

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization’s mission:

THE MISSION OF THE CENTER FOR OPEN DATA ENTERPRISE (CODE) IS TO MAXIMIZE THE VALUE OF OPEN AND SHARED DATA FOR THE PUBLIC GOOD, BY WORKING WITH GOVERNMENT AGENCIES, BUSINESSES, NONPROFITS, AND RESEARCHERS WHO ARE BOTH DATA PROVIDERS AND DATA USERS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

☒

No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes

☒

No

If "Yes," describe these changes on Schedule O.

4 Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 271,211 including grants of \$) (Revenue \$ 570,950)

ROUNDTABLES: CODE HOSTS OPEN DATA ROUNDTABLES AND WORKSHOPS TO BRING TOGETHER GOVERNMENT AGENCIES AND THE ORGANIZATIONS THAT USE THEIR DATA. IN 2021 CODE HELD A ROUNDTABLE WITH NOAA AND OTHER PARTNERS ON DATA FOR CLIMATE RISK ASSESSMENT IN VULNERABLE COMMUNITIES, AND PUBLISHED A REPORT WITH FINDINGS AND RECOMMENDATIONS. CODE ALSO HELD TWO ROUNDTABLES WITH HHS: ONE ON BRIDGING THE TRUST GAP IN LYME DISEASE, WITH A FOLLOW-UP WORKSHOP, AND ONE ON SOCIAL DETERMINANTS OF HEALTH. CODE ALSO BEGAN WORK ON ROUNDTABLES ON DATA TO IMPROVE EQUITY IN POLICING AND HEALTHCARE, AND A ROUNDTABLE WITH THE BEZOS EARTH FUND ON DEVELOPING AN ENVIRONMENTAL IMPACT DATA COLLABORATIVE.

4b (Code:) (Expenses \$ 131,752 including grants of \$) (Revenue \$ 102,697)

RESOURCES: CODE DEVELOPS PRINT AND ONLINE RESOURCES FOR THE COMMUNITY OF DATA USERS BOTH IN THE U.S. AND INTERNATIONALLY. IN 2021 CODE CONTINUED ITS TECHNICAL LEADERSHIP OF OPEN SDG, AN OPEN SOURCE PLATFORM FOR REPORTING ON THE UN SUSTAINABLE DEVELOPMENT GOALS. CODE ALSO CONTINUED OTHER TECHNICAL WORK WITH THE WORLD BANK TO SUPPORT REPORTING ON THE SDGS IN DIFFERENT COUNTRIES.

4c (Code:) (Expenses \$ 60,533 including grants of \$) (Revenue \$ 180,069)

RESEARCH:IN 2021, CODE AND ITS PARTNERS PUBLISHED RESEARCH REPORTS ON THE USE OF OPEN DATA TO IMPROVE POLICING, ON IMPROVING AND COORDINATING GOVERNMENT "OPEN" POLICIES, AND ON IMPROVING THE AVAILABILITY AND USE OF OCEAN DATA. CODE DID FOUNDATIONAL RESEARCH FOR THE BEZOS EARTH FUND ON GOALS AND FEASIBILITY FOR DEVELOPING A DATA COLLABORATIVE FOR CLIMATE AND THE ENVIRONMENT. CODE BEGAN RESEARCH ON THE USE OF DATA FOR EQUITY IN HOUSING AND WORKFORCE OPPORTUNITY, FOR PUBLICATION IN 2022. AND CODE DID RESEARCH FOR PARIS21, AN ORGANIZATION HOUSED WITHIN THE OECD, ON THE BENEFITS OF AN ECOSYSTEM APPROACH IN MANAGING DATA RELATED TO CLIMATE CHANGE.

4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ▶ 463,496

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors? See instructions.	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Rev. Proc. 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V	10	No
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X, as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.	11a	No
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	No
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	No
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I. See instructions.	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	No
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	No

Part IV

Checklist of Required Schedules (continued)

		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Yes
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a	No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a	No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b	No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons?	26	No
27	If "Yes," complete Schedule L, Part I. Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27	No
28	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV	28a	No
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b	No
c	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If "Yes," complete Schedule L, Part IV	28c	No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions?	30	No
31	If "Yes," complete Schedule M. Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31	No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32	No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?	33	No
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36	No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37	No
38	Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	38	Yes

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable	1a	2
b	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes

Part V			Statements Regarding Other IRS Filings and Tax Compliance (continued)		
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return			2a	6	
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. See instructions.			2b	Yes	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?			3a		No
b If "Yes," has it filed a Form 990-T for this year? <i>If "No" to line 3b, provide an explanation in Schedule O</i>			3b		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? Enter the name of the foreign country: ▶ _____ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			4a		No
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . .			5a		No
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a		No
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7 Organizations that may receive deductible contributions under section 170(c).					
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?			7a		No
b If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d If "Yes," indicate the number of Forms 8282 filed during the year			7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			8		
9 Sponsoring organizations maintaining donor advised funds.					
a Did the sponsoring organization make any taxable distributions under section 4966?			9a		
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?			9b		
10 Section 501(c)(7) organizations. Enter:					
a Initiation fees and capital contributions included on Part VIII, line 12			10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities			10b		
11 Section 501(c)(12) organizations. Enter:					
a Gross income from members or shareholders			11a		
b Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)			11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?			12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.			12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.					
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.			13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans			13b		
c Enter the amount of reserves on hand			13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation in Schedule O</i>			14b		
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?			15		No
16 If the organization is a trust, did it file Form 720, Schedule N, to report the section 4968 excise tax on net investment income?			16		No
17 Section 501(c)(21) organizations. Did the trust, any disqualified person, or mine operator engage in any activities that would result in the imposition of an excise tax under section 4951, 4952, or 4953? If "Yes," complete Form 6069.			17		

Part VI

Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.
Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
1b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		No
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
8a	The governing body?	Yes	
8b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	Yes
	Describe on Schedule O the process, if any, used by the organization to review this Form 990.	
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	Yes
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe on Schedule O how this was done	Yes
13	Did the organization have a written whistleblower policy?	No
14	Did the organization have a written document retention and destruction policy?	No
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?	
15a	The organization's CEO, Executive Director, or top management official	No
15b	Other officers or key employees of the organization	No
	If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	No
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed

18 Section 6104 requires an organization to make its Form 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:

JOEL GURIN 1100 13TH STREET NW SUITE 800 WASHINGTON, DC 20005 (914) 391-4263

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See the instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) JOEL GURIN PRESIDENT AND SECRETARY	40.00	X		X				157,292	0	50,000
(2) SHAIDA BADIEE CHAIR	1.00	X		X				0	0	0
(3) THERESA A PARDO TREASURER	1.00	X		X				0	0	0
(4) PATRICIA CUMMENS DIRECTOR	1.00	X						0	0	0
(5) MARC DACOSTA DIRECTOR	1.00	X						0	0	0
(6) ELIZABETH LEE GROSSMAN DIRECTOR	1.00	X						0	0	0
(7) NATALIE EVANS HARRIS DIRECTOR - UNTIL 10/2021	1.00	X						0	0	0
(8) HEATHER JOSEPH DIRECTOR	1.00	X						0	0	0
(9) DENICE ROSS DIRECTOR - UNTIL 10/2021	1.00	X						0	0	0
(10) BRIAN SCARPELLI DIRECTOR	1.00	X						0	0	0

Part VII

1b Sub-Total			
c Total from continuation sheets to Part VII, Section A			
d Total (add lines 1b and 1c)	157,292	0	50,000

2 Total number of individuals (including but not limited to those listed in the table) who received more than \$100,000 of reportable compensation from the organization ▶ 1

3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>			
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>			
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>			
		3		No
		4	Yes	
		5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A)	(B)	(C)
Name and business address	Description of services	Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 0

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants, and Other	1a Federated campaigns . . b Membership dues . . c Fundraising events . . d Related organizations e Government grants (contributions) f All other contributions, gifts, grants, and similar amounts not included above g Noncash contributions included in lines 1a - 1f:\$ h Total. Add lines 1a-1f	1a		
Amt Similar Amounts		1b		
		1c		
		1d		
		1e	6,038	
		1f	19,942	
		1g		
			25,980	

Program Service Revenue	2a ROUND TABLE	Business Code				
		900099	570,950	570,950		
	b RESEARCH	900099	180,069	180,069		
	c RESOURCES	900099	102,697	102,697		
	d					
	e					
	f All other program service revenue.					
	g Total. Add lines 2a-2f.	853,716				

Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)				
	4 Income from investment of tax-exempt bond proceeds				
	5 Royalties				
		(i) Real	(ii) Personal		
	6a Gross rents	6a			
	b Less: rental expenses	6b			
	c Rental income or (loss)	6c			
	d Net rental income or (loss)				
		(i) Securities	(ii) Other		
	7a Gross amount from sales of assets other than inventory	7a			
	b Less: cost or other basis and sales expenses	7b			
	c Gain or (loss)	7c			
	d Net gain or (loss)				
	8a Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18	8a			
	b Less: direct expenses	8b			
	c Net income or (loss) from fundraising events				
	9a Gross income from gaming activities. See Part IV, line 19	9a			
	b Less: direct expenses	9b			
	c Net income or (loss) from gaming activities				
	10a Gross sales of inventory, less returns and allowances	10a			
	b Less: cost of goods sold	10b			
	c Net income or (loss) from sales of inventory				
	Miscellaneous Revenue	Business Code			
	11a				
	b				
	c				
	d All other revenue				
	e Total. Add lines 11a-11d				
	12 Total revenue. See instructions	879,696	853,716	0	0

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	207,292	159,615	12,438	35,239
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	282,507	239,238	22,342	20,927
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits	22,600	17,506	2,629	2,465
10 Payroll taxes	30,645	24,955	2,176	3,514
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	13,240		13,240	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	27,182	19,128	8,054	
12 Advertising and promotion				
13 Office expenses	4,682		4,682	
14 Information technology	642		642	
15 Royalties				
16 Occupancy	18,738		18,738	
17 Travel	655		655	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	228		228	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization				
23 Insurance	4,749		4,749	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a STIPENDS	3,750	3,054	266	430
b SUBSCRIPTION	1,961		1,961	
c STAFF DEVELOPMENT	310		310	
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	619,181	463,496	93,110	62,575
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

☐

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing		35,106	1	99,046
	2	Savings and temporary cash investments			2	
	3	Pledges and grants receivable, net		71,550	3	0
	4	Accounts receivable, net		0	4	203,406
	5	Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges			9	
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a			
	b	Less: accumulated depreciation	10b		10c	
	11	Investments—publicly traded securities			11	
	12	Investments—other securities. See Part IV, line 11			12	
	13	Investments—program-related. See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11			15	
16	Total assets. Add lines 1 through 15 (must equal line 33)		106,656	16	302,452	
Liabilities	17	Accounts payable and accrued expenses		116,721	17	52,002
	18	Grants payable			18	
	19	Deferred revenue			19	
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21	
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties		149,900	24	149,900
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D			25	
	26	Total liabilities. Add lines 17 through 25		266,621	26	201,902
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here ☒ and complete lines 27, 28, 32, and 33.					
	27	Net assets without donor restrictions		-159,965	27	100,550
	28	Net assets with donor restrictions			28	
	Organizations that do not follow FASB ASC 958, check here ☐ and complete lines 29 through 33.					
	29	Capital stock or trust principal, or current funds			29	
	30	Paid-in or capital surplus, or land, building or equipment fund			30	
	31	Retained earnings, endowment, accumulated income, or other funds			31	
	32	Total net assets or fund balances		-159,965	32	100,550
	33	Total liabilities and net assets/fund balances		106,656	33	302,452

Part XI

Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	879,696
2	Total expenses (must equal Part IX, column (A), line 25)	2	619,181
3	Revenue less expenses. Subtract line 2 from line 1	3	260,515
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	-159,965
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (A))	10	100,550

Part XII

Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		No
b Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		No
c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

Return to Form

Software ID:

Software Version:

Form 990, Special Condition Description:

Special Condition Description

Name of the organization

CENTER FOR OPEN DATA ENTERPRISE INC

Employer identification number

47-2871408

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990).)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☒

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9

☐

An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10

☐

An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11

☐

An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a

☐

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b

☐

Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c

☐

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d

☐

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e

☐

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f

Enter the number of supported organizations
- g

Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . . .	665,500	250,000	56,500	49,746	25,980	1,047,726
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge..						
4 Total. Add lines 1 through 3	665,500	250,000	56,500	49,746	25,980	1,047,726
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . .						722,674
6 Public support. Subtract line 5 from line 4.						325,052

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
7 Amounts from line 4. . .	665,500	250,000	56,500	49,746	25,980	1,047,726
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on . . .						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). . .	771		32			803
11 Total support. Add lines 7 through 10						1,048,529

12 Gross receipts from related activities, etc. (see instructions) **12** 1,983,390

13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2021 (line 6, column (f) divided by line 11, column (f))	14	31.000 %
15 Public support percentage for 2020 Schedule A, Part II, line 14	15	31.860 %

16a 33 1/3% support test—2021. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

b 33 1/3% support test—2020. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

17a 10%-facts-and-circumstances test—2021. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☒

b 10%-facts-and-circumstances test—2020. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ☐

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 Total support. (Add lines 9, 10c, 11, and 12.) .						
14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2021 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2020 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2021 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2020 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2021. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization ☐		
b 33 1/3% support tests—2020. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization ☐		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐		

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer lines 3b and 3c below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990) .</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? <i>If "Yes," complete Part I of Schedule L (Form 990).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i>		

Part IV

Supporting Organizations (continued)

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, the governing body of a supported organization?		
b	A family member of a person described on 11a above?		
c	A 35% controlled entity of a person described on line 11a or 11b above? If "Yes" to 11a, 11b, or 11c, provide detail in Part VI		

Section B. Type I Supporting Organizations

		Yes	No
1	Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.		

Section C. Type II Supporting Organizations

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

Section D. All Type III Supporting Organizations

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
3	By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		

Section E. Type III Functionally-Integrated Supporting Organizations

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):			
a	☐ The organization satisfied the Activities Test. Complete line 2 below.			
b	☐ The organization is the parent of each of its supported organizations. Complete line 3 below.			
c	☐ The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)			
2	Activities Test. Answer lines 2a and 2b below.			
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.			
b	Did the activities described on line 2a, above constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.			
3	Parent of Supported Organizations. Answer lines 3a and 3b below.			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? If "Yes" or "No", provide details in Part VI.			
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? If "Yes," describe in Part VI. the role played by the organization in this regard.			

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in **Part VI***). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

(A) Prior Year

(B) Current Year
(optional)

- | | |
|---|----------|
| 1 Net short-term capital gain | 1 |
| 2 Recoveries of prior-year distributions | 2 |
| 3 Other gross income (see instructions) | 3 |
| 4 Add lines 1 through 3 | 4 |
| 5 Depreciation and depletion | 5 |
| 6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 |
| 7 Other expenses (see instructions) | 7 |
| 8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 |

Section B - Minimum Asset Amount

(A) Prior Year

(B) Current Year
(optional)

- | | |
|--|-----------|
| 1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | 1 |
| a Average monthly value of securities | 1a |
| b Average monthly cash balances | 1b |
| c Fair market value of other non-exempt-use assets | 1c |
| d Total (add lines 1a, 1b, and 1c) | 1d |
| e Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>): | |
| 2 Acquisition indebtedness applicable to non-exempt use assets | 2 |
| 3 Subtract line 2 from line 1d | 3 |
| 4 Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions). | 4 |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 |
| 6 Multiply line 5 by 0.035 | 6 |
| 7 Recoveries of prior-year distributions | 7 |
| 8 Minimum Asset Amount (add line 7 to line 6) | 8 |

Section C - Distributable Amount

Current Year

- | | |
|--|----------|
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | 1 |
| 2 Enter 85% of line 1 | 2 |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 |
| 4 Enter greater of line 2 or line 3 | 4 |
| 5 Income tax imposed in prior year | 5 |
| 6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 |

- 7** ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations		(continued)
Section D - Distributions		Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	1	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	3	
4 Amounts paid to acquire exempt-use assets	4	
5 Qualified set-aside amounts (<i>prior IRS approval required - provide details in Part VI</i>)	5	
6 Other distributions (<i>describe in Part VI</i>). See instructions	6	
7 Total annual distributions. Add lines 1 through 6.	7	
8 Distributions to attentive supported organizations to which the organization is responsive (<i>provide details in Part VI</i>). See instructions	8	
9 Distributable amount for 2021 from Section C, line 6	9	
10 Line 8 amount divided by Line 9 amount	10	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2021	(iii) Distributable Amount for 2021
1 Distributable amount for 2021 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2021 (reasonable cause required-- <i>explain in Part VI</i>). See instructions.			
3 Excess distributions carryover, if any, to 2021:			
a From 2016.			
b From 2017.			
c From 2018.			
d From 2019.			
e From 2020.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2021 distributable amount			
i Carryover from 2016 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2021 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2021 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2021, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, <i>explain in Part VI</i> . See instructions.			
6 Remaining underdistributions for 2021. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, <i>explain in Part VI</i> . See instructions.			
7 Excess distributions carryover to 2022. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2017.			
b Excess from 2018.			
c Excess from 2019.			
d Excess from 2020.			
e Excess from 2021.			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test	
I. BACKGROUNDCENTER FOR OPEN DATA ENTERPRISE, INC. ("CODE") IS A NONPROFIT ORGANIZATION INCORPORATED IN THE DISTRICT OF COLUMBIA IN 2015. CODE'S MISSION IS TO MAXIMIZE THE VALUE OF OPEN AND SHARED DATA FOR THE PUBLIC GOOD, BY WORKING WITH GOVERNMENT AGENCIES, BUSINESSES, NONPROFITS, AND RESEARCHERS WHO ARE BOTH DATA PROVIDERS AND DATA USERS. THIS INCLUDES PROMOTING THE APPLICATION OF FULLY OPEN DATA - FREE, PUBLICLY AVAILABLE DATA THAT ANYONE CAN ACCESS AND USE, WITHOUT LIMITATION- AS WELL AS STRATEGIES FOR SHARING AND EXCHANGING DATA THAT REQUIRES PRIVACY OR SECURITY RESTRICTIONS. SINCE ITS INCEPTION IN 2015, CODE HAS HELD MORE THAN TWO DOZEN ROUNDTABLES AND WORKSHOPS WITH THE WHITE HOUSE AND FEDERAL AGENCIES FOCUSING ON TOPICS INCLUDING MEDICAL RESEARCH AND HEALTH CARE, ENERGY AND THE ENVIRONMENT, AND FEDERAL DATA STRATEGY. CODE HAS ALSO DEVELOPED INFORMATIONAL MATERIALS AND RECOMMENDATIONS TO PROMOTE THE USE OF GOVERNMENT DATA AND PUBLIC-PRIVATE COLLABORATION AROUND DATA SHARING AND APPLICATION. IN ADDITION TO WORKING WITH GOVERNMENT AGENCIES IN THE U.S. AND INTERNATIONALLY, CODE PARTNERS WITH PRIVATE SECTOR COMPANIES, FOUNDATIONS, AND OTHER NONPROFIT ORGANIZATIONS TO ACHIEVE ITS MISSION.II. ANALYSISCODE QUALIFIES AS A "PUBLICLY SUPPORTED ORGANIZATION DESCRIBED UNDER SECTION 170(B)(1)(A)(VI), AND THEREFORE, AS AN ORGANIZATION DESCRIBED IN SECTION 509(A)(1) BECAUSE IT SATISFIES THE "FACTS AND CIRCUMSTANCES TEST" SET FORTH IN SECTION 1.170A-9(E)(3) OF THE TREASURY REGULATIONS.A. THRESHOLD REQUIREMENTS CODE IS ELIGIBLE FOR A DETERMINATION OF PUBLIC SUPPORT UNDER THE FACTS AND CIRCUMSTANCES TEST BECAUSE IT MEETS THE TWO THRESHOLD REQUIREMENTS FOR CONSIDERATION. FIRST, THE PORTION OF CODE'S SUPPORT THAT QUALIFIES AS ELIGIBLE PUBLIC SUPPORT IS 31.00%, WHICH EXCEEDS THE 10% THRESHOLD REQUIRED UNDER TREASURY REGULATION SECTION 1.170A-9(E)(3)(I). SECOND, CODE'S OPERATIONS ENSURE THAT IT WILL CONTINUE TO ATTRACT NEW AND ADDITIONAL PUBLIC SUPPORT, AS REQUIRED BY TREASURY REGULATION SECTION 1.170A-9(E)(3)(II). CODE MAINTAINS (1) A CONTINUOUS AND BONA FIDE PROGRAM FOR SOLICITING FUNDS FROM THE GENERAL PUBLIC, COMMUNITY, AND FOUNDATIONS, AND GOVERNMENT AGENCIES AND (2) THE SOURCES OF SUPPORT PROVIDE SERVICES DIRECTLY FOR THE BENEFIT OF THE GENERAL PUBLIC ON A CONTINUING BASIS, THEREBY SATISFYING THE OTHER THRESHOLD REQUIREMENT FOR QUALIFYING AS PUBLICLY SUPPORTED UNDER THE FACTS AND CIRCUMSTANCES TEST.B. OTHER RELEVANT FACTORSIN DETERMINING WHETHER CODE MEETS THE "FACTS AND CIRCUMSTANCES TEST," THE TREASURY REGULATIONS ALSO PROVIDE A LIST OF FACTORS THAT SERVE AS INDICIA OF WHETHER AN ORGANIZATION QUALIFIES AS "PUBLICLY SUPPORTED." THESE ADDITIONAL FACTORS, DISCUSSED BELOW, PROVIDE FURTHER EVIDENCE THAT CODE SATISFIES THE FACTS AND CIRCUMSTANCES TEST.1. SOURCES OF SUPPORTCODE RECEIVES ITS PUBLIC SUPPORT FROM A WIDE VARIETY OF CONTRIBUTORS AND DOES NOT DEPEND ON A SINGLE FAMILY FOR CONTRIBUTIONS, TWO FACTS THAT PROVIDE FURTHER SUPPORT FOR CODE'S QUALIFICATION AS A "PUBLICLY SUPPORTED" ENTITY. THESE DONORS INCLUDE: TAX-EXEMPT ENTITIES, FOR-PROFIT CORPORATIONS, AND INDIVIDUALS. CODE PLANS TO CONTINUE REACHING OUT TO NEW DONORS IN THE COMING YEARS.2. REPRESENTATIVE GOVERNING BODYTHE REPRESENTATIVE NATURE OF AN ORGANIZATION'S GOVERNING BODY IS ALSO A FACTOR IN DETERMINING WHETHER IT QUALIFIES UNDER THE "FACTS AND CIRCUMSTANCES TEST." IN CONSIDERING WHETHER A BOARD IS REPRESENTATIVE, SUCH FACTORS AS THE MEMBERS' EXPERTISE IN THE RELEVANT FIELD, THEIR HISTORY OF LEADERSHIP IN THE COMMUNITY AND THEIR TRADITION OF PUBLIC SERVICE ARE RELEVANT. CODE'S BOARD OF DIRECTORS INCLUDES A VARIETY OF INDUSTRY LEADERS WITH A BROAD RANGE OF EXPERIENCE IN FIELDS RELEVANT TO CODE'S PUBLIC SERVICE ACTIVITIES, INCLUDING RESEARCH, DEVELOPING INFORMATION MATERIALS, AND WORKING WITH GOVERNMENT AGENCIES IN THE U.S. AND INTERNATIONALLY. THE FOLLOWING INDIVIDUALS SERVED ON CODE'S BOARD OF DIRECTORS IN 2021:JOEL GURIN, PRESIDENTINTERNATIONALLY RECOGNIZED EXPERT ON OPEN DATAJOEL GURIN IS THE PRESIDENT AND FOUNDER OF CODE AND AN INTERNATIONALLY RECOGNIZED EXPERT ON OPEN DATA. HIS BOOK OPEN DATA NOW (MCGRAW-HILL), WRITTEN FOR A GENERAL AUDIENCE, IS CONSIDERED A BENCHMARK PUBLICATION THAT HELPED DEFINE THIS EMERGING FIELD. BEFORE LAUNCHING CODE IN JANUARY 2015 HE CONCEPTUALIZED AND LED THE DEVELOPMENT TEAM FOR THE GOVLAB'S OPEN DATA 500 PROJECT, THE FIRST THOROUGH STUDY OF THE USE OF OPEN GOVERNMENT DATA BY THE PRIVATE SECTOR. JOEL'S BACKGROUND INCLUDES GOVERNMENT, JOURNALISM, NONPROFIT LEADERSHIP, AND CONSUMER ISSUES. HE SERVED AS CHAIR OF THE WHITE HOUSE TASK FORCE ON SMART DISCLOSURE, WHICH STUDIED HOW OPEN GOVERNMENT DATA CAN IMPROVE CONSUMER MARKETS, AND AS CHIEF OF THE CONSUMER AND GOVERNMENTAL AFFAIRS BUREAU OF THE U.S. FEDERAL COMMUNICATIONS COMMISSION. FOR MORE THAN A DECADE HE WAS EDITORIAL DIRECTOR AND THEN EXECUTIVE VICE PRESIDENT OF CONSUMER REPORTS, WHERE HE DIRECTED THE LAUNCH AND DEVELOPMENT OF CONSUMERREPORTS.ORG, THE WORLD'S LARGEST PAID-SUBSCRIPTION INFORMATION-BASED WEBSITE. HE IS A GRADUATE OF HARVARD UNIVERSITY WITH AN A.B. IN BIOCHEMICAL SCIENCES, MAGNA CUM LAUDE, PHI BETA KAPPA. SHAIDA BADIEE, CHAIRMANAGING DIRECTOR OF OPEN DATA WATCHSHAIDA BADIEE IS MANAGING DIRECTOR OF OPEN DATA WATCH, AN NGO FOCUSED ON MONITORING AND PROMOTING OPEN DATA IN NATIONAL STATISTICAL OFFICES. SHE HAS BEEN AN ACTIVE MEMBER OF THE UN SECRETARY GENERAL'S ADVISORY GROUP ON DATA REVOLUTION, CO-CHAIRS THE SUSTAINABLE DEVELOPMENT SOLUTIONS NETWORK (SDSN) DATA NETWORK, AND HAS PLAYED A KEY ROLE IN THE STARTUP OF THE GLOBAL PARTNERSHIP FOR SUSTAINABLE DEVELOPMENT DATA. AS SENIOR ADVISOR, SHAIIDA WORKS CLOSELY WITH THEDATA2X TEAM FOCUSED ON KNOWLEDGE CREATION AND ADVOCACY FOR CLOSING GENDER DATA GAPS. SHE BRINGS 36 YEARS OF EXPERIENCE IN MANAGING GLOBAL DEVELOPMENT STATISTICS AT THE WORLD BANK AND AS THE LONG-TIME DIRECTOR OF THE DEVELOPMENT DATA GROUP. DURING HER TENURE, FLAGSHIP GLOBAL STATISTICAL PRODUCTS WERE LAUNCHED SUCH AS THE WORLD DEVELOPMENT INDICATORS, GLOBAL DEVELOPMENT FINANCE, AND THE ATLAS OF GLOBAL DEVELOPMENT. IN 2010, SHE LED THE WORLD BANK'S OPEN DATA INITIATIVE, A GROUND-BREAKING PROGRAM TO PROVIDE FULL AND FREE ACCESS TO THE WORLDBANK'S EXTENSIVE STATISTICAL DATABASES.THERESA A. PARDO, PH.D., TREASURERASSOCIATE VP FOR RESEARCH, UNIVERSITY AT ALBANY, SUNY.THERESA A. PARDO, PH.D. SERVES AS ASSOCIATE VICE PRESIDENT FOR RESEARCH AND SPECIAL ASSISTANT TO THE PRESIDENT AT THE UNIVERSITY AT ALBANY, STATE UNIVERSITY OF NEW YORK (SUNY). SHE ALSO SERVES AS A SENIOR FELLOW AT THE CENTER FOR TECHNOLOGY IN GOVERNMENT (CTG UALBANY), A FULL RESEARCH PROFESSOR IN PUBLIC ADMINISTRATION AND POLICY, ROCKEFELLER COLLEGE, AND AN AFFILIATE FACULTY AT THE COLLEGE OF EMERGENCY PREPAREDNESS, HOMELAND SECURITY AND CYBERSECURITY. AS ASSOCIATE VICE PRESIDENT FOR RESEARCH, DR. PARDO IS RESPONSIBLE FOR THE UNIVERSITY'S RESEARCH INSTITUTES, CENTERS AND LABORATORIES AND RESEARCH DATA GOVERNANCE, AMONG OTHER STRATEGIC PRIORITIES OF THE UNIVERSITY. AS SPECIAL ASSISTANT TO THE PRESIDENT, DR. PARDO IS LEADING THE CREATION AND OPERATION OF A NOVEL MULTI-SECTOR, MULTI-INSTITUTIONAL, AND INTERDISCIPLINARY RESEARCH AND COLLABORATION NETWORK FOCUSED ON THE DIFFERENTIAL IMPACTS OF COVID-19 ON MINORITY COMMUNITIES IN NEW YORK AND THE FORMATION OF A NEW UNIVERSITY-WIDE INSTITUTE FOR THE ACHIEVEMENT OF SOCIAL AND HEALTH EQUITY. DR. PARDO IS A FELLOW OF THE NATIONAL ACADEMY OF PUBLIC ADMINISTRATION WHERE SHE IS VICE-CHAIR OF THE TECHNOLOGY LEADERSHIP PANEL AND IS A PAST PRESIDENT OF THE DIGITAL GOVERNMENT SOCIETY. IN 2018 AND 2019, DR. PARDO WAS NAMED A TOP 100 INFLUENCER IN DIGITAL GOVERNMENT GLOBALLY. SHE HOLDS A DOCTORATE IN INFORMATION SCIENCE FROM THE UNIVERSITY AT ALBANY, SUNY.	

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME:	MISCELLANEOUS - 2017 AMOUNT: \$ 771. 2018 AMOUNT: \$ 0. 2019 AMOUNT: \$ 32. 2020 AMOUNT: \$ 0. 2021 AMOUNT: \$ 0.

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Name of the organization CENTER FOR OPEN DATA ENTERPRISE INC	Employer identification number 47-2871408
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Part I

Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☐ Travel for companions ☐ Tax idemnification and gross-up payments ☐ Discretionary spending account ☐ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☐ Health or social club dues or initiation fees ☐ Personal services (e.g., maid, chauffeur, chef)		
1b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain		
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?		
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. ☐ Compensation committee ☐ Independent compensation consultant ☐ Form 990 of other organizations ☐ Written employment contract ☐ Compensation survey or study ☒ Approval by the board or compensation committee		
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: a Receive a severance payment or change-of-control payment? b Participate in, or receive payment from, a supplemental nonqualified retirement plan? c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes".to any.of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.		
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: a The organization? b Any related organization? If "Yes," on line 5a or 5b, describe in Part III.		
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization? b Any related organization? If "Yes," on line 6a or 6b, describe in Part III.		
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III		
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III		
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?		

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

[illegible]

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
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Additional Data

Return to Form

Software ID:
Software Version:

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	CODE HIRES AN INDEPENDENT ACCOUNTING FIRM TO PREPARE THE FEDERAL FORM 990. UPON SUBMISSION, THE DRAFT FORM 990 IS REVIEWED BY THE PRESIDENT AND BOARD OF DIRECTORS BEFORE FILING WITH THE IRS.
FORM 990, PART VI, SECTION B, LINE 12C	ALL CODE STAFF AND CONTRACTORS ARE REQUIRED TO DISCLOSE TO THE PRESIDENT ANY POTENTIAL CONFLICTS OF INTEREST THAT COULD IMPACT, OR POTENTIALLY APPEAR TO IMPACT, THEIR ABILITY TO CONTRIBUTE TO CODE'S WORK OVERALL, OR TO SPECIFIC PROJECTS, IMPARTIALLY AND IN THE BEST INTERESTS OF THE ORGANIZATION. POTENTIAL CONFLICTS MAY ALSO INCLUDE SITUATIONS IN WHICH THE STAFF OR CONTRACTOR STANDS TO BENEFIT FROM THEIR ASSOCIATION WITH CODE IN WAYS THAT EXCEED THEIR COMPENSATION FROM CODE. THESE POTENTIAL CONFLICTS MUST BE DISCLOSED IMMEDIATELY TO THE PRESIDENT, IN WRITING, IF AND WHEN THEY ARISE. SUCH CONFLICTS CAN INCLUDE BUT ARE NOT LIMITED TO: 1) CONSULTING FEES OR HONORARIA FROM THIRD PARTIES WHOSE WORK RELATES TO AREAS IN WHICH CODE OPERATES. THESE CAN INCLUDE FEES OR IN-KIND COMPENSATION FOR CONSULTING, LECTURING, BOARD SERVICE, TEACHING, OR OTHER ACTIVITIES. 2) EQUITY INTERESTS OR STOCK OPTIONS IN COMPANIES OPERATING IN THE DATA AND TECHNOLOGY SPACE. 3) CONSULTING FEES FROM COMPANIES, OTHER NONPROFITS, GOVERNMENT ENTITIES, OR OTHER THIRD PARTIES. 4) ROYALTY INCOME. 6) PERSONAL CONNECTION WITH A POTENTIAL CODE FUNDER. BASED ON THESE DISCLOSURES, THE PRESIDENT WILL DECIDE WHETHER STAFF/CONTRACTOR CONFLICTS OF INTEREST EXIST AND HOW TO RESOLVE THEM IF SO. THE PRESIDENT WILL DISCLOSE ANY OF HIS/HER POTENTIAL CONFLICTS TO THE BOARD CHAIR, WHO WILL ASSESS HOW TO RESOLVE THEM IF NECESSARY AND WHETHER POTENTIAL CONFLICTS NEED TO BE DISCLOSED TO THE FULL BOARD FOR DISCUSSION. ANY BOARD MEMBER WILL DISCLOSE HIS/HER POTENTIAL CONFLICTS TO THE BOARD CHAIR AND THE PRESIDENT, WHO WILL WORK WITH THE BOARD MEMBER TO DETERMINE HOW TO RESOLVE THEM IF NECESSARY. REMEDIES FOR REAL OR APPARENT CONFLICTS OF INTEREST MAY INCLUDE: 1) APPROPRIATE DISCLOSURE IN CODE MATERIALS PUBLISHED IN PRINT OR ONLINE. 2) DECISION NOT TO PURSUE AN OPPORTUNITY WITH A THIRD PARTY THAT MAY CAUSE A CONFLICT. 3) STAFF OR BOARD MEMBER RECUSAL FROM WORK OR DECISIONS RELATING TO THE AREA OF CONFLICT.
FORM 990, PART VI, SECTION C, LINE 19	CODE MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.
FORM 990, SCHEDULE A, SECTION C, LINE 17A:	PATRICIA CUMMENS GOVERNMENT STRATEGIST AT ENVIRONMENTAL SYSTEMS RESEARCH INSTITUTE (ESRI). PAT CUMMENS SERVES AS THE GOVERNMENT STRATEGIST AT ENVIRONMENTAL SYSTEMS RESEARCH INSTITUTE (ESRI). SHE JOINED ESRI IN 1998, WHERE SHE PROVIDES SENIOR-LEVEL MANAGEMENT AND GUIDANCE ON STATE AND NATIONAL GOVERNMENT INITIATIVES, EMERGING POLICY ISSUES AND EXECUTIVE OUTREACH. PRIOR TO THAT SHE SPENT 15 YEARS IN STATE GOVERNMENT, SERVING AS THE GIS DIRECTOR FOR THE NEW JERSEY DEPARTMENT OF ENVIRONMENTAL PROTECTION FOR 11 YEARS AFTER STARTING HER CAREER WITH THE STATE OF MINNESOTA. SHE HAS DEVELOPED SKILLS TO BRIDGE THE GAP BETWEEN POLICY AND TECHNOLOGY, AND WORKS WITH EXECUTIVES INCLUDING GOVERNORS' OFFICES, THE WHITE HOUSE, AND U.S. CONGRESS TO HELP THEM UNDERSTAND THE VALUE GEOSPATIAL DATA AND GIS TECHNOLOGY BRING TO DATA-DRIVEN DECISION MAKING AND REALIZING EFFICIENT, SMART GOVERNMENT. MUCH OF HER EFFORT IS FOCUSED ON BUILDING AWARENESS OF LOCATION INTELLIGENCE OUTSIDE THE TRADITIONAL GIS COMMUNITY. SHE HOLDS A BACHELOR'S DEGREE IN LANDSCAPE ARCHITECTURE FROM THE UNIVERSITY OF MINNESOTA. MARC DACOSTA CO-FOUNDER AND CHAIRMAN OF ENIGMA. MARC DACOSTA IS THE CO-FOUNDER AND CHAIRMAN OF ENIGMA, A DATA TECHNOLOGY COMPANY THAT MARRIES PUBLIC AND PRIVATE DATA TO PROVIDE ACTIONABLE, OPERATIONAL INSIGHT FOR FORTUNE 500 COMPANIES. MARC HAS DEEP EXPERTISE IN THE TECHNICAL AND CULTURAL ASPECTS OF HARNESSING DATA TO TRANSFORM ORGANIZATIONAL OPERATIONS. HE HOLDS A PH.D. IN CULTURAL ANTHROPOLOGY FROM THE UNIVERSITY OF CALIFORNIA AND HAS HELD FELLOWSHIPS AT THE NATIONAL SCIENCE FOUNDATION, INTEL LABS AND THE COLUMBIA JOURNALISM SCHOOL. MARC IS ALSO A TERM MEMBER AT THE COUNCIL ON FOREIGN RELATIONS. ELIZABETH LEE GROSSMAN FORMER DIRECTOR OF STRATEGIC PARTNERSHIPS, MICROSOFT CITIES TEAM/MICROSOFT PHILANTHROPIES. ELIZABETH GROSSMAN ENGAGES IN DESIGNING AND COORDINATING STRATEGIC NATIONAL AND LOCAL PARTNERSHIPS WITH NONPROFITS, FOUNDATIONS, GOVERNMENTS, AND BUSINESSES. SHE HAS WORKED WITH COMMUNITY AND CIVIC LEADERS ON THE APPLICATION OF TECHNOLOGY AND DATA TO ADDRESS PUBLIC CHALLENGES SUCH AS ECONOMIC DEVELOPMENT AND INNOVATION, CRIMINAL JUSTICE REFORM, SUSTAINABILITY, ACCESSIBILITY, AND TRANSPORTATION. BEFORE JOINING MICROSOFT, SHE WORKED ON SCIENCE AND TECH POLICY FOR UNIVERSITIES, ASSOCIATIONS, AND THE U.S. HOUSE OF REPRESENTATIVES. ELIZABETH RECEIVED A B.A. IN PHYSICS AND MATHEMATICS FROM SWARTHMORE COLLEGE AND A MASTERS AND PH.D. IN COMPUTATIONAL PHYSICS FROM THE UNIVERSITY OF CHICAGO. NATALIE EVANS HARRIS EXECUTIVE DIRECTOR, BLACK WEALTH DATA CENTER (CURRENT TITLE; LEFT CODE BOARD IN OCTOBER 2021). NATALIE EVANS HARRIS HOLDS NEARLY 20 YEARS OF EXPERIENCE ADVANCING THE PUBLIC SECTOR'S STRATEGIC USE OF DATA, INCLUDING A 16-YEAR CAREER AT THE NATIONAL SECURITY AGENCY AND 18 MONTHS WITH THE OBAMA ADMINISTRATION. SHE IS KNOWN FOR WORKING WITH A BROAD NETWORK OF ACADEMIC INSTITUTIONS, DATA SCIENCE ORGANIZATIONS, APPLICATION DEVELOPERS, AND FOUNDATIONS TO ADVANCE THE RESPONSIBLE USE OF DATA STANDARDS, APIS, AND ETHICAL ALGORITHMS TO DIRECTLY BENEFIT PEOPLE. SHE FOUNDED THE COMMUNITY-DRIVEN PRINCIPLES FOR ETHICAL DATA SHARING (CPEDS) COMMUNITY OF PRACTICE WITH OVER 1200 ACTIVE MEMBERS FOCUSED ON STRENGTHENING ETHICAL PRACTICES IN THE DATA SCIENCE COMMUNITY THROUGH CROWDSOURCING OF A DATA SCIENCE CODE OF ETHICS. SHE SERVES AS A STRATEGIC ADVISOR TO THE PROJECT, NOW KNOWN AS THE GLOBAL DATA ETHICS PROJECT (GDEP), TO ADVANCE THE ADOPTION OF TOOLS, TECHNIQUES, AND PRACTICES DEVELOPED BY THE COMMUNITY. AS A SENIOR POLICY ADVISOR TO THE U.S. CHIEF TECHNOLOGY OFFICER IN THE OBAMA ADMINISTRATION, SHE FOUNDED THE DATA CABINET, A FEDERAL DATA SCIENCE COMMUNITY OF PRACTICE WITH OVER 200 ACTIVE MEMBERS

Return Reference	Explanation
	ACROSS MORE THAN 40 FEDERAL AGENCIES. HEATHER JOSEPH EXECUTIVE DIRECTOR FOR THE SCHOLARLY PUBLISHING AND ACADEMIC RESOURCES COALITION (SPARC). HEATHER JOSEPH SERVES AS EXECUTIVE DIRECTOR FOR THE SCHOLARLY PUBLISHING AND ACADEMIC RESOURCES COALITION (SPARC). IN THAT ROLE SHE HAS FOCUSED SPARC'S EFFORTS ON SUPPORTING NEW MODELS FOR THE OPEN SHARING OF DIGITAL ARTICLES, DATA AND EDUCATIONAL RESOURCES. A FIRM BELIEVER IN COLLECTIVE ACTION, SHE HAS BOLSTERED SPARC'S MISSION THROUGH THE DEVELOPMENT AND LEADERSHIP OF EFFECTIVE COALITIONS. SHE CONVENES THE ALLIANCE FOR TAXPAYER ACCESS AND THE OPEN ACCESS WORKING GROUP, BROAD COALITIONS OF UNIVERSITY, LIBRARY, ADVOCACY, AND CONSUMER GROUPS THAT SERVE AS LEADING VOICES ON U.S. OPEN ACCESS POLICIES, INCLUDING THE LANDMARK NATIONAL INSTITUTES FOR HEALTH (NIH) PUBLIC ACCESS POLICY AND A RECENT WHITE HOUSE DIRECTIVE. SHE IS ALSO THE FOUNDER OF BIOONE, A COLLABORATIVE PUBLISHING ORGANIZATION DESIGNED TO KEEP NON-PROFIT PUBLISHERS OPERATING INDEPENDENTLY. HEATHER IS AN ACTIVE PARTICIPANT ON COMMITTEES AND PROJECTS AT SEVERAL U.S. FEDERAL AGENCIES. IN 2015, SHE WAS APPOINTED TO THE NEWLY FORMED COMMERCE DATA ADVISORY COUNCIL AND TASKED WITH PROVIDING INPUT TO THE SECRETARY OF COMMERCE ON ISSUES SURROUNDING OPEN DATA. SHE SERVES ON THE BOARD OF DIRECTORS OF KEY NONPROFIT ORGANIZATIONS SUPPORTING THE OPEN SHARING OF KNOWLEDGE, INCLUDING DURASPACE AND IMPACTSTORY. DENICE ROSS U.S. CHIEF DATA SCIENTIST (CURRENT TITLE; LEFT CODE BOARD IN OCTOBER 2021). DENICE ROSS IS THE U.S. CHIEF DATA SCIENTIST IN THE WHITE HOUSE OFFICE OF SCIENCE AND TECHNOLOGY POLICY. BEFORE THAT SHE WAS A FELLOW IN RESIDENCE AT GEORGETOWN UNIVERSITY'S BEECK CENTER, WHERE SHE SUPPORTS THE SCHMIDT FUTURES DATA COLLABORATIVE PORTFOLIO AROUND ISSUES SUCH AS DISASTERS, OPIOIDS/ADDICTION, CENSUS 2020, CLIMATE CHANGE, POLICING, AND WORKFORCE. SHE WAS RECENTLY A PUBLIC INTEREST TECH FELLOW AT NEW AMERICA, WHERE SHE STUDIED THE POWER OF NETWORKS TO ADVANCE PROGRESS ON BIG CHALLENGES. AS A PRESIDENTIAL INNOVATION FELLOW IN THE OBAMA ADMINISTRATION, SHE CO-FOUNDED THE WHITE HOUSE POLICE DATA INITIATIVE TO INCREASE TRANSPARENCY AND ACCOUNTABILITY IN THE WAKE OF FERGUSON, AND WORKED WITH THE DEPARTMENT OF ENERGY ON CROWDSOURCING PRIVATE-SECTOR DATA TO IMPROVE COMMUNITY RESILIENCE IN DISASTER-IMPACTED AREAS. BEFORE MOVING TO THE DC AREA, SHE SERVED AS DIRECTOR OF ENTERPRISE INFORMATION FOR THE CITY OF NEW ORLEANS, WHERE SHE ESTABLISHED THEIR OPEN DATA INITIATIVE, NOW RECOGNIZED AS ONE OF THE MOST SUCCESSFUL IN THE COUNTRY. PRIOR TO GOVERNMENT, DENICE CO-DIRECTED THE DATA CENTER, A NON-PROFIT DATA INTERMEDIARY THAT ORGANIZED CENSUS AND OTHER DATA INTO NEIGHBORHOODS TO BE USED BY NONPROFITS AND COMMUNITY ORGANIZATIONS. DENICE HOLDS A BACHELOR OF SCIENCE FROM THE UNIVERSITY OF ARIZONA, WHERE SHE WAS A GOLDWATER SCHOLAR. BRIAN SCARPELLI SENIOR POLICY COUNSEL AT ACT THE APP ASSOCIATION. BRIAN SCARPELLI IS SENIOR POLICY COUNSEL AT ACT THE APP ASSOCIATION, A NOT-FOR-PROFIT TRADE ASSOCIATION REPRESENTING SMALL BUSINESS SOFTWARE DEVELOPMENT AND HIGH TECH COMPANIES. PREVIOUS TO JOINING ACT, BRIAN WORKED FOR OVER FIVE YEARS AT THE TELECOMMUNICATIONS INDUSTRY ASSOCIATION, A TRADE ASSOCIATION REPRESENTING TELECOMMUNICATIONS EQUIPMENT MANUFACTURERS AND VENDORS THAT IS ALSO A STANDARDS DEVELOPMENT ORGANIZATION. HE HAS ALSO WORKED AT THE FEDERAL COMMUNICATIONS COMMISSION, THE DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION, AND THE ALLIANCE FOR TELECOMMUNICATIONS INDUSTRY SOLUTIONS. 3. PUBLIC PARTICIPATION IN PROGRAMS UNDER SECTION 1.170A-9(E)(3)(VI)(C)(1) OF THE TREASURY REGULATIONS, ONE FACTOR INDICATING THAT AN ORGANIZATION QUALIFIES AS "PUBLICLY SUPPORTED" UNDER THE FACTS AND CIRCUMSTANCES TEST IS THAT "MEMBERS OF THE PUBLIC HAVING SPECIALIZED KNOWLEDGE OR EXPERTISE, PUBLIC OFFICIALS, OR CIVIC OR COMMUNITY LEADERS" PARTICIPATE IN, OR SPONSOR, THE ORGANIZATION'S PROGRAMS. AS DISCUSSED ABOVE, CODE'S DIRECTORS ARE EXPERTS AND LEADERS IN DATA USE AND DEVELOPMENT. III. CONCLUSION IN SUMMARY, CODE HAS THE CHARACTERISTICS OF A "PUBLICLY SUPPORTED ORGANIZATION, BASED ON THE FACTS AND CIRCUMSTANCES TEST DESCRIBED IN SECTION 1.170A-9(E)(3) OF THE TREASURY REGULATIONS. SPECIFICALLY, A SMALL NUMBER OF DONORS DO NOT CONTROL CODE; RATHER CODE IS A GROWING INSTITUTION THAT BEARS MANY OF THE INDICIA OF A "PUBLICLY SUPPORTED ORGANIZATION, INCLUDING PUBLIC SUPPORT FROM A WIDE CROSS-SECTION OF DONORS, WITH A REPRESENTATIVE GOVERNING BODY. MOREOVER, CODE IS CONTINUING TO SEEK NEW SOURCES OF SUPPORT FROM THE GENERAL PUBLIC AS WELL AS OTHER ORGANIZATIONS. ACCORDINGLY, CODE QUALIFIES AS A "PUBLICLY SUPPORTED ORGANIZATION DESCRIBED IN SECTION 170(B)(1)(A)(VI).

Additional Data

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