

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning

, and ending

Name of foundation LAURA AND JOHN ARNOLD FOUNDATION		A Employer identification number 26-3241764
Number and street (or P.O. box number if mail is not delivered to street address) 1717 WEST LOOP SOUTH	Room/suite 1800	B Telephone number 713-554-1349
City or town, state or province, country, and ZIP or foreign postal code HOUSTON, TX 77027-3048		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,156,666,121.	J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	129,161,614.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	163,361.	163,361.		
	4 Dividends and interest from securities	95,617,865.	108,052,471.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	57,658,040.			
	b Gross sales price for all assets on line 6a	197,616,422.			
	7 Capital gain net income (from Part IV, line 2)		83,323,457.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less return and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	51,036,985.	11,796,815.		SEE STATEMENT 1	
12 Total. Add lines 1 through 11	333,637,865.	203,336,104.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	4,559,541.	64,786.		4,494,755.
	14 Other employee salaries and wages	5,936,352.	25,906.		5,910,446.
	15 Pension plans, employee benefits	1,638,446.	9,501.		1,628,945.
	16a Legal fees	829,077.	3,021.		826,056.
	b Accounting fees	215,632.	54,258.		118,596.
	c Other professional fees	8,226,350.	2,433,982.		5,792,368.
	17 Interest				
	18 Taxes	7,410,477.	1,200,565.		0.
	19 Depreciation and depletion	322,407.	195,806.		
	20 Occupancy	1,065,067.	0.		1,065,067.
	21 Travel, conferences, and meetings	1,644,462.	0.		1,644,462.
	22 Printing and publications	22,265.	0.		22,265.
	23 Other expenses	1,079,734.	7,061,147.		1,079,734.
	24 Total operating and administrative expenses. Add lines 13 through 23	32,949,810.	11,048,972.		22,582,694.
	25 Contributions, gifts, grants paid	205,306,646.			205,141,646.
26 Total expenses and disbursements. Add lines 24 and 25	238,256,456.	11,048,972.		227,724,340.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	95,381,409.				
b Net investment income (if negative, enter -0-)		192,287,132.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	104,283,896.	158,411,759.	158,411,759.	
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 7	730,773,945.	681,663,021.	681,663,021.	
	c	Investments - corporate bonds STMT 8	347,700,706.	237,147,209.	237,147,209.	
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 9	1,068,964,518.	1,065,519,265.	1,065,519,265.		
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶	1,503,846.	0.	0.		
15	Other assets (describe ▶ SEE STATEMENT 10)	11,088,524.	13,924,867.	13,924,867.		
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,264,315,435.	2,156,666,121.	2,156,666,121.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26, and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	0.	0.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds	2,264,315,435.	2,156,666,121.		
30	Total net assets or fund balances	2,264,315,435.	2,156,666,121.			
31	Total liabilities and net assets/fund balances	2,264,315,435.	2,156,666,121.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,264,315,435.
2	Enter amount from Part I, line 27a	2	95,381,409.
3	Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENTS	3	1,379.
4	Add lines 1, 2, and 3	4	2,359,698,223.
5	Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS	5	203,032,102.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,156,666,121.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e 197,616,422.	793,111.	115,086,076.	83,323,457.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			83,323,457.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 83,323,457.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	174,966,873.	2,044,387,016.	.085584
2016	190,334,847.	1,796,108,530.	.105971
2015	120,073,022.	1,754,542,691.	.068436
2014	93,974,871.	1,671,688,368.	.056216
2013	92,025,804.	1,436,461,461.	.064064
2 Total of line 1, column (d)			2 .380271
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 .076054
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 2,142,409,674.
5 Multiply line 4 by line 3			5 162,938,825.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,922,871.
7 Add lines 5 and 6			7 164,861,696.
8 Enter qualifying distributions from Part XII, line 4			8 232,858,792.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	D		
b PUBLICLY TRADED SECURITIES	P		
c FOREIGN CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	VARIOUS
d DISPOSAL OF CHARITABLE USE ASSETS	P	VARIOUS	VARIOUS
e DONATION OF CHARITABLE USE ASSETS	P	VARIOUS	VARIOUS
f ALTERNATIVE INVESTMENTS	P	VARIOUS	VARIOUS
g DISTRIBUTION IN EXCESS OF BASIS	P	VARIOUS	VARIOUS
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,461,014.		4,473,833.	1,987,181.
b 120,949,825.		108,279,458.	12,670,367.
c 42,747,793.			42,747,793.
d 2,301,102.	786,162.	2,317,008.	770,256.
e	6,949.	15,777.	-8,828.
f 24,975,794.			24,975,794.
g 180,894.			180,894.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,987,181.
b			12,670,367.
c			42,747,793.
d			770,256.
e			-8,828.
f			24,975,794.
g			180,894.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	83,323,457.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,922,871.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	1,922,871.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,922,871.
6 Credits/Payments:			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	1,660,772.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	745,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	2,405,772.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	482,901.	
11 Enter the amount of line 10 to be: Credited to 2019 estimated tax ☒ 482,901. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes SEE ATTACHMENT C	X	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☒ CA, NY, TX, DC, OK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE ATTACHMENT B	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions SEE STATEMENT 11 SEE STATEMENT 12 STMT 13	X	
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.ARNOLDFOUNDATION.ORG	X	
14 The books are in care of ELIZABETH BANKS Telephone no. 713-554-1349 Located at 1717 WEST LOOP SOUTH, SUITE 1800, HOUSTON, TX ZIP+4 77027-3048		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☒ Yes ☐ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here 1b X		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? SEE STATEMENT 25 1c X	X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years 2b N/A		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) 3b X		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? 4b X		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☒ Yes ☐ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	X
Organizations relying on a current notice regarding disaster assistance, check here	☒		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 15	☒ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		4,559,541.	660,250.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JENNIFER NELSEN - 1717 WEST LOOP SOUTH, SUITE 1800, HOUSTON, TX 77027	PRESIDENT'S CHIEF OF STAFF	50.00	207,416.	19,542.
KIRBY SMITH - 1717 WEST LOOP SOUTH, SUITE 1800, HOUSTON, TX 77027	DIRECTOR - FOUNDATION STRATEGY	50.00	184,216.	19,438.
JULIENE JAMES - 1776 I STREET NW, SUITE 850, WASHINGTON, DC 20006	DIRECTOR - CRIMINAL JUSTICE	50.00	184,280.	11,511.
KRISTIN BECHTEL - 3 COLUMBUS CIRCLE, SUITE 1601, NEW YORK, NY 10019	DIRECTOR - CRIMINAL JUSTICE	50.00	184,360.	11,134.
ASHELEY VAN NESS - 3 COLUMBUS CIRCLE, SUITE 1601, NEW YORK, NY	DIRECTOR - CRIMINAL JUSTICE	50.00	165,024.	17,428.

Total number of other employees paid over \$50,000

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
JUSTICE SYSTEM PARTNERS P.O. BOX 970, SOUTH EASTON, MA 02375	CONSULTING - CRIMINAL JUSTICE	885,425.
MORGAN STANLEY INVESTMENT MANAGEMENT 522 5TH AVENUE, NEW YORK, NY 10036	CONSULTING - INV. MANAGEMENT	861,353.
FIDELITY INSTITUTIONAL ASSET MANAGEMENT, LLC 770002, CINCINNATI, OH 45277	CONSULTING - INV. MANAGEMENT	728,123.
MORGAN CREEK CAPITAL MANAGEMENT, LLC - 301 W. BARBEE CHAPEL RD, CHAPEL HILL, NC 27517	CONSULTING - INV. MANAGEMENT	446,213.
LUMINOSITY, INC. - 1767 TANGLEWOOD DRIVE NE, ST. PETERSBURG, FL 33702	CONSULTING - CRIMINAL JUSTICE	386,614.
Total number of others receiving over \$50,000 for professional services		41

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 16	2,933,619.
2 SEE STATEMENT 17	1,137,667.
3 SEE STATEMENT 18	452,497.
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 CHARTER SCHOOL GROWTH FUND: TO SUPPORT THE CHARTER SCHOOL GROWTH FUND. THE PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN.	4,100,000.
2 SEE STATEMENT 19	254,846.
All other program-related investments. See instructions.	
3 SOCIAL FINANCE CT: TO SUPPORT THE CONNECTICUT FAMILY STABILITY PAY FOR SUCCESS PROJECT. THE PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A CREDIT AGREEMENT.	
	253,014.
Total. Add lines 1 through 3	4,607,860.

Form 990-PF (2018)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	1,018,902,612.
b Average of monthly cash balances	1b	87,170,933.
c Fair market value of all other assets	1c	1,068,961,657.
d Total (add lines 1a, b, and c)	1d	2,175,035,202.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,175,035,202.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	32,625,528.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,142,409,674.
6 Minimum investment return. Enter 5% of line 5	6	107,120,484.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	107,120,484.
2a Tax on investment income for 2018 from Part VI, line 5	2a	1,922,871.
b Income tax for 2018. (This does not include the tax from Part VI.)	2b	2,228,244.
c Add lines 2a and 2b	2c	4,151,115.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	102,969,369.
4 Recoveries of amounts treated as qualifying distributions	4	4,169,661.
5 Add lines 3 and 4	5	107,139,030.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	107,139,030.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	227,724,340.
b Program-related investments - total from Part IX-B	1b	4,607,860.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	526,592.
3 Amounts set aside for specific charitable projects that satisfy the:		
a Surtability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	232,858,792.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,922,871.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	230,935,921.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				107,139,030.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	23,761,103.			
b From 2014	9,880,004.			
c From 2015	33,614,593.			
d From 2016	101,460,964.			
e From 2017	77,536,617.			
f Total of lines 3a through e	246,253,281.			
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$ 232,858,792.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				107,139,030.
e Remaining amount distributed out of corpus	125,719,762.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	371,973,043.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	23,761,103.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	348,211,940.			
10 Analysis of line 9:				
a Excess from 2014	9,880,004.			
b Excess from 2015	33,614,593.			
c Excess from 2016	101,460,964.			
d Excess from 2017	77,536,617.			
e Excess from 2018	125,719,762.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☒ 4942(j)(5)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income	
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount			
1 Program service revenue:							
a _____							
b _____							
c _____							
d _____							
e _____							
f _____							
g Fees and contracts from government agencies							
2 Membership dues and assessments							
3 Interest on savings and temporary cash investments			14	163,361.			
4 Dividends and interest from securities			14	95,617,865.			
5 Net rental income or (loss) from real estate:							
a Debt-financed property							
b Not debt-financed property							
6 Net rental income or (loss) from personal property							
7 Other investment income			18	49,214,354.			
8 Gain or (loss) from sales of assets other than inventory			18	57,658,040.			
9 Net income or (loss) from special events							
10 Gross profit or (loss) from sales of inventory							
11 Other revenue:							
a RETURNED GRANTS							1,822,631.
b _____							
c _____							
d _____							
e _____							
12 Subtotal. Add columns (b), (d), and (e)		0.		202,653,620.			1,822,631.
13 Total. Add line 12, columns (b), (d), and (e)					13		204,476,251.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following described in section 501(c)(other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | x |
| | (2) Other assets | 1a(2) | x |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | x |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | x |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | x |
| | (4) Reimbursement arrangements | 1b(4) | x |
| | (5) Loans or loan guarantees | 1b(5) | x |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | x |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | x |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☒ Yes ☐ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
ACTION NOW INC.	501(C)(4)	SEE STATEMENT 23

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

DIRECTOR/CO-CHAIR

Title

May the IRS discuss this return with the preparer shown below? See instr.

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JENNIFER BECKER HARRIS

Preparer's signature

JENNIFER BECKER HARRIS

Date _____

11/14/19

Check ☐ if
self-employed

PTIN

P00183358

Firm's name ► CLARK NUBER, PS

Firm's EIN ► 91-1194016

Firm's address ► 10900 NE 4TH STREET, SUITE 1400
BELLEVUE WA 98004

Phone no. 425-454-4919

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

LAURA AND JOHN ARNOLD FOUNDATION

Employer identification number

26-3241764

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
LAURA AND JOHN ARNOLD FOUNDATION	26-3241764

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN D. AND LAURA E. ARNOLD 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027-3048	\$ 129,161,614.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

26-3241764

Part II

[illegible]

Name of organization LAURA AND JOHN ARNOLD FOUNDATION	Employer identification number 26-3241764
--	--

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ► \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

FORM 990-PF	OTHER INCOME	STATEMENT	1
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SEC 988 GAIN (LOSS)	2,624,980.	2,624,980.	
EQUITY PARTNERSHIP INVESTMENTS	46,478,624.	7,634,234.	
SUBPART F INCOME	0.	1,524,361.	
NON-DIVIDEND DISTRIBUTIONS	110,750.	0.	
PRI INTEREST INCOME	0.	13,240.	
RETURNED GRANTS	1,822,631.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	51,036,985.	11,796,815.	

FORM 990-PF	LEGAL FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	829,077.	3,021.		826,056.
TO FM 990-PF, PG 1, LN 16A	829,077.	3,021.		826,056.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	100,888.	54,258.		3,852.
PAYROLL AND BENEFITS				
SERVICE	38,188.	0.		38,188.
OTHER ACCOUNTING FEES	76,556.	0.		76,556.
TO FORM 990-PF, PG 1, LN 16B	215,632.	54,258.		118,596.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	2,414,358.	2,414,358.		0.
CONSULTING FOR CHARITABLE ACTIVITIES	5,766,487.	0.		5,766,487.
OTHER PROFESSIONAL FEES	45,505.	19,624.		25,881.
TO FORM 990-PF, PG 1, LN 16C	8,226,350.	2,433,982.		5,792,368.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	7,168,689.	0.		0.
STATE TAX	241,788.	0.		0.
FOREIGN TAX	0.	1,200,565.		0.
TO FORM 990-PF, PG 1, LN 18	7,410,477.	1,200,565.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEALS AND ENTERTAINMENT	87,756.	0.		87,756.
OFFICE EXPENSE	219,501.	0.		219,501.
DUES AND LICENSES	146,998.	0.		146,998.
EQUIPMENT	141,466.	0.		141,466.
EQUITY PARTNERSHIP EXPENSES	0.	7,061,147.		0.
HR EXPENSES	442,871.	0.		442,871.
INSURANCE	41,142.	0.		41,142.
TO FORM 990-PF, PG 1, LN 23	1,079,734.	7,061,147.		1,079,734.

FORM 990-PF

CORPORATE STOCK

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PUBLICLY TRADED SECURITY 1	88,628,863.	88,628,863.
PUBLICLY TRADED SECURITY 2	69,166,838.	69,166,838.
PUBLICLY TRADED SECURITY 3	96,537,184.	96,537,184.
PUBLICLY TRADED SECURITY 4	7,355,075.	7,355,075.
PUBLICLY TRADED SECURITY 5	17,006,724.	17,006,724.
PUBLICLY TRADED SECURITY 6	16,901,155.	16,901,155.
PUBLICLY TRADED SECURITY 8	1,212,000.	1,212,000.
PUBLICLY TRADED SECURITY 9	56,944,800.	56,944,800.
PUBLICLY TRADED SECURITY 10	81,765,684.	81,765,684.
PUBLICLY TRADED SECURITY 11	8,676,589.	8,676,589.
PUBLICLY TRADED SECURITY 12	30,407,369.	30,407,369.
PUBLICLY TRADED SECURITY 13	92,789,935.	92,789,935.
PUBLICLY TRADED SECURITY 14	88,725,000.	88,725,000.
PUBLICLY TRADED SECURITY 15	432,500.	432,500.
PUBLICLY TRADED SECURITY 16	15,496,487.	15,496,487.
PUBLICLY TRADED SECURITY 17	3,833,570.	3,833,570.
PUBLICLY TRADED SECURITY 18	2,033,248.	2,033,248.
PUBLICLY TRADED SECURITY 19	3,750,000.	3,750,000.
TOTAL TO FORM 990-PF, PART II, LINE 10B	681,663,021.	681,663,021.

FORM 990-PF

CORPORATE BONDS

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BOND 1	12,975,000.	12,975,000.
CORPORATE BOND 3	1,040,000.	1,040,000.
CORPORATE BOND 4	7,068,454.	7,068,454.
CORPORATE BOND 5	12,500,000.	12,500,000.
CORPORATE BOND 7	1,987,500.	1,987,500.
CORPORATE BOND 8	55,143,939.	55,143,939.
CORPORATE BOND 9	49,861,500.	49,861,500.
CORPORATE BOND 10	9,042,704.	9,042,704.
CORPORATE BOND 11	5,722,200.	5,722,200.
CORPORATE BOND 12	8,392,214.	8,392,214.
CORPORATE BOND 13	9,699,643.	9,699,643.
CORPORATE BOND 14	18,839,055.	18,839,055.
CORPORATE BOND 16	44,875,000.	44,875,000.
TOTAL TO FORM 990-PF, PART II, LINE 10C	237,147,209.	237,147,209.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION		VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ALTERNATIVE INVESTMENT 1		FMV	20,632,000.	20,632,000.
ALTERNATIVE INVESTMENT 2		FMV	10,633,562.	10,633,562.
ALTERNATIVE INVESTMENT 3		FMV	14,572,923.	14,572,923.
ALTERNATIVE INVESTMENT 4		FMV	81,781,438.	81,781,438.
ALTERNATIVE INVESTMENT 5		FMV	279,766.	279,766.
ALTERNATIVE INVESTMENT 6		FMV	41,474,348.	41,474,348.
ALTERNATIVE INVESTMENT 7		FMV	12,288,153.	12,288,153.
ALTERNATIVE INVESTMENT 8		FMV	3,208,247.	3,208,247.
ALTERNATIVE INVESTMENT 9		FMV	13,267,048.	13,267,048.
ALTERNATIVE INVESTMENT 10		FMV	123,136,369.	123,136,369.
ALTERNATIVE INVESTMENT 11		FMV	1,532,596.	1,532,596.
ALTERNATIVE INVESTMENT 12		FMV	2,706,423.	2,706,423.
ALTERNATIVE INVESTMENT 13		FMV	23,376,260.	23,376,260.
ALTERNATIVE INVESTMENT 14		FMV	52,165,103.	52,165,103.
ALTERNATIVE INVESTMENT 15		FMV	6,735,060.	6,735,060.
ALTERNATIVE INVESTMENT 16		FMV	73,634,493.	73,634,493.
ALTERNATIVE INVESTMENT 17		FMV	20,831,322.	20,831,322.
ALTERNATIVE INVESTMENT 18		FMV	13,562,568.	13,562,568.
ALTERNATIVE INVESTMENT 19		FMV	2,125,973.	2,125,973.
ALTERNATIVE INVESTMENT 20		FMV	1,784,536.	1,784,536.
ALTERNATIVE INVESTMENT 21		FMV	70,660.	70,660.
ALTERNATIVE INVESTMENT 22		FMV	782,611.	782,611.
ALTERNATIVE INVESTMENT 23		FMV	20,901,257.	20,901,257.
ALTERNATIVE INVESTMENT 24		FMV	2,029,683.	2,029,683.
ALTERNATIVE INVESTMENT 26		FMV	22,836.	22,836.
ALTERNATIVE INVESTMENT 27		FMV	34,844.	34,844.
ALTERNATIVE INVESTMENT 28		FMV	4,435,843.	4,435,843.
ALTERNATIVE INVESTMENT 29		FMV	731,281.	731,281.
ALTERNATIVE INVESTMENT 30		FMV	41,873,201.	41,873,201.
ALTERNATIVE INVESTMENT 31		FMV	3,415,837.	3,415,837.
ALTERNATIVE INVESTMENT 32		FMV	692,352.	692,352.
ALTERNATIVE INVESTMENT 33		FMV	818,637.	818,637.
ALTERNATIVE INVESTMENT 34		FMV	1,780,769.	1,780,769.
ALTERNATIVE INVESTMENT 35		FMV	340,051.	340,051.
ALTERNATIVE INVESTMENT 36		FMV	15,097,011.	15,097,011.
ALTERNATIVE INVESTMENT 37		FMV	1,101,988.	1,101,988.
ALTERNATIVE INVESTMENT 38		FMV	14,601,241.	14,601,241.
ALTERNATIVE INVESTMENT 39		FMV	3,996,583.	3,996,583.
ALTERNATIVE INVESTMENT 40		FMV	3,473,877.	3,473,877.
ALTERNATIVE INVESTMENT 41		FMV	11,222,741.	11,222,741.
ALTERNATIVE INVESTMENT 42		FMV	270,896.	270,896.
ALTERNATIVE INVESTMENT 43		FMV	2,352,194.	2,352,194.
ALTERNATIVE INVESTMENT 44		FMV	68,385,081.	68,385,081.
ALTERNATIVE INVESTMENT 45		FMV	5,312,702.	5,312,702.
ALTERNATIVE INVESTMENT 46		FMV	66,496,396.	66,496,396.
ALTERNATIVE INVESTMENT 48		FMV	91,294,468.	91,294,468.
ALTERNATIVE INVESTMENT 49		FMV	19,157,797.	19,157,797.

LAURA AND JOHN ARNOLD FOUNDATION

26-3241764

ALTERNATIVE INVESTMENT 50	FMV	36,522,617.	36,522,617.
ALTERNATIVE INVESTMENT 51	FMV	12,409,747.	12,409,747.
ALTERNATIVE INVESTMENT 52	FMV	12,672,324.	12,672,324.
ALTERNATIVE INVESTMENT 53	FMV	73,892,000.	73,892,000.
ALTERNATIVE INVESTMENT 55	FMV	24,795,011.	24,795,011.
ALTERNATIVE INVESTMENT 56	FMV	4,806,541.	4,806,541.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,065,519,265.	1,065,519,265.

FORM 990-PF	OTHER ASSETS	STATEMENT 10
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	5,220,985.	9,831,740.	9,831,740.
ACCRUED INTEREST	5,793,237.	4,004,747.	4,004,747.
ORGANIZATIONAL COSTS	10,138.	10,138.	10,138.
OTHER ASSETS	64,164.	78,242.	78,242.
TO FORM 990-PF, PART II, LINE 15	11,088,524.	13,924,867.	13,924,867.

FORM 990-PF

TRANSFERS TO CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 11

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

TS IMPACT, INC.

98-1207798

ADDRESS

190 ELGIN AVENUE
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS KY1-9005

DESCRIPTION OF TRANSFER

CONTRIBUTION

AMOUNT
OF TRANSFER

2,455.

TOTAL AMOUNT OF TRANSFERS TO CONTROLLED ENTITIES

2,455.

FORM 990-PF

TRANSFERS FROM CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 12

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

TS IMPACT, INC.

98-1207798

ADDRESS

190 ELGIN AVENUE
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS KY1-9005

DESCRIPTION OF TRANSFER

DISTRIBUTION

AMOUNT
OF TRANSFER

1,526,817.

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

HOFFER CO-INVEST, LP

46-3412190

ADDRESS

301 COMMERCE STREET, SUITE 3300
FORT WORTH, TX 76102

DESCRIPTION OF TRANSFER

DISTRIBUTION

AMOUNT
OF TRANSFER

1,080,000.

TOTAL AMOUNT OF TRANSFERS FROM CONTROLLED ENTITIES

2,606,817.

FORM 990-PF

SCHEDULE OF CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 13

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

TS IMPACT, INC.

98-1207798

ADDRESS

EXCESS BUSINESS HOLDING [] YES [x] NO

190 ELGIN AVENUE
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS KY1-9005

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

HOFFER CO-INVEST, LP

46-3412190

ADDRESS

EXCESS BUSINESS HOLDING [] YES [x] NO

301 COMMERCE STREET, SUITE 3300
FORT WORTH, TX 76102

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

LAURA AND JOHN ARNOLD CHARITABLE TRUST

46-5083705

ADDRESS

EXCESS BUSINESS HOLDING [] YES [x] NO

1717 WEST LOOP SOUTH, SUITE 1800
HOUSTON, TX 77027-3048

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

CREDIT OPPORTUNITIES ACCESS FUND (INTERNATIONAL), LP

FOREIGN

ADDRESS

EXCESS BUSINESS HOLDING [] YES [x] NO

P.O. BOX 2510
GRAND CAYMAN, CAYMAN ISLANDS KY1-1104

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

ACTION NOW INC.

45-4083809

ADDRESS

EXCESS BUSINESS HOLDING [] YES [x] NO

1717 WEST LOOP SOUTH, SUITE 1800
HOUSTON, TX 77027-3048

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JOHN D. ARNOLD 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	DIRECTOR/CO-CHAIR 30.00	0.	0.	0.
LAURA E. ARNOLD 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	DIRECTOR/CO-CHAIR 40.00	0.	0.	0.
ELIZABETH BANKS 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	CFO & TREASURER 50.00	259,142.	19,655.	0.
JONATHAN BARON 1776 I STREET NW, SUITE 850 WASHINGTON, DC 20006	VP - EVIDENCE-BASED POLICY 50.00	265,264.	11,985.	0.
LESLEY BRIONES 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	EVP - COO & GENERAL COUNSEL 50.00	315,486.	319,876.	0.
JEREMY STUART BUCK 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	VP - RESEARCH 50.00	203,824.	10,397.	0.
JAMES CADOGAN 1776 I STREET NW, SUITE 850 WASHINGTON, DC 20006	VP - CRIMINAL JUSTICE 50.00	114,950.	3,203.	0.
JEFFREY COHEN 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	EVP - COMMUNICATIONS 50.00	334,170.	25,512.	0.

LAURA AND JOHN ARNOLD FOUNDATION

26-3241764

MICHAEL DEICH 1776 I STREET NW, SUITE 850 WASHINGTON, DC 20006	EVP - POLICY & ADVOCACY 50.00 359,033. 25,604. 0.
ROBB GRAY 1776 I STREET NW, SUITE 850 WASHINGTON, DC 20006	VP - POLICY & ADVOCACY 50.00 68,880. 2,729. 0.
YASMIN KAZZAZ 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	VP - HUMAN RESOURCES 50.00 116,246. 5,533. 0.
ZANDRA MAGARINO 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	VP - HUMAN RESOURCES 50.00 48,237. 2,503. 0.
JOSH MCGEE 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	EVP - RESULTS-DRIVEN GOVERNMENT 50.00 300,225. 116,782. 0.
MARK MILLER 1776 I STREET NW, SUITE 850 WASHINGTON, DC 20006	VP - HEALTH CARE 50.00 375,202. 28,909. 0.
LYNN OVERMANN 1776 I STREET NW, SUITE 850 WASHINGTON, DC 20006	VP - CRIMINAL JUSTICE 50.00 259,110. 20,587. 0.
KELLI RHEE 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	DIRECTOR/PRESIDENT & CEO 50.00 575,240. 19,867. 0.
AMY SOLOMON 1776 I STREET NW, SUITE 850 WASHINGTON, DC 20006	VP - CRIMINAL JUSTICE 50.00 244,930. 22,628. 0.
HELEN SPENCER 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	VP - COMMUNICATIONS 50.00 109,560. 10,919. 0.
MICHAEL STEBBINS 1776 I STREET NW, SUITE 850 WASHINGTON, DC 20006	VP - SCIENCE & TECHNOLOGY 50.00 48,750. 0. 0.
JEREMY TRAVIS 3 COLUMBUS CIRCLE, SUITE 1601 NEW YORK, NY 10019	EVP - CRIMINAL JUSTICE 50.00 522,444. 11,152. 0.
LEILA WALSH 1717 WEST LOOP SOUTH, SUITE 1800 HOUSTON, TX 77027	VP - COMMUNICATIONS 50.00 38,848. 2,409. 0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII	4,559,541. 660,250. 0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 15

GRANTEE'S NAME

AMERICAN SOCIETY OF CRIME LAB DIRECTORS, INC.

GRANTEE'S ADDRESS139A TECHNOLOGY DRIVE
GARNER, NC 27529

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
331,138.	03/09/16	331,138.

PURPOSE OF GRANTTO DEVELOP FORENSIC LAB PERFORMANCE TRACKING FREEWARE THAT WILL HELP TO
ENSURE THAT LABS OPERATE EFFICIENTLY AND EFFECTIVELY.DATES OF REPORTS BY GRANTEE

1/18/2017; 2/28/2018; 6/27/2018

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

CHARTER FUND, INC.

GRANTEE'S ADDRESS350 INTERLOCKEN BOULEVARD, SUITE 390
BROOMFIELD, CO 80021GRANT AMOUNT

12,744,308.

DATE OF GRANT

12/22/12

AMOUNT EXPENDED

12,586,020.

PURPOSE OF GRANT

TO SUPPORT THE EXPANSION AND REPLICATION OF HIGH-QUALITY SCHOOLS IN NEW ORLEANS, LOUISIANA.

DATES OF REPORTS BY GRANTEE

SEMI-ANNUAL REPORTS FOR 2013-2018; 4/30/2018; 4/17/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATION

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

GRANTEE'S NAME

CHARTER FUND, INC.

GRANTEE'S ADDRESS

350 INTERLOCKEN BOULEVARD, SUITE 390
BROOMFIELD, CO 80021

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
6,000,000.	07/07/15	6,000,000.

PURPOSE OF GRANT

TO SUPPORT THE EXPANSION AND REPLICATION OF HIGH-QUALITY SCHOOLS IN CAMDEN,
NEW JERSEY.

DATES OF REPORTS BY GRANTEE

2/1/2016; 1/31/2017; 3/31/2018; 4/30/2018; 2/14/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATION

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

CHARTER FUND, INC.

GRANTEE'S ADDRESS350 INTERLOCKEN BOULEVARD, SUITE 390
BROOMFIELD, CO 80021

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
4,500,000.	10/07/15	4,500,000.

PURPOSE OF GRANTTO SUPPORT THE EXPANSION AND REPLICATION OF HIGH-QUALITY SCHOOLS IN
MEMPHIS, TENNESSEE.DATES OF REPORTS BY GRANTEE

2/29/2016; 8/24/2016; 2/28/2017; 4/24/2017; 4/5/2018; 4/30/2018

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

CHARTER FUND, INC.

GRANTEE'S ADDRESS350 INTERLOCKEN BOULEVARD, SUITE 390
BROOMFIELD, CO 80021GRANT AMOUNT

6,000,000.

DATE OF GRANT

02/22/16

AMOUNT EXPENDED

4,051,964.

PURPOSE OF GRANT

TO SUPPORT CONTINUED INVESTMENTS IN HIGH-PERFORMING CHARTER SCHOOLS.

DATES OF REPORTS BY GRANTEE

4/24/2017; 6/30/2017; 4/30/2018; 6/29/2018; 4/17/2019; 5/14/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

CHARTER FUND, INC.

GRANTEE'S ADDRESS350 INTERLOCKEN BOULEVARD, SUITE 390
BROOMFIELD, CO 80021

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,149,000.	02/22/16	1,149,000.

PURPOSE OF GRANTTO SUBSIDIZE THE COST OF TUITION AND STIPENDS FOR FIRST-YEAR RELAY GRADUATE
SCHOOL OF EDUCATION RESIDENTS IN CAMDEN, NEW JERSEY.DATES OF REPORTS BY GRANTEE

4/21/2017; 4/30/2018; 7/31/2018; 4/17/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

CHARTER FUND, INC.

GRANTEE'S ADDRESS350 INTERLOCKEN BOULEVARD, SUITE 390
BROOMFIELD, CO 80021

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
4,100,000.	07/19/18	541,200.

PURPOSE OF GRANTTO SUPPORT THE CHARTER SCHOOL GROWTH FUND. THIS PROGRAM-RELATED INVESTMENT
WAS MADE IN THE FORM OF A LOAN.DATES OF REPORTS BY GRANTEE

9/23/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

CIVICA

GRANTEE'S ADDRESS36 SOUTH STATE STREET, 22ND FLOOR
SALT LAKE CITY, UT 84111GRANT AMOUNT

1,000,000.

DATE OF GRANT

09/04/18

AMOUNT EXPENDED

0.

PURPOSE OF GRANT

TO PROMOTE AVAILABILITY AND AFFORDABILITY OF ESSENTIAL GENERIC DRUGS.

DATES OF REPORTS BY GRANTEE

1/3/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

CORE CORRECTIONAL SOLUTIONS, LLC

GRANTEE'S ADDRESS689 UNION DRIVE
HUDSON, OH 44236

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
180,000.	10/29/18	4,125.

PURPOSE OF GRANT

TO ASSESS THE PUBLIC SAFETY ASSESSMENT TM PRETRIAL RISK ASSESSMENT TOOL.

DATES OF REPORTS BY GRANTEE

2/6/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

DENVER PFS, LLC

GRANTEE'S ADDRESS11000 BROKEN LAND PARKWAY, SUITE 700
COLUMBIA, MD 21044GRANT AMOUNT

1,131,635.

DATE OF GRANT

02/12/16

AMOUNT EXPENDED

1,131,635.

PURPOSE OF GRANTTO PROVIDE PERMANENT SUPPORTIVE HOUSING SERVICES TO APPROXIMATELY 250
CHRONICALLY HOMELESS INDIVIDUALS. THIS IS A DEBT PROGRAM RELATED
INVESTMENT.DATES OF REPORTS BY GRANTEE

QUARTERLY REPORTS FROM 3/31/2016 THROUGH 9/30/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

GHP SOLUTIONS, LLC

GRANTEE'S ADDRESS220 EAST 42ND STREET, SUITE 710
NEW YORK, NY 10017GRANT AMOUNT

123,000.

DATE OF GRANT

05/23/18

AMOUNT EXPENDED

104,468.

PURPOSE OF GRANTTO DEVELOP AND PILOT A MODEL TO STREAMLINE ACCESS TO LONG-ACTING
CONTRACEPTIVE METHODS.DATES OF REPORTS BY GRANTEE

1/18/2019; 2/26/2019; 7/15/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

IMPAQ INTERNATIONAL, LLC

GRANTEE'S ADDRESS10420 LITTLE PATUXENT PKWY, SUITE 300
COLUMBIA, MD 21044

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
175,826.	04/18/18	39,916.

PURPOSE OF GRANTTO FUND A RANDOMIZED CONTROLLED TRIAL OF A PROGRAM FOR NEW UNEMPLOYMENT
INSURANCE CLAIMANTS IN NEVADA.DATES OF REPORTS BY GRANTEE

2/18/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

KITAMBA, INC.

GRANTEE'S ADDRESS12 BRUNSWICK ROAD
MONTCLAIR, NJ 07042

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
92,000.	05/16/17	92,000.

PURPOSE OF GRANT

TO SUPPORT THE TEXAS EDUCATION AGENCY'S SYSTEM OF GREAT SCHOOLS NETWORK, A PROGRAM FOR DISTRICTS INTERESTED IN THE PORTFOLIO MODEL OF SCHOOL GOVERNANCE.

DATES OF REPORTS BY GRANTEE

10/30/2017; 4/30/2018

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATION

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

GRANTEE'S NAME

LAURA AND JOHN ARNOLD CHARITABLE TRUST

GRANTEE'S ADDRESS1717 WEST LOOP SOUTH, SUITE 1800
HOUSTON, TX 77027

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,350.	03/19/14	948.

PURPOSE OF GRANT

TO SUPPORT EVIDENCE-BASED, MULTI-DISCIPLINARY INITIATIVES.

DATES OF REPORTS BY GRANTEE

12/31/2014; 12/31/2015; 12/31/2016; 12/31/2017; 12/31/2018

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

MANATT, PHELPS AND PHILLIPS, LLP

GRANTEE'S ADDRESS1050 CONNECTICUT AVENUE
WASHINGTON, DC 20010

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
465,000.	09/14/18	384,367.

PURPOSE OF GRANTTO DRAFT A REPORT THAT ANALYZES THE IMPLICATIONS OF A MEDICAID BUY-IN
OPTION IN THE STATE OF NEW MEXICO.DATES OF REPORTS BY GRANTEE

1/31/2019; 2/28/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

MANATT, PHELPS AND PHILLIPS, LLP

GRANTEE'S ADDRESS1050 CONNECTICUT AVENUE
WASHINGTON, DC 20010

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
390,000.	10/09/18	209,314.

PURPOSE OF GRANT

TO PROVIDE NONPARTISAN POLICY RECOMMENDATIONS AND TECHNICAL ASSISTANCE TO THE NEW JERSEY DEPARTMENT OF HUMAN SERVICES TO IMPROVE ACCESS TO FAMILY PLANNING SERVICES IN THE STATE OF NEW JERSEY, AND CREATE AND BROADLY DISSEMINATING A TOOLKIT FOR OTHER STATES.

DATES OF REPORTS BY GRANTEE

1/31/2019; 5/28/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATION

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

GRANTEE'S NAME

MATHEMATICA POLICY RESEARCH, INC.

GRANTEE'S ADDRESSP.O. BOX 2393
PRINCETON, NJ 08543

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
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191,230.

04/04/18

119,281.

PURPOSE OF GRANT

TO EVALUATE THE IMPACT OF EDUCATION REFORMS IN WASHINGTON, D.C. ON STUDENT OUTCOMES.

DATES OF REPORTS BY GRANTEE

9/1/2018; 2/1/2019; 3/5/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATION

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

GRANTEE'S NAME

MEASURED DECISIONS, INC.

GRANTEE'S ADDRESS3006 SHADY OAK LANE
VERONA, WI 53593

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
171,650.	09/12/16	171,650.

PURPOSE OF GRANTTO FUND A REPLICATION RANDOMIZED CONTROLLED TRIAL OF AN INTERVENTION
DESIGNED TO IMPROVE ACADEMIC ACHIEVEMENT AND HELP STUDENTS SUCCESSFULLY
TRANSITION TO MIDDLE SCHOOL.DATES OF REPORTS BY GRANTEE

1/1/2017; 1/1/2018; 12/30/2018; 2/28/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

MEASURED DECISIONS, INC.

GRANTEE'S ADDRESS3006 SHADY OAK LANE
VERONA, WI 53593

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
147,863.	06/28/17	57,500.

PURPOSE OF GRANT

TO SUPPORT A RANDOMIZED CONTROLLED TRIAL OF BERNIES BOOK BANK, A PROGRAM THAT PROVIDES FREE, HIGH-QUALITY BOOKS TO LOW-INCOME ELEMENTARY-AGED CHILDREN IN GREATER CHICAGO, ILLINOIS.

DATES OF REPORTS BY GRANTEE

12/30/2017; 12/30/2018; 2/28/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATION

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

GRANTEE'S NAME

MEASURED DECISIONS, INC.

GRANTEE'S ADDRESS3006 SHADY OAK LANE
VERONA, WI 53593GRANT AMOUNT

. 122,825.

DATE OF GRANT

05/01/18

AMOUNT EXPENDED

12,600.

PURPOSE OF GRANTTO FUND A RANDOMIZED CONTROLLED TRIAL OF READ FOR SUCCESS, A PROGRAM
DESIGNED TO IMPROVE READING ACHIEVEMENT FOR ELEMENTARY SCHOOL STUDENTS.DATES OF REPORTS BY GRANTEE

8/31/2018; 2/28/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

NATIONAL ASSOCIATION FOR PUBLIC DEFENSE, INC.

GRANTEE'S ADDRESSP.O. BOX 211
FRANKFORT, KY 40602

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
214,300.	04/24/16	112,012.

PURPOSE OF GRANTTO DISSEMINATE THE FINDINGS OF A STUDY ANALYZING THE WORKLOADS OF
LOUISIANAS PUBLIC DEFENDERS AND TO PURSUE SIXTH AMENDMENT LITIGATION.DATES OF REPORTS BY GRANTEE

7/31/2017; 12/14/2018; 8/30/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

POTOMAC HEALTHCARE FOUNDATION

GRANTEE'S ADDRESS3800 FREDERICK AVE
BALTIMORE, MD 21229GRANT AMOUNT

244,295.

DATE OF GRANT

10/09/17

AMOUNT EXPENDED

162,824.

PURPOSE OF GRANTTO CONDUCT A PILOT RANDOMIZED CONTROLLED TRIAL TO EVALUATE AN INTERVENTION
TO TREAT YOUTH OPIOID ADDICTION.DATES OF REPORTS BY GRANTEE

4/21/2018; 4/30/2019; 5/6/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

SOCIAL FINANCE CT

GRANTEE'S ADDRESS10 MILK STREET, SUITE 1010
BOSTON, MA 02108

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
592,491.	09/19/16	556,160.

PURPOSE OF GRANT

TO SUPPORT THE CONNECTICUT FAMILY STABILITY PAY FOR SUCCESS PROJECT. THIS IS A DEBT PROGRAM RELATED INVESTMENT.

DATES OF REPORTS BY GRANTEE

QUARTERLY REPORTS FROM 12/31/2016 THROUGH 9/30/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATION

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

GRANTEE'S NAME

SOCIAL FINANCE NY STATE WORKFORCE RE-ENTRY 2013 LLC

GRANTEE'S ADDRESS10 MILK STREET, SUITE 1010
BOSTON, MA 02108GRANT AMOUNT

4,000,000.

DATE OF GRANT

12/16/13

AMOUNT EXPENDED

3,847,259.

PURPOSE OF GRANT

TO ADVANCE PAY FOR SUCCESS FINANCING. THIS IS A PROGRAM RELATED INVESTMENT.

DATES OF REPORTS BY GRANTEE

QUARTERLY REPORTS FROM 3/31/2014 THROUGH 9/30/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

SPIROS PROTOPSALTIS LLC

GRANTEE'S ADDRESS23 SOUTH FLOYD STREET
ALEXANDRIA, VA 22304

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
25,000.	06/21/18	11,500.

PURPOSE OF GRANT

TO CONDUCT RESEARCH AND ANALYSIS ON ONLINE HIGHER EDUCATION.

DATES OF REPORTS BY GRANTEE

1/19/2019; 2/23/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

STATISTICA ANALYTICAE LIMITED

GRANTEE'S ADDRESS71-75 SHELTON STREET
LONDON, UNITED KINGDOM, WC2H 9JQGRANT AMOUNT

1,100,000.

DATE OF GRANT

05/25/17

AMOUNT EXPENDED

1,100,000.

PURPOSE OF GRANTTO CONDUCT A LARGE-SCALE RANDOMIZED CONTROLLED TRIAL ON THE EFFECTS OF
BODY-WORN CAMERAS USED BY THE LOS ANGELES COUNTY SHERIFFS DEPARTMENT.DATES OF REPORTS BY GRANTEE

4/17/2018; 2/14/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

STEALTH SOFTWARE TECHNOLOGIES COMMERCIAL, INC.

GRANTEE'S ADDRESS12100 WILSHIRE BLVD. STE 800
LOS ANGELES, CA 90025

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,001,100.	06/27/17	1,009,448.

PURPOSE OF GRANTTO SUPPORT THE DEVELOPMENT OF FREE, OPEN-SOURCE CRYPTOGRAPHIC TOOLS THAT
WILL ALLOW RESEARCHERS TO SECURELY CONDUCT STUDIES THAT USE SENSITIVE,
PRIVATE DATASETS.DATES OF REPORTS BY GRANTEE

1/15/2018; 1/15/2019; 5/5/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

THE CENTURY FOUNDATION, INC.

GRANTEE'S ADDRESS1 WHITEHALL STREET, 15TH FLOOR
NEW YORK, NY 10004

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
931,739.	07/19/17	931,739.

PURPOSE OF GRANT

TO PROTECT CONSUMERS AND CURB PREDATORY STUDENT LENDING PRACTICES.

DATES OF REPORTS BY GRANTEE

3/26/2018; 1/31/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

UNIVERSITY OF BIRMINGHAM

GRANTEE'S ADDRESSEDGBASTON
BIRMINGHAM, WEST MIDLANDS, UNITED KINGDOM, B15 2TT

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
115,248.	07/15/17	63,978.

PURPOSE OF GRANT

TO STUDY EYEWITNESS IDENTIFICATION. PLEASE NOTE THIS GRANT WAS ORIGINALLY GRANTED TO LOUGHBOROUGH UNIVERSITY AND ASSIGNED TO THE UNIVERSITY OF BIRMINGHAM IN 2017. A PORTION OF THE FUNDS ORIGINALLY PAID TO LOUGHBOROUGH UNIVERSITY WERE TRANSFERRED TO THE UNIVERSITY OF BIRMINGHAM. THE AMOUNT ABOVE REPRESENTS THE PORTION OF THE GRANT TRANSFERRED TO THE UNIVERSITY OF BIRMINGHAM IN 2017.

DATES OF REPORTS BY GRANTEE

7/31/2018; 2/28/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATION

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

GRANTEE'S NAME

WAXMAN CONSULTING, INC.

GRANTEE'S ADDRESS1150 AVENUE NW, SUITE 800
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	09/18/18	150,000.

PURPOSE OF GRANTTO PROVIDE NONPARTISAN INFORMATION AND TECHNICAL SUPPORT TO KEY
STAKEHOLDERS REGARDING PRESCRIPTION DRUG POLICY AND PUBLICLY DISSEMINATE
EDUCATIONAL RESOURCES.DATES OF REPORTS BY GRANTEE

1/27/2019; 4/6/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

GRANTEE'S NAME

WESTAT, INC.

GRANTEE'S ADDRESS1600 RESEARCH BLVD
ROCKVILLE, MD 20850

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,323.	10/24/17	50,323.

PURPOSE OF GRANT

TO PRODUCE AN EVALUATION AND PRE-ANALYSIS PLAN FOR A RANDOMIZED CONTROLLED TRIAL OF THE INDEPENDENCE PROJECT.

DATES OF REPORTS BY GRANTEE

2/28/2018

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATION

THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORT WAS MADE.

GRANTEE'S NAME

WESTAT, INC.

GRANTEE'S ADDRESS1600 RESEARCH BLVD
ROCKVILLE, MD 20850

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
320,000.	04/16/18	314,757.

PURPOSE OF GRANTTO SUPPORT A RANDOMIZED CONTROLLED TRIAL OF THE INDEPENDENCE PROJECT, AN
INTERVENTION FOR DISABLED YOUNG VETERANS.DATES OF REPORTS BY GRANTEE

6/28/2018; 9/28/2018; 2/28/2019; 5/9/2019

ANY DIVERSION BY GRANTEE

NO

RESULTS OF VERIFICATIONTHE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OF RELIABILITY OF THE
REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE
REPORT WAS MADE.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 16
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ACTIVITY ONE

CRIMINAL JUSTICE -
THROUGH ITS CRIMINAL JUSTICE ASSISTANCE, THE LAURA AND JOHN
ARNOLD FOUNDATION STRIVES TO ADVANCE COMMUNITY SAFETY AND
THE VALUES OF FAIRNESS, EFFECTIVENESS, AND RACIAL JUSTICE.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

2,933,619.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 17
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ACTIVITY TWO

RESULTS-DRIVEN GOVERNMENT -
THROUGH ITS RESULTS DRIVEN GOVERNMENT ASSISTANCE, THE LAURA
AND JOHN ARNOLD FOUNDATION SUPPORTS INITIATIVES AIMED AT
ADVANCING EVIDENCE-BASED DECISION MAKING; PROMOTING
TRANSPARENCY AND DATA-SHARING; EXPLORING INNOVATIVE
FINANCING MECHANISMS FOR SOCIAL PROBLEM SOLVING; AND
MAXIMIZING OVERALL GOVERNMENT PERFORMANCE AND EFFICIENCIES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

1,137,667.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 18
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ACTIVITY THREE

HEALTH CARE -
THROUGH ITS HEALTH ASSISTANCE, THE LAURA AND JOHN ARNOLD
FOUNDATION AIMS TO IMPROVE HEALTH CARE DELIVERY, LOWER
COSTS, AND REDUCE DISPARITIES IN ACCESS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 3

452,497.

FORM 990-PF	SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT 19
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DESCRIPTION

DENVER PFS, LLC: TO PROVIDE PERMANENT SUPPORTIVE HOUSING SERVICES TO APPROXIMATELY 250 CHRONICALLY HOMELESS INDIVIDUALS. THE PROGRAM-RELATED INVESTMENT WAS MADE IN THE FORM OF A LOAN.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 2

254,846.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT 20
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NAME OF MANAGER

JOHN D. ARNOLD
LAURA E. ARNOLD

FORM 990-PF	PART XV - LINE 1B LIST OF FOUNDATION MANAGERS	STATEMENT 21
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NAME OF MANAGER

JOHN D. ARNOLD
LAURA E. ARNOLD

990-PF	INVOLVEMENT WITH NONCHARITABLE ORGANIZATIONS PART XVII, LINE 1, COLUMN (D)	STATEMENT 22
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NAME OF NONCHARITABLE EXEMPT ORGANIZATION

ACTION NOW INC.

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE, HAVE A "HISTORIC AND CONTINUING RELATIONSHIP" BECAUSE OF THEIR SHARED USE OF DONATED FACILITIES AND EQUIPMENT. ALL EXPENSES RELATED TO THE SHARED FACILITIES AND EQUIPMENT ARE DONATED BY AND ARE PERSONALLY PAID FOR BY LAURA AND JOHN ARNOLD. THERE ARE NO DIRECT TRANSFERS OR TRANSACTIONS BETWEEN THE LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC. FORMERLY KNOWN AS ACTION NOW INITIATIVE.

IN ADDITION, LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE, HAVE AN "ELEMENT OF COMMON CONTROL" BECAUSE MORE THAN 50% OF THE DIRECTORS OF THE LAURA AND JOHN ARNOLD FOUNDATION SERVE AS DIRECTORS FOR ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

AMERICAN SOCIETY OF CRIME LAB DIRECTORS

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

AN EXPENDITURE RESPONSIBILITY GRANT TO DEVELOP FORENSIC LAB PERFORMANCE TRACKING FREWARE THAT WILL HELP TO ENSURE THAT LABS OPERATE EFFICIENTLY AND EFFECTIVELY.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

NATIONAL ASSOCIATION FOR PUBLIC DEFENSE

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

AN EXPENDITURE RESPONSIBILITY GRANT TO DISSEMINATE THE FINDINGS OF A STUDY ANALYZING THE WORKLOADS OF LOUISIANA'S PUBLIC DEFENDERS AND TO PURSUE SIXTH AMENDMENT LITIGATION.

990-PF	AFFILIATION WITH TAX-EXEMPT ORGANIZATIONS	STATEMENT	23
	PART XVII, LINE 2, COLUMN (C)		

NAME OF AFFILIATED OR RELATED ORGANIZATION

ACTION NOW INC.

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE, HAVE A "HISTORIC AND CONTINUING RELATIONSHIP" BECAUSE OF THEIR SHARED USE OF DONATED FACILITIES AND EQUIPMENT. ALL EXPENSES RELATED TO THE SHARED FACILITIES AND EQUIPMENT ARE DONATED BY AND ARE PERSONALLY PAID FOR BY LAURA AND JOHN ARNOLD. THERE ARE NO DIRECT TRANSFERS OR TRANSACTIONS BETWEEN THE LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC. FORMERLY KNOWN AS ACTION NOW INITIATIVE.

IN ADDITION, LAURA AND JOHN ARNOLD FOUNDATION AND ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE, HAVE AN "ELEMENT OF COMMON CONTROL" BECAUSE MORE THAN 50% OF THE DIRECTORS OF THE LAURA AND JOHN ARNOLD FOUNDATION SERVE AS DIRECTORS FOR ACTION NOW, INC., FORMERLY KNOWN AS ACTION NOW INITIATIVE.

GENERAL EXPLANATION

STATEMENT 24

FORM/LINE IDENTIFIER

SECTION VII-B, LINE 1C

EXPLANATION:

THE FOUNDATION HAS DETERMINED A DISQUALIFIED PERSON ENGAGED IN ACTIVITIES THAT CONSTITUTE SELF-DEALING AS DEFINED IN SECTION 4941 OF THE U.S. INTERNAL REVENUE CODE. THE DISQUALIFIED PERSON IS NO LONGER EMPLOYED BY, OR ASSOCIATED WITH, THE FOUNDATION. THE FOUNDATION IS TAKING THE APPROPRIATE CORRECTIVE ACTION.

LAURA AND JOHN ARNOLD FOUNDATION
2018 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
SO CAN Inc	1625 K Street NW, Suite 400 Washington, D C 20006	PC	To support research and education efforts related to teachers' pension systems in the state of Pennsylvania	5,000
SO CAN Inc	1625 K Street NW, Suite 400 Washington, D C 20006	PC	To provide general operating support	115,000
Abortion Care Network	P O Box 16323 Minneapolis, MN 55416	PC	To pilot and evaluate a contraception gap fund and professional development program to support women's healthcare providers	200,000
Albert Einstein College of Medicine	1300 Morris Park Avenue Bronx, NY 10461	PC	To conduct a randomized control trial to examine the impact of medical cannabis on opioid analgesic use	200,000
Alliance for Health Policy	1444 I Street NW, Suite 910 Washington, D C 20005	PC	To host a bipartisan convening to discuss consolidation trends in health care markets	30,000
Alliance for Health Policy	1444 I Street NW, Suite 910 Washington, D C 20005	PC	To convene health policy experts, key stakeholders, and policymakers to discuss policy solutions to address rising health care costs	150,000
American Academy of Forensic Sciences	410 N 21st Street Colorado Springs, CO 80904	PC	To help improve standards in the forensic science community	278,250
American Action Forum	1747 Pennsylvania Avenue NW, 5th Floor Washington, D C 20006	PC	To produce a series of policy papers about energy innovation	5,000
American Bar Association Fund for Justice and Education	321 N Clark Street Chicago, IL 60654	PC	To study misdemeanor courts and public defense in an effort to better understand and improve indigent criminal defense	373,283
American Council on Capital Formation Center for Policy Research	1001 Connecticut Avenue NW, Suite 620 Washington, D C 20036	PC	To conduct nonpartisan research and educational outreach related to energy innovation	40,000
American Enterprise Institute for Public Policy Research	1789 Massachusetts Avenue NW Washington, D C 20036	PC	To organize educational workshops about post-secondary student outcomes	8,000
American Enterprise Institute for Public Policy Research	1789 Massachusetts Avenue NW Washington, D C 20036	PC	To support research and nonpartisan education regarding higher education student aid	20,000
American Enterprise Institute for Public Policy Research	1789 Massachusetts Avenue NW Washington, D C 20036	PC	To identify critical lessons about re-entry initiatives and educate key stakeholders	30,000
American Geophysical Union	2000 Florida Avenue NW Washington, D C 20009	PC	To develop and implement data-sharing standards for earth and space sciences	522,191
American Institutes for Research in the Behavioral Sciences	1000 Thomas Jefferson Street NW Washington, D C 20007	PC	To advance evidence-based policymaking and promote the use of longitudinal data in education research	700,000
American Institutes for Research in the Behavioral Sciences	1000 Thomas Jefferson Street NW Washington, D C 20007	PC	To fund a randomized controlled trial of Literacy First	86,053
American Society of Crime Laboratory Directors, Inc	139A Technology Drive Garner, NC 27529	501(c)(6)	To develop forensic lab performance tracking freeware that will help to ensure that labs operate efficiently and effectively	41,138
American Society of Transplant Surgeons	2461 S Clark Street, Suite 640 Arlington, VA 22202	PC	To fund a randomized controlled trial evaluating whether reimbursement of lost wages to living kidney donors results in an increased number of living kidney donor applications	329,750
Arizona State University	P O Box 876011 Tempe, AZ 85287	PC	To evaluate a tool for reducing repeat incidents of domestic violence	109,171
Aspiration	P O Box 880264 San Francisco, CA 94188	PC	To support the Collaborative Knowledge Foundation	1,180,000
Association of Prosecuting Attorneys, Inc	11 Dupont Circle, Suite 501 Washington, D C 20036	PC	To develop and disseminate information about evidence-based prosecutorial practices	65,000
Behavioral Ideas Lab, Inc	80 Broad Street, 30th Floor New York, NY 10004	PC	To support evidence-based policymaking within city governments	49,812
Behavioral Ideas Lab, Inc	80 Broad Street, 30th Floor New York, NY 10004	PC	To leverage insights from behavioral science to ensure that federal, state, and local policies are based on rigorous research	36,447
Behavioral Ideas Lab, Inc	80 Broad Street, 30th Floor New York, NY 10004	PC	To support the execution of demonstration projects, scaling of successful interventions, and institutionalization of evidence-based decision-making and behavioral science in New York City government	250,426
Behavioral Ideas Lab, Inc	80 Broad Street, 30th Floor New York, NY 10004	PC	To design, implement, and test a set of behavioral interventions in emergency departments to improve outcomes for patients with opioid use disorder	420,000
Bellwether Education Partners, Inc	517 Boston Post Road, Unit 171 Sudbury, MA 01776	PC	To provide information about teachers' pension systems	20,000
Bellwether Education Partners, Inc	517 Boston Post Road, Unit 171 Sudbury, MA 01776	PC	To train education entrepreneurs in Denver, Colorado	100,000
Bellwether Education Partners, Inc	517 Boston Post Road, Unit 171 Sudbury, MA 01776	PC	To educate policymakers and the general public about issues affecting teacher pensions	130,872

LAURA AND JOHN ARNOLD FOUNDATION
2018 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Better Future Forward	400 N Washington Street, Suite 203 Falls Church, VA 22046	PC	To support four pilot projects that will help determine the viability of income share agreements for student aid in higher education	148,100
Bioethics International, Inc	420 Lexington Avenue, Suite 300 New York, NY 10170	PC	To help expand the Good Pharma Scorecard	736,000
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, D C 20005	PC	To educate stakeholders about implementing recommendations from the Commission on Evidence-Based Policymaking	259,979
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, D C 20005	PC	To develop bipartisan policy recommendations to improve institutional responsibility for student outcomes	140,260
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, D C 20005	PC	To support the placement of a higher education research fellow with the United States Senate Committee on Health, Education, Labor, and Pensions	151,314
Bipartisan Policy Center Inc	1225 Eye Street NW, Suite 1000 Washington, D C 20005	PC	To conduct a comprehensive review of how federal and state funding is being allocated to address the opioid epidemic	200,000
Blue School Partners	1390 S Lawrence Street, Suite 200 Denver, CO 80204	PC	To provide general operating support	200,000
Board of Regents Nevada System of Higher Education	1664 N Virginia Street, Mail Stop 325 Reno, NV 89557	PC	To evaluate the feasibility and effectiveness of the Mobile Recovery Outreach Team intervention in improving outcomes for opioid overdose survivors treated in emergency rooms in Nevada	200,000
Brigham & Women's Hospital Inc	75 Francis Street Boston, MA 02115	PC	To support the Program On Regulation, Therapeutics, And Law Biomarker Research Consortium	715,156
Brigham & Women's Hospital Inc	75 Francis Street Boston, MA 02115	PC	To support the Program On Regulation, Therapeutics, And Law	1,095,123
Brigham & Women's Hospital Inc	75 Francis Street Boston, MA 02115	PC	To analyze the current state of reproducibility of large healthcare database studies	1,288,540
Brigham & Women's Hospital Inc	75 Francis Street Boston, MA 02115	PC	To evaluate the impact of Pharmacy Benefits Managers on prescription drug pricing	17,315
Brookings Institution	1775 Massachusetts Avenue NW Washington, D C 20036	PC	To support Evidence Speaks	83,680
Brookings Institution	1775 Massachusetts Avenue NW Washington, D C 20036	PC	To analyze the extent to which workers and retirees choose to allocate their retirement assets to annuities and other arrangements that provide regular income over extended periods	200,000
Brookings Institution	1775 Massachusetts Avenue NW Washington, D C 20036	PC	To evaluate the prevalence, drivers, and policy implications of surprise medical billing	350,000
Brookings Institution	1775 Massachusetts Avenue NW Washington, D C 20036	PC	To analyze data and assess the state of student loan accountability, develop evidence-based policy solutions, and disseminate the findings	105,000
Brown University	350 Eddy Street, Box 1929 Providence, RI 02912	PC	To help establish the Rhode Island Innovative Policy Lab	1,700,000
Cal Poly Corporation	One Grand Avenue San Luis Obispo, CA 93407	PC	To scale and enhance the Digital Democracy platform	749,483
Californians Dedicated to Education Foundation	260 Main Street, Suite 200 Redwood City, CA 94063	PC	To support the California Department of Education in its efforts to expand and implement the Career Academies program in low-income high schools across the state	335,000
Camden Coalition of Healthcare Providers	800 Cooper Street Camden, NJ 08102	PC	To support cross-sector data sharing and develop collaborative interventions for frequent utilizers	425,000
Camden Enrollment, Inc	1865 Harrison Avenue, Entrance C Camden, NJ 08105	PC	To support a citywide school enrollment system in Camden, New Jersey	337,654
Campaign Legal Center	1411 K Street NW, Suite 1400 Washington, D C 20005	PC	To support strategic litigation that will help establish a constitutional standard for adjudicating gerrymandering cases in the United States	16,200
Campbell Collaboration	P O Box 222, Skøyen Oslo, Norway N-0213	PC	To conduct a systematic review of existing body worn camera research	130,000
Catalyst for Payment Reform, Inc	1344 Oxford Street Berkeley, CA 94709	PC	To design and pilot a scorecard that will assess alternative health care payment reform models	70,000
Center for American Progress	1333 H Street NW, 10th Floor Washington, D C 20005	PC	To support research and nonpartisan education regarding higher education student aid	30,000
Center for Health Policy Development	Two Monument Square, Suite 910 Portland, ME 04101	PC	To support the launch of the Center for Prescription Drug Price Action	832,000
Center for Media and Democracy, Inc	P O Box 259010 Madison, WI 53725	PC	To evaluate the impact of the sugar and soda industry on U S nutrition policy to educate key stakeholders, policymakers, and the public about sugar reduction and corresponding nutrition policy reform efforts, with the overall goal of improving public health	40,000

LAURA AND JOHN ARNOLD FOUNDATION
2018 FORM 990-PF
PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Center for Open Science	210 Ridge McIntire Road, Suite 500 Charlottesville, VA 22903	PC	To reproduce cancer cell biology studies	195,364
Center for Open Science	210 Ridge McIntire Road, Suite 500 Charlottesville, VA 22903	PC	To provide general operating support	3,500,000
Center for Public Integrity	910 17th Street NW, 7th Floor Washington, D C 20006	PC	To support grantee's reporting on the flow of money and influence in state-level politics	187,644
Center for Regional Economic Competitiveness	P O Box 100127 Arlington, VA 22210	PC	To provide technical assistance to states interested in developing data-sharing agreements	21,731
ChangeLab Solutions	2201 Broadway, Suite 502 Oakland, CA 94612	PC	To develop educational resources for key stakeholders interested in reducing sugar-sweetened beverage consumption	40,000
Charter Fund, Inc	10901 West 120th Avenue, Suite 450 Broomfield, CO 80021	POF	To support the expansion and replication of high-quality schools in New Orleans, Louisiana	1,800,000
Charter Fund, Inc	10901 West 120th Avenue, Suite 450 Broomfield, CO 80021	POF	To subsidize the cost of tuition and stipends for first-year Relay Graduate School of Education residents in Camden, New Jersey	536,000
Charter Fund, Inc	10901 West 120th Avenue, Suite 450 Broomfield, CO 80021	POF	To support continued investments in high-performing charter schools	2,500,000
Chiefs for Change	1455 Pennsylvania Avenue, Suite 400-311 Washington, D C 20004	PC	To support a working group of education leaders focused on fostering new and effective school governance models	65,000
Children's Hospital Corporation	300 Longwood Avenue Boston, MA 02115	PC	To fund a randomized controlled trial analyzing how carbohydrates, fat, and added sugar affect body fat and metabolism	1,382,877
Citizens Climate Education Corp	1330 Orange Avenue, Suite 309 Coronado, CA 92118	PC	To provide general operating support for Grantee's efforts to conduct non-partisan educational outreach to key stakeholders, policymakers, and the public around climate and energy issues and potential solutions, with the overall goal of building comprehensive support for fair, effective, and sustainable climate and energy policies	450,000
City and County of San Francisco	1 Carlton B Goodlett Place, Room 140 San Francisco, CA 94102	GOV	To develop a nonpartisan, replicable model of local government-driven fines and fees reform	100,000
City of Long Beach	333 W Ocean Boulevard, 13th Floor Long Beach, CA 90802	GOV	To support implementation of the Data-Driven Justice project in Long Beach, California	150,000
City of Long Beach	333 W Ocean Boulevard, 13th Floor Long Beach, CA 90802	GOV	To conduct a randomized controlled trial of Forensic Assertive Community Treatment and wraparound services	63,207
Civica	36 South State Street, 22nd Floor Salt Lake City, UT 84111	NC	To promote availability and affordability of essential generic drugs	1,000,000
Clean Air Task Force, Inc	114 State Street, 6th Floor Boston, MA 02109	PC	To provide recommendations on energy policies to advance the development and lower the cost of new emission-free energy sources	780,354
Climate Leadership Council Inc	1900 M Street NW, Suite 800 Washington, D C 20036	PC	To develop a coalition of strategic partners that will educate the public, key stakeholders, and policymakers about the carbon dividends framework	900,000
Code for America Labs, Inc	155 9th Street San Francisco, CA 94103	PC	To develop, implement, and evaluate digital tools and services that can help to reduce jail populations and improve policing practices	200,000
Code for America Labs, Inc	155 9th Street San Francisco, CA 94103	PC	To fund two fellows to support implementation of the Data-Driven Justice project in Middlesex County, Massachusetts	316,294
College Forward	1023 Springdale Road, Building 15 Austin, TX 78721	PC	To fund a low-cost randomized controlled trial on the grantee's college access and completion programs	9,679
Colorado Nonprofit Development Center	789 Sherman Street, Suite 250 Denver, CO 80203	PC	To support the Secure Futures Colorado project	10,000
Colorado Nonprofit Development Center	789 Sherman Street, Suite 250 Denver, CO 80203	PC	To provide general operating support	100,000
Colorado Seminary	2255 East Evans Avenue, Room 462A Denver, CO 80208	PC	To fund a randomized controlled trial of Fostering Healthy Futures for Teens, an intensive mentoring program for students with open child welfare cases and histories of maltreatment	146,373
Colorado Seminary	2255 East Evans Avenue, Room 462A Denver, CO 80208	PC	To help establish a policy lab in the state of Colorado	1,306,827
Colorado Seminary	2255 East Evans Avenue, Room 462A Denver, CO 80208	PC	To fund a randomized controlled trial of an intervention that deploys rapid responders to provide runaway youth and their caregivers with social services	65,763
Conquer Cancer Foundation of the American Society of Clinical Oncology	2318 Mill Road, Suite 800 Alexandria, VA 22314	PC	To convene oncology journal publishers and other stakeholders to establish clinical trial data sharing standards and policies that facilitate the responsible and timely sharing of clinical trial data in oncology research	20,000
Core Correctional Solutions, LLC	689 Union Drive Hudson, OH 44236	NC	To assess the Public Safety Assessment™ pretrial risk assessment tool	180,000

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Corporation for Supportive Housing	61 Broadway, 23rd Floor New York, NY 10006	PC	To develop a secure digital platform for individuals who are both homeless and frequently involved with the criminal justice system in order to aid this population	150,000
Council of State Governments	1776 Avenue of the States Lexington, KY 40511	PC	To produce a national snapshot of the impact of probation and parole revocations on prison admissions, prison populations, and related costs	160,000
Curators of the University of Missouri	One University Blvd Street Louis, MO 63121	PC	To expand access to information about public sector retirement systems	2,556
Curators of the University of Missouri	One University Blvd Street Louis, MO 63121	PC	To conduct research on public teacher pension plans in Missouri, Arkansas, Tennessee, and Texas	177,900
Curators of the University of Missouri	One University Blvd Street Louis, MO 63121	PC	To conduct research on the relationship between pension systems and financial equities in state education	69,260
Data Quality Campaign	1341 G Street NW, Suite 700 Washington, D C 20005	SOI	To educate local, state, and federal leaders about the value of education-research partnerships and evidence-based student data collection	45,000
Drexel University	1505 Race Street, 10th Floor Philadelphia, PA 19102	PC	To support a randomized controlled trial to evaluate the impact of offering federal Pell Grants to incarcerated adults interested in pursuing postsecondary education	209,074
Drug Policy Alliance	131 W 33rd Street, 15th Floor New York, NY 10001	PC	To provide general operating support	50,000
Drug Policy Alliance	131 W 33rd Street, 15th Floor New York, NY 10001	PC	To support Grantee's efforts to advance injectable opioid treatment research in the United States	35,000
Duke University	2200 W Main Street, Suite 820 Durham, NC 27705	PC	To support the Center for Health Policy in its efforts to create an evaluation hub that will study the impact of reforms related to health care payment, benefit, and delivery systems	28,203
Duke University	2200 W Main Street, Suite 820 Durham, NC 27705	PC	To conduct an initial study of how medication-assisted treatment impacts recidivism and other outcomes in Wake County, North Carolina	180,506
Duke University	2200 W Main Street, Suite 820 Durham, NC 27705	PC	To analyze access to and quality of medication-assisted treatment for persons with opioid use disorder in North Carolina	80,000
Economic Mobility Corporation	50 Broadway, Suite 1604 New York, NY 10004	PC	To support long-term follow-up of the randomized controlled trial of Project QUEST	157,108
Economic Mobility Corporation	50 Broadway, Suite 1604 New York, NY 10004	PC	To support Grantee's efforts to conduct a randomized controlled trial evaluation of Per Scholas, Inc.'s information technology training program	84,273
EdBuild, Inc	140 Bay Street, Suite 2 Jersey City, NJ 07302	PC	To collaborate with the Urban Institute to support policy research, education, and dissemination efforts related to school funding policy	133,743
Education Forward D C	1805 7th Street NW, 6th Floor Washington, D C 20001	PC	To support the launch of high-quality autonomous schools in the District of Columbia	4,500,000
Education Service Center Region XIII	5701 Springdale Road Austin, TX 78723	PC	To support the Texas Education Agency's System of Great Schools Network, a program for districts interested in the portfolio model of school governance	9,226
Educational Results Partnership	2300 N Street, Suite 3 Sacramento, CA 95816	PC	To fund a randomized controlled trial to evaluate a placement method that uses students' high school achievement to assess college readiness	22,009
edX Inc	141 Portland Street, 9th Floor Cambridge, MA 02139	PC	To help expand access to college by allowing students to take massive open online courses for credit	600,000
Energy Innovation Reform Project	3100 Clarendon Boulevard Arlington, VA 22201	PC	To provide general operating support	500,000
Enterprise Community Partners, Inc	11000 Broken Land Parkway, Suite 700 Columbia, MD 21044	PC	To support the National Resource Network consortium	828,281
Every Student Every Community Inc	112 Krog Street, Suite 19 Atlanta, GA 30307	PC	To provide general operating support and to promote the expansion of high-quality school options in Atlanta, Georgia	1,000,000
Evidence for Healthcare Improvement	2 Liberty Square, 9th Floor Boston, MA 02109	PC	To support the Institute for Clinical and Economic Review research that provides independent analyses of the comparative cost effectiveness for newly-introduced pharmaceutical drugs	4,542,337
FairVote	6930 Carroll Avenue, Suite 240 Takoma Park, MD 20912	PC	To support grantee in its efforts to be a nonpartisan educational resource and technical support provider on ranked choice voting	750,000
Family Service Agency of Santa Barbara	123 W Gutierrez Street Santa Barbara, CA 93101	PC	To conduct a pilot study of Adult Holistic Defense Case Management, a program to reduce recidivism	250,000
Florida State University Research Foundation Inc	2000 Levy Avenue, Building A, Suite 351 Tallahassee, FL 32310	PC	To fund a low-cost randomized controlled trial of two treatment programs for prison inmates with substance use disorder who will soon be released from jail	180,254
Foundation for Healthy Generations	419 3rd Avenue West Seattle, WA 98119	PC	To support the Childhood Obesity Prevention Coalition of Washington	11,767

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Friends Research Institute, Inc	1040 Park Avenue, Suite 103 Baltimore, MD 21201	PC	To support an ongoing randomized controlled trial of interim methadone to reduce recidivism and relapse among inmates in the Baltimore City's Detention Facilities	213,497
Fund for the City of New York Inc	121 Sixth Avenue, 6th Floor New York, NY 10013	PC	To support the work of the Open Contracting Partnership	21,400
Fund for the City of New York Inc	121 Sixth Avenue, 6th Floor New York, NY 10013	PC	To conduct research on alternatives to traditional sentences	190,000
George Mason University Foundation, Inc	3301 N Fairfax Drive, Suite 440 Arlington, VA 22201	PC	To identify policies and practices that will help law enforcement agencies increase clearance rates for serious crimes	150,031
George Mason University Foundation, Inc	3301 N Fairfax Drive, Suite 440 Arlington, VA 22201	PC	To establish the Proactive Policing Lab to conduct research on proactive policing practices	33,167
George Mason University Foundation, Inc	3301 N Fairfax Drive, Suite 440 Arlington, VA 22201	PC	To provide general operating support	175,000
George Mason University Foundation, Inc	3301 N Fairfax Drive, Suite 440 Arlington, VA 22201	PC	To examine citizen calls for service and the allocation of police resources by analyzing computer-aided dispatch data and dispatcher decision-making related to 911 calls	168,000
George Mason University Foundation, Inc	3301 N Fairfax Drive, Suite 440 Arlington, VA 22201	PC	To develop and disseminate policy options related to the negotiation of prescription drug prices by Medicare	37,475
Georgetown University	37th & O Streets NW P O Box 571168 Washington, D C 20007	PC	To support national reform of fines and fees practices through nonpartisan training, support, and public education	225,000
Georgetown University	37th & O Streets NW P O Box 571168 Washington, D C 20007	PC	To conduct a comprehensive assessment of state laws and policies that act as barriers to evidence-based substance use disorder treatment and disseminate nonpartisan educational resources to key stakeholders	150,000
Georgia Institute of Technology	177 North Avenue Atlanta, GA 30332	PC	To conduct research and develop tools in order to help patients and physicians make more informed decisions about organ transplants	45,000
Georgia State University Research Foundation, Inc	P O Box 3999 Atlanta, GA 30302	PC	To evaluate the Job Tax Credit in the state of Georgia	82,656
Georgia State University Research Foundation, Inc	P O Box 3999 Atlanta, GA 30302	PC	To conduct research and develop a prototype local tax expenditure budget for Fulton County, Georgia	85,148
GHP Solutions, LLC	220 East 42nd Street, Suite 710 New York, NY 10017	NC	To develop and pilot a model to streamline access to long-acting contraceptive methods	123,000
Government of the District of Columbia	1350 Pennsylvania Avenue NW, Suite 513 Washington, D C 20004	GOV	To fund the creation of The Lab @ DC	932,901
Hastings College of the Law, University of California	200 McAllister Street San Francisco, CA 94102	GOV	To analyze pharmaceutical industry behaviors and their impact on drug prices	320,000
Healthy Food America	1200 12th Avenue South, Suite 710 Seattle, WA 98114	PC	To educate the public and community partners about policies to reduce sugar consumption and improve public health	10,000
Henry J Kaiser Family Foundation	185 Berry Street, Suite 2000 San Francisco, CA 94107	PC	To support Kaiser Health News in providing independent reporting on pharmaceutical drug development and pricing	300,500
Henry J Kaiser Family Foundation	185 Berry Street, Suite 2000 San Francisco, CA 94107	PC	To support Kaiser Health News in providing independent reporting on pharmaceutical drug development and pricing	100,000
Henry J Kaiser Family Foundation	185 Berry Street, Suite 2000 San Francisco, CA 94107	PC	To examine trends in prescription drug prices and Medicare Part D formulary coverage and broadly disseminate the findings policy briefs, papers, and convenings	233,000
Higher Learning Advocates Inc	1875 K Street NW, Suite 420 Washington, D C 20006	PC	To organize stakeholders who will serve as nonpartisan educational resources for policymakers and the public on higher education policy issues	105,000
Human Rights Defense Center	P O Box 1151 Lake Worth, FL 33460	PC	To engage in a campaign to reform financially exploitative correctional policies	250,000
Ibis Reproductive Health, Inc	2067 Massachusetts Avenue, Suite 320 Cambridge, MA 02140	PC	To provide Grantee operating support to make an oral contraceptive available over the counter	900,000
IMPAQ International, LLC	10420 Little Patuxent Pkwy, Suite 300 Columbia, MD 21044	NC	To fund a randomized controlled trial of a program for new unemployment insurance claimants in Nevada	175,826
Indianapolis Public Schools Education Foundation, Inc	120 E Walnut Street Indianapolis, IN 46204	GOV	To expand and replicate high-quality schools in Indianapolis, Indiana	686,750
Initiative for Medicines, Access & Knowledge, Inc	16192 Coastal Highway Lewes, DE 19958	PC	To support efforts to provide patients with affordable access to life-saving medications and improve public health	1,814,353
Innocence Project, Inc	40 Worth Street, Suite 701 New York, NY 10013	PC	To provide operating support for the grantee's Strategic Litigation Unit	20,000

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Institute for College Access and Success	1212 Broadway, Suite 1100 Oakland, CA 94612	PC	To provide policy analysis, public education, and coalition-building regarding higher education quality and accountability at the federal level and in California	242,396
Institute for Higher Education Policy	1825 K Street NW, Suite 720 Washington, D C 20006	PC	To serve as a nonpartisan, educational resource on higher education policy issues related to data	225,000
Institute for Justice	901 North Glebe Road, Suite 900 Arlington, VA 22203	PC	To examine whether, and to what extent, citations are used to generate revenue for municipal governments	290,000
International Association of Chiefs of Police, Inc	44 Canal Center Plaza, Suite 200 Alexandria, VA 22314	PC	To help establish a center focused on police research and policy	200,000
Iowa State University	2625 N Loop Drive, Suite 2400 Ames, IA 50010	PC	To conduct research designed to improve understanding of eyewitness decision making	124,492
Iowa State University	2625 N Loop Drive, Suite 2400 Ames, IA 50010	PC	To fund a randomized controlled trial of the Promoting School-community university Partnerships to Enhance Resilience program	251,904
John F Finn Institute for Public Safety, Inc	421 New Karner Road, Suite 12 Albany, NY 12205	PC	To conduct research on police body-worn cameras	65,000
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To fund a randomized controlled trial of the Talent Development Secondary Diplomas Now program	100,000
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To provide general operating support for the Center for Research and Reform in Education	20,000
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To fund a study to estimate the impacts of school-based vision services in Baltimore City Public Schools	100,000
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To support efforts to increase the number of organ transplants and reduce the number of individuals on the waiting list	15,000
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To support efforts to improve transparency at the Food and Drug Administration	7,560
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To develop research on policy options that maximize access to and affordability of prescription drugs	1,346,099
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To provide general operating support for the Center for Research and Reform in Education	148,112
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To conduct research and develop recommendations to improve the United States Department of Health and Human Services Organ Procurement and Transplantation Network system	200,000
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To develop and disseminate a standardized data structure for National Institute on Drug Abuse Treatment Clinical Trials Network data	150,000
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To evaluate a family planning program administered in the Baltimore City Booking and Intake Center	25,000
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To provide nonpartisan policy recommendations and technical support to interested states related to reducing health care prices	250,000
John Hopkins University	733 N Broadway, Suite 117 Baltimore, MD 21205	PC	To promote the adoption of a waste-free prescription drug formulary by private corporations in place of the current drug rebate system	250,000
Johnson County Public Health	Health & Human Services Building 855 S Dubuque Street, Suite 217 Iowa City, IA 52240	GOV	To support an epidemiologist to work in collaboration with Johnson County's and Iowa City's DDJ project	10,000
Juvenile Law Center	1315 Walnut Street, 4th Floor Philadelphia, PA 19107	PC	To support reforms related to the use of fines and fees in the juvenile justice system	437,500
KIPP Foundation	135 Main Street, Suite 1700 San Francisco, CA 94105	PC	To provide general operating support	2,000,000
Kitamba Management, Inc	12 Brunswick Road Montclair, NJ 07042	NC	To support the Texas Education Agency's System of Great Schools Network, a program for districts interested in the portfolio model of school governance	10,000
Lawyers' Committee for Civil Rights Under Law	1500 K Street NW, Suite 900 Washington, D C 20005	PC	To help reduce the incarceration of indigent defendants in Arkansas and Oklahoma who are detained solely because they are unable to pay court-imposed fines and fees	360,000
Legal Action Center	225 Varick Street New York, NY 10014	PC	To conduct research into the prevalence and costs of specific limitations on substance use disorder treatment services and evidence of violations of the Mental Health Parity and Addiction Equity Act and create nonpartisan, educational resources to support	222,924
Legal Aid Justice Center	1000 Preston Avenue, Suite A Charlottesville, VA 22903	PC	To support strategic litigation and educational efforts related to driver's license suspensions for outstanding legal financial obligations in Virginia	15,000

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Manatt, Phelps and Phillips, LLP	1050 Connecticut Avenue Washington, D C 20010	NC	To draft a report that analyzes the implications of a Medicaid buy-in option in the State of New Mexico	465,000
Manatt, Phelps and Phillips, LLP	1050 Connecticut Avenue Washington, D C 20010	NC	To provide nonpartisan policy recommendations and technical assistance to the New Jersey Department of Human Services to improve access to family planning services in the State of New Jersey, and create and broadly disseminating a toolkit for other states	390,000
Manhattan Institute for Policy Research, Inc	52 Vanderbilt Avenue New York, NY 10017	PC	To help enhance SchoolGrades.org	15,000
Maryland Citizens Health Initiative Education Fund, Inc	2600 Street Paul Street Baltimore, MD 21218	PC	To educate the public about a recently enacted law that prohibits price gouging in the sale of essential generic medications, along with other efforts states can take to impact rising drug costs	235,000
Maryland Public Policy Institute	P O Box 195 Germantown, MD 20875	PC	To support educational efforts related to Maryland's public pension system	5,000
Massachusetts Institute of Technology	77 Massachusetts Avenue NE 49-4007 Cambridge, MA 02139	PC	To support the State and Local Government Innovation Initiative at the Abdul Latif Jameel Poverty Action Lab's regional office in North America	1,476,854
Massachusetts Institute of Technology	77 Massachusetts Avenue NE 49-4007 Cambridge, MA 02139	PC	To support a randomized controlled trial on Clinical Decision Support systems intended to reduce the amount of unnecessary imaging in health care	310,504
Massachusetts Institute of Technology	77 Massachusetts Avenue NE 49-4007 Cambridge, MA 02139	PC	To support Abdul Latif Jameel Poverty Action Lab's project to register and publish existing randomized controlled trials and train researchers on best practices in research transparency	200,000
Massachusetts Institute of Technology	77 Massachusetts Avenue NE 49-4007 Cambridge, MA 02139	PC	To support the Abdul Latif Jameel Poverty Action Lab's North America regional office	2,075,979
Massachusetts Institute of Technology	77 Massachusetts Avenue NE 49-4007 Cambridge, MA 02139	PC	To conduct a study evaluating whether data from unified enrollment systems can be used to rigorously measure school quality	653,573
Mathematica Policy Research, Inc	600 Alexander Park Princeton, NJ 08540	NC	To evaluate the impact of education reforms in Washington, D C on student outcomes	191,230
MDRC	200 Vesey Street, 23rd Floor New York, NY 10281	PC	To advance evidence-based policies that offer concrete, measurable, and lasting improvements to society	2,596,635
MDRC	200 Vesey Street, 23rd Floor New York, NY 10281	PC	To evaluate the Public Safety Assessment	457,098
MDRC	200 Vesey Street, 23rd Floor New York, NY 10281	PC	To evaluate the Housing First with Shared Medical Appointment model in three jurisdictions	500,000
MDRC	200 Vesey Street, 23rd Floor New York, NY 10281	PC	To evaluate the impact of Child First, a home visiting program for low-income families with young children at high risk of emotional, behavioral, or developmental problems and/or maltreatment	198,627
MDRC	200 Vesey Street, 23rd Floor New York, NY 10281	PC	To enable new cross-study analyses in order to inform the design and implementation of higher education policies and programs, by creating individual participant-level and study-level databases including data from past randomized controlled trials	100,000
Measured Decisions, Inc	3006 Shady Oak Lane Verona, WI 53593	NC	To fund a replication randomized controlled trial of an intervention designed to improve academic achievement and help students successfully transition to middle school	45,300
Measured Decisions, Inc	3006 Shady Oak Lane Verona, WI 53593	NC	To support a randomized controlled trial of Bernie's Book Bank, a program that provides free, high-quality books to low-income elementary-aged children in greater Chicago, Illinois	47,863
Measured Decisions, Inc	3006 Shady Oak Lane Verona, WI 53593	NC	To fund a randomized controlled trial of Read for Success, a program designed to improve reading achievement for elementary school students	122,825
Measures for Justice Institute	421 University Avenue Rochester, NY 14607	PC	To improve develop an online tool that can be used to evaluate the criminal justice process on a county-by-county basis	1,300,000
Measures for Justice Institute	421 University Avenue Rochester, NY 14607	PC	To support the nonpartisan implementation of a recently-enacted Florida law	337,161
Medicines360	353 Sacramento Street San Francisco, CA 94111	PC	To examine key factors that impact the availability of long acting reversible contraceptive methods at Federally Qualified Health Centers and disseminating key findings	250,000
Memorial Sloan-Kettering Cancer Center	1275 York Avenue New York, NY 10065	PC	To build a program that communicates evidence-based methodologies for drug pricing and payment	600,000
Mercatus Center Inc	3434 Washington Boulevard, 4th Floor Arlington, VA 22201	PC	To develop an actuarial model that measures state public pension liabilities and future costs of paying promised benefits	100,000

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Michigan State University	2727 Alliance Drive, Suite C Lansing, MI 48910	PC	To create a non-partisan policy research center to support education research across the State of Michigan	1,104,575
Michigan State University	2727 Alliance Drive, Suite C Lansing, MI 48910	PC	To support studies that evaluate the impact of state and local policy reforms on teachers' unions	18,806
Missouri Public Charter School Association	1804 Lafayette Avenue, 2nd Floor, Street Louis, MO 63104	PC	To support work to improve student achievement by increasing access to high-quality charter public education options throughout Missouri	9,000
National Academy of Sciences	500 5th Street NW Washington, D C 20001	PC	To improve economic research that relies on statistics collected by the federal government	28,349
National Academy of Sciences	500 5th Street NW Washington, D C 20001	PC	To convene a committee to study proactive policing practices	96,370
National Academy of Sciences	500 5th Street NW Washington, D C 20001	PC	To support an Institute of Medicine research project that will study patient access to effective and affordable therapies	549,161
National Academy of Sciences	500 5th Street NW Washington, D C 20001	PC	To study the challenges of implementing open science in various disciplines and provide recommendations for how to address them	292,000
National Academy of Sciences	500 5th Street NW Washington, D C 20001	PC	To support the creation of a roundtable group focused on facilitating discussions of ways to promote open science practices	80,000
National Alliance for Model State Drug Laws	1335 North Front Street, 1st Floor Harrisburg, PA 17102	PC	To develop and disseminate nonpartisan, resources on the issue of predatory and unethical substance use disorder treatment practices and work with key stakeholders and community partners to support state-level policy proposals	105,082
National Alliance for Public Charter Schools	1101 15th Street NW, Suite 1010 Washington, D C 20005	PC	To conduct research and raise awareness about pension plans for teachers in charter schools	20,000
National Association for Public Defense	P O Box 211 Frankfort, KY 40602	501(c)(6)	To disseminate the findings of a study analyzing the workloads of Louisiana's public defenders and to pursue Sixth Amendment litigation	95,000
National Association of Counties Research Foundation	660 North Capital Street NW, Suite 400 Washington, D C 20001	PC	To educate county leaders on how to use data and analytics to improve public safety	25,000
National Association of Counties Research Foundation	660 North Capital Street NW, Suite 400 Washington, D C 20001	PC	To support the continuation and expansion of the Data-Driven Justice project	225,000
National Campaign to Prevent Teen and Unplanned Pregnancy	1776 Massachusetts Avenue NW, Suite 200 Washington, D C 20036	PC	To create and disseminate tools to estimate how much taxpayers spend at the local, state, and national level in relation to teen childbearing	20,000
National Campaign to Prevent Teen and Unplanned Pregnancy	1776 Massachusetts Avenue NW, Suite 200 Washington, D C 20036	PC	To produce a detailed evaluation and implementation plan for an educational information campaign to increase knowledge about contraceptive methods	150,000
National Center for Law and Economic Justice	275 Seventh Avenue, Suite 1506 New York, NY 10001	PC	To support strategic litigation to stop states from depriving individuals of driver's licenses because they are too poor to pay fines and fees	250,000
National Center for State Courts	300 Newport Avenue Williamsburg, VA 23185	PC	To help reduce the amount of time it takes to process criminal cases	400,000
National Center for Youth Law	405 14th Street, 15th Floor Oakland, CA 94612	PC	To build the capacity of state, local, and national organizations to reform juvenile fines and fees practices	325,000
National Collaborative On Gun Violence Research Fund	1776 Main Street Santa Monica, CA 90401	PC	To conduct research on gun policy and develop tools to support public education and discourse	5,000
National Conference of State Legislatures	7700 East First Place Denver, CO 80230	GOV	To update and disseminate databases of legislation related to the pretrial process and body-worn cameras	30,617
National Conference of State Legislatures	7700 East First Place Denver, CO 80230	GOV	To provide stakeholders with educational resources to support evidence-based decision making related to prescription drug pricing	70,000
National Conference of State Legislatures	7700 East First Place Denver, CO 80230	GOV	To provide stakeholders with educational resources to support evidence-based decision making related to higher education policy	60,458
National Council on Crime and Delinquency	426 South Yellowstone Drive, Suite 250 Madison, WI 53719	PC	To establish a data-sharing platform that will help identify youth at risk of becoming involved in the juvenile justice system	80,000
National Criminal Justice Association	720 7th Street NW, 3rd Floor Washington, D C 20001	PC	To provide strategic planning assistance to states that use federal funding to implement evidence-based criminal justice reforms	62,985
National Governors Association Center for Best Practices	444 N Capitol Street, Suite 267 Washington, D C 20001	PC	To convene national experts to discuss the rising cost of prescription drugs and potential policy solutions	100,000
National Governors Association Center for Best Practices	444 N Capitol Street, Suite 267 Washington, D C 20001	PC	To convene national experts, key stakeholders, and state policymakers to discuss strategies for states to improve consumer protections related to out-of-network billing	90,000
National Governors Association Center for Best Practices	444 N Capitol Street, Suite 267 Washington, D C 20001	PC	To convene state leaders and national experts to discuss promising solutions for integrating care and managing costs for dual-eligible Medicare and Medicaid populations	100,000

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
National Juvenile Defender Center	1350 Connecticut Avenue NW, Suite 304 Washington, D C 20036	PC	To develop standardized protocols and judicial trainings that support nonpartisan reform of juvenile fines and fees	250,000
National Juvenile Defender Center	1350 Connecticut Avenue NW, Suite 304 Washington, D C 20036	PC	To reduce the use of monetary bail and pretrial detention for juvenile defendants	200,000
National League of Cities Institute	660 N Capitol Street NW, Suite 450 Washington, D C 20001	PC	To conduct a landscape review of emergency response and crisis stabilization approaches used by cities and local communities	220,000
National Opinion Research Center	55 E Monroe, 20th Floor Chicago, IL 60603	PC	To conduct an independent review of reports produced by the Center for Medicare & Medicaid Innovation in order to identify best practices for alternative medical payment and service delivery models	13,640
National Skills Coalition	1730 Rhode Island Avenue NW, Suite 712 Washington, D C 20036	PC	To support the Workforce Data Quality Campaign	180,000
National Skills Coalition	1730 Rhode Island Avenue NW, Suite 712 Washington, D C 20036	PC	To provide technical assistance and public education to improve the availability of workforce data to assess and improve higher education and workforce programs	87,536
National Student Legal Defense Network	1090 Vermont Avenue NW, Suite 750 Washington, D C 20005	PC	To support strategic litigation and regulatory engagement related to predatory lending practices and consumer financial protection issues in the for-profit college industry, as well as provide a non-partisan education resource on potential reforms in the for-profit industry, with the overall goal of establishing legal protections for and alleviating harm to for-profit college students	1,147,726
National Wildlife Federation	11100 Wildlife Center Drive Reston, VA 20190	PC	To conduct research on the environmental and agricultural impacts of the federal Renewable Fuel Standard	350,000
National Women's Law Center	11 Dupont Circle, Suite 800 Washington, D C 20036	PC	To provide nonpartisan technical support to policymakers and key stakeholders in Kansas and Tennessee to improve access to contraceptive care among uninsured individuals	100,000
New America Foundation	740 15th Street NW, Suite 900 Washington, D C 20005	PC	To serve as a nonpartisan, educational resource on higher education policy issues	70,000
New Schools for Baton Rouge	100 Lafayette Street, 2nd Floor Baton Rouge, LA 70801	PC	To promote high-quality school options in Baton Rouge, Louisiana	3,900,000
New Schools for Baton Rouge	100 Lafayette Street, 2nd Floor Baton Rouge, LA 70801	PC	To build a unified enrollment system in Baton Rouge, Louisiana, and educate parents about the system	215,534
New Schools for New Orleans	1555 Poydras Street, Suite 781 New Orleans, LA 70112	PC	To promote high-quality school options in New Orleans, Louisiana	3,451,482
New Schools for New Orleans	1555 Poydras Street, Suite 781 New Orleans, LA 70112	PC	To promote high-quality school options in New Orleans, Louisiana	580,000
New Schools for New Orleans	1555 Poydras Street, Suite 781 New Orleans, LA 70112	PC	To support Orleans Parish School Board's efforts to quantify the impact of current education policies related to equity and fairness in schools	90,000
New Schools Fund	1970 Broadway, Suite 350 Oakland, CA 94612	PC	To help develop and launch high-quality schools across the United States	2,666,667
New Venture Fund	1201 Connecticut Avenue NW, Suite 300 Washington, D C 20036	PC	To support the Scholarly Publishing and Academic Resources Coalition	315,000
New Venture Fund	1201 Connecticut Avenue NW, Suite 300 Washington, D C 20036	PC	To support the Fines and Fees Justice Center, with the overall goal of increasing fairness in the criminal justice system	1,167,801
New York City Criminal Justice Agency, Inc	299 Broadway, 4th Floor New York, NY 10007	PC	To investigate the impact of pretrial detention on different defendant populations in New York City	335,000
New York University	665 Broadway, Suite 801 New York, NY 10012	PC	To develop and evaluate a Swift-Certain-Fair prison discipline model that provides alternatives to restrictive housing	100,000
New York University	665 Broadway, Suite 801 New York, NY 10012	PC	To analyze and improve the quality of cost-benefit analyses in policing	150,000
New York University	665 Broadway, Suite 801 New York, NY 10012	PC	To develop a platform and learning process to advance the use of data in federal, state, and local decision making	44,010
New York University	665 Broadway, Suite 801 New York, NY 10012	PC	To conduct a pilot of the Graduated Reintegration approach to re-entry	710,000
New York University	665 Broadway, Suite 801 New York, NY 10012	PC	To fund BetaGov, a project that supports practitioner-led randomized controlled trials related to criminal justice policies	270,084
New York University	665 Broadway, Suite 801 New York, NY 10012	PC	To support a randomized controlled trial evaluating the effectiveness of extended-release naltrexone on opioid relapse and recidivism in the New York City pre-release jail population	133,701

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
New York University	665 Broadway, Suite 801 New York, NY 10012	PC	To create a map representing the evidence base for opioid use disorder treatment in the United States by categorizing interventions by characteristics like primary outcome, length of follow up, population, and context	90,000
New York University School of Medicine	360 Park Avenue South, 10th Floor New York, NY 10010	PC	To support development of the Written History	25,000
Nordic Cochrane Centre	Blegdamsvej 9 Copenhagen, Denmark, N/A 2100	GOV	To conduct meta-research on anti-depressants in order to promote transparency and openness in medical research	34,883
Nordic Cochrane Centre	Blegdamsvej 9 Copenhagen, Denmark, N/A 2100	GOV	To conduct meta-research on clinical trial protocols in order to promote transparency and openness in medical research	64,767
North Carolina State University	2701 Sullivan Drive, Suite 240 Raleigh, NC 27695	GOV	To support research on annuity options offered by state-managed retirement systems	65,000
North Carolina State University	2701 Sullivan Drive, Suite 240 Raleigh, NC 27695	GOV	To fund a randomized controlled trial of Healthy Minds, a growth mindset intervention for high school students designed to reduce rates of clinical depression	170,066
Nuclear Innovation Alliance	1830 Fountain Drive, Unit 1304 Reston, VA 20190	PC	To provide recommendations on federal energy policies that advance the development and lower the cost of emission-free energy sources	196,629
Nurse-Family Partnership	1900 Grant Street, Suite 400 Denver, CO 80203	PC	To support three randomized controlled trials of a nurse home visiting program	26,443
Ohio State University Foundation	1480 West Lane Avenue Columbus, OH 43221	PC	To conduct a random assignment intervention that uses an integrated service delivery model to provide case management and employment services to low-income adults in Ohio	186,253
Oregon Health & Science University	3181 SW Sam Jackson Park Road Portland, OR 97239	GOV	To support the Donor Management Research Initiative in its efforts to maximize the quality and quantity of life-saving organs, and to build a national, web-based donor management data registry	500,000
Oregon Health & Science University	3181 SW Sam Jackson Park Road Portland, OR 97239	GOV	To fund the development of a centralized repository of documented medical practices that have been contradicted by rigorous, high-quality evaluations	927,120
Oregon Health & Science University	3181 SW Sam Jackson Park Road Portland, OR 97239	GOV	To fund the State Medicaid Alternative Reimbursement and Purchasing Test for High-Cost Drugs Initiative	297,880
Oregon Health & Science University	3181 SW Sam Jackson Park Road Portland, OR 97239	GOV	To evaluate the impact of providing incentives for contraceptive use	100,000
Oregon Health & Science University	3181 SW Sam Jackson Park Road Portland, OR 97239	GOV	To evaluate a statewide policy in Oregon allowing pharmacists to prescribe hormonal contraception to patients without a physician visit	350,000
Pacific Business Group on Health	575 Market Street, Suite 600 San Francisco, CA 94105	PC	To identify and disseminate innovative alternative health care payment models	332,500
Parents for Great Camden Schools	116 North Second Street, Suite 201 Camden, NJ 08102	PC	To provide general operating support	120,000
Partners for College Affordability and Public Trust	1629 K Street Washington, D C 20036	PC	To provide general operating support	125,000
Partnership for Public Service	1100 New York Avenue NW, Suite 200E Washington, D C 20005	PC	To identify measures that can improve operations at the Office of Management and Budget	50,881
Patients For Affordable Drugs, Inc	1875 K Street NW Washington, D C 20006	PC	To provide operational support	5,000
Patients For Affordable Drugs, Inc	1875 K Street NW Washington, D C 20006	PC	To provide operating support for the Patient-Centered Coalition Initiative	399,000
Pew Charitable Trusts	901 E Street NW Washington, D C 20004	PC	To support the Public Sector Retirement Systems project	850,000
Philadelphia Schools Project	150 S Independence Mall West, Suite 1200 Philadelphia, PA 19106	PC	To support the implementation of a city-wide unified enrollment system for public schools in Philadelphia, Pennsylvania	425,000
Pivot Learning Partners	500 12th Street, Suite 350 Oakland, CA 94607	PC	To conduct research on public pension plan and benefit obligations costs in the state of California	386,087
Police Executive Research Forum	1120 Connecticut Avenue NW, Suite 930 Washington, D C 20036	PC	To conduct research on police body-worn cameras	103,814
Police Foundation	1201 Connecticut Avenue NW, Suite 200 Washington, D C 20036	PC	To fund a randomized controlled trial to evaluate procedural justice training and "hot spot" policing	772,284
Potomac Healthcare Foundation	3800 Frederick Avenue Baltimore, MD 21229	PF	To conduct a pilot randomized controlled trial to evaluate an intervention to treat youth opioid addiction	165,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To support the Harvard Kennedy School's Government Performance Lab	2,000,000

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To develop and support a network of government chief data officers	137,521
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To fund grantee's Criminal Justice Policy Program	315,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To help create the Access to Justice Lab, which will promote the use of rigorous evidence about what works in the legal system	658,449
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To provide general operating support to the Harvard Open Access Project	25,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To host a conference and support research on state pension underfunding	5,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To support Harvard Law School's Legal Services Center in its efforts to challenge predatory lending practices in the for-profit college industry	2,097,855
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To conduct a randomized controlled trial on the effects of legal financial obligations on criminal defendants' recidivism and other life outcomes	99,823
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To conduct research and educate key stakeholders about the impact of local control on municipal public finance in Illinois	31,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To educate key stakeholders about improving the treatment of opioid use disorders	24,363
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To support a research fellow at the federal agency level to demonstrate new methods in state-federal research partnerships and behaviorally-informed interventions	140,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To create a database that will support research on the impact of charter school attendance on long-term educational outcomes for students	204,705
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To conduct research on day fines in Germany	68,844
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To provide technical support to community partners in state and local jurisdictions that are considering sugar-sweetened beverage taxes and other policies	150,098
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To evaluate existing barriers to the introduction of generic drugs for opioid use disorder treatment to the market	35,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To provide state and local jurisdictions with technical assistance aimed at improving government agency service delivery and procurement systems	500,000
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To evaluate the effects on spending and use of an employer-based reward program for patients that choose lower-priced healthcare providers	111,335
President and Fellows of Harvard College	1033 Massachusetts Avenue, 3rd Floor Cambridge, MA 02138	PC	To evaluate policies intended to lower prices for health care services including a public option and price regulation	65,000
Pretrial Justice Institute	7361 Calhoun Place, Suite 215 Rockville, MD 20855	PC	To develop an online database of news coverage related to pretrial reform	60,000
Pretrial Justice Institute	7361 Calhoun Place, Suite 215 Rockville, MD 20855	PC	To conduct a scan of local pretrial policies and practices	285,000
Prevention Institute	221 Oak Street Oakland, CA 94607	PC	To equip public health advocates with tools, training, and technical assistance related to nutrition policies	118,261
Public Policy Institute of California	500 Washington Street, Suite 600 San Francisco, CA 94111	PC	To analyze more than thirty years of arrest data from the State of California	365,000
RAND Corporation	1776 Main Street Santa Monica, CA 90401	PC	To conduct research on gun policy and develop tools to support public education and discourse	1,010,000
RAND Corporation	1776 Main Street Santa Monica, CA 90401	PC	To provide support for the National Collaborative on Gun Violence Research	800,000
Reason Foundation	5737 Mesmer Avenue Los Angeles, CA 90230	PC	To support a multifaceted research, technical assistance, policy, and educational outreach project aimed at improving state and municipal pension systems	65,511
Reason Foundation	5737 Mesmer Avenue Los Angeles, CA 90230	PC	To support a technical assistance, research, and educational outreach project aimed at improving state and municipal pension systems	1,850,000
Rector & Visitors of the University of Virginia	1001 Emmet Street N Charlottesville, VA 22903	PC	To conduct interdisciplinary research on eyewitness identification	456,143
Rector & Visitors of the University of Virginia	1001 Emmet Street N Charlottesville, VA 22903	PC	To analyze the policy implications of incomplete death certificate data reporting on the federal response to the opioid crisis	100,000
Regents of the University of Colorado	3100 Marine Street, Room 481 Boulder, CO 80303	PC	To expand the Blueprints for Healthy Youth Development initiative	900,000

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Regents of the University of Colorado	3100 Marine Street, Room 481 Boulder, CO 80303	PC	To fund a follow-up randomized controlled trial comparing full-day preschool to half-day preschool	241,317
Regents of the University of Michigan	3003 S State Street, 1st Floor Ann Arbor, MI 48109	PC	To help establish the Youth Policy Lab	1,242,475
Regents of the University of Michigan	3003 S State Street, 1st Floor Ann Arbor, MI 48109	PC	To launch an exploratory pilot of the Criminal Justice Administrative Records System	50,000
Regents of the University of Minnesota	200 Oak Street SE, Suite 450 Minneapolis, MN 55455	GOV	To support efforts to improve the quality of health care news and information available to consumers	800,000
Regents of the University of Minnesota	200 Oak Street SE, Suite 450 Minneapolis, MN 55455	GOV	To support the Heller-Hurwicz Economics Institute	309,659
Regents of the University of Minnesota	200 Oak Street SE, Suite 450 Minneapolis, MN 55455	GOV	To review research related to community corrections policies and practices	90,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, NY 10036	PC	To create the Research Network on Misdemeanor Justice	1,000,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, NY 10036	PC	To study how to help individuals who are frequently involved with both the criminal justice and health care systems	30,693
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, NY 10036	PC	To fund a low-cost randomized controlled trial of Accelerate, Compete, and Engage, a program that provides academic, personal, and financial supports to predominantly low-income, full-time college students	53,060
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, NY 10036	PC	To support the Institute for Innovation in Prosecution at John Jay College of Criminal Justice	130,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, NY 10036	PC	To support the Institute for State and Local Governance	120,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, NY 10036	PC	To educate journalists about fines and fees issues in the criminal justice system	150,000
Research Foundation of the City University of New York	230 W 41st Street, 7th Floor New York, NY 10036	PC	To support the Misdemeanor Justice Project at John Jay College	194,266
Research Triangle Institute	3040 E Cornwallis Road Durham, NC 27709	SOI	To evaluate the impact of the Public Safety Assessment	100,000
Research Triangle Institute	3040 E Cornwallis Road Durham, NC 27709	SOI	To conduct a rigorous evaluation of a harm reduction program for individuals with opioid use disorder	543,060
Resources Legacy Fund	555 Capitol Mall, Suite 1095 Sacramento, CA 95814	PC	To launch the Student Borrower Protection Center and the Student Loan Law Project	401,294
Results for America	1875 Connecticut Avenue NW, 10th Floor Washington, D C 20009	PC	To support Results for America projects that advance government's use of evidence and data	1,307,000
Results for America	1875 Connecticut Avenue NW, 10th Floor Washington, D C 20009	PC	To serve as a nonpartisan, educational resource on the use of data and evidence in policymaking	300,000
Retirement Security Foundation	2701 Queens Plaza North, 13th Floor Long Island City, NY 11101	PC	To support the development of a strategic plan for the launch of a new nonprofit organization	689,996
Rhode Island Hospital	593 Eddy Street, Claverick 2 Providence, RI 02903	PC	To support a randomized controlled trial of a emergency department intervention to treat patients who are admitted for opioid overdose	228,832
Roca Inc	101 Park Street Chelsea, MA 02150	PC	To implement and evaluate a cognitive behavior therapy skills development curriculum	315,000
Rutgers University Foundation	335 George Street New Brunswick, NJ 08901	PC	To evaluate the effectiveness of New Jersey's Opioid Overdose Recovery Program in linking opioid overdose survivors to medication-assisted treatment	200,000
Sage Blonetworks	1100 Fairview Avenue N Seattle, WA 98109	PC	To fund the digital mammography portion of the Coding for Cancer challenge	269,216
Salk Institute for Biological Studies	10010 N Torrey Pines Rd La Jolla, CA 92037	PC	To improve the accuracy of eyewitness identifications	190,000
San Francisco Pretrial Diversion Project, Inc	925 Harrison Street San Francisco, CA 94103	PC	To deliver training on pretrial decisions, risk assessment, and implementation of the Public Safety Assessment	18,750
San Mateo County Community College District	3401 CSM Drive San Mateo, CA 94402	PC	To support the replication of the City University of New York's Accelerated Study in Associate Programs at Skyline College	336,607
ServeMinnesota	120 S 6th Street, Suite 2260 Minneapolis, MN 55402	PC	To fund a low-cost randomized controlled trial of Minnesota Math Corps	16,051
Shatterproof	135 W 41st Street, 6th Floor New York, NY 10036	PC	To pilot a public online rating system for substance use disorder treatment programs	300,000
Simon Fraser University	8888 University Drive Burnaby, British Columbia	PC	To support the Public Knowledge Project	5,277

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Small Business Majority Foundation Inc	4000 Bridgeway, Suite 305 Sausalito, CA 94965	PC	To support employer outreach and education about the CalSavers state auto-IRA program	205,778
Social Science Research Council	One Pierrepont Plaza, 15th Floor 300 Cadman Plaza West Brooklyn, NY 11201	PC	To provide general operating support for the Social Media and Democracy Initiative	650,000
Society for Research on Educational Effectiveness	1000 Thomas Jefferson Street NW Washington, D C 20007	PC	To support a randomized controlled trial evaluating methods to increase the number of studies registered in the Registry of Efficacy and Effectiveness Studies	60,000
Southern Poverty Law Center Inc	400 Washington Avenue Montgomery, AL 36104	PC	To help reduce the incarceration of indigent defendants detained solely because they are unable to pay court-imposed fines and fees	42,838
Southern Poverty Law Center Inc	400 Washington Avenue Montgomery, AL 36104	PC	To support a court-debt advocacy network	11,868
Southern Poverty Law Center Inc	400 Washington Avenue Montgomery, AL 36104	PC	To support litigation and public education efforts concerning indigent incarceration and drivers' license suspensions	977,467
Spiros Protopsaltis LLC	23 South Floyd Street Alexandria, VA 22304	NC	To conduct research and analysis on online higher education	25,000
Stealth Software Technologies Commercial, Inc	12100 Wilshire Boulevard, Suite 800 Los Angeles, CA 90025	NC	To support the development of free, open-source cryptographic tools that will allow researchers to securely conduct studies that use sensitive, private datasets	665,219
Teacher Town USA, Inc	477 S Main Street Memphis, TN 38103	SO I	To promote high-quality school options in Memphis, Tennessee	1,000,000
Teacher Town USA, Inc	477 S Main Street Memphis, TN 38103	SO I	To promote high-quality school options in Memphis, Tennessee	380,000
Technical Assistance Collaborative, Inc	31 St James Avenue, Suite 950 Boston, MA 02116	PC	To develop an educational resource guide to assist State Medicaid Agencies in their efforts to address the opioid crisis	50,000
Temple University	1852 N 10th Street Philadelphia, PA 19122	PC	To develop a tool that provides targeted services to people who are frequently involved with both the criminal justice and health care systems	50,000
Temple University	1852 N 10th Street Philadelphia, PA 19122	PC	To examine the impact of body-worn cameras on prosecutorial misdemeanor filing decisions in Los Angeles	20,000
Temple University	1852 N 10th Street Philadelphia, PA 19122	PC	To support a randomized controlled trial of Tacoma Housing Authority's College Housing Assistance Program, which provides rental assistance vouchers to students at risk of homelessness	64,014
Texas Public Policy Foundation	901 Congress Avenue Austin, TX 78701	PC	To analyze the fiscal impact of transitioning the city of Austin Employees' Retirement System from a defined benefit to a defined contribution system	9,431
Texas Public Policy Foundation	901 Congress Avenue Austin, TX 78701	PC	To raise public awareness of reform efforts related to the criminal justice pretrial process and court-imposed fines and fees	80,000
Texas Public Policy Foundation	901 Congress Avenue Austin, TX 78701	PC	To raise awareness about community supervision practices and reforms	348,000
The Aspen Institute, Inc	1 Dupont Circle NW, Suite 700 Washington, D C 20036	PC	To support a convening to develop a set of recommendations for combatting the opioid epidemic in the United States	25,000
The Bail Project	P O Box 750 Venice, CA 90294	PC	To assess the feasibility of conducting a randomized controlled trial to test the impact of money bail	150,000
The Board of Trustees of the Leland Stanford Junior University	3160 Porter Drive, Suite 100 Palo Alto, CA 94304	PC	To support the development of a center that is focused on improving the quality of medical research	761,012
The Board of Trustees of the Leland Stanford Junior University	3160 Porter Drive, Suite 100 Palo Alto, CA 94304	PC	To help establish the Center for Reproducible Neuroscience	1,133,353
The Board of Trustees of the Leland Stanford Junior University	3160 Porter Drive, Suite 100 Palo Alto, CA 94304	PC	To expand the Stanford Institute for Economic Policy Research Pension Tracker	113,232
The Board of Trustees of the Leland Stanford Junior University	3160 Porter Drive, Suite 100 Palo Alto, CA 94304	PC	To support the Institute for Economic Policy Research in its efforts to conduct research competitions designed to strengthen the body of high-quality research related to social insurance programs, tax policy, state and local government finances, retirement, education, and crime	417,150
The Board of Trustees of the Leland Stanford Junior University	3160 Porter Drive, Suite 100 Palo Alto, CA 94304	PC	To support the Center on Poverty and Inequality	60,000
The Board of Trustees of the Leland Stanford Junior University	3160 Porter Drive, Suite 100 Palo Alto, CA 94304	PC	To support the Institute for Economic Policy Research	37,065
The Board of Trustees of the Leland Stanford Junior University	3160 Porter Drive, Suite 100 Palo Alto, CA 94304	PC	To support the postdoctoral fellowship program of the Meta-Research Innovation Center at Stanford	313,672

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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
The Board of Trustees of the Leland Stanford Junior University	3160 Porter Drive, Suite 100 Palo Alto, CA 94304	PC	To support the production of tools to help education nonprofit organizations evaluate the effectiveness of local charter networks	388,658
The Board of Trustees of the Leland Stanford Junior University	3160 Porter Drive, Suite 100 Palo Alto, CA 94304	PC	To support the Stanford Institute for Economic Policy Research in its efforts to increase transparency around public sector pension plans	50,000
The Board of Trustees of the University of Illinois	809 S Marshfield Avenue Chicago, IL 60612	PC	To fund a randomized controlled trial of Big Brothers Big Sisters of America's community-based mentoring program	449,638
The Board of Trustees of the University of Illinois	809 S Marshfield Avenue Chicago, IL 60612	PC	To evaluate economic impacts associated with a sugar-sweetened beverage tax enacted in Seattle, Washington	182,703
The Century Foundation, Inc	1 Whitehall Street, 15th Floor New York, NY 10004	PC	To protect consumers and curb predatory student lending practices	431,739
The Century Foundation, Inc	1 Whitehall Street, 15th Floor New York, NY 10004	PC	To provide policy expertise and public education regarding higher education quality and accountability at the federal and state levels	106,544
The City Fund	6312 Seven Corners Center #354 Falls Church, VA 22044	PC	To provide general operating support	10,591,030
The City Fund	6312 Seven Corners Center #354 Falls Church, VA 22044	PC	To improve the quality of public education in cities across the United States	13,998,368
The CrossFit Foundation	3031 Dollar Mark Way C Prescott, AZ 86305	PC	To conduct research and educate the public about sugar and its impact on health	29,612
The Energy Foundation	301 Battery Street, 5th Floor San Francisco, CA 94111	PC	To support research and technical assistance efforts related to carbon pricing policies in Canada and China	2,278,300
The Fund for a Healthier Colorado	1536 Wynkoop Street, Suite 224 Denver, CO 80202	PC	To support efforts to implement a sugar-sweetened beverage tax in Boulder, Colorado, and educate the public about the tax	5,000
The Mind Trust, Inc	1630 N Meridian Street, Suite 450 Indianapolis, IN 46202	PC	To promote high-quality school options in Indianapolis, Indiana	4,447,804
The Mind Trust, Inc	1630 N Meridian Street, Suite 450 Indianapolis, IN 46202	PC	To engage in strategic planning and development to improve access to affordable charter facilities in Indianapolis, Indiana	60,000
The Mind Trust, Inc	1630 N Meridian Street, Suite 450 Indianapolis, IN 46202	PC	To support Grantee's mission to provide support to local charter operators in Indianapolis, Indiana, with the overall goal of improving academic outcomes	1,156,000
The Miriam Hospital	8 Third Street, 2nd Floor Providence, RI 02906	PC	To evaluate the impact of the Rhode Island Department of Corrections' Medication for Addiction Treatment Program on post-release offenders with opioid use disorder	75,000
The National Press Foundation, Inc	1211 Connecticut Avenue NW, Suite 310 Washington, D C 20036	PC	To support a four-day convening hosted by the National Press Foundation	80,000
The Niskanen Center	820 1st Street NE, Suite 675 Washington, D C 20002	PC	To provide general operating support	250,000
The PubPeer Foundation	236 W Portal 223 San Francisco, CA 94127	PC	To provide general operating support	57,834
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120, MC 1104 Berkeley, CA 94720	GOV	To perform qualitative research on the impacts of pretrial diversion and detention	408,880
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120, MC 1104 Berkeley, CA 94720	GOV	To fund outreach activities for the Berkeley Initiative on Transparency in the Social Sciences	18,713
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120, MC 1104 Berkeley, CA 94720	GOV	To research, develop, and disseminate online tools designed to reform the use of fines and fees in the juvenile justice system	490,000
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120, MC 1104 Berkeley, CA 94720	GOV	To study the impact of extending reference pricing incentives to high-cost specialty pharmaceutical classes and physician-administered drugs	232,277
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120, MC 1104 Berkeley, CA 94720	GOV	To develop a dataset of local government pension expenditures, finances, and employment data and research around the impacts of public pension systems	150,600
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120, MC 1104 Berkeley, CA 94720	GOV	To advance the development of the California Policy Lab	1,275,000
The Regents of the University of California, Berkeley	2195 Hearst Avenue, Room 120, MC 1104 Berkeley, CA 94720	GOV	To conduct research on tax avoidance to determine ways to better design and enforce taxes	131,130
The Regents of the University of California, Irvine	120 Theory, Suite 200 Irvine, CA 92617	GOV	To support the Economic Self-Sufficiency Policy Research Institute	500,000
The Regents of the University of California, Irvine	120 Theory, Suite 200 Irvine, CA 92617	GOV	To evaluate the California Competes Tax Credit program and provide technical assistance to its administrators	99,761
The Regents of the University of California, Los Angeles	10920 Wilshire Boulevard, Suite 620 Los Angeles, CA 90024	GOV	To support the continued development of DeclareDesign	100,000

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The Regents of the University of California, Los Angeles	10920 Wilshire Boulevard, Suite 620 Los Angeles, CA 90024	GOV	To support an ongoing randomized controlled trial of depot naltrexone to reduce recidivism and relapse among inmates in the Albuquerque Metropolitan Detention Center	356,657
The Regents of the University of California, Los Angeles	10920 Wilshire Boulevard, Suite 620 Los Angeles, CA 90024	GOV	To evaluate the use, operation, and outcomes associated with assigning defendants to community service	230,000
The Regents of the University of California, Los Angeles	10920 Wilshire Boulevard, Suite 620 Los Angeles, CA 90024	GOV	To advance the development of the California Policy Lab	1,500,000
The Regents of the University of California, San Diego	9500 Gilman Drive La Jolla, CA 92092	GOV	To improve the statistical methodology used to evaluate the reliability of eyewitness identification	117,457
The Regents of the University of California, San Francisco	220 Montgomery Street, 5th Floor San Francisco, CA 94104	GOV	To help develop a plan for increasing the use of preprints in the life sciences community	17,741
The Regents of the University of California, San Francisco	220 Montgomery Street, 5th Floor San Francisco, CA 94104	GOV	To support the development an electronic archive of documents related to the sugar industry that will help advance research in nutrition science	757,635
The Regents of the University of California, San Francisco	220 Montgomery Street, 5th Floor San Francisco, CA 94104	GOV	To analyze data and develop resources to help institutions adopt sugar-sweetened beverage sales bans	147,382
The Regents of the University of California, San Francisco	220 Montgomery Street, 5th Floor San Francisco, CA 94104	GOV	To analyze the health impacts of an institutional sales ban on sugar-sweetened beverages	777,754
The Research Foundation for the State University of New York	230 W 41st Street, 7th Floor New York, NY 10036	PC	To help expand access to information about public sector retirement systems	93,716
The Texas A&M University	P O Box 2800 College Station, TX 77841	PC	To design, pilot, and rigorously evaluate an intervention aimed at improving employment outcomes for individuals receiving unemployment insurance	110,000
The Texas A&M University	P O Box 2800 College Station, TX 77841	PC	To study the impact of two well-known initiatives that expanded access to long-acting reversible contraception	40,000
The Third Way Foundation Inc	1200 New Hampshire Avenue NW, 575 Washington, D C 20036	PC	To educate stakeholders about the portfolio model of school governance	550,000
The Trustees of Columbia University in the City of New York	615 W 131 Street, 6th Floor, Mail Code 8725 New York, NY 10027	PC	To support efforts to increase the number of successful organ transplants and reduce the number of people on the organ donor wait list	50,000
The Trustees of Columbia University in the City of New York	615 W 131 Street, 6th Floor, Mail Code 8725 New York, NY 10027	PC	To establish the Community Corrections Administrators for Justice	244,845
The UCLA Foundation	10920 Wilshire Blvd Los Angeles, CA 90024	PC	To convene a roundtable discussion between food law and nutrition science academics, lawyers, and policymakers to evaluate barriers to existing sugar-reduction policies	45,109
The University of North Carolina at Chapel Hill	104 Airport Drive, Suite 2200 Chapel Hill, NC 27599	PC	To evaluate the impact of nutrition-related fiscal policies and programs on public health	573,323
The University of Tennessee Foundation Inc	1534 White Avenue Knoxville, TN 37966	GOV	To evaluate the impact of book fairs for economically disadvantaged elementary school students	55,000
The University of Texas at Austin	101 E 27th Street, Stop A9000 Austin, TX 78712	GOV	To evaluate an economic development incentive program and its impact on job growth in the state of Texas	4,856
The University of Texas at Dallas	800 W Campbell Road Richardson, TX 75080	GOV	To support the Kian Center for Education Policy Research	100,000
Third Way Institute	1025 Connecticut Avenue NW, Suite 400 Washington, D C 20036	PC	To improve the value of higher education	372,620
Thrive Chicago NFP	211 W Wacker Drive, Suite 1000 Chicago, IL 60606	PC	To pilot a project aimed at improving outcomes for youth ages 16 to 24 who are out of school and work in Chicago	60,000
Trustees of Boston College	140 Commonwealth Avenue Chestnut Hill, MA 02467	PC	To support the collection of data related to public retirement plans	180
Trustees of Boston College	140 Commonwealth Avenue Chestnut Hill, MA 02467	PC	To support research on the impact of government-sponsored automatic individual retirement accounts for private sector workers who do not have access to workplace retirement savings plans	11,739
Trustees of Boston College	140 Commonwealth Avenue Chestnut Hill, MA 02467	PC	To support the Center for Retirement Research	333,940
Trustees of Boston University	881 Commonwealth Avenue Boston, MA 02215	PC	To develop and publish 20 evidence-based commentaries on healthcare topics	45,000
Trustees of Boston University	881 Commonwealth Avenue Boston, MA 02215	PC	To develop a taxonomy of fraud, waste, and abuse indicators that can be used to identify potentially fraudulent substance use disorder treatment providers	200,000
Trustees of Indiana University	509 E 3rd Street Bloomington, IN 47401	PC	To support a randomized controlled trial of Educational Liaison, a dropout prevention program for high school students in foster care	100,000

LAURA AND JOHN ARNOLD FOUNDATION
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PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
Trustees of the University of Pennsylvania	3451 Walnut Street, Suite 305 Philadelphia, PA 19104	PC	To fund a study of the effects of vertical and horizontal defense representation models on case and criminal justice outcomes	110,000
Trustees of the University of Pennsylvania	3451 Walnut Street, Suite 305 Philadelphia, PA 19104	PC	To fund a randomized controlled trial of an informational tool for public defenders designed to reduce sentencing disparities	134,000
Trustees of the University of Pennsylvania	3451 Walnut Street, Suite 305 Philadelphia, PA 19104	PC	To support the expansion of the Penn Wharton Budget Model, which analyzes the economic and budgetary impact of federal budget policy reform proposals	2,341,289
Trustees of the University of Pennsylvania	3451 Walnut Street, Suite 305 Philadelphia, PA 19104	PC	To pilot a method of systematically collecting data about public and private healthcare payment practices to improve treatment of opioid use disorder	150,000
Tulane University	6823 Street Charles Avenue New Orleans, LA 70118	PC	To improve the quality of education data available to the public, researchers, and educators in New Orleans, Louisiana	347,479
Uncommon Knowledge and Achievement, Inc	40 West 20th Street, 7th Floor New York, NY 10011	PC	To launch Together Schools, a national school management organization that will organize, manage and advance a network of autonomous public schools across the United States	1,700,000
United Ways of California	1107 Fair Oaks Avenue, #12 South Pasadena, CA 91030	PC	To support employer outreach and education about the CalSavers state auto-IRA program	256,983
University of Arizona Foundation	888 N Euclid Avenue, Room 510 Tucson, AZ 85719	GOV	To support a longitudinal study of how computer scientists share research artifacts in order to inform the creation of a web-based data collection system	18,299
University of Arkansas	305 ADMN Maple Fayetteville, AR 72701	PC	To provide information about teachers' pension systems	3,972
University of Birmingham	Edgbaston Park Road Birmingham, West Midlands B15 2TT	NC	To study eyewitness identification	100,000
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To establish a New York City hub for the University of Chicago Crime Lab	899,222
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To evaluate a tutoring program for at-risk readers in elementary school	10,000
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To develop and support a network of government chief data officers	25,000
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To support grantee's Harris School of Public Policy Center's efforts to enhance transparency and accountability in government finance	700,000
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To fund a randomized controlled trial of a job-readiness training program designed to address cognitive and social barriers to employment	28,876
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To provide technical assistance to policy labs	40,000
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To fund a low-cost randomized controlled trial designed to help the Fresno, California identify the most effective outdoor water restriction enforcement strategy	160,045
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To support a randomized controlled trial of Working on Womanhood, a cognitive-behavioral therapy program for female high school students at high risk of mental illness	400,000
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To support a randomized controlled trial of a version of Critical Time Intervention, a case management program designed to connect mentally-ill people with community services	365,316
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To conduct a pilot randomized controlled trial to evaluate the effectiveness of the Mental Health Emergency Alternative Response and Treatment Intervention in Chicago	37,627
University of Chicago	6054 South Drexel Avenue Chicago, IL 60637	PC	To fund a randomized controlled trial of a math tutoring program for ninth grade students in high poverty high schools	66,063
University of Cincinnati	51 Goodman Drive, Suite 540 Cincinnati, OH 45221	PC	To examine monetary sanctions in community corrections in seven states	419,203
University of Cincinnati Research Institute	51 Goodman Drive, Suite 540 Cincinnati, OH 45221	PC	To fund a center for police research and policy in collaboration with the International Association of Chiefs of Police	782,027
University of Connecticut	438 Whitney Road Ext , Unit 1133 Storrs Mansfield, CT 06269	GOV	To study how pretrial detention affects a variety of defendant outcomes	30,000
University of Georgia Research Foundation	310 East Campus Road, Tucker Hall 409 Athens, GA 30602	PC	To conduct research about the potential effects of ranked choice voting	2,358
University of Kentucky	109 Kinthead Hall Lexington, KY 40506	PC	To evaluate the effects of the State of Tennessee's Achievement School District and local innovation Zones on student achievement	84,868

LAURA AND JOHN ARNOLD FOUNDATION
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Grantee	Address	Foundation Status of Recipient	Purpose	Amount
University of Maryland	3112 Lee Building College Park, MD 20742	PC	To help establish the Maryland Data Analysis Center	219,001
University of Maryland	3112 Lee Building College Park, MD 20742	PC	To improve transparency in clinical trials	480,439
University of Massachusetts, Amherst	100 Venture Way, Suite 201 Hadley, MA 01035	PC	To partner with University College London to assess the overall employment effects of minimum wage policies in the United States	55,333
University of New Mexico Health Sciences Center	MSC09 5220 1 University of New Mexico Albuquerque, NM 87131	PC	To evaluate the impact of the Extension for Community Healthcare Outcomes model on patients with opioid use disorder	180,000
University of Notre Dame	911 Flanner Hall University of Notre Dame Notre Dame, IN 46556	PC	To fund a low-cost randomized controlled trial of Stay the Course, a program designed to increase community college graduation rates for low-income students	53,743
University of Rochester	910 Genesee Street, Suite 200 Rochester, NY 14611	PC	To conduct a randomized controlled trial to evaluate the Rochester Forensic Assertive Community Treatment model in Minnesota	70,000
University of Southern California	3720 S Flower Street, 3rd Floor Los Angeles, CA 90089	PC	To support a low-cost randomized controlled trial of the Neighborhood Resource Network program	38,783
University of Utah	85 Fort Douglas Blvd #602 Salt Lake City, UT 84113	PC	To support the University of Utah's Policy Innovation Lab in order to facilitate and implement high quality Pay for Success projects	249,000
University of Utah	85 Fort Douglas Blvd #602 Salt Lake City, UT 84113	PC	To provide technical support, capacity building resources, and training to reproductive health providers in Utah	1,000,000
University of Washington	4333 Brooklyn Avenue NE Seattle, WA 98195	GOV	To research how courts across the United States impose fines and fees	300,000
University of Washington	4333 Brooklyn Avenue NE Seattle, WA 98195	GOV	To study a program that pairs post-release offenders in Washington state with medical professionals	150,000
University of Washington	4333 Brooklyn Avenue NE Seattle, WA 98195	GOV	To fund a randomized controlled trial to evaluate a joint hospital/community-based violence intervention program in Seattle, Washington	405,166
University of Washington Foundation	407 Gerberding Hall Seattle, WA 98195	PC	To support the Center on Reinventing Public Education	250,000
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To advance Pay for Success Financing	2,304,256
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To develop an evidence-based policymaking collaborative	157,631
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To fund the Tax Policy Center	3,166,610
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To gather data on the state of prosecutorial decision making nationwide	130,241
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To collaborate with EdBuild, Inc. to support policy research, education, and dissemination efforts related to school funding policy	224,000
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To establish the Federal Evidence Forum	100,000
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To support the State and Local Finance Initiative	1,750,000
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To support research on Social Security retirement and disability policy	400,000
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To assess the impact of three community supervision policy reforms	130,000
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To analyze state-level data on prescription drugs used to treat opioid use disorder and opioid overdoses	150,000
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To design and pilot a procedural justice curriculum for probation officers	232,528
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To analyze the impact of the recently enacted Tax Cuts and Jobs Act on federal and state tax expenditures in the individual and corporate income tax systems	91,473
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To build on the work of the Charles Colson Task Force on Federal Corrections	34,185
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To advance the development of participatory justice	87,886
Urban Institute	500 L'Enfant Plaza SW Washington, D C 20024	PC	To examine the New Markets Tax Credit and provide recommendations for how it can be improved	90,658

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PART XV, LINE 3A - GRANTS PAID

Grantee	Address	Foundation Status of Recipient	Purpose	Amount
US Right to Know	4096 Piedmont Avenue 963 Oakland, CA 94611	PC	To conduct Freedom of Information Act requests and develop a series of research papers in nutrition and health research	307,600
Vera Institute of Justice, Inc	233 Broadway, 12th Floor New York, NY 10279	PC	To identify strategies to safely reduce the jail population in New Orleans, Louisiana	30,000
Vera Institute of Justice, Inc	233 Broadway, 12th Floor New York, NY 10279	PC	To reform the user-funded justice system in New Orleans, Louisiana	200,000
Vera Institute of Justice, Inc	233 Broadway, 12th Floor New York, NY 10279	PC	To conduct research on the process and outcomes of 911 calls for service	280,000
Vera Institute of Justice, Inc	233 Broadway, 12th Floor New York, NY 10279	PC	To analyze the connections between jail and prison populations and create a publicly available dataset with corrections metrics	223,561
Veterans Education Success Inc	4708 Essex Avenue Chevy Chase, MD 20815	PC	To conduct research and educational outreach about policies related to protections for student veterans	280,450
Veterans Education Success Inc	4708 Essex Avenue Chevy Chase, MD 20815	PC	To provide Grantee with general operating support	500,000
Vivli	14 Story Street, Suite 4 Cambridge, MA 02138	PC	To promote data sharing in clinical trials	800,000
Volcker Alliance, Inc	560 Lexington Avenue, Suite 16B New York, NY 10022	PC	To develop and publish Truth and Integrity in State Budgeting reports that analyze key principles for improving transparency and effectiveness	388,960
Volcker Alliance, Inc	560 Lexington Avenue, Suite 16B New York, NY 10022	PC	To develop and publish Truth and Integrity in State Budgeting reports that analyze key principles for improving transparency and effectiveness	50,000
Vote org	332 S Michigan, 9th Floor Chicago, IL 60642	PC	To fund a randomized controlled trial of a voter turnout tool housed on a non-partisan website that will provide information about 2018 electoral candidates	130,000
Washington D C Metropolitan Police Department	300 Indiana Avenue NW Washington, D C 20001	GOV	To fund a randomized controlled trial to assess the efficacy of police body-worn cameras in Washington, D C	10,298
Washington University	One Brookings Drive Street Louis, MO 63112	PC	To advance the implementation and rigorous testing of deferred prosecution programs	33,378
Waxman Consulting, Inc	1150 Connecticut Avenue NW, Suite 800 Washington, D C 20036	NC	To provide nonpartisan information and technical support to key stakeholders regarding prescription drug policy and publicly disseminate educational resources	150,000
Westat, Inc	1600 Research Boulevard Rockville, MD 20850	NC	To produce an evaluation and pre-analysis plan for a randomized controlled trial of The Independence Project	24,323
Westat, Inc	1600 Research Boulevard Rockville, MD 20850	NC	To support a randomized controlled trial of the Independence Project, an intervention for disabled young veterans	320,000
Westchester Community College Foundation	75 Grasslands Road Valhalla, NY 10595	PC	To support implementation of the City University of New York's Accelerated Study in Associate Programs at Westchester Community College	250,000
William J Brennan, Jr Center for Justice, Inc	120 Broadway, Suite 1750 New York, NY 10271	PC	To fund a fiscal-impact study of court-imposed fines and fees	400,000
William Marsh Rice University	6100 Main Street Houston, TX 77005	PC	To support the Houston Education Research Consortium	42,157
William Marsh Rice University	6100 Main Street Houston, TX 77005	PC	To conduct a randomized controlled trial of the Alief Independent School District Jumpstart Program	65,416
William Marsh Rice University	6100 Main Street Houston, TX 77005	PC	To provide operational support to launch the Texas Policy Lab	1,000,000
Yale University	25 Science Park, 150 Munson Street, 3rd Floor New Haven, CT 06520	PC	To launch a collaboration aimed at promoting open access to high-quality health data	684,500
Yale University	25 Science Park, 150 Munson Street, 3rd Floor New Haven, CT 06520	PC	To evaluate how competition in the U S pharmaceutical market through the introduction of new insulin products affects the price of insulin	140,000
Yale University	25 Science Park, 150 Munson Street, 3rd Floor New Haven, CT 06520	PC	To produce a series of policy briefs that identify issues that raise healthcare spending	300,000
Zest Education	20 South Sarah Street Street Louis, MO 63108	PC	To develop a nonprofit organization that will engage the community in the implementation of high-quality school models in Street Louis, Missouri	50,000

205,306,646

Laura and John Arnold Foundation
EIN: 26-3241764
FORM 990-PF, PART VII-A, LINE 8B
12/31/2018

THE DISTRICT OF COLUMBIA DOES NOT REQUIRE A COPY OF THE FORM 990-PF TO BE SENT TO THE OFFICE OF TAX AND REVENUE. THE LAURA AND JOHN ARNOLD FOUNDATION DOES PLAN TO SEND A COPY OF THE FORM 990-PF TO THE PROPER CALIFORNIA, NEW YORK, OKLAHOMA AND TEXAS AGENCIES.