities, etc. (see instructions) 12

13 **First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2020 (line 6, column (f) divided by line 11, column (f))	14	98.900 %
15 Public support percentage for 2019 Schedule A, Part II, line 14	15	98.830 %

16a **33 1/3% support test—2020.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶ ☑

b **33 1/3% support test—2019.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶ ☐

17a **10%-facts-and-circumstances test—2020.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ▶ ☐

b **10%-facts-and-circumstances test—2019.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . . ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 **Public support.** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 **Total support.** (Add lines 9, 10c, 11, and 12.). .						

14 **First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**. . . . . ▶☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2020 (line 8, column (f) divided by line 13, column (f))	15
16	Public support percentage from 2019 Schedule A, Part III, line 15	16

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2020** (line 10c, column (f) divided by line 13, column (f))	17
18	Investment income percentage from **2019** Schedule A, Part III, line 17	18

19a **33 1/3% support tests—2020.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶☐

b **33 1/3% support tests—2019.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . ▶☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in* ***Part VI*** *how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.*	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *how the organization determined that the supported organization was described in section 509(a)(1) or (2).*	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer lines 3b and 3c below.*	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in* ***Part VI*** *when and how the organization made the determination.*	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in* ***Part VI*** *what controls the organization put in place to ensure such use.*	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.*	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in* ***Part VI*** *how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.*	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.*	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in* ***Part VI,*** *including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).*	5a		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* ***Part VI.***	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .*	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).*	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in* ***Part VI.***	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in* ***Part VI.***	9b		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in* ***Part VI.***	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer line 10b below.*	10a		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).*	10b		

Part IV Supporting Organizations (continued)

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization?	**11a**		
b	A family member of a person described in 11a above?	**11b**		
c	A 35% controlled entity of a person described in line 11a or 11b above? *If "Yes" to 11a, 11b, or 11c, provide detail in* ***Part VI****.*	**11c**		

Section B. Type I Supporting Organizations

			Yes	No
1	Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*	**1**		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.*	**2**		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*	**1**		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	**1**		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s).*	**2**		
3	By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard.*	**3**		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year **(see instructions)**:

- **a** ☐ The organization satisfied the Activities Test. Complete **line 2** below.
- **b** ☐ The organization is the parent of each of its supported organizations. Complete **line 3** below.
- **c** ☐ The organization supported a governmental entity. Describe in **Part VI** how you supported a government entity (see instructions)

2 Activities Test. **Answer lines 2a and 2b below.**

			Yes	No
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*	**2a**		
b	Did the activities described in line 2a constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*	**2b**		

3 Parent of Supported Organizations. **Answer lines 3a and 3b below.**

a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *If "Yes" or "No" provide details in* ***Part VI.***	**3a**		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in* ***Part VI.*** *the role played by the organization in this regard.*	**3b**		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 *(explain in **Part VI**)*. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

			(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	**1**		
2	Recoveries of prior-year distributions	**2**		
3	Other gross income (see instructions)	**3**		
4	Add lines 1 through 3	**4**		
5	Depreciation and depletion	**5**		
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	**6**		
7	Other expenses (see instructions)	**7**		
8	**Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4)	**8**		

Section B - Minimum Asset Amount

			(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	**1**		
a	Average monthly value of securities	**1a**		
b	Average monthly cash balances	**1b**		
c	Fair market value of other non-exempt-use assets	**1c**		
d	**Total** (add lines 1a, 1b, and 1c)	**1d**		
e	**Discount** claimed for blockage or other factors (*explain in detail in **Part VI***):			
2	Acquisition indebtedness applicable to non-exempt use assets	**2**		
3	Subtract line 2 from line 1d	**3**		
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	**4**		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	**5**		
6	Multiply line 5 by 0.035	**6**		
7	Recoveries of prior-year distributions	**7**		
8	**Minimum Asset Amount** (add line 7 to line 6)	**8**		

Section C - Distributable Amount

			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	**1**	
2	Enter 85% of line 1	**2**	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	**3**	
4	Enter greater of line 2 or line 3	**4**	
5	Income tax imposed in prior year	**5**	
6	**Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	**6**	

7 ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

Section D - Distributions		**Current Year**
1 Amounts paid to supported organizations to accomplish exempt purposes	**1**	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	**2**	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	**3**	
4 Amounts paid to acquire exempt-use assets	**4**	
5 Qualified set-aside amounts (*prior IRS approval required - provide details in* ***Part VI***)	**5**	
6 Other distributions (*describe in* ***Part VI***). See instructions	**6**	
7 **Total annual distributions.** Add lines 1 through 6.	**7**	
8 Distributions to attentive supported organizations to which the organization is responsive (*provide details in* ***Part VI***). See instructions	**8**	
9 Distributable amount for 2020 from Section C, line 6	**9**	
10 Line 8 amount divided by Line 9 amount	**10**	

Section E - Distribution Allocations (see instructions)	**(i) Excess Distributions**	**(ii) Underdistributions Pre-2020**	**(iii) Distributable Amount for 2020**
1 Distributable amount for 2020 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2020 (reasonable cause required-- *explain in* ***Part VI***). See instructions.			
3 Excess distributions carryover, if any, to 2020:			
a From 2015.			
b From 2016.			
c From 2017.			
d From 2018.			
e From 2019.			
f **Total** of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2020 distributable amount			
i Carryover from 2015 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2020 from Section D, line 7: $			
a Applied to underdistributions of prior years			
b Applied to 2020 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, *explain in* ***Part VI***. See instructions.			
6 Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, *explain in* ***Part VI***. See instructions.			
7 **Excess distributions carryover to 2021.** Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2016.			
b Excess from 2017.			
c Excess from 2018.			
d Excess from 2019.			
e Excess from 2020.			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation

Additional Data

Return to Form

Software ID:

Software Version:

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☐ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33$^{1}/_{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
RESTRICTED		$ RESTRICTED	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.)** ▶ $ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶**Complete if the organization is described below.** ▶**Attach to Form 990 or Form 990-EZ.**
▶**Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization	Employer identification number
WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT	71-0603560

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")

2 Political campaign activity expenditures (see instructions) ▶ $

3 Volunteer hours for political campaign activities (see instructions)

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

	(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds. If none, enter -0-.	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1					
2					
3					
4					
5					
6					

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)	8,400	
c Total lobbying expenditures (add lines 1a and 1b)	8,400	
d Other exempt purpose expenditures	92,237,326	
e Total exempt purpose expenditures (add lines 1c and 1d)	92,245,726	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

g	Grassroots nontaxable amount (enter 25% of line 1f)	250,000	
h	Subtract line 1g from line 1a. If zero or less, enter -0-.	0	
i	Subtract line 1f from line 1c. If zero or less, enter -0-.	0	

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) Total
2a Lobbying nontaxable amount				1,000,000	1,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					1,500,000
c Total lobbying expenditures				8,400	8,400
d Grassroots nontaxable amount				250,000	250,000
e Grassroots ceiling amount (150% of line 2d, column (e))					375,000
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
PART II-A, LINE 1	COMPRISED OF PAYMENTS TO (I) ARKANSAS STATE CHAMBER OF COMMERCE LABELED AS LOBBYING EXPENSE, AND (II) US GLOBAL LEADERSHIP CAMPAIGN MEMBERSHIP PARTIALLY LABELED AS LOBBYING EXPENSE

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

		Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $ _____

(ii) Assets included in Form 990, Part X ▶ $ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ $ _____

b Assets included in Form 990, Part X ▶ $ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- **a** ☐ Public exhibition
- **b** ☐ Scholarly research
- **c** ☐ Preservation for future generations
- **d** ☐ Loan or exchange programs
- **e** ☐ Other .....

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?. . . ☐ **Yes** ☐ **No**

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? . . . . . ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII and complete the following table:

		Amount
c Beginning balance	**1c**	
d Additions during the year	**1d**	
e Distributions during the year	**1e**	
f Ending balance	**1f**	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? . . . ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	52,275,309	45,911,693	51,686,511	47,510,280	47,062,883
b Contributions . . .					
c Net investment earnings, gains, and losses	6,817,891	8,547,890	-3,626,657	6,624,221	2,889,969
d Grants or scholarships . . .					
e Other expenditures for facilities and programs . . .	2,229,978	2,184,274	2,148,161	2,447,990	2,442,572
f Administrative expenses					
g End of year balance	56,863,222	52,275,309	45,911,693	51,686,511	47,510,280

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- **a** Board designated or quasi-endowment ▶ 100.000 %
- **b** Permanent endowment ▶ .....
- **c** Term endowment ▶ .....

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) Unrelated organizations . . . . . **3a(i)**	Yes	
(ii) Related organizations . . . . . **3a(ii)**		No

b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? **3b**

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		1,377,444		1,377,444
b Buildings		6,856,796	3,963,210	2,893,586
c Leasehold improvements		4,029,710	296,575	3,733,135
d Equipment		2,433,768	1,613,871	819,897
e Other		766,976	526,656	240,320
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* . . ▶				9,064,382

Part VII Investments—Other Securities.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11b.See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) PRIVATE EQUITY FUNDS	2,537,795	F
(B) OTHER INVESTMENTS	15,944,465	F
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 12.)* ▶	18,482,260	

Part VIII Investments—Program Related.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 13.)* ▶		

Part IX Other Assets.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)DUE FROM INNOVATION HUB	2,303,634
(2)RIGHT OF USE ASSET	14,151,052
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* ▶	16,454,686

Part X Other Liabilities.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.
See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 25.)* ▶	20,503,110

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☑

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains (losses) on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue. Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12.)			5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses. Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18.)			5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part lV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART V, LINE 4:	WINROCK HAS BOARD-DESIGNATED ENDOWMENT FUNDS THAT ARE INCLUDED IN NET ASSETS WITHOUT DONOR RESTRICTIONS. AS REQUIRED BY GAAP, NET ASSETS ASSOCIATED WITH ENDOWMENT FUNDS, INCLUDING FUNDS DESIGNATED BY THE BOARD TO FUNCTION AS ENDOWMENTS, ARE CLASSIFIED AND REPORTED BASED ON THE EXISTENCE OF DONOR-IMPOSED RESTRICTIONS.
PART X, LINE 2:	WINROCK IS EXEMPT FROM INCOME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE AND A SIMILAR PROVISION OF STATE LAW, EXCEPT FOR TAXES ON UNRELATED BUSINESS INCOME. FOR THE YEAR ENDED DECEMBER 31, 2020, NO PROVISION FOR INCOME TAXES WAS MADE, AS WINROCK HAD NO NET SIGNIFICANT UNRELATED BUSINESS INCOME. WINROCK FOLLOWS THE AUTHORITATIVE GUIDANCE RELATING TO ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES INCLUDED IN FASB ASC TOPIC 740, INCOME TAXES. THESE PROVISIONS PROVIDE CONSISTENT GUIDANCE FOR THE ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES RECOGNIZED IN AN ENTITY'S CONSOLIDATED FINANCIAL STATEMENTS AND PRESCRIBE A THRESHOLD OF "MORE LIKELY THAN NOT" FOR RECOGNITION AND DERECOGNITION OF TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN. WINROCK PERFORMED AN EVALUATION OF UNCERTAINTY IN INCOME TAXES FOR THE YEAR ENDED DECEMBER 31, 2020, AND DETERMINED THAT THERE WERE NO MATTERS THAT WOULD REQUIRE RECOGNITION IN THE CONSOLIDATED FINANCIAL STATEMENTS OR THAT MAY HAVE ANY EFFECT ON ITS TAX-EXEMPT STATUS. AS OF DECEMBER 31, 2020, THERE WERE TAX RETURNS THAT REMAINED OPEN WITH THE U.S. FEDERAL JURISDICTION OR THE VARIOUS STATES AND LOCAL JURISDICTIONS IN WHICH WINROCK FILES TAX RETURNS, HOWEVER, THERE ARE CURRENTLY NO AUDITS FOR ANY TAX PERIODS IN PROGRESS. IT IS WINROCK'S POLICY TO RECOGNIZE INTEREST AND/OR PENALTIES RELATED TO UNCERTAINTY IN INCOME TAXES, IF ANY, IN INTEREST OR INCOME TAX EXPENSE. AS OF DECEMBER 31, 2020, WINROCK HAD NO ACCRUALS FOR INTEREST AND/OR PENALTIES.

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.**

▶ **Attach to Form 990.**

▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activites per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) CENTRAL AMERICA AND THE CARIBBEAN	1	5	PROGRAM SERVICES	ECONOMIC DEVELOPMENT	347,933
(2) EAST ASIA AND THE PACIFIC	12	158	PROGRAM SERVICES	AGRICULTURE, ECONOMIC DEVELOPMENT, ENVIRONMENT AND ENERGY	6,741,834
(3) RUSSIA AND NEIGHBORING STATES	4	48	PROGRAM SERVICES	AGRICULTURE, ECONOMIC DEVELOPMENT	2,116,043
(4) SOUTH ASIA	9	281	PROGRAM SERVICES	AGRICULTURE, ECONOMIC DEVELOPMENT, ENVIRONMENT AND ENERGY, HUMAN AND SOCIAL CAPITAL	13,601,533
(5) SUB-SAHARAN AFRICA	23	222	PROGRAM SERVICES	AGRICULTURE, ENVIRONMENT AND ENERGY, HUMAN AND SOCIAL CAPITAL	13,308,675
(6) SOUTH AMERICA	1	2	PROGRAM SERVICES	HUMAN AND SOCIAL CAPITAL	127,393
(7) MIDDLE EAST AND NORTH AFRICA	1	2	PROGRAM SERVICES	ENVIRONMENT AND ENERGY	1,649,609
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total	51	718			37,893,020
b Total from continuation sheets to Part I . . .	0	0			0
c **Totals** (add lines 3a and 3b)	51	718			37,893,020

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

(a)	(b) Name of organization	(c) IRS code section and EIN (if applicable)	(d) Region	(e) Purpose of grant	(f) Amount of cash grant	(g) Manner of cash disbursement	(h) Amount of noncash assistance	(i) Description of noncash assistance	(j) Method of valuation (book, FMV, appraisal, other)
1	TBD	SUB-SAHARAN AFRICA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	711,763.	WIRE TRANSFER			
2	TBD		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	504,824.	WIRE TRANSFER			
3	TBD		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	285,834.	WIRE TRANSFER			
4	TBD	SUB-SAHARAN AFRICA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	218,414.	WIRE TRANSFER			
5	TBD	SUB-SAHARAN AFRICA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	202,634.	WIRE TRANSFER			
6	TBD		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	202,344.	WIRE TRANSFER			
7	TBD		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	160,399.	WIRE TRANSFER			
8	TBD	SUB-SAHARAN AFRICA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	151,384.	WIRE TRANSFER			
9	TBD		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	142,884.	WIRE TRANSFER			
10	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	137,874.	WIRE TRANSFER			
11	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	137,424.	WIRE TRANSFER			
12	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	135,294.	WIRE TRANSFER			
13	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	103,297.	WIRE TRANSFER			
14	[illegible]		RUSSIA AND NEIGHBORING STATES	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	93,834.	WIRE TRANSFER			
15	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	89,824.	WIRE TRANSFER			
16	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	80,234.	WIRE TRANSFER			
17	[illegible]		RUSSIA AND NEIGHBORING STATES	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	69,163.	WIRE TRANSFER			
18	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	67,454.	WIRE TRANSFER			
19	[illegible]		RUSSIA AND NEIGHBORING STATES	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	66,134.	WIRE TRANSFER			
20	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	60,784.	WIRE TRANSFER			
21	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	60,474.	WIRE TRANSFER			
22	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	60,454.	WIRE TRANSFER			
23	[illegible]		RUSSIA AND NEIGHBORING STATES	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	48,104.	WIRE TRANSFER			
24	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	44,134.	WIRE TRANSFER			
25	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	42,384.	WIRE TRANSFER			
26	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	40,194.	WIRE TRANSFER			
27	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	39,754.	WIRE TRANSFER			
28	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	37,434.	WIRE TRANSFER			
29	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	37,354.	WIRE TRANSFER			
30	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	35,814.	WIRE TRANSFER			
31	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	34,134.	WIRE TRANSFER			
32	[illegible]	SUB-SAHARAN AFRICA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	33,584.	WIRE TRANSFER			
33	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	33,264.	WIRE TRANSFER			
34	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	31,734.	WIRE TRANSFER			
35	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	29,264.	WIRE TRANSFER			
36	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	27,704.	WIRE TRANSFER			
37	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	27,704.	WIRE TRANSFER			
38	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	24,424.	WIRE TRANSFER			
39	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	24,424.	WIRE TRANSFER			
40	[illegible]	RUSSIA AND NEIGHBORING STATES	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	24,134.	WIRE TRANSFER			
41	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	23,764.	WIRE TRANSFER			
42	[illegible]	RUSSIA AND NEIGHBORING STATES	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	23,704.	WIRE TRANSFER			
43	[illegible]	RUSSIA AND NEIGHBORING STATES	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	22,144.	WIRE TRANSFER			
44	[illegible]	RUSSIA AND NEIGHBORING STATES	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	22,004.	WIRE TRANSFER			
45	[illegible]	RUSSIA AND NEIGHBORING STATES	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	21,424.	WIRE TRANSFER			
46	[illegible]	RUSSIA AND NEIGHBORING STATES	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	21,414.	WIRE TRANSFER			
47	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	21,274.	WIRE TRANSFER			
48	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	20,724.	WIRE TRANSFER			
49	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	18,504.	WIRE TRANSFER			
50	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	17,454.	WIRE TRANSFER			
51	[illegible]	CENTRAL AMERICA AND THE CARIBBEAN	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	17,194.	WIRE TRANSFER			
52	[illegible]	SOUTH ASIA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	17,414.	WIRE TRANSFER			
53	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	17,414.	WIRE TRANSFER			
54	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	17,134.	WIRE TRANSFER			
55	[illegible]	CENTRAL AMERICA AND THE CARIBBEAN	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	17,104.	WIRE TRANSFER			
56	[illegible]	CENTRAL AMERICA AND THE CARIBBEAN	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	16,364.	WIRE TRANSFER			
57	[illegible]	SOUTH ASIA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	15,764.	WIRE TRANSFER			
58	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	15,704.	WIRE TRANSFER			
59	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	15,594.	WIRE TRANSFER			
60	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	15,194.	WIRE TRANSFER			
61	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	15,104.	WIRE TRANSFER			
62	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	14,764.	WIRE TRANSFER			
63	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	14,554.	WIRE TRANSFER			
64	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	14,394.	WIRE TRANSFER			
65	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	14,244.	WIRE TRANSFER			
66	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	14,194.	WIRE TRANSFER			
67	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	13,824.	WIRE TRANSFER			
68	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	13,274.	WIRE TRANSFER			
69	[illegible]	RUSSIA AND NEIGHBORING STATES	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	13,204.	WIRE TRANSFER			
70	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	12,684.	WIRE TRANSFER			
71	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	12,324.	WIRE TRANSFER			
72	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	12,424.	WIRE TRANSFER			
73	[illegible]	SOUTH ASIA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	12,194.	WIRE TRANSFER			
74	[illegible]	RUSSIA AND NEIGHBORING STATES	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	12,204.	WIRE TRANSFER			
75	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	11,834.	WIRE TRANSFER			
76	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	11,454.	WIRE TRANSFER			
77	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	11,284.	WIRE TRANSFER			
78	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,464.	WIRE TRANSFER			
79	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,434.	WIRE TRANSFER			
80	[illegible]	CENTRAL AMERICA AND THE CARIBBEAN	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,374.	WIRE TRANSFER			
81	[illegible]	SOUTH ASIA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,034.	WIRE TRANSFER			
82	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,364.	WIRE TRANSFER			
83	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,494.	WIRE TRANSFER			
84	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,434.	WIRE TRANSFER			
85	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,174.	WIRE TRANSFER			
86	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,159.	WIRE TRANSFER			
87	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,274.	WIRE TRANSFER			
88	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	10,164.	WIRE TRANSFER			
89	[illegible]	RUSSIA AND NEIGHBORING STATES	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	9,364.	WIRE TRANSFER			
90	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	9,474.	WIRE TRANSFER			
91	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	9,424.	WIRE TRANSFER			
92	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	9,314.	WIRE TRANSFER			
93	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	9,164.	WIRE TRANSFER			
94	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	9,164.	WIRE TRANSFER			
95	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	9,124.	WIRE TRANSFER			
96	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,924.	WIRE TRANSFER			
97	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,824.	WIRE TRANSFER			
98	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,814.	WIRE TRANSFER			
99	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,774.	WIRE TRANSFER			
100	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,644.	WIRE TRANSFER			
101	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,624.	WIRE TRANSFER			
102	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,514.	WIRE TRANSFER			
103	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,504.	WIRE TRANSFER			
104	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,394.	WIRE TRANSFER			
105	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,334.	WIRE TRANSFER			
106	[illegible]		SOUTH AMERICA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	8,034.	WIRE TRANSFER			
107	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,814.	WIRE TRANSFER			
108	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,394.	WIRE TRANSFER			
109	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,534.	WIRE TRANSFER			
110	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,774.	WIRE TRANSFER			
111	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,494.	WIRE TRANSFER			
112	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,194.	WIRE TRANSFER			
113	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,134.	WIRE TRANSFER			
114	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,774.	WIRE TRANSFER			
115	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,194.	WIRE TRANSFER			
116	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,114.	WIRE TRANSFER			
117	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,034.	WIRE TRANSFER			
118	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	7,014.	WIRE TRANSFER			
119	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,954.	WIRE TRANSFER			
120	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,914.	WIRE TRANSFER			
121	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,774.	WIRE TRANSFER			
122	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,774.	WIRE TRANSFER			
123	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,734.	WIRE TRANSFER			
124	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,734.	WIRE TRANSFER			
125	[illegible]		EUROPE	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,674.	WIRE TRANSFER			
126	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,534.	WIRE TRANSFER			
127	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,454.	WIRE TRANSFER			
128	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,394.	WIRE TRANSFER			
129	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,394.	WIRE TRANSFER			
130	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,374.	WIRE TRANSFER			
131	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,194.	WIRE TRANSFER			
132	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,174.	WIRE TRANSFER			
133	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,134.	WIRE TRANSFER			
134	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,114.	WIRE TRANSFER			
135	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,094.	WIRE TRANSFER			
136	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,074.	WIRE TRANSFER			
137	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	6,034.	WIRE TRANSFER			
138	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,974.	WIRE TRANSFER			
139	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,954.	WIRE TRANSFER			
140	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,914.	WIRE TRANSFER			
141	[illegible]	EAST ASIA AND PACIFIC	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,894.	WIRE TRANSFER			
142	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,874.	WIRE TRANSFER			
143	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,834.	WIRE TRANSFER			
144	[illegible]	SUB-SAHARAN AFRICA	SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,814.	WIRE TRANSFER			
145	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,814.	WIRE TRANSFER			
146	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,794.	WIRE TRANSFER			
147	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,774.	WIRE TRANSFER			
148	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,734.	WIRE TRANSFER			
149	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,714.	WIRE TRANSFER			
150	[illegible]		SOUTH ASIA	SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS.	5,714.	WIRE TRANSFER			

2 Enter total number of recipient organizations or entities outside the United States to be reported on lines 1 through 150 ▶ 150

3 Enter total number of other organizations or entities ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of noncash assistance	**(g)** Description of noncash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)
(1)								
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								
(17)								
(18)								

Part IV Foreign Forms

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*	☑ Yes	☐ No
2	Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)*	☐ Yes	☑ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*	☐ Yes	☑ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* .	☐ Yes	☑ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*	☐ Yes	☑ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).*	☐ Yes	☑ No

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

ReturnReference	Explanation
PART I, LINE 2:	- WINROCK INTERNATIONAL IMPLEMENTS PROJECTS IN OVER 45 COUNTRIES WORLD-WIDE INCLUDING THE REGIONS OF SOUTH, SOUTHEAST AND CENTRAL ASIA, AFRICA, CENTRAL AND SOUTH AMERICA. WINROCK PROJECTS ARE FUNDED BY BOTH DOMESTIC AND INTERNATIONAL FUNDERS, WITH OVER 80% OF FUNDING PROVIDED BY THE U.S. GOVERNMENT DURING 2020. WHEN AWARDED, WINROCK ESTABLISHES A PRESENCE IN THE REQUIRED AREA AND CARRIES OUT THE SCOPE OF WORK INCLUDED WITHIN THE AWARDED PROPOSAL. WINROCK FOLLOWS ITS POLICY TO AWARD GRANTS WHICH PROVIDES GUIDELINES AROUND COMPETITION, SOLICITATION PROCEDURES, AND EVALUATION CRITERIA. WINROCK MONITORS GRANT ACTIVITIES FOR COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE GRANT AGREEMENT AND TO ENSURE ACHIEVEMENT OF THE BENCHMARKS AND OBJECTIVES. MONITORING EFFORTS ARE DOCUMENTED AND INCLUDE PROCEDURES PERTINENT TO THE GRANT TYPE, INCLUDING FINANCIAL MANAGEMENT, MAINTENANCE AND INVENTORY OR EQUIPMENT, AND GRANT FILE RETENTION.
PART III ACCOUNTING METHOD:	

Additional Data

Software ID:

Software Version:

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ **Attach to Form 990.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) OVERSEAS STRATEGIC CONSULTING LTD & SUBSIDIARIES 1500 WALNUT STREET SUITE 1300 PHILADELPHIA,PA 19102	23-2720769		50,703		CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(2) UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES 4301 W MARKHAM ST LITTLE ROCK,AR 72205	71-6046242		131,762		CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(3) OPPORTUNITY INTERNATIONAL 550 W VAN BUREN ST SUITE 200 CHICAGO,IL 60607	54-0907624	501(C)(3)	192,778		CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(4) VIAMO PBC 1250 CONNECTICUT AVE NW SUITE 200 WASHINGTON,DC 20036	82-0825124		139,589		CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(5) OZARKA TECHNICAL COLLEGE 218 COLLEGE DR MELBOURNE,AR 72556	58-1969931	501(C)(3)	97,963		CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS
(6) COFFEE QUALITY INSTITUTE 26895 ALISO CREEK RD SUITE B866 ALISO VIEJO,CA 92656	33-0702576	501(C)(3)	29,777		CASH		SUBGRANTS IMPLEMENTED BASED ON FUNDER REQUIREMENTS

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶ 3

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶ 6

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of noncash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of noncash assistance
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						
(7)						

Part IV **Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	WINROCK INTERNATIONAL'S WORK IN THE UNITED STATES INCLUDES FARMERS IN THE MID-SOUTH, CONSERVATION PROGRAMS THAT REDUCE WATER POLLUTION, AND A PORTFOLIO OF ENTREPRENEURIAL DEVELOPMENT WORK. WINROCK FOLLOWS ITS POLICY TO AWARD GRANTS WHICH PROVIDES GUIDELINES AROUND COMPETITION, SOLICITATION PROCEDURES, AND EVALUATION CRITERIA. WINROCK MONITORS GRANT ACTIVITIES FOR COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE GRANT AGREEMENT AND TO ENSURE ACHIEVEMENT OF THE BENCHMARKS AND OBJECTIVES. MONITORING EFFORTS ARE DOCUMENTED AND INCLUDE PROCEDURES PERTINENT TO THE GRANT TYPE, INCLUDING FINANCIAL MANAGEMENT, MAINTENANCE AND INVENTORY OR EQUIPMENT, AND GRANT FILE RETENTION.

Additional Data

Return to Form

Software ID:

Software Version:

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
▶ **Attach to Form 990.**
▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	☐ First-class or charter travel	☑ Housing allowance or residence for personal use		
	☐ Travel for companions	☐ Payments for business use of personal residence		
	☐ Tax idemnification and gross-up payments	☑ Health or social club dues or initiation fees		
	☐ Discretionary spending account	☐ Personal services (e.g., maid, chauffeur, chef)		
b	If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
	☑ Compensation committee	☑ Written employment contract		
	☐ Independent compensation consultant	☑ Compensation survey or study		
	☑ Form 990 of other organizations	☑ Approval by the board or compensation committee		
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	**4c**		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	**5a**		No
b	Any related organization?	**5b**		No
	If "Yes," on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	**6a**		No
b	Any related organization?	**6b**		No
	If "Yes," on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	**9**		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
1 RODNEY FERGUSON PRESIDENT AND CEO	(i)	537,118	55,000	0	46,639	21,363	660,120	0
	(ii)	0	0	0	0	0	0	0
2 JOYJIT DEB ROY EXECUTIVE VP	(i)	286,477	40,000	0	25,138	21,320	372,935	0
	(ii)	0	0	0	0	0	0	0
3 MIKE MYERS SENIOR VP - CFO & TREASURE	(i)	263,984	35,000	0	22,849	18,704	340,537	0
	(ii)	0	0	0	0	0	0	0
4 BRAULIO OLIVEIRA CHIEF INFORMATION OFFICER	(i)	257,108	26,300	600	25,347	15,695	325,050	0
	(ii)	0	0	0	0	0	0	0
5 CHARLOTTE YOUNG VP GC AND CRCO	(i)	240,634	40,000	0	20,832	21,304	322,770	0
	(ii)	0	0	0	0	0	0	0
6 HARVEY S SCHARTUP CHIEF OF PARTY	(i)	221,340	0	54,108	20,721	19,926	316,095	0
	(ii)	0	0	0	0	0	0	0
7 BRIAN BEAN CHIEF OF PARTY	(i)	147,752	6,730	119,727	14,728	18,146	307,083	0
	(ii)	0	0	0	0	0	0	0
8 WILLIAM DAVID SPARKS SENIOR ADVISOR	(i)	214,944	47,015	0	21,389	18,433	301,781	0
	(ii)	0	0	0	0	0	0	0
9 MALIKA MAGAGULA VP AND COO	(i)	230,916	30,000	0	19,992	10,343	291,251	0
	(ii)	0	0	0	0	0	0	0
10 JON ANDERSON CHIEF OF PARTY	(i)	184,740	8,500	50,296	17,752	6,530	267,818	0
	(ii)	0	0	0	0	0	0	0
11 BETHANY J KURBIS FORMER CHRO	(i)	190,777	20,400	0	17,635	16,883	245,695	0
	(ii)	0	0	0	0	0	0	0
12 PATRICIA J MCCALL VP CORP AFFAIRS	(i)	195,155	0	0	16,569	12,551	224,275	0
	(ii)	0	0	0	0	0	0	0
13 ELISABETH WHITBECK BOARD SECRETARY	(i)	126,525	20,000	0	11,165	9,609	167,299	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 1A	WINROCK ALLOWS EXECUTIVE TEAM MEMBERS TO BE REIMBURSED FOR COSTS UP TO $1,500 ANNUALLY TO COVER EXPENSES THAT PROMOTE A HEALTHY LIFESTYLE INCLUDING HEALTH CLUB MEMBERSHIPS, WEIGHT-MANAGEMENT/FITNESS PROGRAMS, SMOKING CESSATION AND SIMILAR PROGRAMS.

Additional Data

Return to Form

Software ID:

Software Version:

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Schedule K (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds

▶ **Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.**

▶**Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	ARKANSAS DEVELOPMENT FINANCE AUTHORITY	71-0503641	04108KB31	09-08-2014	200,000	PROCEEDS USED TO REFUND PRIOR ISSUANCE USED FOR CONSTRUCTION OF HQ OFFICE		X		X		X
B	ARKANSAS DEVELOPMENT FINANCE AUTHORITY	71-0503641	04108KB49	09-08-2014	200,000	PROCEEDS USED TO REFUND PRIOR ISSUANCE USED FOR CONSTRUCTION OF HQ OFFICE		X		X		X
C	ARKANSAS DEVELOPMENT FINANCE AUTHORITY	71-0503641	04108KB56	09-08-2014	205,000	PROCEEDS USED TO REFUND PRIOR ISSUANCE USED FOR CONSTRUCTION OF HQ OFFICE		X		X		X
D	ARKANSAS DEVELOPMENT FINANCE AUTHORITY	71-0503641	04108KB64	09-08-2014	215,000	PROCEEDS USED TO REFUND PRIOR ISSUANCE USED FOR CONSTRUCTION OF HQ OFFICE		X		X		X

Part II Proceeds

		A	B	C	D
1	Amount of bonds retired	200,000			
2	Amount of bonds legally defeased				
3	Total proceeds of issue				
4	Gross proceeds in reserve funds				
5	Capitalized interest from proceeds				
6	Proceeds in refunding escrows				
7	Issuance costs from proceeds				
8	Credit enhancement from proceeds				
9	Working capital expenditures from proceeds				
10	Capital expenditures from proceeds				
11	Other spent proceeds				
12	Other unspent proceeds				
13	Year of substantial completion				

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2019, a current refunding issue)?	X		X		X		X	
15	Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2019, an advance refunding issue)?		X		X		X		X
16	Has the final allocation of proceeds been made?		X		X		X		X
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?		X		X		X		X

Part III Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X		X		X		X

Part III Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X		X		X		X
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		X
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶								
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶								
6	Total of lines 4 and 5								
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of.								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X		X		X		X
c	No rebate due?	X		X		X		X	
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X		X		X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148? . . .	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Return Reference	Explanation
DATE REBATE COMPUTATION PERFORMED	ISSUER NAME: ARKANSAS DEVELOPMENT FINANCE AUTHORITY DATE THE REBATE COMPUTATION WAS PERFORMED: 08/07/2019 ISSUER NAME: ARKANSAS DEVELOPMENT FINANCE AUTHORITY DATE THE REBATE COMPUTATION WAS PERFORMED: 08/07/2019 ISSUER NAME: ARKANSAS DEVELOPMENT FINANCE AUTHORITY DATE THE REBATE COMPUTATION WAS PERFORMED: 08/07/2019 ISSUER NAME: ARKANSAS DEVELOPMENT FINANCE AUTHORITY DATE THE REBATE COMPUTATION WAS PERFORMED: 08/07/2019 ISSUER NAME: ARKANSAS DEVELOPMENT FINANCE AUTHORITY DATE THE REBATE COMPUTATION WAS PERFORMED: 08/07/2019 ISSUER NAME: ARKANSAS DEVELOPMENT FINANCE AUTHORITY DATE THE REBATE COMPUTATION WAS PERFORMED: 08/07/2019

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Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds

▶ **Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.**

▶**Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased Yes	(g) Defeased No	(h) On behalf of issuer Yes	(h) On behalf of issuer No	(i) Pool financing Yes	(i) Pool financing No
A	ARKANSAS DEVELOPMENT FINANCE AUTHORITY	71-0503641	04108KB72	09-08-2014	220,000	PROCEEDS USED TO REFUND PRIOR ISSUANCE USED FOR CONSTRUCTION OF HQ OFFICE		X		X		X
B	ARKANSAS DEVELOPMENT FINANCE AUTHORITY	71-0503641	04108KB80	09-08-2014	1,250,000	PROCEEDS USED TO REFUND PRIOR ISSUANCE USED FOR CONSTRUCTION OF HQ OFFICE		X		X		X
C	ARKANSAS DEVELOPMENT FINANCE AUTHORITY	71-0503641	04108KB98	09-08-2014	1,520,000	PROCEEDS USED TO REFUND PRIOR ISSUANCE USED FOR CONSTRUCTION OF HQ OFFICE		X		X		X

Part II Proceeds

		A	B	C	D
1	Amount of bonds retired				
2	Amount of bonds legally defeased				
3	Total proceeds of issue				
4	Gross proceeds in reserve funds				
5	Capitalized interest from proceeds				
6	Proceeds in refunding escrows				
7	Issuance costs from proceeds				
8	Credit enhancement from proceeds				
9	Working capital expenditures from proceeds				
10	Capital expenditures from proceeds				
11	Other spent proceeds				
12	Other unspent proceeds				
13	Year of substantial completion				

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
14	Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2019, a current refunding issue)?	X		X		X			
15	Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2019, an advance refunding issue)?		X		X		X		
16	Has the final allocation of proceeds been made?		X		X		X		
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?		X		X		X		

Part III Private Business Use

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X		X		X		

Part III Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X		X		X		
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶								
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶								
6	Total of lines 4 and 5								
7	Does the bond issue meet the private security or payment test?		X		X		X		
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of.								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?		X		X		X		

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		
b	Exception to rebate?		X		X		X		
c	No rebate due?	X		X		X			
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X		X		
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		
7	Has the organization established written procedures to monitor the requirements of section 148? . . .	X		X		X			

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X			

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K. (See instructions).

Return Reference	Explanation

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SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶**Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**
▶**Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2020

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WINROCK INTERNATIONAL INSTITUTE
FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	1	5,154	STOCK QUOTE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ ( )				
26 Other ▶ ( )				
27 Other ▶ ( )				
28 Other ▶ ( )				

			Yes	No
29	Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29		
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?	30a		No
b	If "Yes," describe the arrangement in Part II.			
31	Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	31	Yes	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		No
b	If "Yes," describe in Part II.			
33	If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.			

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation

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SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶ **Attach to Form 990 or 990-EZ.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

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2020

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Name of the organization
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Employer identification number
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Return Reference	Explanation
FORM 990 PART I LINE 5 AND PART V LINE 2A:	THE EMPLOYEE COUNT ON PART I LINE 5 IS THE WORLDWIDE EMPLOYEE COUNT, INCLUDING IN-COUNTRY EMPLOYEES. THE COUNT ON PART V LINE 2A IS FROM THE FORM W-3 AND INCLUDES ONLY US EMPLOYEES.
FORM 990, PART VI, SECTION A, LINE 2	BOARD MEMBERS PETER O'NEILL AND WILL ROCKEFELLER ARE RELATED.
FORM 990, PART VI, SECTION B, LINE 11B	FINANCE MANAGEMENT AND THE TAX PREPARER PRESENTED THE FORM 990 TO THE AUDIT COMMITTEE. AT THEIR RECOMMENDATION, ALL MEMBERS OF THE BOARD WERE PROVIDED A COMPLETE COPY PRIOR TO FILING FOR THE OPPORTUNITY TO REVIEW THE ACCURACY AND COMPLETENESS OF THE RETURN.
FORM 990, PART VI, SECTION B, LINE 12C	ANNUALLY, BOARD MEMBERS, EXECUTIVE TEAM MEMBERS, AND ALL EMPLOYEES ARE FURNISHED THE CODE OF CONDUCT (CODE), WHICH INCLUDES THE CONFLICTS OF INTEREST POLICY. EACH BOARD AND STAFF MEMBER IS REQUIRED TO (I) CERTIFY THAT THEY HAVE READ, UNDERSTAND, AND WILL COMPLY WITH THE CODE AND (II) PURSUANT TO THE POLICY, IDENTIFY AND DISCLOSE ANY KNOWN OR POTENTIAL CONFLICTS OF INTERESTS FOR APPROVAL BY THE COMPLIANCE OFFICE PRIOR TO PROCEEDING. FOR DIRECTORS, OFFICERS, AND THE EXECUTIVE TEAM, THE COMPLIANCE OFFICE FURTHER PROVIDES A DISCLOSURE FORM TO EACH INDIVIDUAL, ANNUALLY, TO CERTIFY THAT NO CONFLICTS HAVE ARISEN IN THE PAST YEAR. EVERY CONFLICT DISCLOSURE IS REVIEWED BY THE COMPLIANCE OFFICE TO DETERMINE THE IMPACT ON WINROCK, WHETHER THE ACTION CAN PROCEED, AND APPROPRIATE MITIGATION STEPS, IF NECESSARY.
FORM 990, PART VI, SECTION B, LINE 15	A COMPENSATION COMMITTEE OF THE BOARD OF DIRECTORS USES COMPENSATION SURVEYS AND OTHER RESOURCES TO DETERMINE THE APPROPRIATE COMPENSATION FOR THE CEO. THE DECISION BY THE COMPENSATION COMMITTEE IS THEN APPROVED BY THE FULL BOARD BEFORE BEING FINALIZED. THE COMPENSATION COMMITTEE ALSO REVIEWS ANNUALLY THE COMPENSATION OF OFFICERS.
FORM 990, PART VI, SECTION C, LINE 19	THE ARTICLES OF INCORPORATION ARE MADE PUBLIC THROUGH THE ARKANSAS SECRETARY OF STATE. ARTICLES OF INCORPORATION AND THE BYLAWS MAY BE DIRECTLY REQUESTED FROM THE BOARD SECRETARY AT WINROCK INTERNATIONAL'S CORPORATE MAILING ADDRESS. THE CONFLICTS OF INTEREST POLICY IS AVAILABLE AT WINROCK.ORG AS PART OF WINROCK'S CODE OF BUSINESS CONDUCT. THE AUDITED INSTITUTIONAL FINANCIAL STATEMENTS AND COMPLIANCE AUDIT UNDER UNIFORM GUIDANCE ARE AVAILABLE UPON REQUEST TO THE CHIEF FINANCIAL OFFICER AT THE CORPORATE MAILING ADDRESS.
FORM 990 PART XII LINE 2C	THERE HAS BEEN NO CHANGE IN THE OVERSIGHT PROCESS OR SELECTION PROCESS SINCE THE PRIOR YEAR.

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SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**
▶ **Attach to Form 990.**
▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

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2020

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FOR AGRICULTURAL DEVELOPMENT

Employer identification number
71-0603560

Part I **Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) WINROCK INTERNATIONAL FOUNDATION 204 EAST 4TH STREET NORTH LITTLE ROCK, AR 72114 71-0603560	TO SUPPORT WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT	AR	56,863,222	56,863,222	WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT
(2) WINROCK SOLUTIONS LLC 204 EAST 4TH STREET NORTH LITTLE ROCK, AR 72114 71-0603560	TO SUPPORT WINROCK IN SOCIAL, AGRICULTURAL, & ENVIRONMENT SOLUTIONS	AR	0	0	WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT
(3) ENVIRONMENT RESOURCES TRUST LLC 204 EAST 4TH STREET NORTH LITTLE ROCK, AR 72114 71-0603560	TO IMPROVE NATURAL RESOURCE MANAGEMENT & ENVIRONMENTAL MARKET CONFIDENCE	AR	0	0	WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT

Part II **Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	(g) No
(1)THE ARKANSAS REGIONAL INNOVATION HUB 201 E BROADWAY ST NORTH LITTLE ROCK, AR 72114 46-3376034	TO INCREASE INNOVATIVE AND ENTREPRENEURIAL ACTIVITY IN ARKANSAS	AR	501(C)(3)	LINE 12A	WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT		No

Part III **Identification of Related Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations? Yes	(h) No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership
(1) INNOVATION FUND LLC 204 EAST 4TH STREET NORTH LITTLE ROCK, AR 72114 81-3781111	SMALL BUSINESS STARTUP INVESTMENTS IN ARKANSAS	AR		NO INCOME				No			No	

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity? Yes	(i) No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

		Yes	No
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity	1a		No
b Gift, grant, or capital contribution to related organization(s)	1b	Yes	
c Gift, grant, or capital contribution from related organization(s)	1c		No
d Loans or loan guarantees to or for related organization(s)	1d	Yes	
e Loans or loan guarantees by related organization(s)	1e		No
f Dividends from related organization(s)	1f		No
g Sale of assets to related organization(s)	1g		No
h Purchase of assets from related organization(s)	1h		No
i Exchange of assets with related organization(s)	1i		No
j Lease of facilities, equipment, or other assets to related organization(s)	1j		No
k Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	Yes	
m Performance of services or membership or fundraising solicitations by related organization(s)	1m		No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		No
o Sharing of paid employees with related organization(s)	1o		No
p Reimbursement paid to related organization(s) for expenses	1p		No
q Reimbursement paid by related organization(s) for expenses	1q		No
r Other transfer of cash or property to related organization(s)	1r		No
s Other transfer of cash or property from related organization(s)	1s		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) THE ARKANSAS REGIONAL INNOVATION HUB	D	351,834	CASH
(2) INNOVATION FUND LLC	L		CASH

Part VI **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. (see instructions).

Return Reference	Explanation

Additional Data

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