

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**  
 ▶ **Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.**

OMB No. 1545-0052  
**2018**  
**Open to Public Inspection**

**For calendar year 2018, or tax year beginning 07-01-2018 , and ending 06-30-2019**

Name of foundation Lydia B Stokes Foundation		<b>A Employer identification number</b> 21-6016107	
Number and street (or P.O. box number if mail is not delivered to street address) PO Box 38	Room/suite	<b>B Telephone number (see instructions)</b> (802) 870-7086	
City or town, state or province, country, and ZIP or foreign postal code Vergennes, VT 05491		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>5,992,771</u>		<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <u>Modified Cash</u> <i>(Part I, column (d) must be on cash basis.)</i>	
		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>	

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	0			
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	163,806	163,806		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	441,407			
	<b>b</b> Gross sales price for all assets on line 6a	1,084,382			
	<b>7</b> Capital gain net income (from Part IV, line 2)		441,407		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)	0				
<b>11</b> Other income (attach schedule)	-9,131	-9,250			
<b>12 Total.</b> Add lines 1 through 11	596,082	595,963			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	18,000	18,000		0
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)	2,379	0		2,379
	<b>b</b> Accounting fees (attach schedule)	13,585	6,588		6,997
	<b>c</b> Other professional fees (attach schedule)	38,011	36,631		1,380
	<b>17</b> Interest	113	113		0
	<b>18</b> Taxes (attach schedule) (see instructions)	13,840	1,340		0
	<b>19</b> Depreciation (attach schedule) and depletion	0			
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings	16,073	8,000		8,073
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	16,442	13,848		2,594
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	118,443	84,520		21,423
	<b>25</b> Contributions, gifts, grants paid	463,202			463,202
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	581,645	84,520		484,625	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	14,437				
<b>b Net investment income</b> (if negative, enter -0-)		511,443			
<b>c Adjusted net income</b> (if negative, enter -0-)					

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	0	0	0
	<b>2</b> Savings and temporary cash investments . . . . .	758,170	828,044	828,044
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			0
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			0
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	1,634,275	1,633,967	1,998,741
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	399,238	200,000	197,508
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			0
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	2,619,031	2,755,363	2,806,904
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			0
<b>15</b> Other assets (describe ▶ _____)	153,797	161,574	161,574	
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,564,511	5,578,948	5,992,771	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	0	0	
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			0
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			0
	<b>22</b> Other liabilities (describe ▶ _____)	0	0	0
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	0
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	0	0	0
	<b>28</b> Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	0
<b>29</b> Retained earnings, accumulated income, endowment, or other funds	5,564,511	5,578,948		
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	5,564,511	5,578,948		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	5,564,511	5,578,948		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	5,564,511
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	14,437
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	5,578,948
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	5,578,948

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> Publicly Traded Securities				
<b>b</b> Capital Gain Distributions		P	2018-06-30	2019-06-30
<b>c</b> Publicly Traded Securities				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 1,081,082	0	642,927	438,155	
<b>b</b> 3,300	0	0	3,300	
<b>c</b> 0	0	48	-48	
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b> 0	0	0	438,155	
<b>b</b> 0	0	0	3,300	
<b>c</b> 0	0	0	-48	
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	441,407
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	542,849	6,292,027	0.086276
2016	494,056	6,102,638	0.080958
2015	412,656	6,104,969	0.067593
2014	414,930	6,412,221	0.064709
2013	337,256	6,363,168	0.053001
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.352537
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.070507
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			<b>4</b> 5,894,057
<b>5</b> Multiply line 4 by line 3			<b>5</b> 415,572
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 5,114
<b>7</b> Add lines 5 and 6			<b>7</b> 420,686
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 484,625

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits and payments. Total amount owed is 7,129, with 7,129 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political activities, Form 1120-POL filing, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, donor advised funds, public inspection requirements, and books in care.

Located at 11 Main Street Suite B211 Vergennes VT ZIP+4 05491

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	5,629,695
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	354,119
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	5,983,814
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	5,983,814
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	89,757
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	5,894,057
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	294,703

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	294,703
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5. . . . .	<b>2a</b>	5,114
<b>b</b>	Income tax for 2018. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	5,114
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	289,589
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	289,589
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	289,589

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	484,625
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	484,625
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	5,114
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	479,511

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				289,589
<b>2</b> Undistributed income, if any, as of the end of 2018:				
<b>a</b> Enter amount for 2017 only. . . . .			0	
<b>b</b> Total for prior years: 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2018:				
<b>a</b> From 2013. . . . .	28,095			
<b>b</b> From 2014. . . . .	82,694			
<b>c</b> From 2015. . . . .	115,586			
<b>d</b> From 2016. . . . .	100,886			
<b>e</b> From 2017. . . . .	227,018			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	554,279			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ <u>484,625</u>				
<b>a</b> Applied to 2017, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2018 distributable amount. . . . .				289,589
<b>e</b> Remaining amount distributed out of corpus	195,036			
<b>5</b> Excess distributions carryover applied to 2018. (If an amount appears in column (d), the same amount must be shown in column (a).)				0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	749,315			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .		0		
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	28,095			
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a . . . . .	721,220			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2014. . . . .	82,694			
<b>b</b> Excess from 2015. . . . .	115,586			
<b>c</b> Excess from 2016. . . . .	100,886			
<b>d</b> Excess from 2017. . . . .	227,018			
<b>e</b> Excess from 2018. . . . .	195,036			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

---

**b** The form in which applications should be submitted and information and materials they should include:

---

**c** Any submission deadlines:

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				





**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
American Friends Service Committee 1501 Cherry Street Philadelphia, PA 19102		PC	AFSC New Mexico	10,000
American Sustainable Business Institute 1401 New York Avenue NW Suite 1225 Washington, DC 20005		PC	General Support	10,000
BALLE2323 Broadway Oakland, CA 94612		PC	Leadership Summit	7,500
<b>Total . . . . . ▶ 3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Billings Forge Community Works 227 Lawrence Street 2nd Floor Hartford, CT 06106		PC	Development of Culinary Workforce Pathway	10,000
CISA1 Sugarloaf Street South Deerfield, MA 01373		PC	Future of Flavor Tour	8,000
CISA1 Sugarloaf Street South Deerfield, MA 01373		PC	Planning Grant	15,000
<b>Total . . . . . ▶ 3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Commonwealth Kitchen 196 Quincy Street Dorchester, MA 02121		PC	General Support	10,000
Communities That Care CLT 6031 NW First Place Gainesville, FL 32607		PC	General Support	6,000
Connecticut River Conservancy 15 Bank Row Greenfield, MA 01301		PC	Conway, MA Restoration and Climate Adaptation.	10,000
<b>Total . . . . .</b> ▶ <b>3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Cultural Arts Coalition 321 NW 10th Street Gainesville, FL 32601		PC	Working Food,Southern Style	5,000
Cultural Arts Coalition 321 NW 10th Street Gainesville, FL 32601		PC	Science Academy& Gardening Programs	17,700
Fair Food Network 1250 No Main Street North Suite Anne Arbor, MI 48104		PC	Expansion	10,000
<b>Total . . . . .</b> ▶ <b>3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Fibershed PO Box 221 San Geronimo, CA 94963		PC	General Support	8,000
Forage Inc 211 NW 10th Avenue Gainesville, FL 32601		PC	Working Food	87,500
Friends of Little Orange Creek PO Box 611 Hawthorne, FL 32640		PC	Creekside Ecologyfor Kids	7,000
<b>Total . . . . . ▶ 3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Gaining Ground 341 Virginia Road Concord, MA 01742		PC	General Support	10,000
Greater Duval Neighborhood Assoc 918 NE 18th Street Gainesville, FL 32641		PC	Community First Summit	5,000
Greater Duval Neighborhood Assoc 918 NE 18th Street Gainesville, FL 32641		PC	General Support	14,500
<b>Total . . . . .</b> ▶ <b>3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Grow Food Northampton 221 Pine Street Suite 349 Florence, MA 01062		PC	General Support	10,000
Healthcare Without Harm 12355 Sunrise Valley Drive Suite 68 Reston, VA 20191		PC	General Support	15,000
Holistic Management International 5941 Jefferson St NE Suite B Albuquerque, NM 87109		PC	General Support	8,000
<b>Total . . . . .</b> ▶ <b>3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
KNOX Inc75 Laurel Street Hartford, CT 06106		PC	Hands-on Gardening Education	20,000
New Mexico Farmers Marketing Association 1219 Luisa Street Unit 1 Santa Fe, NM 87505		PC	General Support	18,000
Planned Parenthood of the Rocky Mountains 719 San Mateo Blvd NE Albuquerque, NM 87108		PC	New MexicoOperations	40,000
<b>Total . . . . .</b> ▶ <b>3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Positive Futures Network 284 Madrona Way Suite 116 Bainbridge Island, WA 98110		PC	General Support	15,000
Post Carbon Institute 613 Fourth Street Suite 208 Santa Rosa, CA 95404		PC	Resilience Institute and Community Resilience Framework	8,000
Quivira Coalition 1413 Second Street Suite 1 Santa Fe, NM 87505		PC	Regenerate Conference	6,000
<b>Total . . . . . ▶ 3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Quivira Coalition 1413 Second Street Suite 1 Santa Fe, NM 87505		PC	General Support	18,000
Rebuilding Together North Central FL 4550 SW 41st Blvd Suite 2 Gainesville, FL 32608		PC	Community Weatherization Coalition	12,000
Sustainable Markets Foundation 45 West 36th Street 6th Floor New York, NY 10018		PC	Innovators in Regenerative Agriculture Book Series	17,000
<b>Total . . . . .</b> ▶ <b>3a</b>				463,202

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Third Sector New England 89 South Street Boston, MA 02111		PC	Gardening theCommunity	10,000
Western Landowners Alliance PO Box 6278 Santa Fe, NM 87502		PC	General Operations	15,000
			Pass-Through Trantsfrom Investments.	2
<b>Total . . . . .</b> ▶ <b>3a</b>				463,202

**TY 2018 Accounting Fees Schedule****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Vermont CPA Firm Acct. & Tax Services	13,585	6,588		6,997

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2018 Gain/Loss from Sale of Other Assets Schedule

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

### Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly Traded Securities		Purchased		Unrelated Third Parties	1,084,382	642,975	Cost	0	441,407	0

**TY 2018 Investments Corporate Bonds Schedule****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
Calvert Social Investment Foundation	200,000	197,508

## TY 2018 Investments Corporate Stock Schedule

**Name:** Lydia B Stokes Foundation

**EIN:** 21-6016107

### Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Ameresco Inc. Cl A	76,512	103,110
Bar Harbor Bankshares	84,144	131,621
Camden National Corp.	37,842	80,272
Century Bancorp	81,658	175,800
Connecticut Water Service Inc.	46,567	87,150
Cooperative Regions of Organic Producers Cl E	175,000	175,000
Equal Exchange Inc. Cl B Pfd	100,018	100,018
Federal Agricultural Mortgage Corp. Pfd A 5.875%	250,769	259,201
Hannon Armstrong Sustainable Infrastructure REIT	39,579	126,810
High Mowing Seed Company Pfd	100,010	78,793
Hingham Institution for Savings	75,620	71,284
Intuit Inc.	907	39,200
Miller Herman Inc.	98,364	147,510
Natus Medical Inc.	15,895	12,845
NTT Docomo Inc.	99,601	98,028
Telia Co. AB	85,905	88,200
Telus Corp.	120,256	129,185
Vodafone Group	145,320	94,714

**TY 2018 Investments - Other Schedule****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
Boston Impact Initiative Fund - Note Receivable		50,000	50,000
Central Grazing Company - Note Receivable		25,000	25,000
Coastal Enterprise - Note Receivable		100,000	100,000
Cooperative Fund of New England - Note Receivable		55,216	55,216
Forage - Note Receivable		100,000	100,000
Fresh Source Capital Fund I, LP		92,807	92,807
HCP, Inc. REIT		140,297	134,316
Highwoods PPTY REIT		89,693	82,600
Impact Assets Inc. - Note Receivable		100,000	100,000
Income & Impact Fund LLC		103,011	103,011
Iroquois Valley Farmland REIT		100,110	105,574
Iroquois Valley Farms - Note Receivable		100,000	100,000
Iroquois Valley Soil Restoration - Note Receivable		100,000	100,000
Maine Farm Business Loan Fund		79,746	79,746
Mid America Apartment Co. REIT		49,974	65,946
New Hampshire Community Loan Fund		200,000	200,000
Northeast Kingdom Tasting Center LLC		43,187	43,187
Patient Capital Fund - Note Receivable		100,000	100,000
Physicians Realty Trust REIT		121,185	144,752
Risk Capital Pool - Note Receivable		53,492	53,492
Skinny Pancake - Note Receivable		100,000	100,000
Sun Initiative Financing II LLC - Note Receivable		150,000	150,000
Sunwealth Loan Company 4 LLC - Note Receivable		50,000	50,000
Sunwealth Note Pool 2 LLC		47,371	47,371
The Lyme Forest Fund IV TE, LP		228,365	228,365
Ventas Inc. REIT		144,428	164,040
Vermont Community Loan Fund		50,000	50,000
Whitehorne LLC - Note Receivable		181,481	181,481

**TY 2018 Legal Fees Schedule****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Vermont Law Firm Legal Services	2,379	0		2,379

**TY 2018 Other Assets Schedule****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PRI: Northeast Kingdom Tasting Center LLC	42,847	38,478	38,478
PRI: Real Pickles Cooperative Inc. PFD 2,000	50,000	75,000	75,000
PRI: VSJF Flexible Capital Fund L3C	60,950	48,096	48,096

**TY 2018 Other Expenses Schedule****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bank fees	375	375		0
Investment expenses	13,473	13,473		0
Memberships	2,200	0		2,200
Miscellaneous	394	0		394

**TY 2018 Other Income Schedule****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Ordinary Business Income & Gains from Investments	-5,045	-5,045	
Investment Rental Loss	-4,205	-4,205	
Other Income	119	0	

**TY 2018 Other Professional Fees Schedule****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Investment Managers Investment Management	36,631	36,631		0
Consultants Consulting	1,380	0		1,380

**TY 2018 Taxes Schedule****Name:** Lydia B Stokes Foundation**EIN:** 21-6016107

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Foreign taxes	1,340	1,340		0
Federal excise taxes	12,500	0		0