

or Section 4947(a)(1) Trust Treated as Private Foundation

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For calendar year 2019, or tax year beginning 07-01-2019, and ending 06-30-2020

Name of foundation: Lydia B Stokes Foundation. A Employer identification number: 21-6016107. B Telephone number: (802) 870-7086. C If exemption application is pending, check here. D 1. Foreign organizations, check here. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. H Check type of organization: Section 501(c)(3) exempt private foundation. J Accounting method: Other (specify) Modified Cash.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), followed by summary rows 27-29.

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	0	0	0
	<b>2</b> Savings and temporary cash investments . . . . .	828,044	800,180	800,180
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .		0	
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	1,633,967	1,417,741	1,455,430
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	200,000	200,000	202,306
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	2,755,363	2,531,959	2,561,653
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
<b>15</b> Other assets (describe ▶ _____)	161,574	153,573	153,573	
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,578,948	5,103,453	5,173,142	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	0	0	
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue. . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .		0	
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .		0	
	<b>22</b> Other liabilities (describe ▶ _____)	0	0	
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	0	0	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .	0	0	
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds . . . . .	5,578,948	5,103,453	
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	5,578,948	5,103,453		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	5,578,948	5,103,453		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	5,578,948
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-475,495
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	5,103,453
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	<b>6</b>	5,103,453

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> Publicly Traded Securities	P		
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1,079,626	0	1,133,919	-54,293
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
<b>a</b> 0	0	0	-54,293
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Capital gain net income or (net capital loss)	2	-54,293
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	479,511	5,894,057	0.081355
2017	542,849	6,292,027	0.086276
2016	494,056	6,102,638	0.080958
2015	412,656	6,104,969	0.067593
2014	414,930	6,412,221	0.064709

<b>2</b> Total of line 1, column (d)	0.380891
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	0.076178
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	5,513,690
<b>5</b> Multiply line 4 by line 3	420,022
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	633
<b>7</b> Add lines 5 and 6	420,655
<b>8</b> Enter qualifying distributions from Part XII, line 4	483,412

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here [ ] and enter "N/A" on line 1.
Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here [x] and enter 1% of Part I, line 27b
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
3 Add lines 1 and 2.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
6 Credits/Payments:
a 2019 estimated tax payments and 2018 overpayment credited to 2019
b Exempt foreign organizations—tax withheld at source
c Tax paid with application for extension of time to file (Form 8868)
d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here [ ] if Form 2220 is attached.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax Refunded

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. \$ 0 (2) On foundation managers. \$ 0
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019?
10 Did any persons become substantial contributors during the tax year?

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address lydiabstokesfoundation.org
14 The books are in care of Tapia & Huckabay PC Telephone no. (802) 870-7086 Located at 206 Main Street Suite 20 Vergennes VT ZIP+4 05491
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)?
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance?
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
6b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
7b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with columns Yes and No for questions 5b, 6b, 7b, and 8.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes entries for Nancy V Deren, Thomas R Willits, and Thalia Venerable.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. All entries are NONE.

Total number of other employees paid over \$50,000.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . **0** ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> _____ _____	
<b>2</b> _____ _____	
<b>3</b> _____ _____	
<b>4</b> _____ _____	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> _____ _____	
<b>2</b> _____ _____	
<b>3</b> All other program-related investments. See instructions. _____ _____	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	5,485,715
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	111,940
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	5,597,655
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	5,597,655
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	83,965
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	5,513,690
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	275,685

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	275,685
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5. . . . .	<b>2a</b>	633
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	633
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	275,052
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	275,052
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	275,052

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	483,412
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	483,412
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	633
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	482,779

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				275,052
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .			0	
<b>b</b> Total for prior years: 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . .	82,694			
<b>b</b> From 2015. . . . .	115,586			
<b>c</b> From 2016. . . . .	100,886			
<b>d</b> From 2017. . . . .	227,018			
<b>e</b> From 2018. . . . .	195,036			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	721,220			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>483,412</u>				
<b>a</b> Applied to 2018, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount				275,052
<b>e</b> Remaining amount distributed out of corpus	208,360			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	929,580			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	82,694			
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a	846,886			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015	115,586			
<b>b</b> Excess from 2016	100,886			
<b>c</b> Excess from 2017. . . . .	227,018			
<b>d</b> Excess from 2018	195,036			
<b>e</b> Excess from 2019	208,360			



**Part XV Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
American Friends Service Committee 1501 Cherry Street Philadelphia, PA 19102		P C	AFSC New Mexico	7,500
American Friends Service Committee 1501 Cherry Street Philadelphia, PA 19102		P C	Farm to Foodbank Emergency Program	5,000
American Sustainable Business Institute 1001 G Street NW Suite 400E Washington, DC 20001		P C	General Support	7,500
BALLE 2323 Broadway Oakland, CA 94612		P C	Innovating Financing Meeting	5,000
CISA 1 Sugarloaf Street South Deerfield, MA 01373		P C	Planning Grant	20,000
Fibershed PO Box 221 San Geronimo, CA 94963		P C	General Support	10,000
Forage Inc 209 NW 10th Avenue Gainesville, FL 32601		P C	Working Food	69,200
Franklin County CDC 324 Wells Street Greenfield, MA 01301		P C	PV Grows Investment Fund	37,500
Franklin County CDC 324 Wells Street Greenfield, MA 01301		P C	PV Grows Investment Fund - COVID-19 Emergency Funds	50,000
Gaining Ground 341 Virginia Road Concord, MA 01742		P C	General Support	10,000
Greater Duval Neighborhood Assoc 918 NE 18th Street Gainesville, FL 32641		P C	COVID-19 Emergency Food	5,400
Greater Duval Neighborhood Assoc 918 NE 18th Street Gainesville, FL 32641		P C	General Support	15,000
Grow Food Northampton 221 Pine Street Suite 349 Florence, MA 01062		P C	General Support	2,500
Healthcare Without Harm 12355 Sunrise Valley Drive Suite 68 Reston, VA 20191		P C	New England Food Processors Collective	10,000
Holistic Management International 5941 Jefferson St NE Suite B Albuquerque, NM 87109		P C	General Support	8,000
KNOX Inc 75 Laurel Street Hartford, CT 06106		P C	Hands-on Gardening Education	12,500
Main Street Project 105 4th Street East Suite 213 Northfield, MN 55057		P C	Group Loan Fund	8,000
Maine Organic Farmers & Gardeners Assoc PO Box 170 Unity, ME 04988		P C	Maine Harvest FCU	25,000
National Save the Family Farm Coalition 222 Main Street Gloucester, MA 01930		P C	General Support	1,500
National Young Farmers Coalition PO Box 1074 Hudson, NY 12534		P C	General Support	10,000
National Young Farmers Coalition PO Box 1074 Hudson, NY 12534		P C	COVID-19 Response	6,000
New Mexico Farmers Marketing Association 1219 Luisa Street Unit 1 Santa Fe, NM 87505		P C	General Support	18,000
Planned Parenthood of the Rocky Mountains 7155 East 38th Avenue Denver, CO 80207		P C	New Mexico Operations	10,000
Positive Futures Network 284 Madrona Way NE Suite 116 Bainbridge Island, WA 98110		P C	YES! Magazine General Support	15,000
Post Carbon Institute		P C	General Support	8,000

800 SW Washington Ave Suite 5 Corvallis, OR 97333				
Quivira Coalition 1413 Second Street Suite 1 Santa Fe, NM 87505		P C	Health from the Soil Up Conference	2,500
Quivira Coalition 1413 Second Street Suite 1 Santa Fe, NM 87505		P C	General Support	15,000
Rebuilding Together North Central FL 4550 SW 41st Blvd Suite 2 Gainesville, FL 32608		P C	Community WeatherizationCoalition	10,000
Sterling College 16 Sterling Drive Craftsbury Common, VT 05827		P C	Rian Fried Center	10,000
Sustainable Agriculture & Food Systems Fundors 601 E Arrellaga Street Suite 101 Santa Barbara, CA 93103		P C	General Support	1,800
Food Bank of Western Massachusetts 97 North Hatfield Road Hatfield, MA 01038		P C	Strategic Planning	25,000
Third Sector New England 89 South Street Boston, MA 02111		P C	Gardening theCommunity	5,000
Western Landowners Alliance PO Box 6278 Santa Fe, NM 87502		P C	General Operations	15,000
<b>Total . . . . .</b>			<b>▶ 3a</b>	<b>460,900</b>
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	<b>0</b>





## Additional Data

[Return to Form](#)

**Software ID:**

**Software Version:**

**Part VI Line 7 -Original Return Overpayment:** -4,645

**Form 990PF - Special Condition Description:**

Special Condition Description

# TY 2019 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Vermont CPA Firm Acct. & Tax Services	15,120	9,490		5,630

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

**TY 2019 IRS 990 e-File Render**

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly Traded Securities		Purchased		Unrelated Third Parties	1,079,626	1,133,919			-54,293	

## TY 2019 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Calvert Social Investment Foundation	200,000	202,306

**TY 2019 IRS 990 e-File Render****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Ameresco Inc. Cl A	30,330	97,230
Alcon AG	87,069	85,980
Camden National Corp.	37,843	60,445
Cooperative Regions of Organic Producers Cl E	175,000	175,000
Eden Ice Cider Company Pfd	60,000	60,000
Equal Exchange Inc. Cl B Pfd	100,018	100,018
Federal Agricultural Mortgage Corp. Pfd A 5.875%	250,769	270,300
High Mowing Seed Company Pfd	150,010	150,010
Hingham Institution for Savings	75,620	60,401
NTT Docomo Inc.	99,601	111,804
Telia Co. AB	85,905	74,400
Telus Corp.	120,256	117,390
Vodafone Group	145,320	92,452

**TY 2019 IRS 990 e-File Render****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Boston Impact Initiative Fund - Note Receivable		50,000	50,000
Central Grazing Company - Note Receivable		25,000	25,000
Coastal Enterprise - Note Receivable		100,000	100,000
Cooperative Fund of New England - Note Receivable		56,899	56,899
Forage Inc.- Note Receivable		98,563	98,563
Fresh Source Capital Fund I, LP		83,963	83,963
Hawthorne Valley Association - Note Receivable		150,000	150,000
Healthcare Trust of America Inc. REIT		59,432	58,344
Healthpeak Properties Inc REIT		90,245	78,270
Impact Assets Inc. - Note Receivable		100,000	100,000
Income & Impact Fund LLC		100,002	100,002
Iroquois Valley Farmland REIT		100,110	100,110
Iroquois Valley Farms - Note Receivable		100,000	100,000
Iroquois Valley Soil Restoration - Note Receivable		200,000	200,000
Mid America Apartment Co. REIT		49,974	64,215
New Hampshire Community Loan Fund		200,000	200,000
Northeast Kingdom Tasting Center LLC		40,480	40,480
Patient Capital Fund - Note Receivable		50,000	50,000
Physicians Realty Trust REIT		116,900	145,416
Risk Capital Pool - Note Receivable		54,685	54,685
Skinny Pancake - Note Receivable		100,000	100,000
Sun Initiative Financing II LLC - Note Receivable		150,000	150,000
Sunwealth Loan Company 4 LLC - Note Receivable		50,000	50,000
Sunwealth Note Pool 2 LLC		43,395	43,395
The Lyme Forest Fund IV TE, LP		218,141	218,141
Vermont Community Loan Fund		50,000	50,000
Whitehorne LLC - Note Receivable		19,170	19,170
Whitehorne LLC (Farm Ferments)		75,000	75,000

# TY 2019 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Vermont Law Firm Legal Services	4,862	718		4,144

## TY 2019 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PRI: Northeast Kingdom Tasting Center LLC	38,478	36,066	36,066
PRI: Real Pickles Cooperative Inc. PFD 2,000	75,000	75,000	75,000
PRI: VSJF Flexible Capital Fund L3C	48,096	42,507	42,507

## TY 2019 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Insurance	1,652	826		826
Investment expenses	17,859	17,859		0
Memberships	3,700	0		3,700

## TY 2019 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Excise Tax Refund	5,484	0	
Gains/Losses from Publicly Traded Ltd Partnerships	4,849	4,849	
Unrelated Business Income	-6,581	0	

## TY 2019 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Managers Investment Management	35,159	35,159		0
Consultants Consulting	2,009	0		2,009

# TY 2019 IRS 990 e-File Render

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign taxes & ADR fees	4,194	4,194		0