Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 06-01-2020 , and ending 05-31-2021

Name of foundation
THE SHUBERT FOUNDATION INC

% MR ELLIOT H GREENE

A Employer identification number
13-6106961

Number and street (or P.O. box number if mail is not delivered to street address)
234 WEST 44TH STREET

Room/suite

B Telephone number (see instructions)
(212) 944-3777

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10036

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 918,577,996

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	177,557			
	2 Check ▶☐ if the foundation is **not** required to attach Sch. B				
	3 Interest on savings and temporary cash investments	2,704,889	2,704,889		
	4 Dividends and interest from securities	8,015,095	8,015,095		
	5a Gross rents	1,752,422	1,752,422		
	b Net rental income or (loss) 1,213,320				
	6a Net gain or (loss) from sale of assets not on line 10	48,746,598			
	b Gross sales price for all assets on line 6a 303,954,731				
	7 Capital gain net income (from Part IV, line 2)		48,746,598		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,632,105	-122,031	0	
	12 **Total.** Add lines 1 through 11	63,028,666	61,096,973	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	415,097			413,077
	14 Other employee salaries and wages	529,900			528,852
	15 Pension plans, employee benefits	321,573			321,573
	16a Legal fees (attach schedule)	10,988	1,436	0	9,552
	b Accounting fees (attach schedule)	105,000	39,500	0	54,000
	c Other professional fees (attach schedule)	2,399,312	2,399,312	0	0
	17 Interest	519	519		
	18 Taxes (attach schedule) (see instructions)	1,149,707	0	0	59,951
	19 Depreciation (attach schedule) and depletion	73,972	61,990	0	
	20 Occupancy				
	21 Travel, conferences, and meetings	988			988
	22 Printing and publications				
	23 Other expenses (attach schedule)	553,799	476,594	0	77,205
	24 **Total operating and administrative expenses.** Add lines 13 through 23	5,560,855	2,979,351	0	1,465,198
	25 Contributions, gifts, grants paid	32,051,000			32,051,000
	26 **Total expenses and disbursements.** Add lines 24 and 25	37,611,855	2,979,351	0	33,516,198
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	25,416,811			
	b **Net investment income** (if negative, enter -0-)		58,117,622		
	c **Adjusted net income** (if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	3,757,009	4,055,558	4,055,558
	2 Savings and temporary cash investments	25,334,497	12,149,280	12,149,280
	3 Accounts receivable ▶ 275,327			
	Less: allowance for doubtful accounts ▶	52,739	275,327	275,327
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	38,981,464	34,707,789	36,396,452
	b Investments—corporate stock (attach schedule)	365,226,349	378,632,762	609,654,310
	c Investments—corporate bonds (attach schedule)	136,105,071	148,692,166	150,056,296
	11 Investments—land, buildings, and equipment: basis ▶ 3,015,466			
	Less: accumulated depreciation (attach schedule) ▶ 563,795	2,497,681	2,451,671	23,174,000
	12 Investments—mortgage loans	8,096,833	21,471,164	21,371,207
	13 Investments—other (attach schedule)	49,300,000	53,472,320	60,233,943
	14 Land, buildings, and equipment: basis ▶ 1,187,620			
	Less: accumulated depreciation (attach schedule) ▶ 164,894	1,050,690	1,022,726	1,022,726
	15 Other assets (describe ▶)	673,402	188,897	188,897
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	631,075,735	657,119,660	918,577,996
Liabilities	17 Accounts payable and accrued expenses	2,796,871	3,709,112	
	18 Grants payable			
	19 Deferred revenue	30,686	45,093	
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)	2,827,557	3,754,205	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here** ▶ ☐ **and complete lines 24, 25, 29 and 30.**			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☑ **and complete lines 26 through 30.**			
	26 Capital stock, trust principal, or current funds	628,248,178	653,365,455	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 **Total net assets or fund balances** (see instructions)	628,248,178	653,365,455	
	30 **Total liabilities and net assets/fund balances** (see instructions)	631,075,735	657,119,660	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	628,248,178
2	Enter amount from Part I, line 27a	2	25,416,811
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	653,664,989
5	Decreases not included in line 2 (itemize) ▶	5	299,534
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	653,365,455

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	303,954,731		255,208,133	48,746,598
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				48,746,598
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	48,746,598
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2	Reserved	2	
3	Reserved	3	
4	Reserved	4	
5	Reserved	5	
6	Reserved	6	
7	Reserved	7	
8	Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary–see instructions)		
b	Reserved	1	807,835
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	807,835
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	807,835
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020 — 6a: 546,048		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 300,000		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	846,048
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	2,391
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	35,822
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 35,822 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ _____ **(2)** On foundation managers. ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ WWW.SHUBERTFOUNDATION.ORG

14 The books are in care of ▶ MR ELLIOT H GREENE Telephone no. ▶ (212) 944-3700

Located at ▶ 234 WEST 44TH ST NEW YORK NY ZIP+4 ▶ 10036

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year | 15 |

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	16		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions 5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b		No
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No		
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? 7b		No
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PHILIP J SMITH 234 WEST 44TH STREET NEW YORK, NY 10036	CHAIRMAN THRU 6/30/2020 1.0	0	0	0
ROBERT E WANKEL 234 WEST 44TH STREET NEW YORK, NY 10036	CHAIRMAN AS OF 7/1/2020 1.0	0	0	0
DIANA PHILLIPS 234 WEST 44TH STREET NEW YORK, NY 10036	PRESIDENT 3.0	79,212	0	0
WYCHE FOWLER JR 234 WEST 44TH STREET NEW YORK, NY 10036	DIRECTOR 1.0	0	0	0
PAMELA NEWKIRK 234 WEST 44TH STREET NEW YORK, NY 10036	DIRECTOR AS OF DEC 2020 1.0	0	0	0
RICHARD J POCCIA 234 WEST 44TH STREET NEW YORK, NY 10036	DIRECTOR 1.0	0	0	0
LEE J SEIDLER 234 WEST 44TH STREET NEW YORK, NY 10036	DIRECTOR 1.0	0	0	0
STUART SUBOTNICK 234 WEST 44TH STREET NEW YORK, NY 10036	DIRECTOR 1.0	0	0	0
ELLIOT H GREENE 234 WEST 44TH STREET NEW YORK, NY 10036	TREASURER 2.0	0	0	0
JUAN CALVO 234 WEST 44TH STREET NEW YORK, NY 10036	ASSISTANT TREASURER 2.0	0	0	0
GILBERT HOOVER IV 234 WEST 44TH STREET NEW YORK, NY 10036	SECRETARY 2.0	0	0	0
VICKI REISS 234 WEST 44TH STREET NEW YORK, NY 10036	ASST SECRETARY/EXECUTIVE DIR 40.0	335,885	173,939	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
AMY WINE-DORFMAN 234 WEST 44TH STREET NEW YORK,NY 10036	PROGRAM DIRECTOR 40.0	198,818	80,175	0
MARK SWARTZ 234 WEST 44TH STREET NEW YORK,NY 10036	ARCHIVE DIRECTOR 40.0	105,515	47,386	0
SYLVIA WANG 234 WEST 44TH STREET NEW YORK,NY 10036	ARCHIVIST 40.0	74,475	66,271	0
MEGHAN GUAR NEE LONG 234 WEST 44TH STREET NEW YORK,NY 10036	SR PROGRAM ASSOCIATE 40.0	49,444	68,241	0
JOSH HARDCASTLE 234 WEST 44TH STREET NEW YORK,NY 10036	PROCESSING ARCHIVIST 40.0	43,365	28,449	0
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
1919 INVESTMENT COUNSEL 13985 COLLECTION CENTER DRIVE CHICAGO,IL 60693	INVESTMENT ADVISOR	1,369,275
JPMORGAN CHASE 270 PARK AVENUE NEW YORK,NY 100172014	INVESTMENT ADVISOR	400,267
CITY NATIONAL BANK 555 SOUTH FLOWER STREET LOS ANGELES,CA 90071	INVESTMENT ADVISOR	225,115
PRICEWATERHOUSECOOPERS LLP 300 MADISON AVENUE NEW YORK,NY 10017	ACCOUNTING/TAX	100,000

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE SHUBERT FOUNDATION, INC. MAINTAINS AN ARCHIVE FOR THE PRESERVATION OF THE HISTORY OF THE PERFORMING ARTS. NO INCOME IS DERIVED FROM THIS ACTIVITY.	375,485
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NOT APPLICABLE	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	787,599,148
b	Average of monthly cash balances		1b	2,846,521
c	Fair market value of all other assets (see instructions)		1c	23,638,222
d	**Total** (add lines 1a, b, and c)		1d	814,083,891
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	814,083,891
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	12,211,258
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	801,872,633
6	**Minimum investment return.** Enter 5% of line 5		6	40,093,632

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	40,093,632
2a	Tax on investment income for 2020 from Part VI, line 5	2a	807,835		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	807,835
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	39,285,797
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	39,285,797
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	39,285,797

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26		1a	33,516,198
b	Program-related investments—total from Part IX-B		1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		2	0
3	Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)		3a	0
b	Cash distribution test (attach the required schedule)		3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4		4	33,516,198
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions		5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4		6	33,516,198

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				39,285,797
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only			0	
b Total for prior years: 2018, 2017, 2016		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015 3,350,808				
b From 2016				
c From 2017				
d From 2018				
e From 2019				
f **Total** of lines 3a through e	3,350,808			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 33,516,198				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2020 distributable amount				33,516,198
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	3,350,808			3,350,808
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				2,418,791
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018				
d Excess from 2019				
e Excess from 2020				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

VICKI REISS
234 WEST 44TH STREET
NEW YORK,NY 10036
(212) 944-3777

b The form in which applications should be submitted and information and materials they should include:

ALL REQUESTS MUST BE SUBMITTED ON THE FOUNDATION'S APPLICATION FORM AND INCLUDE A COPY OF THE APPLICANT'S LETTER FROM THE U.S. TREASURY DEPARTMENT GRANTING FEDERAL TAX EXEMPTION UNDER SECTION 501(C)(3) OF THE U.S. INTERNAL REVENUE CODE. TO BE ELIGIBLE FOR A GRANT, APPLICANTS MUST SUBMIT FINANCIAL STATEMENTS FOR THEIR MOST RECENT FISCAL YEAR, AUDITED BY CERTIFIED PUBLIC ACCOUNTANTS. THE PRINCIPAL GOAL OF THE GRANTS PROGRAM IS TO BUILD AND PERPETUATE THE LIVE PERFORMING ARTS, PARTICULARLY THE PROFESSIONAL THEATRE, IN THE UNITED STATES. ACCOMPLISHMENT OF THIS GOAL INVOLVES SUPPORT BOTH OF THE THEATRICAL INSTITUTIONS AND OF THOSE OTHER ELEMENTS OF THE PERFORMING ARTS AND RELATED INSTITUTIONS THAT ARE NECESSARY TO MAINTAIN AND SUPPORT THE THEATRE.

c Any submission deadlines:

SEE GENERAL EXPLANATION ATTACHMENT

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE SHUBERT FOUNDATION GENERALLY DOES NOT PROVIDE FUNDS FOR: AUDIENCE DEVELOPMENT; DIRECT SUBSIDIES TO REDUCED PRICE ADMISSIONS; OR PERFORMING GROUPS WHOSE PRINCIPAL PURPOSE IS TO BRING THEATRICAL PRODUCTIONS TO SPECIALIZED AUDIENCES. GENERALLY, SUPPORT IS NOT PROVIDED FOR "BRICKS AND MORTAR" PROJECTS.

Ohio Secretary of State - Business Search

Business Search - Search Results

New Search | Printer Friendly

Business Name	Business Type	Business Number	Status	Filing Date
1 ON 1 PRODUCTIONS LLC	Domestic LLC	1	Active	10/31/2017
1 STOP SHOP

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,704,889	
4 Dividends and interest from securities			14	8,015,095	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	1,752,422	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	48,746,598	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a OTHER INVESTMENTS	525990	1,754,136	18	-122,031	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		1,754,136		61,096,973	
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				**13**	62,851,109

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2022-03-10

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
LAURA J PARELLO				P01080295

Firm's name ▶ PricewaterhouseCoopers LLP — Firm's EIN ▶

Firm's address ▶ 300 Madison Avenue, New York, NY 10017 — Phone no. (646) 471-3000

Additional Data

Return to Form

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization
THE SHUBERT FOUNDATION INC

Employer identification number
13-6106961

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE SHUBERT FOUNDATION INC

Employer identification number
13-6106961

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TRUST UWO LEE SHUBERT FBO SHUBER C/O FORTRESS FIDUC129 CHURCH ST ST NEW HAVEN, CT 06510	$ 177,557	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
THE SHUBERT FOUNDATION INC

Employer identification number
13-6106961

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization
THE SHUBERT FOUNDATION INC

Employer identification number
13-6106961

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.)** ▶ **$** _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PRICEWATERHOUSECOOPERS LLP	105,000	39,500	0	54,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAND	1947-07-01	1,600,000	0	L		0			
BLDG IMPROVEMENTS	2009-12-01	161,797	124,045	SL	15	10,786	10,786	0	
FURNITURE/FIXTURES	2012-12-01	41,468	41,078	DDB	7	389			
EDP EQUIPMENT	2012-12-01	25,733	22,219	DDB	5	1,796			
BLDG IMPROVEMENTS	2009-12-01	1,161,759	319,402	M39	39	29,788	29,788		
BLDG IMPROVEMENTS	2012-12-01	35,419	7,682	M39	39	908			
LAND	2017-04-01	441,680	0	L		0			
BUILDINGS	2017-04-01	623,320	59,284	M39	39	15,982	15,982		
BLDG IMPROVEMENTS	2009-12-01	91,910	74,340	M15	16	5,434	5,434		
EDP EQUIPMENT	2020-11-01	20,000	6,667	DDB	3	8,889			

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Identifier	Return Reference	Explanation
FORM 990-PF, PART XV, LINE 2C	FORM 990-PF, PART XV, LINE 2C	GRANT APPLICATIONS BECOME AVAILABLE ONLINE SEPTEMBER - DECEMBER. THE DEADLINE FOR DANCE, ARTS RELATED AND EDUCATION APPLICATIONS IS OCTOBER 15 WHICH MUST BE RECEIVED NO LATER THAN 6 PM ON THIS DATE. THE DEADLINE FOR THEATRE APPLICATIONS IS DECEMBER 1 WHICH MUST BE RECEIVED NO LATER THAN 6PM ON THIS DATE. THE DEADLINE FOR AUDITED FINANCIAL STATEMENTS FOR DANCE, ARTS RELATED AND EDUCATION APPLICATIONS IS DECEMBER 15 WHICH MUST BE RECEIVED NO LATER THAN 6 PM ON THIS DATE. THE DEADLINE FOR AUDITED FINANCIAL STATEMENTS FOR THEATER APPLICATIONS IS FEBRUARY 1 WHICH MUST BE RECEIVED NO LATER THAN 6 PM ON THIS DATE. GRANTS ARE ANNOUNCED AND DISBURSED LAST WEEK OF MAY. LAST WEEK OF MAY. LAST WEEK OF MAY.

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JPM MANAGED INC FD-INSTL FD 2	2,133,344	2,133,344
DOUBLELINE TOTL RET BND-I	4,641,353	4,510,025
SCHWAB U.S. TIPS ETF @55.04	7,060,307	7,523,028
DODGE & COX INCOME FUND	5,696,170	5,863,334
PIMCO TOTAL RETURN FD-INST	4,558,597	4,711,259
VANGUARD TOTAL BOND MARKET IND	14,812,562	14,612,606
PIMCO INV GRD CRD BND INST	0	0
VANGUARD SHORT-TERM TIPS	2,220,831	2,249,679
RABOBNK NEDERLAND 4.5% 1/11/21	0	0
WELLS FARGO & CO 4.6% 4/1/21	0	0
BLACKROCK INC 4.250% 5/24/21	0	0
STATE STREET CORP 3.7% 11/20	1,040,000	1,128,816
ORACLE CORP 2.800% 7/8/21	425,000	426,190
GILEAD SCIENCES INC 4.5% 4/1	0	0
TD AMERITRADE HLDG 2.950% 4/1	850,000	865,725
WELLS FARGO & COMPANY 4.125	1,500,000	1,620,000
QUALCOMM INC 3.45% 05/20/2025	1,300,000	1,428,570
PEPSICO INC 3.1% 07/17/2022	0	0
UNITEDHEALTH GRP 3.35% 7/15/22	0	0
INTEL CORP 3.1% 07/29/22	0	0
GILEAD SCIENCES 3.65% 03/01/26	1,200,000	1,327,320
STARBUCKS CORP 2.45% 6/15/26	1,600,000	1,689,760
APPLE INC 2.450% 8/4/26	3,000,000	3,198,000
NIKE INC 2.375% 11/01/26	0	0
HSBC HOLDINGS FLT% 3/13/23	880,000	900,592
JP MORGAN CHASE FLT% 6/7/21	0	0
QUALCOMM INC FLOAT% 1/30/23	970,000	980,185
JPMORGAN CHASE FLT% 6/1/21	0	0
TORONTO-DOMINION 1.85% 9/11/20	0	0
ORACLE CORP 2.625% 2/15/23	2,165,000	2,247,270
INTERCONTINENTAL 3.75% 12/1/25	750,000	834,675
SIMON PROPERTY GROUP 3.375%	1,390,000	1,513,710
BANK OF AMERICA VAR RT 1/23/26	1,400,000	1,520,540
WELLS FARGO CO MTN 3.450% 2/13	105,000	110,477
BANK OF AMERICA CORP 5.70% 1/2	90,000	93,168
AT&T INC 3.800% 03/15/2022	0	0
ANHEUSER-BUSCH 3.3% 02/01/2020	0	0
GEN MOTORS FIN 5.250% 3/01/26	0	0
BANK NOVA SCOTIA 2.45% 3/22/20	0	0
AMERICAN TOWER CORP 4.00% 6/01	80,000	88,426
ABBOTT LABS 3.400% 11/30/2023	45,000	0
CITIGROUP INC MTN 3.3% 4/27/22	55,000	109,199
HCP INC 4.000% 6/1/2025	0	0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SUMITOMO MITSUI 2.846% 1/11/22	25,000	25,409
LABORATORY CORP 3.200% 2/1/22	60,000	61,140
KLA-TENCOR CORP 4.65% 11/01/20	55,000	61,562
BK MONTREAL MTN V-Q 1.9472%	110,000	110,760
UNITEDHEALTH GRP 2.375%	100,000	102,987
NISSAN MSTR ABS V-M VAR% 10/17	0	0
MORGAN STANLEY VQ VAR% 4/24/24	100,000	106,207
GOLDMAN SACHS GRP 4.25% 10/21	80,000	89,934
AMERICAN EXPRESS CO 3.4% 2/27	115,000	120,870
GENERAL MILLS INC 4% 4/17/25	85,000	94,380
AMER HONDA MTN VAR% 5/10/23	105,000	105,337
DUKE ENERGY CORP 3.4% 6/15/29	95,000	102,539
SHELL INTERNATIONAL 2.375% 4/6	100,000	105,887
AMERICA MOVIL SAB 3.125% 7/16	70,000	71,940
AT&T INC 4.3% 2/15/30	85,000	96,835
SUNOCO LOGISTICS 5.95% 12/1/25	70,000	82,399
VERIZON COMM 3.376% 2/15/25	85,000	92,622
PROLOGIS LP 2.125% 4/15/27	90,000	93,369
JEFFERIES GROUP LLC 4.85% 1/15	80,000	93,211
PREMIUM/(DISC) - CORP BONDS	74,969	0
PREPAID INTEREST - CORP BONDS	2,379	2,379
ACCRUED INTEREST - CORP BONDS	14,178	14,178
CITY NATL ROCHDALE FIXED INC	13,555,706	12,979,761
INTL BK RECON 3.125% 11/20/25	2,760,000	3,048,420
JP MORGAN CHASE FLT% 1/29/27	2,700,000	3,020,490
BANK OF AMERICA 5.625% 7/1/20	0	0
WELLS FARGO 2.6% 7/22/20	0	0
FORD CREDIT 2.37% 7/22/20	0	0
BLACKROCK INC 3.25% 4/30/29	2,000,000	2,213,800
BRISTOL MYERS 3.4% 7/26/29	2,900,000	3,216,100
BANK OF MONTREAL 2.5% 6/28/24	1,520,000	1,611,048
UNITED HEALTH 2.875% 8/15/29	2,545,000	2,719,078
BANK OF MONTREAL 3.1% 7/13/20	0	0
MIDAMERICAN ENERGY 3.65% 4/15	1,500,000	1,683,300
COMCAST CORP 3.15% 2/15/28	2,550,000	2,777,460
PNC FINANCIAL SVS 2.2% 11/1/24	1,675,000	1,769,973
PROLOGIS LP 2.125% 4/15/27	3,150,000	3,267,810
JOHN DEERE CAP CO 1.2% 4/6/23	0	0
PRUDENTIAL FNL SR NTS MTN 1.5%	2,185,000	2,225,860
PRUDENTIAL SR NTS MTN 5.375%	0	0
PROGRESSIVE CORP SR NTS 3.2%	3,000,000	3,244,800
PRUDENTIAL FNL SR NTS MTN 4.5%	0	0
CATERPILLAR FNL SVS CORP 2.9%	0	0
JOHN DEERE CAP 1.95% 6/22/20	0	0
AMERICAN EXPRESS 2.6% 9/14/20	0	0
UNITED HEALTH 1.95% 10/15/2020	0	0
BANK OF MONTREAL SR NTS 1.85%	970,000	1,005,405

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CATERPILLAR FNL SVS CORP 0.95%	0	0
TOYOTA MTR CR 1.35% 8/25/2023	1,325,000	1,353,620
TRUIST FINL CORP SR 1.2%	725,000	733,555
ALPHABET INC SR NTS 0.45% 8/15	2,100,000	2,084,040
HONEYWELL INTL SR 0.483% 8/19	1,400,000	1,401,120
JOHNSN & JOHNSN SR NTS 0.95%	3,335,000	3,287,977
AL PWR CO SR NTS 1.45% 9/15/20	3,315,000	3,109,802
COCA-COLA CO/THE 1.0% 3/15/28	3,350,000	3,237,775
GILEAD SCIENCES INC 1.2% 10/01	3,300,000	3,235,980
ORACLE CORP SR NTS 1.9% 09/15	2,200,000	2,207,920
MASTERCARD INC SR NTS 3.3% 3/2	1,500,000	1,664,550
BRISTOL MYERS 0.75% 11/13/20	1,900,000	1,889,360
ALLSTATE CORP 0.75% 12/15/20	1,895,000	1,885,336
CHARLES SCHWAB 0.9% 03/11/2026	2,734,000	2,728,532
CITIGROUP INC 4.45% 09/29/2027	750,000	858,375
MORGAN STANLEY NTS VR 12/10/20	900,000	889,470
THE WALT DISNEY CO 1.75% 01/13	820,000	843,698
GOLDMAN SACHS 3.5% 11/16/20	800,000	874,400
WELLTOWER INC SR 2.7% 02/15	800,000	849,840
BOSTON PRPTS 4.5% 12/01/2028	800,000	921,280
FORTINET INC 1.0% 03/15/26	2,000,000	1,978,200
VERIZON COMM SR 1.45% 03/20/26	1,675,000	1,691,918
AT&T INC SR 0.9% 03/25/2024	2,000,000	2,005,600
PREMIUM/(DISC) - CORP BONDS	2,266,332	0
PREPAID INTEREST - CORP BONDS	10,090	10,090
ACCRUED INTEREST - CORP BONDS	461,396	461,396
GOLDMAN SACHS H/Y FLOAT	0	0
ING FUNDING LLC	0	0
JPM MORGAN ZERO 8/12/2020	0	0
KOCH INDUSTRIES ZERO 8/24/2020	1,749,952	1,749,314
MITSUBISHI ZERO 6/15/2020	0	0
PACCAR ZERO 7/9/2020	0	0
PACCAR ZERO 7/23/2020	0	0
EXXON MOBIL ZERO 8/10/2020	0	0

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC
EIN: 13-6106961

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACCRUED INTEREST-MUTUAL FUNDS	852	852
ISHARES MSCI JAPAN ETF	1,695,411	2,672,387
OAKMARK INTERNATIONAL-INST	3,274,161	4,174,989
FIDELITY INTERNATIONAL INDEX	1,890,866	2,332,100
ISHARES INC MSCI JAPAN ETF	3,774,742	4,240,507
ISHARES CORE MSCI EMERGING	5,182,423	6,294,159
ISHARES MSCI ALL COUNTRY ASI	1,886,466	2,829,543
T ROWE PR JAPAN FUND-I	2,342,482	2,986,387
T ROWE PR NEW ASIA-I	2,038,420	2,803,088
ISHARES GLOBAL INFRASTRUCTURE	0	0
MATTHEWS CHINA DIVID INST	1,797,108	2,735,186
FIDELITY 500 INDEX FD-AI	34,669,040	50,897,294
INVESCO QQQ TR UNITSER1	5,132,649	7,942,859
VANGUARD FINANCIALS ETF	4,575,814	5,309,026
HEALTH CARE SELECT SECTOR SPDR	5,454,800	6,722,509
EDGEWOOD GROWTH FUND INST	5,405,055	7,657,766
ISHARES RUSSELL 1000 VALUE ETF	4,990,638	5,990,008
INDUSTRIAL SELECT SECT SPDR	4,421,222	5,995,192
VANGUARD MID-CAP ETF	1,694,375	2,583,659
PIMCO COMMODITYPLL STRAT-INS	2,635,260	3,187,136
ISHARES GOLD TRUST	2,628,412	3,037,718
VANECK VECTORS GOLD MINES ETF	0	0
VANGUARD FTSE EUROPE ETF	4,316,465	4,766,927
PRUDENTIAL GLOBAL REAL EST-FD	3,974,118	6,444,856
PEG GLOBAL PRIVATE EQ INST INV	7,676,885	8,630,950
GROSVENOR ALTERNATIVE INVST	17,742,485	21,725,102
XAR AMERIGROVE XII PARTNERS	2,561,796	5,025,602
PEG GLOBAL PRIVATE EQ VIII OFF	3,835,840	4,080,920
ABRCAP HOLDINGS NV	134,121	156,999
AMAZON.COM, INC	374,580	844,444
ANTHEM INC	289,294	413,352
AON PLC	425,912	649,894
APPLE COMPUTER INC.	2,030,852	10,803,044
AMERICAN TOWER CORP	1,302,053	1,277,300
APTIV PLC	2,756,177	2,718,842
BROADCOM INC	0	0
BANK OF AMERICA CORP	5,647,464	7,483,955
CLARIVATE PLC	2,187,866	2,973,960
CROWN CASTLE INTL CORP	1,728,539	6,375,728
CME GROUP INC	2,637,264	3,564,694
CHIPOTLE MEXICAN GRILL INC	2,454,377	2,366,666
AMERICOLD REALTY TRUST	732,786	760,400
CISCO SYSTEMS INC	3,698,276	3,730,079
DUKE REALTY INVESTMENT INC.	719,748	929,200
GILEAD SCIENCES INC	0	0
GENERAL MILLS INC	0	0
ALPHABET INC/CA-CL C	2,152,839	10,241,895
MCDONALD'S CORP.	0	0
NESTLE S A	0	0
US BANCORP	0	0
V F CORP	0	0
XYLEM INC	0	0
ACCRUED DIVIDEND COMMON STOCK	218,571	218,571
AMERICAN WATER WORKS CO INC	682,587	2,906,625
JP MORGAN CHASE BANK	2,778,484	7,679,862
PEPSICO INC.	0	0
BERKSHIRE HATHAWAY INC	259,951	375,983
CITIGROUP INC	488,879	627,476
CHARTER COMMUNICATIONS INC-A	46,265	123,620
DANAHER CORP	1,162,388	7,104,043
ESTEE LAUDER COMPANI	859,436	4,659,717
ACCENTURE PLC	0	0
TJX COMPANIES INC.	1,805,272	5,235,701
THERMO ELECTRON CORP	863,270	8,338,320
DISH NETWORK CORP	259,522	328,707
LAZARD INTER STRAT EQUITY	15,948,168	19,384,926
WALT DISNEY CO.	4,307,632	5,534,577
EATON CORP.	4,611,710	5,502,116
EQUINIX INC	3,061,225	3,248,935
NATIONAL VISION HOLDINGS INC	2,417,090	2,793,938
FORTINET INC	2,745,473	4,677,849
GENMAB A/S - SP ADR	1,926,531	2,678,889
GOOGLE INC CLASS A	185,929	1,791,206
HANNON ARMSTRONG SUSTAINABL	2,224,709	3,231,807
HOME DEPOT INC.	2,299,749	8,832,531
HEICO CORP - CLASS A	2,503,666	3,420,780
INVITATION HOMES INC	713,586	943,020
IQVIA HOLDINGS INC	2,145,581	3,579,585
PARKER-HANNIFIN CORP	0	0
VISA INC CLASS A SHARES	1,305,694	6,276,890
ABBOTT LABORATORIES	0	0
ADOBE SYSTEMS INC.	1,007,740	3,683,434
ANALOG DEVICES INC	0	0
AUTODESK INC.	1,890,452	3,158,753
AMERISPRISE FINANCIAL	0	0
AMAZON.COM	5,204,643	11,264,630
ANTHEM INC	0	0
AON PLC	3,874,386	4,208,476
BOSTON SCIENTIFIC CO	0	0
BWX TECHNOLOGIES IN	2,954,179	3,214,869
BOSTON PROPERTIES	0	0
CINTAS CORP	2,544,342	6,017,251
FACEBOOK INC-A	1,889,667	3,767,246
FORTIVE CORP	0	0
HERSHEY CO/THE	2,314,502	2,730,729
HEALTH CARE SELECT S	0	0
IDEXX LABORATORIES CO.	1,898,900	2,034,295
SALESFORCE.COM INC	1,558,871	2,888,153
TEKFLEX INC	3,238,079	5,001,233
WALT DISNEY CO.	157,025	273,335
CAPITAL ONE FINANCIAL CORP	101,220	240,527
COMCAST CORP-CLASS A	488,667	680,626
FACEBOOK INC-A	642,991	980,273
GENERAL ELECTRIC CO.	405,472	664,954
GCI LIBERTY INC - CLASS A	0	0
GENERAL MOTORS CORPORATION	156,710	279,054
ALPHABET INC/CA-CL C	481,077	1,075,556
APARTMENT INVT & MGMT CO	457,886	98,904
APARTMENT INCOME REIT CO	534,095	653,471
ANGEN INC.	0	0
CHUBB LTD	2,758,274	3,855,373
COSTCO COMPANIES INC.	1,046,401	2,557,862
DIGITAL REALTY TRUST INC	1,238,437	2,421,019
EQUITY RESIDENTIAL	0	0
ESSEX PROPERTY TRUST INC.	538,484	711,649
ESSEX PROPERTY TRUST INC	626,133	672,930
HIGHWOOD PROPERTIES INC	530,508	523,950
HONEYWELL INT'L	2,276,110	3,751,133
INTUIT INC.	896,441	3,665,084
ILLINOIS TOOL WORKS INC.	0	0
JOHNSON & JOHNSON	0	0
KLA INSTRUMENTS CORP	705,716	0
LINDE PLC	1,867,616	3,044,777
LOCKHEED MARTIN CORP.	0	0
MACERICH CO	0	0
3M CO	0	0
ALTRIA GROUP INC	0	0
MERCK & CO.	1,712,682	2,040,682
MICROSOFT CORP	6,271,664	14,871,440
NEXTERA ENERGY INC	2,800,873	4,490,949
NATIONAL STORAGE AFFILIATES	666,880	1,613,500
NVIDIA CORP	2,034,420	6,403,582
REALTY INCOME CORP	705,773	807,120
PALO ALTO NETWORKS INC	2,197,450	3,392,755
PAYCHEX INC	0	0
PIEDMONT OFFICE REALTY	0	0
PFIZER INC.	0	0
PROLOGIS INC	2,156,011	4,942,092
PHILIP MORRIS INTL INC.	0	0
PUBLIC STORAGE	523,864	564,960
PAYPAL HOLDINGS INC	769,181	3,517,624
QUALCOMM INC	3,416,553	6,639,549
ROYAL DUTCH SHELL PLC ADR	0	0
RAYTHEON TECHNOLOGIES CORP	0	0
SBA COMMUNICATIONS CORP	603,948	596,240
SCHWAB CHARLES CORP	2,819,455	2,950,308
SOLAREDGE TECHNOLOGIES	1,524,895	3,543,767
STEEL DYNAMICS INC	2,754,501	3,236,996
SUNSTONE HOTEL INVESTORS	0	0
TRUIST FINANCIAL CORP	2,593,358	4,757,060
TARGET	0	0
TEXAS INSTRUMENTS	0	0
VALERO ENERGY CORP	0	0
VERISIK ANALYTICS INC	2,062,912	2,150,869
WEYERHAEUSER CO	625,268	829,806
ZIMMER BIOMET HOLDINGS INC	3,956,376	4,136,710
APPLE COMPUTER INC.	338,146	1,161,365
ACCENTURE PLC	186,196	310,376
ADOBE SYSTEMS INC.	253,936	963,748
AVANGRID INC	267,029	288,441
ALLY FINANCIAL INC	422,947	620,959
APPLIED MATERIALS	259,665	300,433
AMAZON.COM, INC	347,988	835,114
AON PLC	565,931	618,223
ARES CAPITAL CORP CO	370,166	447,129
ASML HOLDING N.V. SPONS ADR	203,981	472,829
AMERICAN WATER WORKS CO INC	204,081	402,277
ALIBABA GROUP HOLDING LIMITED	873,219	599,088
BERKSHIRE HATHAWAY INC	310,239	532,570
BLACKSTONE GROUP INC CL A	334,586	583,821
CROWN CASTLE INT'L CORP	285,496	341,100
COLGATE PALMOLIVE CO	0	0
COMCAST CORP SPL A	292,265	441,518
CME GROUP HOLDINGS	356,833	651,905
COSTCO COMPANIES INC	257,159	902,536
CHARLES RIV LABORATORIES INTL	260,406	506,985
CINTAS CORP	217,938	620,463
CVS	0	0
DOMINION RESOURCES INC. VA	289,553	283,241
DANAHER CORP	147,980	450,806
WALT DISNEY CO.	480,394	768,195
DUKE ENERGY HOLDING CORP	366,823	420,924
EMERSON ELECTRIC CO (OLD EMERY	332,455	459,312
EVERSOURCE ENERGY	228,631	292,284
EDWARDS LIFESCIENCES CORP	109,770	401,857
EXPEDIA GROUP INC	312,900	344,168
FACEBOOK INC-A	183,969	427,625
FIFTH THIRD BANCORP	304,071	495,396
GENERAL MILLS INC	305,254	295,442
ALPHABET INC/CA-CL A	341,564	895,603
HCA INC	218,059	494,181
HOME DEPOT INC	506,553	1,073,132
INTERNATIONAL PAPER CO	303,227	397,530
JOHNSON & JOHNSON	218,413	297,034
JP MORGAN CHASE BANK	463,208	837,624
L3 HARRIS TECHNOLOGIES INC	208,420	218,060
LOCKHEED MARTIN CORP.	212,211	284,739
MASTERCARD INC CL A	482,597	1,519,845
MID-AMER APARTMENT COMM REIT	248,454	379,252
MCDONALD'S CORP.	241,433	419,833
ALTRIA GROUP INC	0	0
MICROSOFT CORP	649,666	1,935,020
NEXTERA ENERGY INC	215,128	414,425
NATIONAL RETAIL PROPERTIES	240,168	254,220
NORTHROP GRUMMAN CORPORATION	331,217	497,583
NXP SEMICONDUCTORS	632,472	798,111
PEPSICO INC.	309,312	497,575
PROLOGIS INC	248,313	468,060
PHILIP MORRIS INTL I	0	0
ROPER TECHNOLOGIES INC	342,808	495,011
STARBUCKS CORP	573,830	747,622
SHERWIN-WILLIAMS CO	361,661	425,295
S&P GLOBAL INC	227,251	555,629
AMERICAN TEL & TEL	460,167	397,894
TJX COMPANIES INC.	236,306	243,144
THERMO ELECTRON CORP	265,044	766,243
T-MOBILE US INC	386,208	579,945
TRANSUNION	240,113	299,600
UNILEVER PLC SPONS ADR NEW	0	0
UNITED HEALTHCARE CO	455,230	1,103,946
VERIZON COMMUNICATION	255,220	284,992
WAL MART STORES INC	263,514	518,410
XCEL ENERGY INC	0	0
ZOETIS INC	392,595	861,315
ACCRUED DIVIDEND COMMON STOCK	34,677	34,677
ACCRUED INTEREST-MUTUAL FUNDS	161,090	161,090
CITY NATIONAL ROCHDALE EMERG	0	0
STONE RIDGE RNS RISK PREM FD	0	0
EMRON EMERGING MARKETS FUND	408,000	395,660
TOUCHSTONE SANDS CAP EMRG MK	136,000	128,075
MBRAE ASSET EMRG MKTS	136,000	132,654
INVESCO PREFERRED ETF	390,263	394,604
GOOGLE INC CLASS A	38,821	294,606
GOLDMAN SACHS & CO	306,803	594,116
HILTON WORLDWIDE HLDGS INC	201,723	385,456
HEXCEL CORPORATION	0	0
LIBERTY BROADBAND-C	359,403	624,918
LIBERTY TRIPADVISOR HOG-A	0	0
LYONDELLBASELL INDUSTRIES	48,184	143,928
TRIPADVISOR INC	0	0
MARRIOTT INTERNATIONAL INC	370,959	560,393
MORGAN STANLEY	346,958	548,792
MICROSOFT CORP	305,112	1,032,926
NETFLIX INC	406,401	529,962
SPDR S&P 500 ETF TRUST	0	0
MATTHEWS ASIA DIVIDEND FUND	8,308,752	10,883,676
CONESTOGA SMALL CAL INSTL	22,296,585	31,366,109
ISHARES RUSSELL 2000 ETF	9,010,983	10,542,125
AG SUPER FUND INTL LTD NR SER	6,000,000	10,596,287
UNITED HEALTHCARE CORP	178,683	520,667
WABTEC CORPORATION	0	0
WELLS FARGO & CO	356,612	639,877
WILLIS TOWERS WATSON PLC	192,135	224,247
WOODWARD INC	72,915	100,093
ISHARES RUSSELL 2000 ETF	2,623,898	2,593,250
STONERIDGE RNS RISK PREM FD	70,915	57,579
ACCRUED DIVIDEND COMMON STOCK	7,333	7,333
INVESTMENT IN PARTNERSHIPS	0	0

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

US Government Securities - End of Year Book Value:	34,417,789
US Government Securities - End of Year Fair Market Value:	36,073,418
State & Local Government Securities - End of Year Book Value:	290,000
State & Local Government Securities - End of Year Fair Market Value:	323,034

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LAND	1,600,000	0	1,600,000	23,174,000
BLDG IMPROVEMENTS	161,797	134,831	26,966	0
BLDG IMPROVEMENTS	1,161,759	349,190	812,569	0
BLDG IMPROVEMENTS	91,910	79,774	12,136	0

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
INVESTMENT IN THE SHUBERT ORG.	AT COST	49,300,000	49,300,000
INVESTMENT IN PARTNERSHIPS	AT COST	4,172,320	10,933,943

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
FURNITURE/FIXTURES	41,468	41,467	1	
EDP EQUIPMENT	25,733	24,015	1,718	6,162
BLDG IMPROVEMENTS	35,419	8,590	26,829	26,829
LAND	441,680	0	441,680	441,680
BUILDINGS	623,320	75,266	548,054	548,055
EDP EQUIPMENT	20,000	15,556	4,444	

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL LEGAL FEES	10,988	1,436	0	9,552

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PREPAID EXPENSES	17,838	17,369	17,369
PREPAID INSURANCE	6,278	7,766	7,766
SECURITY DEPOSITS	78,368	78,393	78,393
PREPAID REAL ESTATE TAXES	22,535	20,295	20,295
PREPAID LEASE COMMISSIONS	80,663	63,379	63,379
PREPAID INDUSTRIAL EQ MAINT	1,577	1,695	1,695
PREPAID EXCISE TAX	466,143	0	0

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Description	Amount
NONDEDUCTIBLE PENSION & POSTRETIREMENT	299,534
BENEFIT EXPENSE	0

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	57,168	29,887	0	27,281
OFFICE EXPENSE	2,477			2,477
TELEPHONE	9,433			9,433
MISCELLANEOUS	9,625	6,675		2,950
ELECTRICITY	2,725	2,725		
HEATING	11,282	11,282		
REPAIR & MAINTENANCE	105,548	100,266		5,282
PROTECTION	5,130	2,786		2,344
REAL ESTATE TAXES	295,476	295,476		
WATER & SEWER TAXES	9,694	9,694		
LEASING COMMISSION	17,285	17,285		
MISCELLANEOUS TAXES	2,018	518		1,500
PAYROLL SERVICES	1,875			1,875
OFFICE EQUIP. & COMP SOFTWARE	21,059			21,059
PUBLICATIONS	1,483			1,483
MESSENGER SRVC & POSTAGE	1,521			1,521

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENTS	1,632,105	-122,031	0

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
JPMORGAN CHASE	409,667	409,667	0	0
19/19 INVESTMENT COUNSEL	1,433,075	1,433,075		
CITY NATIONAL BANK	236,115	236,115		
EAGLE CAPITAL MANAGEMENT LLC	115,159	115,159		
OTHER INVESTMENT MANAGER FEES	205,296	205,296		

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	1,089,756	0	0	0
FICA EXPENSE	57,384	0	0	57,384
STATE UNEMPLOYMENT	2,051	0	0	2,051
DISABILITY INSURANCE	516		0	516

TY 2020 IRS 990 e-File Render

Name: THE SHUBERT FOUNDATION INC

EIN: 13-6106961

Name	US / Foreign Address	EIN	Description	Amount
SHUBERT ORGANIZATION INC	234 WEST 44TH STREET NEW YORK, NY 10036	13-2751366	FUNCTIONALLY RELATED CONTROLLED ENTITY AND NOT AN EXCESS BUSINESS HOLDING.	0
Total				0