

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2021, or tax year beginning 07-01-2021, and ending 06-30-2022

Name of foundation Lydia B Stokes Foundation		A Employer identification number 21-6016107
Number and street (or P.O. box number if mail is not delivered to street address) PO Box 38	Room/suite	B Telephone number (see instructions) (802) 870-7086
City or town, state or province, country, and ZIP or foreign postal code Vergennes, VT 05491		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,242,274	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <u>Modified Cash</u> (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions.)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	454,885			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	123,107	123,107		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	573,592			
b	Gross sales price for all assets on line 6a	890,221			
7	Capital gain net income (from Part IV, line 2)		573,592		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)	0			
11	Other income (attach schedule)	72,851	72,850		
12	Total. Add lines 1 through 11	1,224,435	769,549		
13	Compensation of officers, directors, trustees, etc.	10,000	10,000		0
14	Other employee salaries and wages	0	0		0
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)	229	0		229
b	Accounting fees (attach schedule)	8,060	5,823		2,237
c	Other professional fees (attach schedule)	35,687	33,687		2,000
17	Interest				
18	Taxes (attach schedule) (see instructions)	6,629	3,929		0
19	Depreciation (attach schedule) and depletion	0			
20	Occupancy				
21	Travel, conferences, and meetings	5,965	1,599		4,070
22	Printing and publications	113	0		113
23	Other expenses (attach schedule)	20,869	13,532		7,332
24	Total operating and administrative expenses.				
	Add lines 13 through 23	87,552	68,570		15,981
25	Contributions, gifts, grants paid	545,100			545,100
26	Total expenses and disbursements. Add lines 24 and 25	632,652	68,570		561,081
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	591,783			
b	Net investment income (if negative, enter -0-)		700,979		
c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	0	0	0
	2 Savings and temporary cash investments	641,937	384,937	384,937
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		0	
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	0	100,372	99,682
	b Investments—corporate stock (attach schedule)	1,280,968	1,437,926	1,466,720
	c Investments—corporate bonds (attach schedule)	300,025	550,050	543,328
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	2,579,280	2,571,576	2,600,844
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
15 Other assets (describe ▶ _____)	148,621	146,763	146,763	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,950,831	5,191,624	5,242,274	
Liabilities	17 Accounts payable and accrued expenses	0	0	
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons		0	
	21 Mortgages and other notes payable (attach schedule)		0	
	22 Other liabilities (describe ▶ _____)	0	0	
	23 Total liabilities (add lines 17 through 22).	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	4,950,831	5,191,624	
29 Total net assets or fund balances (see instructions)	4,950,831	5,191,624		
30 Total liabilities and net assets/fund balances (see instructions)	4,950,831	5,191,624		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,950,831
2 Enter amount from Part I, line 27a	2	591,783
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	5,542,614
5 Decreases not included in line 2 (itemize) ▶ _____	5	350,990
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	5,191,624

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Publicly Traded Securities	P		
b Publicly Traded Securities	D		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 494,067	0	235,619	258,448
b 396,154	0	81,010	315,144
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a 0	0	0	258,448
b 0	0	0	315,144
c			
d			
e			

Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 }

2	573,592
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3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-
in Part I, line 8

3	
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Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here [] and enter [] "N/A" on line 1.
1a Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
2 Add lines 1 and 2.
3 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
4 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
5 Credits/Payments:
6a 2021 estimated tax payments and 2020 overpayment credited to 2021
6b Exempt foreign organizations—tax withheld at source
6c Tax paid with application for extension of time to file (Form 8868)
6d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here [] if Form 2220 is attached.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. (2) On foundation managers.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
By language in the governing instrument, or
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021?
10 Did any persons become substantial contributors during the tax year?

Part VI-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address lydiabstokesfoundation.org
14 The books are in care of Tapia & Huckabay PC Telephone no. (802) 870-7086 Located at 206 Main Street Suite 20 Vergennes VT 05491 ZIP+4
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here.
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes...
(4) Provide a grant to an organization other than a charitable, etc., organization...
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5); did any of the transactions fail to qualify under the exceptions...
c Organizations relying on a current notice regarding disaster assistance check here...
d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax...
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
6b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
7b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with 3 columns: Question ID, Yes, No. Rows include 5a(1) through 8.

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes entries for Nancy V Deren, Thomas R Willits, and Thalia Venerable.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. First row contains 'NONE'.

Total number of other employees paid over \$50,000.

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

		Expenses
1		
2		
3		
4		

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1		
2		
3	All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	5,091,756
b	Average of monthly cash balances.	1b	374,813
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,466,569
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	5,466,569
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	81,999
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	5,384,570
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	269,229

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	269,229
2a	Tax on investment income for 2021 from Part V, line 5.	2a	9,744
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	0
c	Add lines 2a and 2b.	2c	9,744
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	259,485
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	259,485
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	259,485

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				259,485
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			0	
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2021:				
a From 2016.	100,886			
b From 2017.	227,018			
c From 2018.	195,036			
d From 2019.	208,360			
e From 2020.	93,075			
f Total of lines 3a through e.	824,375			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>561,081</u>				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				259,485
e Remaining amount distributed out of corpus	301,596			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,125,971			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	100,886			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	1,025,085			
10 Analysis of line 9:				
a Excess from 2017	227,018			
b Excess from 2018	195,036			
c Excess from 2019.	208,360			
d Excess from 2020	93,075			
e Excess from 2021	301,596			

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
b 85% (0.85) of line 2a
c Qualifying distributions from Part XI, line 4 for each year listed
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c
3 Complete 3a, b, or c for the alternative test relied upon:
a "Assets" alternative test—enter:
(1) Value of all assets
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed
c "Support" alternative test—enter:
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
(3) Largest amount of support from an exempt organization
(4) Gross investment income

Table with 5 columns: (a) 2021, (b) 2020, (c) 2019, (d) 2018, (e) Total. Rows correspond to lines 2a-e and 3a-c(4).

Part Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
Thalia Venerable see Schedule B

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> American Friends Service Committee 1501 Cherry Street Philadelphia, PA 19102		P C	New Mexico People of the Land Program	8,000
CISA 1 Sugarloaf Street South Deerfield, MA 01373		P C	General Support	12,500
Cultural Arts Coalition 321 NW 10th Street Gainesville, FL 32601		P C	General Support	23,000
Fibershed PO Box 221 San Geronimo, CA 94963		P C	General Support	10,000
Forage Inc 219 NW 10th Avenue Gainesville, FL 32601		P C	General Support for Working Food	75,000
Forage Inc 219 NW 10th Avenue Gainesville, FL 32601		P C	Chef Empowerment	4,600
Franklin County CDC 324 Wells Street Greenfield, MA 01301		P C	PV Grows Investment Fund	25,000
Gaining Ground 341 Virginia Road Concord, MA 01742		P C	General Support	12,000
Greater Duval Neighborhood Association 918 NE 18th Street Gainesville, FL 32641		P C	First Community CDC	3,000
Greater Duval Neighborhood Association 918 NE 18th Street Gainesville, FL 32641		P C	General Support	14,000
Grow Food Northampton 221 Pine Street Suite 349 Florence, MA 01062		P C	General Operations & Valley Grows Days	7,500
Holistic Management International 5941 Jefferson St NE Suite B Albuquerque, NM 87109		P C	General Support	8,000
Local Enterprise Assistance Fund 386 Western Avenue Boston, MA 02135		P C	General Support	10,000
Main Street Project 105 4th Street East Suite 213 Northfield, MN 55057		P C	Group Loan Fund	8,000
National Young Farmers Coalition PO Box 1074 Hudson, NY 12534		P C	General Support	10,000
New Mexico Farmers Marketing Association 1219 Luisa Street Unit 1 Santa Fe, NM 87505		P C	General Support	20,000
Planned Parenthood of the Rocky Mountains 7155 East 38th Avenue Denver, CO 80207		P C	General Support	10,000
Positive Futures Network 284 Madrona Way NE Suite 116 Bainbridge Island, WA 98110		P C	YES! Magazine General Support	14,000
Post Carbon Institute 613 Fourth Street Suite 208 Santa Rosa, CA 95404		P C	General Support	8,000
Quivira Coalition 1413 Second Street Suite 1 Santa Fe, NM 87505		P C	2021 Regenerative Conference	2,500
Quivira Coalition 1413 Second Street Suite 1 Santa Fe, NM 87505		P C	General Support	15,000
Rebuilding Together North Central Florida 4550 SW 41st Blvd Suite 2 Gainesville, FL 32608		P C	Community Weatherization Coalition	10,000
Sterling College 16 Sterling Drive Craftsbury Common, VT 05827		P C	General Support	10,000
The Food Bank of Western Massachusetts 97 North Hatfield Road		P C	General Support	30,000

Hatfield, M A 01038				
Third Sector New England 89 South Street Boston, M A 02111		P C	Gardening theCommunity	5,000
University of New Hampshire Foundation 9 Edgewood Road Durham, N H 03824		P C	General Support	5,000
Vermont Wilderness School PO Box 2585 Brattleboro, V T 05303		P C	General Operations	10,000
Western Landowners Alliance PO Box 6278 Santa Fe, N M 87502		P C	General Operations	175,000
Total			▶ 3a	545,100

b <i>Approved for future payment</i>				
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Total			▶ 3b	0
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Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

- 1 Program service revenue:
a
b
c
d
e
f
g Fees and contracts from government agencies
2 Membership dues and assessments
3 Interest on savings and temporary cash investments
4 Dividends and interest from securities
5 Net rental income or (loss) from real estate:
a Debt-financed property.
b Not debt-financed property.
6 Net rental income or (loss) from personal property
7 Other investment income
8 Gain or (loss) from sales of assets other than inventory
9 Net income or (loss) from special events:
10 Gross profit or (loss) from sales of inventory
11 Other revenue:
a Gains/Losses from Publicly-Traded Ltd Partnership
b Other Investment Income
c Tax-Exempt Income
d
e

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include various income categories and a total row at the bottom.

12 Subtotal. Add columns (b), (d), and (e).
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Part VI Line 7 -Original Return Overpayment: -15,982

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Vermont CPA Firm Acct. & Tax Services	8,060	5,823		2,237

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly Traded Securities		Purchased		Unrelated Third Party	494,067	235,619	Cost	0	258,448	
Publicly Traded Securities	2021-07	Donated		Unrelated Third Party	396,154	81,010	Cost	0	315,144	

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Calvert Impact	350,000	346,806
Century HSG Corporation	100,025	99,009
Self Help Federal Credit Union Certificate of Deposit	100,025	97,513

TY 2021 IRS 990 e-File Render**Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Alcon Inc.	58,045	69,890
Ameresco Inc. Cl A	6,596	45,560
Camden National Corporation	20,327	41,407
Cooperative Regions of Organic Producers Cl E	175,000	175,000
Eden Ice Cider Company Preferred	60,000	60,000
Equal Exchange Inc. Cl B Preferred	100,018	100,018
Federal Agricultural Mortgage Corporation 4.875% Preferred	102,000	80,000
Federal Agricultural Mortgage Corporation 5.25% Preferred	178,356	150,053
High Mowing Seed Company Preferred	150,010	150,010
Hingham Institution for Savings	27,304	36,890
Middlesex Water Company	19,534	74,528
Spark New Zealand LTD	74,400	74,750
Telia Company AB	85,905	75,900
Telus Corporation	85,894	111,400
United Utilities	82,587	82,290
Vital Farms Inc.	34,250	17,500
Vodafone Group	177,700	121,524

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

**US Government Securities - End of
Year Book Value:**

100,372

**US Government Securities - End of
Year Fair Market Value:**

99,682

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2021 IRS 990 e-File Render**Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Alachua Conservation Trust - Note Receivable		150,000	150,000
Boston Impact Initiative Fund - Note Receivable		55,532	55,532
Central Grazing Company - Note Receivable		25,000	25,000
Coastal Enterprise - Note Receivable		100,000	100,000
Cooperative Fund of New England - Note Receivable		58,711	58,711
Forage Inc. - Note Receivable		94,030	94,030
Fresh Source Capital Fund I, LP		53,515	53,515
Hannon Armstrong		26,101	37,860
Healthcare Trust of America Inc. REIT		57,119	61,402
Healthpeak Properties Inc. REIT		87,678	73,584
Income & Impact Fund LLC		106,627	106,627
Iroquois Valley Farmland REIT		100,110	100,110
Iroquois Valley Farms - Note Receivable		100,000	100,000
Iroquois Valley Soil Restoration - Note Receivable		200,000	200,000
New Hampshire Community Loan Fund - Note Receivable		200,000	200,000
Northeast Kingdom Tasting Center LLC		38,778	38,778
Northeast Kingdom Tasting Center LLC - Note Receivable		64,500	64,500
Patient Capital Fund - Note Receivable		50,000	50,000
Physicians Realty Trust REIT		109,641	144,835
Risk Capital Pool - Note Receivable		57,238	57,238
Skinny Pancake - Note Receivable		100,000	100,000
Sun Initiative Financing II LLC - Note Receivable		150,000	150,000
Sunwealth Loan Company 4 LLC - Note Receivable		50,000	50,000
Sunwealth Loan Company 8 LLC - Note Receivable		50,000	50,000
Sunwealth Note Pool 2 LLC		36,366	36,366
The Lyme Forest Fund IV TE, LP		92,378	92,378
Victory Foods - Note Receivable		30,573	30,573
Washington REIT		55,822	47,948
Whitehorne LLC - Note Receivable		150,000	150,000
Whitehorne LLC (Farm Ferments)		121,857	121,857

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Vermont Law Firm Legal Services	229	0		229

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PRI: Northeast Kingdom Tasting Center LLC	33,825	32,017	32,017
PRI: Real Pickles Cooperative Inc. PFD	75,000	75,000	75,000
PRI: VSJF Flexible Capital Fund L3C	39,796	39,746	39,746

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Description	Amount
Adjust Donated Securities to Donor's Cost Basis	350,990

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Insurance	850	425		425
Investment expenses	13,112	13,107		0
Memberships	6,560	0		6,560
Website	347	0		347

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Ordinary Income from Partnership	8,996	8,996	
Other Partnership Gains	61,954	61,954	
Partnership Rental Gains	520	520	
Passthrough Unrelated Business Losses	-99	0	
Tax-Exempt Income	100	0	
Other Investment Income	1,380	1,380	

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Managers Investment Management	33,687	33,687		0
Contractor Facilitator	2,000	0		2,000

TY 2021 IRS 990 e-File Render

Name: Lydia B Stokes Foundation

EIN: 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign taxes & ADR fees	3,929	3,929		0
Excise tax	2,700	0		0