Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2021

Open to Public Inspection

For calendar year 2021, or tax year beginning 12-01-2021 , and ending 11-30-2022

Name of foundation
THE DAMMANN FUND INC

A Employer identification number
13-6089896

Number and street (or P.O. box number if mail is not delivered to street address)
620 FIFTH AVENUE 2ND FLOOR

Room/suite

B Telephone number (see instructions)
(212) 956-4118

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10020

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 10,134,060

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	5	5		
	4	Dividends and interest from securities	137,061	137,061		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	986,392			
	b	Gross sales price for all assets on line 6a 3,497,306				
	7	Capital gain net income (from Part IV, line 2)		986,392		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	108	108		
	12	**Total.** Add lines 1 through 11	1,123,566	1,123,566		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	60,934	30,467		30,467
	b	Accounting fees (attach schedule)	12,795	6,398		6,397
	c	Other professional fees (attach schedule)	98,153	49,077		49,076
	17	Interest	89			
	18	Taxes (attach schedule) (see instructions)	27,404			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	3,020	1,510		1,510
	24	**Total operating and administrative expenses.** Add lines 13 through 23	202,395	87,452		87,450
	25	Contributions, gifts, grants paid	498,125			498,125
	26	**Total expenses and disbursements.** Add lines 24 and 25	700,520	87,452		585,575
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	423,046			
	b	**Net investment income** (if negative, enter -0-)		1,036,114		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	27,077	61,940	61,940
	2	Savings and temporary cash investments	46,680	134,942	134,943
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	3,790,593	4,174,767	9,406,303
	c	Investments—corporate bonds (attach schedule)	687,225	602,972	530,874
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,551,575	4,974,621	10,134,060
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☐ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds	4,551,575	4,974,621	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	4,551,575	4,974,621	
	30	**Total liabilities and net assets/fund balances** (see instructions)	4,551,575	4,974,621	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,551,575
2	Enter amount from Part I, line 27a	2	423,046
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,974,621
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	4,974,621

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	FIDELITY GOVERNMENT MONEY MARKET	P	2021-11-03	2022-01-25
b	HONEYWELL INTERNATIONAL INC	P	2021-09-16	2022-04-29
c	FIDELITY GOVERNMENT MONEY MARKET	P	2022-10-28	2022-11-04
d	BOSTON SCIENTIFIC CORP	P	2017-12-01	2022-04-01
e	BNK AMER CORP BND 5.125%	P	2019-08-14	2022-10-28
	FIDELITY GOVERNMENT MONEY MARKET	P	2021-11-03	2022-01-31
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-04	2022-04-29
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-11-03	2022-11-04
	BOSTON SCIENTIFIC CORP	P	2019-04-26	2022-04-01
	ABBOT LABORATORIES	P	2000-10-12	2022-11-03
	FIDELITY GOVERNMENT MONEY MARKET	P	2021-12-20	2022-01-31
	ESAB CORP	P	2022-04-05	2022-05-05
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-11-03	2022-11-21
	BOSTON SCIENTIFIC CORP	P	2020-03-11	2022-04-01
	DANAHER CORP	P	2011-09-27	2022-11-03
	FIDELITY GOVERNMENT MONEY MARKET	P	2021-12-20	2022-02-15
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-04	2022-06-30
	BANK NEW YORK MELLON CORP BOND	P	2018-03-26	2021-12-20
	PROGRESSIVE CORP OH	P	2018-08-15	2022-04-04
	PEPSICO INC	P	2016-10-18	2022-11-03
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-01-06	2022-02-15
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-04	2022-07-22
	ABBOT LABORATORIES	P	2000-10-12	2022-01-06
	PROGRESSIVE CORP OH	P	2018-08-15	2022-04-06
	REGENERON PHARMACEUTICALS INC	P	2021-02-16	2022-11-03
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-01-12	2022-03-31
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-06	2022-07-22
	JOHNSON & JOHNSON	P	1995-10-12	2022-01-12
	HONEYWELL INTERNATIONAL INC	P	2021-02-03	2022-04-13
	BNK AMER CORP BND 5.125%	P	2019-08-14	2022-11-15
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-01-12	2022-04-07
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-06	2022-07-22
	ASHLAND GLOBAL HOLDINGS INC	P	2019-11-13	2022-02-07
	UNION PACIFIC CORP	P	2020-03-11	2022-04-14
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-02-07	2022-04-07
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-13	2022-07-22
	BOSTON SCIENTIFIC CORP	P	2017-01-10	2022-02-07
	COLFAX CORP	P	2020-01-22	2022-04-29
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-02-14	2022-04-07
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-13	2022-10-26
	ASHLAND GLOBAL HOLDINGS INC	P	2019-11-13	2022-02-14
	HONEYWELL INTERNATIONAL INC	P	2021-02-03	2022-04-29
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-02-14	2022-04-22
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-13	2022-11-04
	ASHLAND GLOBAL HOLDINGS INC	P	2020-05-04	2022-02-14
	COLFAX CORP	P	2020-01-22	2022-04-30
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-03-18	2022-04-22
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-14	2022-11-04
	BANK OF AMERICA CORP	P	2020-04-27	2022-02-15
	UNION PACIFIC CORP	P	2020-03-11	2022-06-27
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-03-31	2022-04-22
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-05-05	2022-11-04
	AIR PRODUCTS & CHEM DISCRETION	P	2017-03-29	2022-03-18
	THERMO FISHER SCIENTIFIC INC	P	2009-10-27	2022-06-30
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-03-31	2022-04-28
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-06-27	2022-11-04
	BOSTON SCIENTIFIC CORP	P	2017-01-10	2022-03-31
	THERMO FISHER SCIENTIFIC INC	P	2010-08-11	2022-06-30
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-01	2022-04-28
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-07-20	2022-11-04
	BOSTON SCIENTIFIC CORP	P	2017-12-01	2022-03-31
	DANAHER CORP	P	2011-09-27	2022-07-20
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-04-04	2022-04-28
	FIDELITY GOVERNMENT MONEY MARKET	P	2022-10-06	2022-11-04
	HONEYWELL INTERNATIONAL INC	P	2021-02-03	2022-03-31
	ALLSTATE CORP	P	2013-01-29	2022-10-06

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	28,351		28,351	
b	42,278		49,655	-7,377
c	7,149		7,149	
d	70,275		41,457	28,818
e	7,149		7,650	-501
	85,697		85,697	
	5,411		5,411	
	261,996		261,996	
	44,619		35,247	9,372
	191,739		97,211	94,528
	44,007		44,007	
	41,597		37,385	4,212
	87,450		87,450	
	89,238		69,450	19,788
	76,127		13,009	63,118
	5,993		5,993	
	20,956		20,956	
	50,000		51,500	-1,500
	36,781		38,533	-1,752
	40,609		23,826	16,783
	1,869		1,869	
	7,536		7,536	
	54,263		20,106	34,157
	12,239		12,844	-605
	170,615		110,121	60,494
	91,935		91,935	
	23		23	
	259,467		28,780	230,687
	52,269		53,913	-1,644
	16,467		17,340	-873
	22,119		22,119	
	12,239		12,239	
	91,951		74,430	17,521
	61,328		35,223	26,105
	23,581		23,580	1
	2,566		2,566	
	54,814		28,701	26,113
	55,085		31,676	23,409
	829		829	
	21,428		21,427	1
	34,092		27,422	6,670
	70,463		73,518	-3,055
	14,034		14,034	
	28,275		28,275	
	63,314		39,891	23,423
	63,390		63,390	
	5,417		5,417	
	61,328		61,328	
	90,791		41,890	48,901
	114,555		77,491	37,064
	6,559		6,559	
	41,597		41,597	
	180,094		109,262	70,832
	54,124		4,672	49,452
	92,222		92,222	
	20,425		20,425	
	31,100		15,455	15,645
	54,124		4,525	49,599
	39,325		39,325	
	4,936		4,936	
	18,882		11,187	7,695
	49,883		8,672	41,211
	2,879		2,879	
	24,294		24,294	
	48,799		49,012	-213
	24,294		25,981	-1,687

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				-7,377
c				
d				28,818
e				-501
				9,372
				94,528
				4,212
				19,788
				63,118
				-1,500
				-1,752
				16,783
				34,157
				-605
				60,494
				230,687
				-1,644
				-873
				17,521
				26,105
				1
				26,113
				23,409
				1
				6,670
				-3,055
				23,423
				48,901
				37,064
				70,832
				49,452
				15,645
				49,599
				7,695
				41,211
				-213
				-1,687

	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	986,392
2 3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-3,163

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Bracket for line 1a Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	14,402
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	14,402
6	Credits/Payments:		
a	2021 estimated tax payments and 2020 overpayment credited to 2021	6a	25,661
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	25,661
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	11,259
11	Enter the amount of line 10 to be: **Credited to 2022 estimated tax** ▶ 11,259 **Refunded** ▶	11	

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ _____ **(2)** On foundation managers. ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VI-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ▶ WWW.THEDAMMANNFUND.COM			
14	The books are in care of ▶ ENGEL DAVIS LLP Telephone no. ▶ (212) 262-9154			
	Located at ▶ 1700 BROADWAY 41ST FL NEW YORK NY ZIP+4 ▶ 10019			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)		No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)		No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021?	2a		No
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2021 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)		No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	5d		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
PENELOPE JOHNSTON 87 INDIAN HEAD ROAD RIVERSIDE, CT 06878	PRES. - DIR. 000.00	0	0	0
CHRISTOPHER M KRAMER 1650 GARTH GATE LANE CHARLOTTESVILLE, VA 22901	V.P. - DIR. 000.00	0	0	0
DANIEL KRAMER 294 WEST 4TH ST NEW YORK, NY 10014	DIR 000.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . . ▶	

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	10,710,700
b	Average of monthly cash balances		1b	38,122
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	10,748,822
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	10,748,822
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)		4	161,232
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3.		5	10,587,590
6	**Minimum investment return.** Enter 5% (0.05) of line 5		6	529,380

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6		1	529,380
2a	Tax on investment income for 2021 from Part V, line 5	2a 14,402		
b	Income tax for 2021. (This does not include the tax from Part V.)	2b		
c	Add lines 2a and 2b		2c	14,402
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	514,978
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	514,978
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1		7	514,978

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				514,978
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.				
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2021:				
a From 2016. 146,163				
b From 2017. 114,358				
c From 2018. 124,616				
d From 2019. 124,591				
e From 2020. 114,366				
f **Total** of lines 3a through e	624,094			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ $ 585,575				
a Applied to 2020, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2021 distributable amount				514,978
e Remaining amount distributed out of corpus	70,597			
5 Excess distributions carryover applied to 2021. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	694,691			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount —see instructions				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions) . . .	146,163			
9 **Excess distributions carryover to 2022.** Subtract lines 7 and 8 from line 6a	548,528			
10 Analysis of line 9:				
a Excess from 2017 114,358				
b Excess from 2018 124,616				
c Excess from 2019. . . . 124,591				
d Excess from 2020 114,366				
e Excess from 2021 70,597				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2021	**(b)** 2020	**(c)** 2019	**(d)** 2018	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN DIABETIES ASSOCIATION 2451 CRYSTAL DRIVE SUITE ARLINGTON,VA 22202			CHARITY	250
AMERICAN FRIENDS SERVICE COMMITTEE DEVELOPMENT OFFICE 1501 C PHILADELPHIA,PA 19102			CHARITY	250
APPALACHIAN CONSERVANCY 799 WASHINGTON STREET PO HARPERS FERRY,WV 25425			CHARITY	250
ATLANTIC SALMON LEDERATION PO BOX 807 CALAIS ME 046 CALAIS,ME 04619			CHARITY	1,000
ATLANTIC THEATER COMPANY 76 NINTH AVENUE SUITE 537 NEW YORK,NY 10011			CHARITY	1,000
BALLET TECH FOUNDATION 890 BROADWAY NEW YORK NY NEW YORK,NY 10003			CHARITY	250
BANK STREET COLLEGE OF EDUCATION 610 WEST 112TH STREET NEW YORK,NY 10025			CHARITY	500
BAT CONSERVATION INTERNATIONAL 500 NORTH CAPITAL OF TEXA AUSTIN,TX 78746			CHARITY	250
BERKLEE COLLEGE OF MUSIC 1140 BOYLSTON ST BOSTON,MA 02215			CHARITY	500
BETANCES HEALTH CENTER 280 HENRY STREET NEW YORK NEW YORK,NY 10002			CHARITY	20,000
BLUE RIDGE AREA FOOD BANK 96 LAUREL HILL ROAD VERONA,VA 24482			CHARITY	500
BOSTON CHILDREN CHORUS 20 OLD COLONY AVE 2ND FLO BOSTON,MA 02127			CHARITY	500
BOYS & GIRLS VILLAGE 347 STILLWATER AVENUE STA STAMFORD,CT 06902			CHARITY	15,500
BRAIN & BEHAVIOR RESEARCH FOUNDATIO 747 THIRD AVENUE 33RD FLO NEW YORK,NY 10017			CHARITY	1,000
BREAKING GROUND 505 8TH AVE 5TH FLOOR NEW YORK,NY 10018			CHARITY	7,500
BREHM SCHOOL FOUNDATION 950 SOUTH BREHRN LANE CARBONDALE,IL 62901			CHARITY	2,500
BRONXWORKS INC 60 E TREMONT AVE BRONX,NY 10453			CHARITY	7,500
BROOKLYN ANIMAL RESCUE COALITION 253 WYTHE AVENUE BROOKLYN BROOKLYN,NY 11211			CHARITY	250
CAMBA 1720 CHURCH AVE BROOKLYN,NY 11226			CHARITY	5,000
CAMP HOLIDAY TRAILS 400 HOLIDAY TRAILS LANE CHARLOTTESVILLE,VA 22903			CHARITY	250
CARAMOOR CENTER FOR MUSIC & THE ART PO BOX 816 KATONAH,NY 10536			CHARITY	5,000
CASES 151 LAWRENCE ST 3RD FL BROOKLYN,NY 11201			CHARITY	9,500
CENTER FOR FAMILY JUSTICE INC 753 FAIRFIELD AVENUE BRID BRIDGEPORT,CT 06604			CHARITY	1,000
CENTRAL PARK CONSERVANCY 14 EAST 60TH STREET NEW YORK,NY 10022			CHARITY	1,000
CHARLOTTESVILLE ALBEMARLE SPCA PO BOX 7047 CHARLOTTESVILLE,VA 22906			CHARITY	500
CHARLOTTESVILLE CHAMBER MUSIC PO BOX 1491 CHARLOTTESVILLE,VA 22902			CHARITY	1,000
CHARLOTTESVILLE CITY SCHOOL BOOK BU 1400 MELBOURNE ROAD CHARLOTTESVILLE,VA 22901			CHARITY	250
CHARLOTTESVILLE FREE CLINIC 901 PRESTON AVENUE CHARLOTTESVILLE,VA 22903			CHARITY	500
CHARLOTTESVILLE OPERA 226 E HIGH ST CHARLOTTESVILLE,VA 22902			CHARITY	250
CHESAPEAKE BAY FOUNDATION PO BOX 17447 BALTIMORE,MD 21298			CHARITY	250
CHILDREN'S AID SOCIETY 117 W 124TH STREET NEW YORK,NY 10027			CHARITY	1,000
CITY OF HOPE 1500 EAST DUARTE ROAD DUARTE,CA 91010			CHARITY	500
COALITION FOR THE HOMELESS 129 FULTON STREET NEW YORK,NY 10038			CHARITY	2,000
COLBY COLLEGE 4000 MAYFLOWER HILL DRIVE WATERVILLE,ME 04901			CHARITY	1,000
COLUMBIA BUSINESS SCHOOL 3022 BROADWAY NEW YORK,NY 10027			CHARITY	500
COLUMBIA UNIVERSITY DEPT OF NEURO S 710 WEST 168TH ST NEW YORK,NY 10032			CHARITY	500
COMMUNITY ACCESS INC 17 BATTERY PLACE SUITE 13 NEW YORK,NY 10004			CHARITY	10,000
COMPUTERS 4KIDS 945 SECOND STREET SE CHARLOTTESVILLE,VA 22902			CHARITY	250
COMUNILIFE 462 7TH AVENUE 3RD FLOOR NEW YORK,NY 10018			CHARITY	7,500
COVENANT HOUSE NEW YORK 460 WEST 41 STREET NEW YORK,NY 10036			CHARITY	5,000
CT AGAINST GUN VIOLENCE PO BOX 123 RIDGEFIELD,CT 06877			CHARITY	500
DELGRACIA CORP PO BOX 110 286 CAMBRIA HEIGHTS,NY 11411			CHARITY	15,000
DOCTORS WITHOUT BORDERS PO BOX 1369 MERRIFIELD,VA 22116			CHARITY	5,250
EXODUS TRANSITIONAL COMMUNITY 2268 3RD AVE NEW YORK,NY 10035			CHARITY	5,000
FAMILY SERVICES OF WESTCHESTER 2975 WESTCHESTER AVE STE PURCHASE,NY 10577			CHARITY	25,000
FAMILY TIES OF WESTCHESTER 112 EAST POST ROAD 3RD FL WHITE PLAINS,NY 10601			CHARITY	7,500
FELLOWSHIP PLACE INC 441 ELM STREET NEW HAVEN,CT 06511			CHARITY	7,500
FILM FORUM 209 WEST HOUSTON STREET NEW YORK,NY 10014			CHARITY	750
FOOD FOR SURVIVAL FOOD BANK 355 FOOD CENTER DRIVE BRONX,NY 10474			CHARITY	500
FORESTDALE INC 67-35 112TH STREET FOREST HILLS,NY 11375			CHARITY	10,000
FRESH AIR FUND 633 THIRD AVENUE 14TH FL NEW YORK,NY 10164			CHARITY	500
FUND FOR THE AGED 120 WEST 106TH ST NEW YORK,NY 10025			CHARITY	2,000
GEORGETOWN UNIVERSITY DEPT 0734 WASHINGTON,DC 20073			CHARITY	2,500
GODDARD RIVERSIDE COMMUNITY CNTR 593 COLUMBUS AVENUE NEW YORK,NY 10024			CHARITY	12,000
GRAND STREET SETTLEMENT 80 PITT STREET NEW YORK,NY 10002			CHARITY	2,000
GREEN CHIMNEYS 400 DOANSBURY ROAD BREWSTER,NY 10509			CHARITY	250
GREEN DOGS UNLEASHED 1171 NORTH BOSTON ROAD TROY,VA 22974			CHARITY	250
GREEN FARMS ACADEMY 35 BEACHSIDE AVENUE WESTPORT,CT 06880			CHARITY	500
GREENWICH ADULT DAY CENTER 125 RIVER ROAD EXTENSION COS COB,CT 06807			CHARITY	250
GREENWICH HOUSE 122 WEST 127TH ST NEW YORK,NY 10001			CHARITY	1,000
GUIDING EYES FOR THE BLIND INC 611 GRANITE SPRINGS ROAD YORKTOWN HEIGHTS,NY 10598			CHARITY	500
HABITAT FOR HUMANITY INTERNATIONAL 121 HABITAT STREET AMERICUS,GA 31709			CHARITY	250
HARVARD COLLEGE 124 MT AUBURN ST CAMBRIDGE,MA 02138			CHARITY	10,000
HARVARD LAW SCHOOL 124 MT AUBURN ST CAMBRIDGE,MA 02138			CHARITY	1,000
HEALTH ADVOCATES FOR OLDER PEOPLE 420 LEXINGTON AVE STE 300 NEW YORK,NY 10170			CHARITY	2,000
HOMEWARD NYC 475 RIVERSIDE DRIVE SUITE NEW YORK,NY 10115			CHARITY	5,000
HONEYBEE SANCTUARY 401 HIDEAWAY LANE FLOYD,VA 24019			CHARITY	250
HORIZONS GFA 35 BEACHSIDE AVENUE WESTPORT,CT 06380			CHARITY	500
HOSPICE OF THE PIEDMONT 675 PETER JEFFERSON PARKW CHARLOTTESVILLE,VA 22911			CHARITY	250
PIEDMONT CASA 818 HIGH STREET CHARLOTTESVILLE,VA 22902			CHARITY	250
JEWISH BOARD OF FAMILY AND CHILDREN 120 WEST 57TH ST NEW YORK,NY 10019			CHARITY	5,000
LAUREL HOUSE INC 1616 WASHINGTON BLVD STAMFORD,CT 06902			CHARITY	10,000
LEGAL AID JUSTICE CENTER 1000 PRESTON AVE STE A CHARLOTTESVILLE,VA 22903			CHARITY	250
LIBERATIONS PROGRAMS INC 339 WEST AVE BRIDGEPORT,CT 06604			CHARITY	1,500
LIGHTHOUSE STUDIOS 121 EAST WATER ST CHARLOTTESVILLE,VA 22902			CHARITY	500
LINCOLN CENTER THEATER 150 WEST 65TH STREET NEW YORK,NY 10003			CHARITY	2,500
LIVE ARTS PO BOX 1231 CHARLOTTESVILLE,VA 22902			CHARITY	250
MALTA HOUSE INC 219 W 19TH STREET NEW YORK,NY 10011			CHARITY	5,000
MCINTIRE BOTANICAL GARDEN PO BOX 6224 CHARLOTTESVILLE,VA 22906			CHARITY	2,000
MEALS ON WHEEL 415 EAST 93RD STR NEW YORK,NY 10128			CHARITY	1,000
MENTAL HEALTH NEWS EDUCATION 460 CASCADE DRIVE EFFORT EFFORT,PA 18830			CHARITY	250
MENTAL HEALTH SERVICES AT BRIDGEPOR 267 GRANT STREET BRIDGEPORT,CT 06610			CHARITY	7,500
MERCY HAVEN INC 859 CONNETQUOT AVENUE SU ISLIP TERRACE,NY 11752			CHARITY	5,000
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK,NY 10019			CHARITY	500
NAACP PO BOX 64983 BALTIMORE,MD 21298			CHARITY	250
NATIONAL AUDUBON SOCIETY 225 VARICK STREET 7TH PLA NEW YORK,NY 10014			CHARITY	500
NATIONAL BLACK CHILD DVLPMT INSTITU 2455 COLESVILLE ROAD SILVER SPRING,MD 20910			CHARITY	500
NATIONAL PARKS CONSERVATION ASSOCIA PO BOX 07202 WASHINGTON,DC 20077			CHARITY	250
NATIONAL WILDLIFE PO BOX 1637 MERRIFIELD,VA 22116			CHARITY	250
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH ST NEW YORK,NY 10011			CHARITY	250
NEIGHBORHOOD COALITION FOR SHELTER 50 BROADWAY SUITE 1301 NEW YORK,NY 10004			CHARITY	7,500
NEW YORK CITY CENTER 130 W 56TH STR NEW YORK,NY 10019			CHARITY	1,000
NEW YORK FOUNDLING 590 AVENUE OF THE AMERICA NEW YORK,NY 10011			CHARITY	10,000
NEW YORK PUBLIC LIBRARY 5TH AVE 42ND ST NEW YORK,NY 10018			CHARITY	1,250
NORTH SHORE CHILD & FAMILY GUIDANCE 480 OLD WESTBURY ROAD ROS ROSLYN HEIGHTS,NY 11577			CHARITY	10,000
NORTHSIDE CENTER FOR CHILD DEVELOPM 1475 PARK AVENUE NEW YORK,NY 10029			CHARITY	5,000
ONS FOUNDATION FOR CLINICAL RESEARC 10 VALLEY DRIVE SUITE 100 GREENWICH,CT 06830			CHARITY	500
OXFAM AMERICA 226 CAUSEWAY ST 5TH FL BOSTON,MA 02114			CHARITY	250
PARAMOUNT THEATER 215 EAST MAIN ST CHARLOTTESVILLE,VA 22902			CHARITY	1,000
PARTNER FOR MENTAL HEALTH 311 EAST JEFFERSON ST CHARLOTTESVILLE,VA 22902			CHARITY	250
PARTNERSHIP FOR AFTER SCHOOL PROGRA 11 BROADWAY 1515 NEW YORK,NY 10004			CHARITY	1,000
PENCILS OF PROMISE 1115 BROADWAY 11TH FLOOR NEW YORK,NY 10010			CHARITY	1,000
PLANNED PARENTHOOD OF BLUE RIDGE 2964 HYDRAULIC ROAD CHARLOTTESVILL,VA 22901			CHARITY	250
PLANNED PARENTHOOD OF NEW ENGLAND 345 WHITNEY AVENUE NEW HAVEN,CT 06511			CHARITY	2,500
PLANNED PARENTHOOD FEDERATION OF AM 346 WHITNEY AVENUE NEW HAVEN,CT 06512			CHARITY	1,000
POSSE FOUNDATION 14 WALL STREET SUITE 8A-6 NEW YORK,NY 10005			CHARITY	500
PRINCETON UNIVERSITY DEVELOPMENTOFFICE BOX 46 PRINCETON,NJ 08544			CHARITY	1,000
PROJECT RENEWAL 200 VARICK STREET NEW YORK,NY 10005			CHARITY	11,000
QUEST YOUTH ORGANIZATION PO BOX 26724 BROOKLYN,NY 11202			CHARITY	1,500
RADCLIFFE INSTITUTE FOR ADVANCED ST 10 GARDEN ST CAMBRIDGE,MA 02138			CHARITY	1,000
RAILS TO TRAILS CONSERVANCY PO BOX 96014 WASHINGTON,DC 20077			CHARITY	250
READY KIDS 1000 E HIGH STREET CHARLOTTESVILLE,VA 22902			CHARITY	250
RISING GROUND INC 151 LAWRENCE STREET 15TH BROOKLYN,NY 11201			CHARITY	10,000
RONALD MCDONALD HOUSE CHARITIES 300 9TH STREET SW CHARLOTTESVILLE,VA 22903			CHARITY	250
ROWAN CENTER 1111 SUMMER ST 202 STAMFORD,CT 06905			CHARITY	1,000
ROYAL FAMILY PRODUCTIONS 145 WEST 46TH ST NEW YORK,NY 10036			CHARITY	8,000
RYE COUNTY DAY SCHOOL CEDAR STREET RYE,NY 10580			CHARITY	250
SAFE HORIZON 151-20 JAMAICA AVE QUEENS,NY 11432			CHARITY	4,250
SALVATION ARMY IN GREATER NEW YORK 120 WEST 14TH ST NEW YORK,NY 10011			CHARITY	500
SAVE THE CHILDREN 501 KINGS HIGHWAY EAST ST FAIRFIELD,CT 06825			CHARITY	5,000
SERVICE DOGS OF VA PO BOX 408 CHARLOTTESVILLE,VA 22902			CHARITY	250
SERVICE PROGRAM FOR OLDER PEOPLE 302 WEST 91ST STREET NEW YORK,NY 10024			CHARITY	10,000
SEXUAL ASSAULT RESOURCE AGENCY 335 GREENBRIER DR CHARLOTTESVILLE,VA 22901			CHARITY	250
SHELTERING ARMS CHILDREN & FAMILY S 91-14 MERRICK BLVD 3RD FL JAMAICA,NY 11432			CHARITY	5,000
SHENANDOAH SHECKESPEACES 13 WEST BEVERLY ST 4 FL STAUNTON,VA 24401			CHARITY	250
SIDWELL FRIENDS SCHOOL 3825 WISCONSIN AVE NW WASHINGTON,DC 20016			CHARITY	4,000
SIERRA CLUB 2101 WEBSTER ST OAKLAND,CA 94612			CHARITY	250
SKIDMORE COLLEGE 815 NORTH BROADWAY SARATOGA SPRINGS,NY 12866			CHARITY	40,000
SMITH COLLEGE ALLANCE FUND 23 ELM ST NORTHAMPTON,MA 01063			CHARITY	1,000
SMITHSONIAN INSTUTITION PO BOX 37012 ROOM 428 WASHINGTON,DC 20013			CHARITY	250
SOUTHERN ENVIRONMENTAL LAW CENTER 201 WEST MAIN ST CHARLOTTESVILLE,VA 22907			CHARITY	500
SOUTHERN POVERTY LAW CENTER PO BOX 548 MONTGOMERY,AL 36177			CHARITY	250
SPECIAL OLYMPICS PO BOX 768 SCHENECTADY,NY 12301			CHARITY	750
ST ANNE'S BELFIELD FOUNDATION 2132 IVY ROAD CHARLOTTESVILLE,VA 22903			CHARITY	500
ST JOHN BREAD AND LIFE 795 LEXINGTON AVE BROOKLYN,NY 11221			CHARITY	500
STAMFORD HOSPITAL 3001 SUMMER STREET 2ND FL STAMFORD,CT 06905			CHARITY	7,500
STUDENT SPONSOR PARTNERSHIP INC 424 MADISON AVE NEW YORK,NY 10017			CHARITY	2,000
THE ASTOR HOME FOR CHILDREN 36 MILL STREET RHINEBECK,NY 12592			CHARITY	500
THE FOOD BANK OF LOWER FAIRFIELD CO 461 GLENBROOK ROAD STAMFORD,CT 06906			CHARITY	500
THE HUMANE SOCIETY OF THE UNITED ST 1255 23RD ST NW WASHINGTON,DC 20037			CHARITY	250
THE METROPOLITAN MUSEUM OF ART DEVELOPMENT OFFICE 1000 F NEW YORK,NY 10028			CHARITY	250
THE NATURE CONSERVANEY 4245 NORTH FAIRFAX DR ARLINGTON,VA 22203			CHARITY	250
THE NEW GROUP 240 W 35TH ST STE 1200 NEW YORK,NY 10001			CHARITY	1,000
THE SEEING EYE PO BOX 375 MORRISTOWN,NJ 07963			CHARITY	1,000
THE SUMMER CAMP 8 CHURCH ST BRIDGTON,ME 04009			CHARITY	500
THE WOMEN'S INITIATIVE 1101 EAST HIGH STREET CHARLOTTESVILLE,VA 22902			CHARTIY	7,750
THIRTEEN WNET NEW YORK 450 WEST 33RD STREET NEW YORK,NY 10001			CHARITY	750
THOMAS JEFFERSON FOUDATION 931 THOMAS JEFF PARKWAY CHARLOTTESVILLE,VA 22902			CHARITY	250
TUESDAY EVENING CONCERT SERIES 108 5TH ST SE STE 208 CHARLOTTESVILLE,VA 22902			CHARITY	1,000
TULANE UNIVERSITY 6329 FRERET ST NEW ORLEANS,LA 70118			CHARITY	5,000
UC DAVIS FOUNDATION ONE SHIELDS AVE DAVIS,CA 95616			CHARITY	500
UCSF FOUNDATION PO BOX 45339 SAN FRANCISCO,CA 94145			CHARITY	2,500
UNITED JEWISH FUND OF CHARLOTTESVIL PO BOX 8316 CHARLOTTESVILLE,VA 22906			CHARITY	250
UNITED NEGRO COLLEGE FUND 707 EAST MAIN ST RICHMOND,VA 23219			CHARITY	250
UNITED STATE OLYMPIC FOUNDATION PO BOX 70359 PHILADELPHIA,PA 19176			CHARITY	250
UNIVERITY OF PENNSYLVANIA SCHOOL 3535 MARKET ST PHILADELPHIA,PA 19104			CHARITY	2,500
UNIVERITY OF VIRGINIA SCHOOL PO BOX 300773 CHARLOTTESVILLE,VA 22908			CHARITY	2,500
UNIVERSITY OF VIRGINIA ART MUSEUM PO BOX 800402 CHARLOTTESVILLE,VA 22908			CHARITY	250
US COMMITTEE FOR REFUGEES 2331 CRYSTAL DR STE 350 ARLINGTON,VA 22202			CHARITY	750
WESTCHESTER JEWISH COMMUNITY SERVIC 845 NORTH BROADWAY WHITE PLAINS,NY 10603			CHARITY	10,000
WILDLIFE CENTER OF VIRGINIA PO BOX 1557 WAYNESBORO,VA 22980			CHARITY	250
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX,NY 10460			CHARITY	1,500
WMRA 983 RESERVOIR ST HARRISONBURG,VA 22801			CHARITY	250
WNYC PO BOX 1550 NEW YORK,NY 10116			CHARITY	250
WORLD LEARNING INC DEVELOPMENT OFFICE KIPLIN BRATTLEBORO,VT 05308			CHARITY	1,000
WORLD WILDLIFE FUND 1250 24TH ST NW WASHINGTON,DC 20037			CHARTIY	250
WYEP-FM 67 BEDFORD SQUARE PITTSBURG,PA 15203			CHARTIY	125
XAVIER MISSION 55 W 15TH ST NEW YORK,NY 10011			CHARITY	10,000
YALE CANCER CENTER 333 CEDAR ST NEW HAVEN,CT 06510			CHARITY	1,500
YOUTH JUSTICE NETWORK 63 WEST 125TH ST 4TH FLOO NEW YORK,NY 10027			CHARITY	7,500
YOUTH MENTAL HEALTH PROJECT 12 SALT BOXLANE DARIEN,CT 06820			CHARITY	1,000
HENRY STREET SETTLEMENT 265 HENRY ST NEW YORK,NY 10002			CHARITY	1,500
Total . . . . ▶ **3a**				498,125
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					986,392
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: **a**					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				5	1,123,561
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				**13**	1,123,566

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 2023-05-02 | Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
GARY E SCHREIBER CPA		2023-05-02		P01317843

Firm's name ▶ MOSES & SCHREIBER LLP — Firm's EIN ▶ 13-1971216

Firm's address ▶ 1 HUNTINGTON QUADRANGLE STE 3N05 MELVILLE, NY 117474468 — Phone no. (516) 352-7700

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: THE DAMMANN FUND INC

EIN: 13-6089896

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	12,795	6,398		6,397

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: THE DAMMANN FUND INC

EIN: 13-6089896

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
FIDELITY GOVERNMENT MONEY MARKET	2022-01	PURCHASE	2022-03		8,065	8,065				

TY 2021 IRS 990 e-File Render

Name: THE DAMMANN FUND INC

EIN: 13-6089896

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORP & TRESURY BONDS	602,972	530,874

TY 2021 IRS 990 e-File Render

Name: THE DAMMANN FUND INC

EIN: 13-6089896

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COPORATE STOCK	4,174,767	9,406,303

TY 2021 IRS 990 e-File Render

Name: THE DAMMANN FUND INC

EIN: 13-6089896

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEE	60,934	30,467		30,467

TY 2021 IRS 990 e-File Render

Name: THE DAMMANN FUND INC

EIN: 13-6089896

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
CHRISTMAS EXPENSES	3,000	1,500		1,500
BANK CHARGE	20	10		10

TY 2021 IRS 990 e-File Render

Name: THE DAMMANN FUND INC

EIN: 13-6089896

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MATRIX	108	108	

TY 2021 IRS 990 e-File Render

Name: THE DAMMANN FUND INC

EIN: 13-6089896

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENTADVISORY FEE	98,153	49,077		49,076

TY 2021 IRS 990 e-File Render

Name: THE DAMMANN FUND INC

EIN: 13-6089896

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS DEPT OF LAW	1,000			
IRS - EXCISE TAX	26,404			