

Return of Private Foundation

Form Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020, or tax year beginning 07-01-2020, and ending 06-30-2021

Name of foundation: Lydia B Stokes Foundation. A Employer identification number: 21-6016107. B Telephone number: (802) 870-7086. C If exemption application is pending, check here. D 1. Foreign organizations, check here. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$5,047,283. J Accounting method: Modified Cash.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 4 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include: 1 Contributions, gifts, grants, etc., received; 2 Check if foundation is not required to attach Sch. B; 3 Interest on savings and temporary cash investments; 4 Dividends and interest from securities; 5a Gross rents; b Net rental income or (loss); 6a Net gain or (loss) from sale of assets not on line 10; b Gross sales price for all assets on line 6a; 7 Capital gain net income; 8 Net short-term capital gain; 9 Income modifications; 10a Gross sales less returns and allowances; b Less: Cost of goods sold; c Gross profit or (loss); 11 Other income; 12 Total. Add lines 1 through 11; 13 Compensation of officers, directors, trustees, etc.; 14 Other employee salaries and wages; 15 Pension plans, employee benefits; 16a Legal fees; b Accounting fees; c Other professional fees; 17 Interest; 18 Taxes; 19 Depreciation and depletion; 20 Occupancy; 21 Travel, conferences, and meetings; 22 Printing and publications; 23 Other expenses; 24 Total operating and administrative expenses; Add lines 13 through 23; 25 Contributions, gifts, grants paid; 26 Total expenses and disbursements; Add lines 24 and 25; 27 Subtract line 26 from line 12; a Excess of revenue over expenses and disbursements; b Net investment income; c Adjusted net income.

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	0	0	0
	<b>2</b> Savings and temporary cash investments . . . . .	800,180	641,937	641,937
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .		0	
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	1,417,741	1,280,968	1,464,512
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	200,000	300,025	303,031
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	2,531,959	2,579,280	2,489,182
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
<b>15</b> Other assets (describe ▶ _____)	153,573	148,621	148,621	
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,103,453	4,950,831	5,047,283	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	0	0	
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue. . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .		0	
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .		0	
	<b>22</b> Other liabilities (describe ▶ _____)	0	0	
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	0	0	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .	0	0	
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds . . . . .	5,103,453	4,950,831	
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	5,103,453	4,950,831		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	5,103,453	4,950,831		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	5,103,453
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-152,622
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	4,950,831
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	<b>6</b>	4,950,831

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> Publicly traded securities			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 646,683	0	479,370	167,313
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b> 0	0	0	167,313
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	<b>2</b>	167,313
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
<b>2</b> Reserved . . . . .			<b>2</b>
<b>3</b> Reserved . . . . .			<b>3</b>
<b>4</b> Reserved . . . . .			<b>4</b>
<b>5</b> Reserved . . . . .			<b>5</b>
<b>6</b> Reserved . . . . .			<b>6</b>
<b>7</b> Reserved . . . . .			<b>7</b>
<b>8</b> Reserved . . . . .			<b>8</b>

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here [ ] and enter "N/A" on line 1.
Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Reserved 1 2,730
c All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2 0
3 Add lines 1 and 2. 3 2,730
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 4 0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . . 5 2,730
6 Credits/Payments:
a 2020 estimated tax payments and 2019 overpayment credited to 2020 6a 4,012
b Exempt foreign organizations—tax withheld at source . . . . . 6b
c Tax paid with application for extension of time to file (Form 8868) . . . . . 6c 0
d Backup withholding erroneously withheld . . . . . 6d
7 Total credits and payments. Add lines 6a through 6d 7 4,012
8 Enter any penalty for underpayment of estimated tax. Check here [ ] if Form 2220 is attached. 8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. 10 1,282
11 Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded 11 1,282

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? Yes No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). Yes No
1c If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. Yes No
2 Did the foundation file Form 1120-POL for this year? Yes No
3 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0
4 Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? Yes No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes Yes No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? Yes No
4b If "Yes," has it filed a tax return on Form 990-T for this year? Yes No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? Yes No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) VT
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV Yes No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. Yes No

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .				<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .				<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>lydiabstokesfoundation.org</u>	<b>Yes</b>			
<b>14</b>	The books are in care of ► <u>Tapia &amp; Huckabay PC</u> Telephone no. ► <u>(802) 870-7086</u> Located at ► <u>206 Main Street Suite 20 Vergennes VT 05491</u> ZIP+4 ► _____				
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>15</b> _____				
<b>16</b>	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .		<b>Yes</b>	<b>No</b>	
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	<b>16</b>			<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

<b>File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.</b>					
<b>1a</b> During the year did the foundation (either directly or indirectly):					
<b>(1)</b> Engage in the sale or exchange, or leasing of property with a disqualified person?		<input type="checkbox"/> <b>Yes</b>	<input checked="" type="checkbox"/> <b>No</b>		
<b>(2)</b> Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .		<input type="checkbox"/> <b>Yes</b>	<input checked="" type="checkbox"/> <b>No</b>		
<b>(3)</b> Furnish goods, services, or facilities to (or accept them from) a disqualified person?		<input checked="" type="checkbox"/> <b>Yes</b>	<input type="checkbox"/> <b>No</b>		
<b>(4)</b> Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		<input checked="" type="checkbox"/> <b>Yes</b>	<input type="checkbox"/> <b>No</b>		
<b>(5)</b> Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .		<input type="checkbox"/> <b>Yes</b>	<input checked="" type="checkbox"/> <b>No</b>		
<b>(6)</b> Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .		<input type="checkbox"/> <b>Yes</b>	<input checked="" type="checkbox"/> <b>No</b>		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .			<b>1b</b>	<b>No</b>
Organizations relying on a current notice regarding disaster assistance check here. . . . . <input type="checkbox"/>					
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . .			<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
<b>a</b>	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? . . . . .	<input type="checkbox"/> <b>Yes</b>	<input checked="" type="checkbox"/> <b>No</b>		
If "Yes," list the years ► 20____, 20____, 20____, 20____					
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .			<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____				
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> <b>Yes</b>	<input checked="" type="checkbox"/> <b>No</b>		
<b>b</b>	If "Yes," did it have excess business holdings in 2020 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969; <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period?(Use <i>Schedule C, Form 4720</i> , to determine if the foundation had excess business holdings in 2020.) . . . . .			<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?			<b>4b</b>	<b>No</b>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)?
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance?
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
6b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
7b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with columns Yes and No for questions 5a, 5b, 6a, 6b, 7a, 7b, and 8.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes entries for Nancy V Deren, Thomas R Willits, and Thalia Venerable.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. All entries are NONE.

Total number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . **0** ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b>	
<b>2</b>	
<b>3</b> All other program-related investments. See instructions.	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	4,719,918
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	345,292
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	5,065,210
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	5,065,210
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	75,978
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,989,232
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	249,462

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	249,462
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	2,730
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	2,730
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	246,732
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	246,732
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	246,732

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	339,807
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	339,807
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	339,807

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				246,732
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .	115,586			
<b>b</b> From 2016. . . . .	100,886			
<b>c</b> From 2017. . . . .	227,018			
<b>d</b> From 2018. . . . .	195,036			
<b>e</b> From 2019. . . . .	208,360			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	846,886			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ _____ 339,807				
<b>a</b> Applied to 2019, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount				246,732
<b>e</b> Remaining amount distributed out of corpus	93,075			
<b>5</b> Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	939,961			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	115,586			
<b>9</b> <b>Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a	824,375			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016	100,886			
<b>b</b> Excess from 2017	227,018			
<b>c</b> Excess from 2018. . . . .	195,036			
<b>d</b> Excess from 2019	208,360			
<b>e</b> Excess from 2020	93,075			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
b 85% of line 2a
c Qualifying distributions from Part XII, line 4 for each year listed
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

Table with 5 columns: (a) 2020, (b) 2019, (c) 2018, (d) 2017, (e) Total. Rows correspond to lines 2a-e and 3a-c.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
American Friends Service Committee 1501 Cherry Street Philadelphia, PA 19102		P C	New Mexico Peopleof the Land Program	10,000
CISA 1 Sugarloaf Street South Deerfield, MA 01373		P C	PlanningActivities	10,000
Cultural Arts Coalition 321 NW 10th Street Gainesville, FL 32601		P C	Science Academy & Gardening Programs	22,000
Fibershed PO Box 221 San Geronimo, CA 94963		P C	General Support	10,000
Forage Inc 219 NW 10th Avenue Gainesville, FL 32601		P C	General Supportfor Working Food	50,000
Forage Inc 219 NW 10th Avenue Gainesville, FL 32601		P C	Women-Owned MeatCooperative Startup	5,000
Franklin County CDC 324 Wells Street Greenfield, MA 01301		P C	PV GrowsInvestment Fund	25,000
Friends of Little Orange Creek PO Box 611 Hawthorne, FL 32640		P C	Creekside Ecologyfor Kids	6,000
Friends of Little Orange Creek PO Box 611 Hawthorne, FL 32640		P C	Creekside EnvironmentalEducation for Kids	6,000
Gaining Ground 341 Virginia Road Concord, MA 01742		P C	General Support	10,000
Greater Duval Neighborhood Association 918 NE 18th Street Gainesville, FL 32641		P C	First CommunityCDC Launch	2,500
Greater Duval Neighborhood Association 918 NE 18th Street Gainesville, FL 32641		P C	General Support	14,000
Grow Food Northampton 221 Pine Street Suite 349 Florence, MA 01062		P C	General Operations& Valley Grows Days	7,500
Healthcare Without Harm 12355 Sunrise Valley Drive Suite 68 Reston, VA 20191		P C	New England FoodProcessors Collective	10,000
Holistic Management International 5941 Jefferson St NE Suite B Albuquerque, NM 87109		P C	General Support	8,000
Main Street Project 105 4th Street East Suite 213 Northfield, MN 55057		P C	Group Loan Fund	8,000
National Young Farmers Coalition PO Box 1074 Hudson, NY 12534		P C	General Support	10,000
New Mexico Farmers Marketing Association 1219 Luisa Street Unit 1 Santa Fe, NM 87505		P C	General Support	18,000
Planned Parenthood of the Rocky Mountains 7155 East 38th Avenue Denver, CO 80207		P C	GeneralOperations	10,000
Positive Futures Network 284 Madrona Way NE Suite 116 Bainbridge Island, WA 98110		P C	YES! MagazineGeneral Support	14,000
Post Carbon Institute 613 Fourth Street Suite 208 Santa Rosa, CA 95404		P C	General Support	8,000
Quivira Coalition 1413 Second Street Suite 1 Santa Fe, NM 87505		P C	2020 RegenerativeConference	2,000
Quivira Coalition 1413 Second Street Suite 1 Santa Fe, NM 87505		P C	General Support	15,000
Rebuilding Together North Central FL 4550 SW 41st Blvd Suite 2 Gainesville, FL 32608		P C	CommunityWeatherizationCoalition	10,000
Sterling College 16 Sterling Drive Craftsbury Common, VT 05827		P C	Rian Fried Center	10,000
Third Sector New England 89 South Street Boston, MA 02111		P C	Gardening theCommunity	5,000
Vermont Wilderness School PO Box 2585 Brattleboro, VT 05303		P C	General Operations	5,000
Western Landowners Alliance PO Box 6278 Santa Fe, NM 87502		P C	General Operations	15,000
Forfeited Interest PO Box 38 Vergennes, VT 05491		Other	Pandemic Relief	1,377
<b>Total . . . . .</b>				<b>327,377</b>
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>				<b>0</b>





## Additional Data

[Return to Form](#)

**Software ID:**

**Software Version:**

**Part VI Line 7 -Original Return Overpayment:** -4,012

**Form 990PF - Special Condition Description:**

Special Condition Description

# TY 2020 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Vermont CPA Firm Acct. & Tax Services	8,305	4,942		3,363

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

**TY 2020 IRS 990 e-File Render**

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly Traded Securities		Purchased		Unrelated Third Parties	646,683	479,370	Cost	0	167,313	0

## TY 2020 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Calvert Social Investment Foundation	200,000	202,247
Self-Help Federal	100,025	100,784

**TY 2020 IRS 990 e-File Render****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Alcon AG	87,068	105,390
Ameresco Inc. Cl A	13,430	97,216
Camden National Corp.	37,842	83,580
Cooperative Regions of Organic Producers Cl E	175,000	175,000
Eden Ice Cider Company Pfd	60,000	60,000
Equal Exchange Inc. Cl B Pfd	100,018	100,018
Federal Agricultural Mortgage Corp. 5.25% PFD	178,356	181,913
High Mowing Seed Company Pfd	150,010	150,010
Hingham Institution for Savings	75,620	104,580
Telia Co. AB	85,905	88,600
Telus Corp.	120,256	157,010
United Utilities	52,143	61,841
Vodafone Group	145,320	99,354

**TY 2020 IRS 990 e-File Render****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Boston Impact Initiative Fund - Note Receivable		54,080	54,080
Central Grazing Company - Note Receivable		25,000	25,000
Coastal Enterprise - Note Receivable		100,000	100,000
Cooperative Fund of New England - Note Receivable		57,538	57,538
Forage Inc.- Note Receivable		98,399	98,399
Fresh Source Capital Fund I, LP		69,085	69,085
Hawthorne Valley Association - Note Receivable		150,000	150,000
Healthcare Trust of America Inc. REIT		58,204	58,740
Healthpeak Properties Inc REIT		89,574	94,544
Impact Assets Inc. - Note Receivable		100,000	100,000
Income & Impact Fund LLC		106,800	106,800
Iroquois Valley Farmland REIT		100,110	100,110
Iroquois Valley Farms - Note Receivable		100,000	100,000
Iroquois Valley Soil Restoration - Note Receivable		200,000	20,000
Mid America Apartment Co. REIT		49,974	94,315
New Hampshire Community Loan Fund		200,000	200,000
Northeast Kingdom Tasting Center LLC		37,953	37,953
Northeast Kingdom Tasting Center LLC - Note Receivable		8,000	8,000
Patient Capital Fund - Note Receivable		50,000	50,000
Physicians Realty Trust REIT		113,246	153,301
Risk Capital Pool - Note Receivable		57,238	57,238
Skinny Pancake - Note Receivable		100,000	100,000
Sun Initiative Financing II LLC - Note Receivable		150,000	150,000
Sunwealth Loan Company 4 LLC - Note Receivable		50,000	50,000
Sunwealth Loan Company 8 LLC - Note Receivable		50,000	50,000
Sunwealth Note Pool 2 LLC		38,718	38,718
The Lyme Forest Fund IV TE, LP		205,256	205,256
Vermont Community Loan Fund		50,000	50,000
Victory Foods - Note Receivable		35,000	35,000
Whitehorne LLC - Note Receivable		3,255	3,255
Whitehorne LLC (Farm Ferments)		71,850	71,850

# TY 2020 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Vermont Law Firm Legal Services	3,522	0		3,522

## TY 2020 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PRI: Northeast Kingdom Tasting Center LLC	36,066	33,825	33,825
PRI: Real Pickles Cooperative Inc. PFD 2,000	75,000	75,000	75,000
PRI: VSJF Flexible Capital Fund L3C	42,507	39,796	39,796

## TY 2020 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Insurance	850	425		425
Investment expenses	9,491	9,484		0
Memberships	5,000	0		5,000
Website	120	0		120

## TY 2020 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Ordinary Losses from Partnership	-26,840	-26,840	
Other Partnership Gains	529	529	
Partnership Rental Losses	-3,420	0	
Passthrough Unrelated Business Losses	-3,246	0	
Tax-Exempt Income	470	0	

# TY 2020 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Managers Investment Management	31,796	31,796		0

## TY 2020 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign taxes & ADR fees	4,215	4,215		0
Excise tax	3,000	0		0