Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation
THE SIMONS FOUNDATION INC

A Employer identification number
13-3794889

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
160 FIFTH AVENUE 7TH FLOOR

B Telephone number (see instructions)
(646) 654-0066

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10010

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 4,513,699,772

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	144,433			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	1,241,911	1,241,911		
	4	Dividends and interest from securities				
	5a	Gross rents	6,120,596	1,792,873		
	b	Net rental income or (loss) -3,036,374				
	6a	Net gain or (loss) from sale of assets not on line 10	121,247,725			
	b	Gross sales price for all assets on line 6a 356,481,649				
	7	Capital gain net income (from Part IV, line 2)		51,180,234		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	722,805	989,572,606	397,643	
	12	**Total.** Add lines 1 through 11	129,477,470	1,043,787,624	397,643	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	1,152,460	0	0	1,152,460
	14	Other employee salaries and wages	53,499,721	0	0	53,647,292
	15	Pension plans, employee benefits	16,918,394	0	0	17,119,895
	16a	Legal fees (attach schedule)	1,324,685	0	0	1,316,457
	b	Accounting fees (attach schedule)	45,995	20,517	0	203,378
	c	Other professional fees (attach schedule)	1,452,532	20,739	0	1,483,899
	17	Interest		4,523,273		
	18	Taxes (attach schedule) (see instructions)	17,675,677	356,364	0	0
	19	Depreciation (attach schedule) and depletion	30,583,630	1,384,795	0	
	20	Occupancy	21,048,190	415,596	0	17,641,882
	21	Travel, conferences, and meetings	2,583,580	0	0	3,564,322
	22	Printing and publications	691,734	0	0	517,754
	23	Other expenses (attach schedule)	32,310,738	9,363,076	397,643	39,322,808
	24	**Total operating and administrative expenses.** Add lines 13 through 23	179,287,336	16,084,360	397,643	135,970,147
	25	Contributions, gifts, grants paid	359,624,004			294,000,833
	26	**Total expenses and disbursements.** Add lines 24 and 25	538,911,340	16,084,360	397,643	429,970,980
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-409,433,870			
	b	**Net investment income** (if negative, enter -0-)		1,027,703,264		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	99,603,387	34,379,473	34,379,473
	2	Savings and temporary cash investments	40,980,333	57,771,901	57,771,901
	3	Accounts receivable ▶ 615,327			
		Less: allowance for doubtful accounts ▶	1,350	615,327	615,327
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	15,022,006	12,501,728	12,501,728
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,437,394,207	3,901,228,086	3,901,228,086
	14	Land, buildings, and equipment: basis ▶ 581,648,245			
		Less: accumulated depreciation (attach schedule) ▶ 78,153,454	417,989,136	503,494,791	503,494,791
	15	Other assets (describe ▶)	4,540,822	3,708,466	3,708,466
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,015,531,241	4,513,699,772	4,513,699,772
Liabilities	17	Accounts payable and accrued expenses	23,852,967	5,552,419	
	18	Grants payable	541,689,492	606,838,745	
	19	Deferred revenue	209,889	137,453	
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)	110,000,000	188,637,496	
	22	Other liabilities (describe ▶)	170,959,942	171,920,364	
	23	**Total liabilities**(add lines 17 through 22)	846,712,290	973,086,477	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	3,168,818,951	3,540,613,295	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	3,168,818,951	3,540,613,295	
	30	**Total liabilities and net assets/fund balances** (see instructions)	4,015,531,241	4,513,699,772	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,168,818,951
2	Enter amount from Part I, line 27a	2	-409,433,870
3	Other increases not included in line 2 (itemize) ▶	3	781,228,214
4	Add lines 1, 2, and 3	4	3,540,613,295
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	3,540,613,295

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	FUND-OF-FUND INVESTMENT SALE - FUND #1	P		
b	FUND-OF-FUND INVESTMENT SALE - FUND #2	P		
c	FUND-OF-FUND INVESTMENT SALE - FUND #3	P		
d	SHORT-TERM CAPITAL GAIN FROM LPS	P		
e	LONG-TERM CAPITAL GAIN FROM LPS	P		
	SECTION 1231 GAIN FROM LPS	P		
	LESS SHORT-TERM CAPITAL GAIN FROM UBTI	P		
	LESS LONG-TERM CAPITAL GAINS FROM UBTI	P		
	LESS 1231 GAIN FROM UBTI	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	322,156,413		199,983,620	122,172,793
b	40,380		6,391	33,989
c	862,220		1,800,538	-938,318
d			93,743,236	-93,743,236
e	32,044,207			32,044,207
	1,378,429			1,378,429
			72,824	-72,824
			9,318,571	-9,318,571
			376,235	-376,235

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a				122,172,793
b				33,989
c				-938,318
d				-93,743,236
e				32,044,207
				1,378,429
				-72,824
				-9,318,571
				-376,235

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	51,180,234
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-93,816,060

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	Reserved	1	14,285,075
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	14,285,075
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	14,285,075
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020 — 6a: 17,200,000		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d	7	17,200,000
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	12,667
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,902,258
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 2,902,258 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA, DE, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ WWW.SIMONSFOUNDATION.ORG

14 The books are in care of ▶ MARLOW KEE Telephone no. ▶ (646) 654-0066

Located at ▶ 160 FIFTH AVENUE 7TH FLOOR NEW YORK NY ZIP+4 ▶ 10010

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☑ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARILYN SIMONS 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	PRESIDENT/TRUSTEE 40.00	0	0	0
JAMES SIMONS 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	CHAIRMAN OF THE BOARD 30.00	0	0	0
MARK SILBER 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	TREASURER/TRUSTEE 1.00	0	0	0
DAVID EISENBUD 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	TRUSTEE 1.00	15,000	0	0
MARGARET HAMBURG 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	TRUSTEE 1.00	12,000	0	0
PETER B LITTLEWOOD 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	TRUSTEE 1.00	10,000	0	0
SHIRLEY TILGHMAN 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	TRUSTEE 1.00	8,000	0	0
WILLIAM H PRESS 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	TRUSTEE 1.00	8,000	0	0
EMERY N BROWN 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	TRUSTEE (AS OF 07/2020) 1.00	7,500	0	0
EUAN ROBERTSON 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	COO 40.00	532,632	66,369	0
MARLOW KEE 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	CFO/SECRETARY 40.00	438,587	54,371	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DAVID SPERGEL 160 FIFTH AVENUE 7TH FLOOR NEW YORK,NY 10010	DIRECTOR, CCA 40.00	677,676	66,369	0
LOUIS REICHARDT 160 FIFTH AVENUE 7TH FLOOR NEW YORK,NY 10010	DIR. SFARI/SPECIAL A 40.00	604,967	54,371	0
MARIAN CARLSON 160 FIFTH AVENUE 7TH FLOOR NEW YORK,NY 10010	DIR., LIFE SCIENCES 40.00	604,964	54,371	0
ALEX LASH 160 FIFTH AVENUE 7TH FLOOR NEW YORK,NY 10010	CHIEF INFO. OFFICER 40.00	514,361	54,371	0
LESLIE GREENGARD 160 FIFTH AVENUE 7TH FLOOR NEW YORK,NY 10010	DIRECTOR, CCM 30.00	452,496	28,500	0

Total number of other employees paid over $50,000 ▶ 380

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
REGENERON PHARMACEUTICALS INC 777 OLD SAW MILL RIVER ROAD TARRYTOWN,NY 10591	GENETIC SEQUENCING SERVICES	5,580,000
DNA GENOTEK INC 3000 - 500 PALLADIUM DR OTTAWA,ONTARIO ONK2V 1C2 CA	GENETIC SEQUENCING SERVICES	1,255,928
PREVENTIONGENETICS LLC 3800 SOUTH BUSINESS PARK AVENUE MARSHFIELD,WI 54449	GENOTYPING SERVICES	1,161,048
MSA SECURITY LTD 9 MURRAY STREET 2ND FLOOR NEW YORK,NY 10007	SECURITY SERVICES	993,209
LOEB BLOCK & PARTNERS LLP 505 PARK AVENUE NEW YORK,NY 10022	LEGAL SERVICES	735,452

Total number of others receiving over $50,000 for professional services. . . . . ▶ 36

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 19	71,758,909
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0
2	
All other program-related investments. See instructions. **3**	0
Total. Add lines 1 through 3 . . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	3,448,995,877
b	Average of monthly cash balances			1b	154,603,900
c	Fair market value of all other assets (see instructions)			1c	155,552,513
d	**Total** (add lines 1a, b, and c)			1d	3,759,152,290
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	114,059,410
3	Subtract line 2 from line 1d			3	3,645,092,880
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	54,676,393
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	3,590,416,487
6	**Minimum investment return.** Enter 5% of line 5			6	179,520,824

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	179,520,824
2a	Tax on investment income for 2020 from Part VI, line 5	2a	14,285,075		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	1,054,513		
c	Add lines 2a and 2b			2c	15,339,588
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	164,181,236
4	Recoveries of amounts treated as qualifying distributions			4	1,318,242
5	Add lines 3 and 4			5	165,499,478
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	165,499,478

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	429,970,980
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	10,404,359
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	440,375,339
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	440,375,339

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				165,499,478
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015. 103,251,274				
b From 2016. 89,687,122				
c From 2017. 14,274,674				
d From 2018. 5,803,810				
e From 2019. 144,124,808				
f **Total** of lines 3a through e.	357,141,688			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 440,375,339				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				165,499,478
e Remaining amount distributed out of corpus	274,875,861			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	632,017,549			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .	103,251,274			
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a	528,766,275			
10 Analysis of line 9:				
a Excess from 2016 89,687,122				
b Excess from 2017 14,274,674				
c Excess from 2018. . . . 5,803,810				
d Excess from 2019 144,124,808				
e Excess from 2020 274,875,861				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

MARILYN SIMONS

JAMES SIMONS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,241,911	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property	531110	-2,194,521	16	-841,853	
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	525990	9,767,630	18	114,459,161	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a REBATES			01	295,153	
b ROYALTY INCOME			15	30,009	
c SANDBOX - FILM REVENUE			01	396,662	
d PORTFOLIO INCOME FROM LPS	525990	-2,979,066			
e OTHER MISCELLANEOUS INCOME			01	981	
12 Subtotal. Add columns (b), (d), and (e)		4,594,043		115,582,024	0
13 **Total.** Add line 12, columns (b), (d), and (e)				13	120,176,067

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Signature of officer or trustee — Date 2021-11-15 — ▶ Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
SCOTT THOMPSETT				P00741490
Firm's name ▶ GRANT THORNTON LLP				Firm's EIN ▶36-6055558
Firm's address ▶ 757 THIRD AVENUE 3RD FLOOR NEW YORK, NY 100172013				Phone no. (212) 599-0100

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2020

Name of the organization	**Employer identification number**
THE SIMONS FOUNDATION INC	13-3794889

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE SIMONS FOUNDATION INC

Employer identification number
13-3794889

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	FOUNDATION ASSOCIATES LLC 160 5TH AVENUE 7TH FLOOR NEW YORK, NY 10010	$ 144,433	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
THE SIMONS FOUNDATION INC

Employer identification number
13-3794889

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
THE SIMONS FOUNDATION INC

Employer identification number
13-3794889

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
KPMG LLP	0	0	0	100,000
GRANT THORNTON, LLP	39,345	20,517	0	96,728
FISCAL MANAGEMENT ASSOCIATES, LLC	6,650	0	0	6,650

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Category	Amount
N/A	0

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TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
BUILDING		265,441,303	4,083,056		0 %	0	0	0	
LEASED BUILDING		149,085,336	13,622,010		0 %	0	0	0	
LEASHOLD IMPROVEMENT		123,442,040	19,406,707		0 %	0	0	0	
FURNITURE AND FIXTURES		4,664,022	1,661,158		0 %	0	0	0	
COMPUTER EQUIPMENT		34,741,678	8,069,076		0 %	0	0	0	
SOFTWARE		2,199,083	727,815		0 %	0	0	0	
WORK IN PROGRESS		2,074,783			0 %	0	0	0	

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TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
INTERNATIONAL MATHEMATICAL UNION	HAUSVOGTEIPLATZ 11A BERLIN 10117 GM	2018-01-29	69,032	IMU-SIMONS AFRICAN FELLOWSHIP PROGRAM	46,200	NO	3/1/2019, 11/1/2019		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
INTERNATIONAL MATHEMATICAL UNION	HAUSVOGTEIPLATZ 11A BERLIN 10117 GM	2019-11-29	55,000	IMU-SIMONS AFRICAN FELLOWSHIP PROGRAM	0	NO	3/1/2020, 11/1/2020		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
INTERNATIONAL MATHEMATICAL UNION	HAUSVOGTEIPLATZ 11A BERLIN 10117 GM	2020-11-17	69,850	IMU-SIMONS AFRICAN FELLOWSHIP PROGRAM	0	NO	3/1/2021, 11/1/2021		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
FUNDACAO D ANNA DE SOMMER CHAMPALIMAUD E DR CARLOS MONTEZ CHAMPALIMAUD	AV DE BRASILIA LISBON 1400-038 PO	2018-09-28	75,000	SPI COHEN: COMMUNICATION BETWEEN NEURAL POPULATIONS: CIRCUITS, CODING, AND BEHAVIOR	75,000	NO	3/1/2019, 9/1/2019		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
FUNDACAO D ANNA DE SOMMER CHAMPALIMAUD E DR CARLOS MONTEZ CHAMPALIMAUD	AV DE BRASILIA LISBON 1400-038 PO	2019-09-30	75,000	SPI COHEN: COMMUNICATION BETWEEN NEURAL POPULATIONS: CIRCUITS, CODING, AND BEHAVIOR	55,602	NO	3/1/2020, 9/1/2020		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
FUNDACAO D ANNA DE SOMMER CHAMPALIMAUD E DR CARLOS MONTEZ CHAMPALIMAUD	AV DE BRASILIA LISBON 1400-038 PO	2020-09-17	75,000	SPI COHEN: COMMUNICATION BETWEEN NEURAL POPULATIONS: CIRCUITS, CODING, AND BEHAVIOR	0	NO	3/1/2021, 9/1/2021		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
FUNDACAO D ANNA DE SOMMER CHAMPALIMAUD E DR CARLOS MONTEZ CHAMPALIMAUD	AV DE BRASILIA LISBON 1400-038 PO	2019-04-30	142,472	SPI CHURCHLAND A: INTERNATIONAL BRAIN LABORATORY (IBL)	142,472	NO	3/1/2020, 9/1/2020		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
FUNDACAO D ANNA DE SOMMER CHAMPALIMAUD E DR CARLOS MONTEZ CHAMPALIMAUD	AV DE BRASILIA LISBON 1400-038 PO	2020-04-20	140,400	SPI CHURCHLAND A: INTERNATIONAL BRAIN LABORATORY (IBL)	39,933	NO	3/1/2021, 9/1/2021		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
FUNDACAO D ANNA DE SOMMER CHAMPALIMAUD E DR CARLOS MONTEZ CHAMPALIMAUD	AV DE BRASILIA LISBON 1400-038 PO	2020-02-20	10,000	2019 AND 2020 CAJAL COURSE IN COMPUTATIONAL NEUROSCIENCE	5,565	NO	3/1/2020, 3/1/2021, 3/1/2022		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
PFILM LTD	8 SANSOM STREET LONDON SE5 7RE UK	2019-12-27	153,626	ELEMENTAL - ATOMIC TALES & PERIODIC FABLES	67,954	NO	1/1/2021		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
PFILM LTD	8 SANSOM STREET LONDON SE5 7RE UK	2020-11-17	63,462	ELEMENTAL - ATOMIC TALES & PERIODIC FABLES	0	NO	1/1/2022		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
FUNDACAO D ANNA DE SOMMER CHAMPALIMAUD E DR CARLOS MONTEZ CHAMPALIMAUD	AV DE BRASILIA LISBON 1400-038 PO	2020-06-17	54,000	SIMONS-EMORY INTERNATIONAL CONSORTIUM ON MOTOR CONTROL	20,000	NO	3/1/2021, 5/1/2021		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
TECHNION RESEARCH AND DEVELOPMENT FOUNDATION	SENATE BLDG ROOM 109 TECHNION CITY HAIFA 3200003 IS	2020-09-17	245,237	SCOPE-ALOHA AND GRADIENTS	160,819	NO	12/1/2020, 9/1/2021		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
TECHNION RESEARCH AND DEVELOPMENT FOUNDATION	SENATE BLDG ROOM 109 TECHNION CITY HAIFA 3200003 IS	2020-11-17	106,059	CYANOPHAGE AND CYANOBACTERIA: THE INTRACELLULAR STRUGGLE BETWEEN TWO ANTAGONISTS	0	NO	12/1/2020, 11/1/2021		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.
TECHNION RESEARCH AND DEVELOPMENT FOUNDATION	SENATE BLDG ROOM 109 TECHNION CITY HAIFA 3200003 IS	2019-05-31	34,272	VIRUS-PICOPLANKTON DYNAMICS IN THE SOUTHERN PACIFIC OCEAN	34,272	NO	12/1/2019, 5/1/2020, 12/1/2020		THE FOUNDATION HAS REVIEWED THE GRANTEE'S REPORTS AND THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY.

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Identifier	Return Reference	Explanation
	PART I, LINE 19 - DEPRECIATION EXPENSE	BEG OF YEAR ACCUMLATED DEPRECIATION - $53,805,777DEPRECIATION EXPENSE - $25,942,558AMORTIZATION EXPENSE - $4,641,072PART I LINE 19 - DEPRECIATION - $30,583,630IT HARDWARE RETIREMENT - ($4,370,545)FURNITURE & FIXTURES RETIREMENT - ($224,528)SOFTWARE RETIREMENT - ($1,640,880)END OF YEAR ACCUMULATED DEPRECIATION - $78,153,454
	PART IX-A, SUMMARY OF DIRECT CHARITABLE ACTIVITIES:	DIRECT CHARITABLE ACTIVITY #1 FLATIRON INSTITUTE - THE FLATIRON INSTITUTE IS AN IN-HOUSE RESEARCH DIVISION OF THE SIMONS FOUNDATION ADVANCING SCIENTIFIC RESEARCH THROUGH COMPUTATIONAL METHODS, INCLUDING DATA ANALYSIS, THEORY, MODELING AND SIMULATION.EXPENSES: $42,273,237DIRECT CHARITABLE ACTIVITY #2 SIMONS FOUNDATION AUTISM RESEARCH INITIATIVE - THE SIMONS FOUNDATION AUTISM RESEARCH INITIATIVE SEEKS TO IMPROVE UNDERSTANDING, DIAGNOSIS AND TREATMENT OF AUTISM SPECTRUM DISORDERS BY FUNDING SCIENTIFIC RESEARCH OF THE HIGHEST QUALITY AND RELEVANCE. THE INITIATIVE ALSO PROVIDES RESOURCES TO SCIENTISTS, SUPPORTS OTHER ORGANIZATIONS WITH ALLIED GOALS, AND HOLDS CONFERENCES, LECTURES, AND MEETINGS TO FURTHER SCIENTIFIC UNDERSTANDING OF AUTISM.EXPENSES: $23,141,607DIRECT CHARITABLE ACTIVITY #3 OUTREACH AND EDUCATION - OUTREACH AND EDUCATION INITIATIVES EXTEND THE SIMONS FOUNDATION CORE MISSION BY DISSEMINATING SCIENTIFIC KNOWLEDGE, ENGAGING THE UNINITIATED IN THE PROCESS OF SCIENCE, PROMOTING OPPORTUNITIES FOR LEARNING SCIENCE AND MATH CONCEPTS, AND ENCOURAGING PHILANTHROPY THAT SUPPORTS BASIC SCIENCE RESEARCH.EXPENSES: $4,109,667DIRECT CHARITABLE ACTIVITY #4 MATHEMATICS AND PHYSICAL SCIENCES - THE MATHEMATICS AND PHYSICAL SCIENCES DIVISION, SUPPORTS RESEARCH IN MATHEMATICS, THEORETICAL PHYSICS, AND THEORETICAL COMPUTER SCIENCE, BY PROVIDING FUNDING FOR LARGE SCALE, GOAL-DRIVEN PROJECTS THAT ENABLE SCIENTISTS TO WORK TOGETHER TO ADDRESS FUNDAMENTAL QUESTIONS OF MAJOR SCIENTIFIC IMPORTANCE.EXPENSES: $1,465,092DIRECT CHARITABLE ACTIVITY #5 LIFE SCIENCES - LIFE SCIENCES DIVISION SEEKS TO ADVANCE BASIC RESEARCH ON FUNDAMENTAL QUESTIONS IN BIOLOGY. THE DIVISION CURRENTLY FOCUSES ON ORIGINS OF LIFE, MICROBIAL OCEANOGRAPHY, MICROBIAL ECOLOGY AND EVOLUTION, AND SUPPORT OF EARLY CAREER SCIENTISTS.EXPENSES: $769,306TOTAL EXPENSES: $71,758,909

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
HEDGE FUNDS	FMV	1,719,729,258	1,719,729,258
PRIVATE EQUITY FUNDS	FMV	454,118,482	454,118,482
INVESTMENT IN SUBSIDIARY	FMV	1,727,380,346	1,727,380,346

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BUILDINGS	265,441,303	11,724,848	253,716,455	253,716,455
LEASED BUILDING	149,085,336	18,162,681	130,922,655	130,922,655
LEASEHOLD IMPROVEMENTS	123,442,040	25,144,671	98,297,369	98,297,369
FURNITURE & FIXTURES	4,664,022	2,625,611	2,038,411	2,038,411
COMPUTER EQUIPMENT	34,741,678	19,029,588	15,712,090	15,712,090
BUSINESS SOFTWARE	2,199,083	1,466,055	733,028	733,028
WORK IN PROGRESS	2,074,783	0	2,074,783	2,074,783

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LOEB BLOCK & PARTNERS LLP	734,971	0	0	735,452
FRAGOMEN, DEL REY, BERNSEN, LOEWY LLP	221,191	0	0	203,740
PHILLIPS NIZER LLP	94,788	0	0	92,738
DOUGLAS COBLENS	67,980	0	0	60,461
BRODY & BROWNE LLP	58,222	0	0	58,163
COOLEY LLP	53,619	0	0	61,273
SCHANER & LUBITZ, PLLC	51,800	0	0	56,463
K&L GATES LLP	16,176	0	0	16,176
PRINCE LOBEL TYE LLP	12,866	0	0	18,535
PNC BANK, NATIONAL ASSOCIATION	4,500	0	0	4,500
MORGAN, LEWIS & BOCKIUS LLP	3,351	0	0	3,735
CHICAGO TITLE INSURANCE COMPANY	2,461	0	0	2,461
AZB & PARTNERS	2,234	0	0	2,234
OTHER MISC. LEGAL FEES	526	0	0	526

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Total Mortgage Amount: 188,637,496

Item No.	1
Lender's Name	MIDLAND LOAN SERVICES (FOUNDATION OFFICE)
Lender's Title	
Relationship to Insider	
Original Amount of Loan	110,000,000
Balance Due	110,000,000
Date of Note	
Maturity Date	
Repayment Terms	ON DEMAND
Interest Rate	
Security Provided by Borrower	NONE
Purpose of Loan	TO FUND OPERATIONS
Description of Lender Consideration	NONE
Consideration FMV	

Item No.	2
Lender's Name	BANK OF AMERICA (ALABAMA FLATS CO-OP SHARES & CONDO UNITS)
Lender's Title	
Relationship to Insider	
Original Amount of Loan	78,030,000
Balance Due	78,030,000
Date of Note	
Maturity Date	
Repayment Terms	ON DEMAND
Interest Rate	
Security Provided by Borrower	NONE
Purpose of Loan	TO PURCHASE COOP UNITS
Description of Lender Consideration	NONE
Consideration FMV	

Item No.	3
Lender's Name	PRO-RATA COOP ASSOCIATION MORTGAGE
Lender's Title	
Relationship to Insider	
Original Amount of Loan	607,496
Balance Due	607,496
Date of Note	
Maturity Date	
Repayment Terms	ON DEMAND
Interest Rate	
Security Provided by Borrower	NONE
Purpose of Loan	ALABAMA FLAT'S PRO-RATA SHARE OF OUTSTANDING MORTAGE HELD BY APARTMENT COOP
Description of Lender Consideration	
Consideration FMV	

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM THIRD PARTIES	1,192,519	2,155,012	2,155,012
DUE FROM AFFILIATES	392,062	0	0
ESCROW RESERVES	2,956,241	1,553,454	1,553,454

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DIRECT PROGRAM EXPENSE	7,779,288	0	0	8,463,535
GENOME SEQUENCING	3,754,599	0	0	9,391,717
SANDBOX FILMS PRODUCTION	1,113,993	0	396,662	675,522
IT EXPENSES	8,203,194	0	0	8,248,658
OFFICE EXPENSES	7,692,480	0	981	8,099,773
PUBLIC RELATIONS & COMMUNICATIONS	3,006,275	0	0	3,709,451
STAFF DEVELOPMENT & SUPPORT	760,909	0	0	734,152
INVESTMENT EXPENSES FROM LPS	0	9,363,076	0	0

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SUBPART F INCOME INCLUSION		992,726,587	
IRC SECTION 965(A) INCLUSION FROM PASS-THROUGHS		-4	
LESS: UNRELATED BUSINESS TAXABLE LOSS FROM INVESTMENTS		2,947,827	
REBATES	295,153		0
ROYALTY INCOME	30,009	30,009	0
SANDBOX - FILM REVENUE	396,662		396,662
PORTFOLIO INCOME FROM LPS	0	-6,131,813	0
OTHER MISCELLANEOUS INCOME	981	0	981

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Description	Amount
NET UNREALIZED GAIN ON INVESTMENT IN 100% OWNED SUBSIDIARY	92,629,394
CHANGE IN NET UNREALIZED APPRECIATION OF INVESTMENTS	688,598,820

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED RENT LIABILITY	96,726	0
CAPITAL LEASE LIABILITY	154,983,474	156,039,409
DEFERRED EXCISE TAX LIABILITY	15,879,742	14,567,785
TAXES PAYABLE	0	1,313,170

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROGRAM CONSULTING	104,603	0	0	100,423
GRANTS MANAGEMENT SERVICES	63,440	0	0	63,440
HUMAN RESOURCES CONSULTING	511,814	0	0	507,482
EMPLOYEE TRAINING/DEVELOPMENT	315,403	0	0	321,153
RECRUITING FEES	146,353	0	0	141,709
FACILITIES CONSULTING & DUE DILLIGENCE	39,476	0	0	85,477
IT CONSULTING	72,353	0	0	77,287
INVESTMENT MANAGEMENT FEES	0	20,739	0	0
MISC. PROFESSIONAL FEES	199,090	0	0	186,928

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CURRENT & DEFERRED FEDERAL EXCISE TAX PROVISION	15,943,077	0	0	0
UNRELATED BUSINESS INCOME TAX	1,732,600	0	0	0
FOREIGN TAXES (K-1S)	0	356,364	0	0

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Name	US / Foreign Address	EIN	Description	Amount
HELICOID INVESTMENT LIMITED	C/O HAMILTON TRUST MGMT CO LTD PALM GROVE HOUSE ROAD TOW VI	99-0688931	REPATRIATED FUNDS	0
FOUNDATION ASSOCIATES LLC	160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	46-3285543	CHARITABLE DISTRIBUTION UPON DISSOLUTION	0
ALABAMA FLATS LLC	160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	84-4179443	PARTIAL REPAYMENT OF LOAN FOR PURCHASE OF CONDO UNITS AND CO-OP SHARES	60,282,603
Total				303,654,055

TY 2020 IRS 990 e-File Render

Name: THE SIMONS FOUNDATION INC

EIN: 13-3794889

Name	US / Foreign Address	EIN	Description	Amount
CLINICAL RESEARCH ASSOCIATES LLC	160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	46-3285474	GRANT TO SUPPORT CHARITABLE MISSION	1,420,000
ALABAMA FLATS LLC	160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	84-4179443	LOAN FOR PURCHASE OF CONDO UNITS AND CO-OP SHARES	60,282,603
SANDBOX FILMS LLC	160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	83-2846472	GRANT TO SUPPORT CHARITABLE MISSION	1,400,000
SF LEGACY LLC	160 FIFTH AVENUE 9TH FLOOR NEW YORK, NY 10010	85-4098013	THE FOUNDATION MADE NO TRANSFERS TO SF LEGACY LLC IN CALENDAR YEAR 2020	0
Total				63,102,603