Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2022

Open to Public Inspection

For calendar year 2022, or tax year beginning 01-01-2022 , and ending 12-31-2022

Name of foundation
MERTZ GILMORE FOUNDATION

A Employer identification number
13-2872722

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
218 EAST 18TH STREET

B Telephone number (see instructions)
(212) 475-1137

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100033694

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 115,599,664

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	4,850,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	1,712,023	1,712,023		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	4,437,189			
	b	Gross sales price for all assets on line 6a 26,227,018				
	7	Capital gain net income (from Part IV, line 2)		4,437,189		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-185,515	-280,300		
	12	**Total.** Add lines 1 through 11	10,813,697	5,868,912		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	655,406	204,567		450,839
	14	Other employee salaries and wages	845,819	6,281		839,538
	15	Pension plans, employee benefits	551,766	61,228		490,538
	16a	Legal fees (attach schedule)	212,095	21,210		190,885
	b	Accounting fees (attach schedule)	53,044	35,364		17,680
	c	Other professional fees (attach schedule)	602,620	398,046		204,574
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	185,000	0		0
	19	Depreciation (attach schedule) and depletion	614,796	0		
	20	Occupancy	137,403	11,553		125,850
	21	Travel, conferences, and meetings	32,090	0		32,090
	22	Printing and publications				
	23	Other expenses (attach schedule)	109,174	6,004		103,170
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,999,213	744,253		2,455,164
	25	Contributions, gifts, grants paid	12,816,650			12,816,650
	26	**Total expenses and disbursements.** Add lines 24 and 25	16,815,863	744,253		15,271,814
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-6,002,166			
	b	**Net investment income** (if negative, enter -0-)		5,124,659		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	111,089	268,603	268,603
	2	Savings and temporary cash investments	3,869,348	2,508,095	2,508,095
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	7,194,255	7,813,301	10,744,055
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	90,358,432	85,876,637	97,519,719
	14	Land, buildings, and equipment: basis ▶ 8,868,058			
		Less: accumulated depreciation (attach schedule) ▶ 4,412,223	5,049,688	4,455,835	4,455,835
	15	Other assets (describe ▶)	445,182	103,357	103,357
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	107,027,994	101,025,828	115,599,664
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	107,027,994	101,025,828	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	107,027,994	101,025,828	
	30	**Total liabilities and net assets/fund balances** (see instructions)	107,027,994	101,025,828	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	107,027,994
2	Enter amount from Part I, line 27a	2	-6,002,166
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	101,025,828
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	101,025,828

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	EDGEWOOD - PUBLICLY TRADED SECURITIES	P		
b	SILCHESTER INT'L INVESTORS	P		
c	WESTERN ASSET CORE PLUS PORTFOLIO (WAMCO CORE+)	P		
d	KYLIN OFFSHORE FUND, LTD	P		
e	EMINENCE	P		
	GMO	P		
	CANYON DISTRESSED OPPORTUNITY FUND II	P		
	CAPITAL GAIN PASSTHROUGH	P		
	CAPITAL GAINS DIVIDENDS	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,842,446		2,339,832	502,614
b	399,858		118,158	281,700
c	8,685,165		9,202,182	-517,017
d	3,192,081		3,000,000	192,081
e	7,662,801		5,120,313	2,542,488
	2,000,000		1,777,880	222,120
	16,718			16,718
			231,464	-231,464
	1,427,949			1,427,949

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			502,614
b			281,700
c			-517,017
d			192,081
e			2,542,488
			222,120
			16,718
			-231,464
			1,427,949

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	4,437,189
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**	1	71,233
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	71,233
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	71,233
6	Credits/Payments:		
a	2022 estimated tax payments and 2021 overpayment credited to 2022 — 6a: 144,394		
b	Exempt foreign organizations—tax withheld at source — 6b: 0		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d	7	144,394
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2221 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	73,161
11	Enter the amount of line 10 to be: **Credited to 2023 estimated tax** ▶ 73,161 **Refunded** ▶	11	0

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY, CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2022 or the taxable year beginning in 2022? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VI-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ▶ WWW.MERTZGILMORE.ORG			
14	The books are in care of ▶ MARION CAPPIELLO Telephone no. ▶ (212) 475-1137			
	Located at ▶ 218 EAST 18TH STREET NEW YORK NY ZIP+4 ▶ 100033694			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2022, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)		No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2022?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2022, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2022?	2a		No
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2022 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2022.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2022?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	5d	Yes	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ANDREA SHOLLER C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	CHAIRPERSON 1.00	23,500	0	0
CIARA TORRES-SPELLISCY C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	VICE CHAIRPERSON 1.00	10,500	0	0
RINI BANERJEE C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	TREASURER 1.00	18,000	0	0
ROBIN KRAUSE C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	SECRETARY 1.00	0	0	0
PHIL RADFORD C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	18,500	0	0
MIKKI SHEPARD C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	10,000	0	0
GOLDEN BECKNER JR C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	PRESIDENT (THRU NOV 2022) 35.00	343,344	63,451	0
ADITI VAIDYA C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	PRESIDENT (SINCE DEC 2022) 35.00	15,750	0	0
MARION CAPPIELLO C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	CHIEF FINANCIAL & ADMIN OFFICER 35.00	215,812	58,967	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
LEAH KRAUSS 218 EAST 18TH STREET NEW YORK,NY 10003	SENIOR PROGRAM OFFIC 35.00	156,612	50,956	0
TRELLIS STEPTER 218 EAST 18TH STREET NEW YORK,NY 10003	SENIOR PROGRAM OFFIC 35.00	156,612	28,161	0
VANESSA LLANA 218 EAST 18TH STREET NEW YORK,NY 10003	EXECUTIVE ASSISTANT 35.00	105,556	47,034	0
MARY PICCIONE 218 EAST 18TH STREET NEW YORK,NY 10003	GRANTS/SYSTEMS MANAG 30.00	99,509	23,212	0
VICTORIA WALSH 218 EAST 18TH STREET NEW YORK,NY 10003	PROGRAM OFFICER 35.00	96,264	25,000	0

Total number of other employees paid over $50,000 ▶ 3

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
PATTERSON BELKNAP WEBB & TYLER LLP 1133 AVENUE OF THE AMERICAS NEW YORK, NY 10036	LEGAL SERVICES	212,094
EDGEWOOD MANAGEMENT 535 MADISON AVENUE 15TH FLOOR NEW YORK, NY 10022	INVESTMENT MANAGEMENT	133,341
SILCHESTER INTERNATIONAL INVESTORS 780 THIRD AVENUE 42ND FLOOR NEW YORK, NY 10017	INVESTMENET MANAGEMENT	102,363
MONTICELLO ASSOCIATES 1800 LARIMER STREET SUITE 2100 DENVER, CO 80202	INVESTMENT CONSULTANT	100,000

Total number of others receiving over $50,000 for professional services. . . . . ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	114,372,227
b	Average of monthly cash balances			1b	6,031,478
c	Fair market value of all other assets (see instructions)			1c	103,357
d	**Total** (add lines 1a, b, and c)			1d	120,507,062
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	120,507,062
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)			4	1,807,606
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3			5	118,699,456
6	**Minimum investment return.** Enter 5% (0.05) of line 5			6	5,934,973

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6			1	5,934,973
2a	Tax on investment income for 2022 from Part V, line 5	2a	71,233		
b	Income tax for 2022. (This does not include the tax from Part V.)	2b	250		
c	Add lines 2a and 2b			2c	71,483
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	5,863,490
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	5,863,490
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1			7	5,863,490

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	15,271,814
b	Program-related investments—total from Part VIII-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	20,945
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4	4	15,292,759

Part XII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2021	(c) 2021	(d) 2022
1 Distributable amount for 2022 from Part X, line 7					5,863,490
2 Undistributed income, if any, as of the end of 2022:					
a Enter amount for 2021 only.				0	
b Total for prior years: 20___, 20___, 20___			0		
3 Excess distributions carryover, if any, to 2022:					
a From 2017.					
b From 2018.	2,208,673				
c From 2019.					
d From 2020.	3,481,024				
e From 2021.	4,063,064				
f **Total** of lines 3a through e		9,752,761			
4 Qualifying distributions for 2022 from Part XI, line 4: ▶ $ 15,292,759					
a Applied to 2021, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		4,850,000			
d Applied to 2022 distributable amount					5,863,490
e Remaining amount distributed out of corpus		4,579,269			
5 Excess distributions carryover applied to 2022. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		19,182,030			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2021. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2022. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2023					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		4,850,000			
8 Excess distributions carryover from 2017 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2023.** Subtract lines 7 and 8 from line 6a		14,332,030			
10 Analysis of line 9:					
a Excess from 2018					
b Excess from 2019					
c Excess from 2020. . . .	839,697				
d Excess from 2021	4,063,064				
e Excess from 2022	9,429,269				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2022, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2022	**(b)** 2021	**(c)** 2020	**(d)** 2019	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

CO MERTZ GILMORE FOUNDATION
218 EAST 18TH STREET
NEW YORK,NY 10003
(212) 475-1137

b The form in which applications should be submitted and information and materials they should include:

NEW YORK CITY COMMUNITIES PROGRAM: BEGINNING IN 2022, WE WILL CLOSE OUR LETTER OF INQUIRY PROCESS IN THE NYC COMMUNITIES PROGRAM. WE MADE THIS DECISION IN AN EFFORT TO BE MORE TRANSPARENT WITH GRANT-SEEKERS. OVER TIME AS THE PROGRAM DEVELOPED, WE HAVE PRIORITIZED COMMITMENTS TO OUR CURRENT GRANT PARTNERS IN THE CORE FUND SUPPORTING COMMUNITY-BASED ORGANIZATIONS. AS A RESULT, WE HAVE FEWER RESOURCES TO OFFER TO POTENTIAL NEW GRANT PARTNERS IN THE COLLABORATIVE CAMPAIGN AND CAPACITY BUILDING FUNDS. (CONTINUED ON STATEMENT 17)

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE FORM AND CONTENT OF APPLICATIONS

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,712,023	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,437,189	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a ADMINISTRATIVE SVC. FEES					91,342
b OTHER LOSSES FROM LIMITED PARTNERSHIPS			14	-280,300	
c UNRELATED BUSINESS INCOME FROM LIMITED PARTNERSHIPS	900003	3,443			
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		3,443		5,868,912	91,342

13 **Total.** Add line 12, columns (b), (d), and (e) **13** 5,963,697
(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
11A	FEES FOR ADMINISTRATIVE AND PROGRAMMATIC SERVICES FOR A CHARITABLE
11A	TRUST AND A NOT FOR PROFIT ORGANIZATION.

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Signature of officer or trustee — Date 2023-11-15 — ▶ Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
FREDERICK MARTENS				P00298107
Firm's name ▶ LUTZ AND CARR CPAS LLP				Firm's EIN ▶13-1655065
Firm's address ▶ 551 FIFTH AVENUE SUITE 400 NEW YORK, NY 10176				Phone no. (212) 697-2299

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B

(Form 990)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2022

Name of the organization
MERTZ GILMORE FOUNDATION

Employer identification number
13-2872722

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
MERTZ GILMORE FOUNDATION

Employer identification number
13-2872722

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TRUST UW OF JOYCE MERTZ-GILMORE C/O BNY MELLON WEALTH MANAGEMENT 20 NEW YORK, NY 10166	$ 4,800,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	THE BURROUGHS TRUST C/O BNY MELLON WEALTH MANAGEMENT 20 NEW YORK, NY 10166	$ 50,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
MERTZ GILMORE FOUNDATION

Employer identification number
13-2872722

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
MERTZ GILMORE FOUNDATION

Employer identification number
13-2872722

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDIT AND TAX FEES	53,044	35,364		17,680

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAND		500,000		L		0	0		
BUILDING & ACQUISITION		869,591	690,238	SL	40.000000000000	21,740	0		
BUILDING IMPROVEMENTS		7,070,240	2,878,563	SL	40.000000000000	507,541	0		
EQUIPMENT & FURNITURE		428,227	228,626	SL	5.000000000000	85,515	0		

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Election: PAGE 9, PART XII - UNDISTRIBUTED INCOME - LINE 4(C)THE FOUNDATION HEREBY ELECTS TO TREAT $4,850,000 AS A DISTRIBUTION OUT OF CORPUS TO SATISFY THE REQUIREMENTS IMPOSED BY SECTION 4942(H)(2). AN ELECTION IS HEREBY MADE PURSUANT TO REG. 53.4942(A)-3(D)(2) TO TREAT $4,850,000 AS A DISTRIBUTION OUT OF THE 2022 UNDISTRIBUTED INCOME AND OUT OF CORPUS.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2022-06-28	15,000	THE NYC CAPACITY BUILDING COLLABORATIVE (CBC) IS A GROUP OF ALIGNED LOCAL FUNDERS WHO JOINTLY PLAN A SERIES OF WORKSHOPS EACH YEAR SUPPORTING THE ORGANIZATIONAL DEVELOPMENT NEEDS OF COMMUNITY-BASED GROUPS ACROSS THE CITY. THE GOAL IS TO PROVIDE GRANTEE PARTNERS WITH OPPORTUNITIES FOR TRAINING SESSIONS AND TECHNICAL ASSISTANCE TO STRENGTHEN THEIR LEADERSHIP AND ORGANIZATIONAL CAPACITY, AS WELL AS TO CREATE SPACE FOR ORGANIZATIONS TO LEARN FROM ONE ANOTHER AND BUILD RELATIONSHIPS AND NEW PARTNERSHIPS. THE WORKSHOPS ADDRESS A RANGE OF SKILL LEVELS AND TOPICS, INCLUDING FUNDRAISING, BOARD DEVELOPMENT, FINANCIAL MANAGEMENT, AND COMMUNICATIONS. CBC IS ALSO AN IMPORTANT SPACE FOR FOUNDATION STAFF TO ENGAGE IN SHARED LEARNING WITH PEERS.	15,000	NONE	4/26/2023		THE MERTZ GILMORE FOUNDATION REVIEWED THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEES REPORT(S) AS THERE HAS BEEN NO REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2022-07-12	10,000	ENGAGE NEW YORK (ENGAGE) BUILDS AND STRENGTHENS RELATIONSHIPS BETWEEN FOUNDATION OFFICERS, NONPROFIT LEADERS, AND ADVOCATES TO INCREASE UNDERSTANDING, LEVERAGE INFORMATION, AND CHALLENGE SYSTEMS THAT PERPETUATE INEQUALITY. ENGAGE STRATEGICALLY ADDRESSES THE ISOLATION THAT IS OFTEN DESCRIBED AS THE UPSTATE/DOWNSTATE DIVIDE, WHICH HAS RESULTED IN THE CREATION OF SILOS AND CONTINUES TO FRACTURE REGION FROM REGION, COMMUNITY FROM COMMUNITY, ADVOCATE FROM ADVOCATE, AND HAS WEAKENED THE COMMUNITY ORGANIZING INFRASTRUCTURE IN NY STATE.ENGAGE SUPPORTS THE LEADERSHIP OF MARGINALIZED COMMUNITIES, INCLUDING LOW-INCOME COMMUNITIES, COMMUNITIES OF COLOR, AND IMMIGRANT AND REFUGEE COMMUNITIES SO THEY CAN MEANINGFULLY DRIVE COMMUNITY ENGAGEMENT EFFORTS. BY INCREASING COMMUNITY ORGANIZING IN REGIONS OF NY STATE WHERE RESOURCES ARE LIMITED OR HAVE BEEN NOTICEABLY EXCLUDED, ENGAGE AIMS TO SHIFT THE POWER DYNAMIC OF WHO IS DRIVING THE SOCIAL RHETORIC THAT INFLUENCES ADVOCACY EFFORTS.	10,000	NONE	4/3/2023		THE MERTZ GILMORE FOUNDATION REVIEWED THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEES REPORT(S) AS THERE HAS BEEN NO REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2022-12-19	50,000	ENGAGE NEW YORK (ENGAGE) IS A NETWORK OF FOUNDATION LEADERS FROM NEARLY EVERY REGION OF NY STATE WHO HAVE COME TOGETHER TO DEVELOP AN ACTION AGENDA THAT ADVANCES EQUITY, SOCIAL JUSTICE, CIVIC AND COMMUNITY PARTICIPATION. USING A RACIAL EQUITY AND SOCIAL JUSTICE LENS, ENGAGE STRENGTHENS RELATIONSHIPS, MOVES RESOURCES, AND BUILDS TOOLS AND PROGRAMS THAT SEEK TO INCREASE THE CAPACITY OF CRITICAL NONPROFITS THAT ARE FORGING A NEW PATH FOR STATEWIDE COLLABORATION. THROUGH FUNDER BRIEFINGS AND CONVENINGS, ENGAGE IS BUILDING A SHARED UNDERSTANDING OF THE CHALLENGES CONFRONTING DIFFERENT COMMUNITIES AND POPULATIONS ACROSS NEW YORK. ENGAGE COMMISSIONS RESEARCH PROJECTS TO BETTER UNDERSTAND THE CHALLENGES AND NEEDS WITHIN NY STATE. ITS GRANTMAKING IS AIMED AT STRENGTHENING THE COMMUNITY ORGANIZING INFRASTRUCTURE AND CIVIC PARTICIPATION OF COMMUNITIES ACROSS THE STATE THAT ARE OFTEN EXCLUDED OR UNDERREPRESENTED IN STATEWIDE EFFORTS.	50,000	NONE	4/3/2023		THE MERTZ GILMORE FOUNDATION REVIEWED THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEES REPORT(S) AS THERE HAS BEEN NO REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2022-04-11	200,000	NEIGHBORHOODS FIRST FUND FOR COMMUNITY ENGAGED PLANNING (NFF) IS A DONOR COLLABORATIVE THAT PROMOTES EQUITABLE, INCLUSIVE, AND ACCOUNTABLE DEVELOPMENT IN NEW YORK CITY. NFF'S MISSION IS TO SUPPORT HISTORICALLY MARGINALIZED COMMUNITIES ORGANIZING TO SHAPE POLICY AND DECISIONS ON HOUSING, LAND USE, AND DEVELOPMENT IN NEW YORK CITY. NFF BELIEVES THAT THE COMMUNITIES EXPERIENCING THE IMPACTS OF STRUCTURAL RACISM AND INEQUALITY, INCLUDING DISPLACEMENT, HOUSING PRECARITY, AND HOMELESSNESS, NEED TO LEAD IN DESIGNING AND IMPLEMENTING ALTERNATIVE SYSTEMS. IN 2021-2023, NFF WILL MAKE GRANTS TO SUPPORT NEW YORK CITY'S BIPOC, IMMIGRANT, AND LOW-WEALTH COMMUNITIES ORGANIZING TO PROMOTE A HOUSING AND DEVELOPMENT AGENDA THAT CENTERS EQUITY, AND TO SEIZE OPPORTUNITIES THROUGH AND BEYOND THE 2021 ELECTION CYCLE TO RAISE AWARENESS AND BUILD PUBLIC SUPPORT FOR STRUCTURAL CHANGE.	200,000	NONE	3/28/2023		THE MERTZ GILMORE FOUNDATION REVIEWED THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE BUT DID NOT UNDERTAKE ANY VERIFICATION

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
									OF THE GRANTEES REPORT(S) AS THERE HAS BEEN NO REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2022-12-19	150,000	THE YOUTH ORGANIZING AND CULTURE CHANGE FUND, HOUSED AT NEW YORK FOUNDATION, IS A NEW INITIATIVE IN PARTNERSHIP WITH THE FORD FOUNDATION AND EMILY DAVIE AND JOSEPH S. KORNFELD FOUNDATION. THE YOUTH FUND WILL BRING TOGETHER YOUTH ORGANIZERS, ARTISTS, CULTURE STRATEGISTS, AND CREATIVES TO EMPOWER YOUNG PEOPLE TO BUILD LOCAL COMMUNITY POWER AND WIN CHANGE FOR COMMUNITIES OF COLOR THROUGH ARTS, NARRATIVE AND CULTURE CHANGE STRATEGIES IN NEW YORK CITY. YOUTH-LED ORGANIZING GROUPS FACE MULTI-LAYERED CRISES OF SHIFTING POLITICAL CONDITIONS AND LACK OF STAFF CAPACITY AND LEADERSHIP DEVELOPMENT OPPORTUNITIES. THIS FUND AIMS TO ADDRESS THIS GAP DIRECTLY AS THE COUNTRY FACES MULTIPLE CRISES OF THE PANDEMIC, RISING WHITE NATIONALISM, CLIMATE CHANGE, AND GROWING RACIAL AND INCOME INEQUALITY.	150,000	NONE	6/1/2023		THE MERTZ GILMORE FOUNDATION REVIEWED THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEES REPORT(S) AS THERE HAS BEEN NO REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Identifier	Return Reference	Explanation
	NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED(CONT.)	WE CONTINUE TO EXPLORE WAYS FOR STAFF TO REMAIN OPEN TO INQUIRIES FROM POTENTIAL NEW PARTNERS, AND HOPE TO ISSUE UPDATED GUIDANCE FOR GRANT-SEEKERS BY THE END OF 2023. UNTIL THEN, WE SEEK YOUR PATIENCE AND UNDERSTANDING. AS ALWAYS, WE APPRECIATE THE WORK THAT YOU DO TO ADVANCE OUR SHARED VALUES. NEW YORK CITY DANCE PROGRAM:GRANTS IN THE PROGRAM FALL IN THREE CATEGORIES - PRESENTERS; ADVOCACY/SUPPORT SERVICES; AND INVITATION ONLY FUNDS. PRESENTERS OF SMALL AND MEDIUM-SIZED DANCE COMPANIESWE PROVIDE MULTI-YEAR GENERAL OPERATING SUPPORT TO PRESENTERS OF SMALL TO MID-SIZED DANCE COMPANIES. GRANT TERMS ARE GENERALLY TWO YEARS IN LENGTH AND AVAILABLE ONLY TO GROUPS THAT PRESENT CONTEMPORARY DANCE. ADVOCACY/SUPPORT SERVICES:ON A LIMITED BASIS AND FOR OPPORTUNITIES THAT MEET STRATEGIC CONCERNS OF THE PROGRAM, ORGANIZATIONS MAY SUBMIT PROPOSALS FOR PROJECTS THAT ADVANCE THE DANCE FIELD BY IMPROVING CONDITIONS FOR INDIVIDUAL DANCE ARTISTS. INVITATION ONLY FUNDS:SINCE 2010, OUR REHEARSAL SPACE SUBSIDY PROGRAM AND LATE-STAGE STIPEND PROGRAM HAVE OPERATED BY INVITATION ONLY. -AFFORDABLE REHEARSAL SPACE - AN ESSENTIAL RESOURCE FOR MAKING DANCE - IS IN LOW SUPPLY. THE REHEARSAL SPACE SUBSIDY PROGRAM, IN PARTNERSHIP WITH NEW YORK STATE COUNCIL ON THE ARTS, AWARDS GRANTS TO A COHORT OF FACILITIES TO REDUCE THIS BARRIER. -THE CALIBER OF DANCE PRESENTATION SUFFERS WITHOUT AN OPPORTUNITY TO REFINE AND FULLY DEVELOP WORK. THE LATE-STAGE STIPEND PROGRAM ASSISTS CHOREOGRAPHERS CHOSEN BY THE FOUNDATION'S GRANTEE PRESENTING ORGANIZATIONS TO FULLY REALIZE A PARTICULAR PRE-DETERMINED WORK. TO QUALIFY FOR A GRANT, APPLICANTS MUST DEMONSTRATE THE FOLLOWING:-A CURRENT AND CONTINUOUS THREE-YEAR HISTORY OF PRESENTING HIGH QUALITY CONTEMPORARY DANCE PRIOR TO APPLICATION.-ANNUAL, ONGOING DANCE ACTIVITIES EITHER THROUGHOUT THE YEAR OR ON A SEASONAL BASIS.-A SUBSTANTIAL ANNUAL BUDGET DEDICATED TO DANCE. THE DANCE BUDGET SHOULD INCLUDE EXPENSES RELATED TO DANCE PRESENTATIONS; RESIDENCY AND COMMISSIONING PROGRAMS; TECHNICAL ASSISTANCE SERVICES TO DANCE ARTISTS; AND REHEARSAL SPACE AND TIME.THE DANCE BUDGET SHOULD NOT INCLUDE EXPENSES RELATED TO FISCAL SPONSORSHIPS; DANCE SCHOOLS OR CONSERVATORIES; OR SCHOOL-BASED ARTS EDUCATION PROGRAMS.-SOUND ORGANIZATIONAL FINANCIAL CAPACITY AND STABLE MANAGEMENT.THE PROGRAM WILL NOT MAKE GRANTS FOR INDIVIDUAL ARTISTS, ARTS EDUCATION PROGRAMS, CONSERVATORIES, DANCE FILMS OR ORGANIZATIONS/PROJECTS BASED OUTSIDE OF NEW YORK CITY.PRIOR TO SUBMITTING A PROPOSAL, GRANTSEEKERS ARE ENCOURAGED TO EMAIL LEAH KRAUSS LKRAUSS [AT] MERTZGILMORE.ORG WITH A PARAGRAPH DESCRIBING THE PROPOSED PROJECT.DEMOCRATIC VALUES AND CLIMATE CHANGE SOLUTION PROGRAMS:AT THIS TIME THE PROGRAM IS MAKING GRANTS BY INVITATION ONLY.ACROSS ALL PROGRAMS, THE FOUNDATION FUNDS ORGANIZATIONS BASED IN AND WORKING IN THE UNITED STATES AND ARE 501C3 ORGANIZATIONS OR THOSE FISCALLY SPONSORED BY 501C3 ORGANIZATIONS. WE DO NOT FUND INDIVIDUALS, ENDOWMENTS OR RELIGIOUS CONCERNS. WE DO NOT ACCEPT UNSOLICITED PROPOSALS.
	PART I LINE 14, 15 AND 20	TO EXTEND ITS PHILANTHROPIC MISSION, THE MERTZ GILMORE FOUNDATION MAKES ITS CONFERENCE ROOMS AVAILABLE FOR MEETINGS OF NONPROFIT GROUPS ON A FIRST-COME, FIRST-SERVED BASIS. THE ROOM IS FREE OF CHARGE DURING THE FOUNDATION'S REGULAR HOURS. THERE WAS NO USAGE OF THE CONFERENCE ROOMS IN 2022 SINCE THE BUILDING WAS CLOSED TO THE PUBLIC ALL YEAR.THE AMOUNTS ON PART I, LINES 14 AND 15 INCLUDE THE COSTS OF SALARIES AND BENEFITS OF STAFF WHO MAINTAIN THE CONFERENCE ROOM.THE AMOUNT ON PART I, LINE 20 INCLUDES THE COSTS OF MAINTAINING THE CONFERENCE ROOMS.
INCOME TAX FOR 2022	PART X, LINE 2B	2022 NEW YORK FORM CT-13, UNRELATED BUSINESS INCOME TAX - $250

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE INC	435,067	528,016
ALIGN TECHNOLOGY INC	293,599	271,217
AMAZON.COM INC	38,241	252,840
AMERICAN TOWER CORP	111,204	415,881
ASML HOLDING NV NY SHR	570,349	501,049
BLACKSTONE INC	439,647	328,736
CHIPOTLE MEXICAN GRILL INC CL A	455,971	480,072
CME GROUP INC	143,593	259,639
DANAHER CORP	470,321	587,905
DRAFTKINGS INC	80,784	181,727
ESTEE LAUDER COS INC/THE CL A	411,912	600,178
ILLUMINA INC	447,735	511,364
INTUIT INC	377,706	558,141
INTUITIVE SURGICAL INC	200,253	694,951
LULULEMON ATHLETICA INC	316,701	315,254
MATCH GROUP INC	812,915	256,284
MICROSOFT CORP	242,100	428,798
NETFLIX INC	549,124	579,144
NIKE INC CL B	247,336	563,871
NVIDIA CORP	269,995	630,302
OLO INC	21,887	35,663
S&P GLOBAL INC	125,315	487,673
SERVICENOW INC	690,261	507,469
VISA INC CL A	61,285	767,881

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CANYON DISTRESSED OPPORTUNITY FUND III	AT COST	1,881,931	2,451,823
CERTIFICATES OF DEPOSIT	AT COST	100,000	100,000
DAVIDSON KEMPNER	AT COST	7,681,084	7,530,006
FARALLON SPECIAL SITUATIONS FUND	AT COST	1,064,867	1,717,253
FORTRESS CREDIT OPP FUND IV	AT COST	1,113,901	1,128,124
FORTRESS CREDIT OPPORTUNITIES III	AT COST	396,335	172,349
GMO - QUALITY FUND III	AT COST	13,791,709	13,965,883
GQG PARTNERS EM EQUITY FUND	AT COST	2,364,485	2,290,546
JUNTO OFFSHORE FUND LTD	AT COST	5,000,000	6,743,232
MONEY MARKETS	AT COST	250,461	250,461
NEWPORT ASIA INSTITUTIONAL FUND	AT COST	2,895,939	4,561,172
NUT TREE OFFSHORE FUND LTD	AT COST	5,000,000	6,372,525
RA CAPITAL HEALTHCARE INTERNATIONAL FUND	AT COST	6,000,000	6,386,486
RAINE PARTNERS II LP	AT COST	1,544,556	3,416,450
RAINE PARTNERS III LP	AT COST	2,827,438	3,417,230
SAGEVIEW CAPITAL PARTNERS II	AT COST	1,693,576	2,795,523
SHERIDAN SQUARE OFFSHORE FUND, LTD.	AT COST	5,000,000	4,000,061
SILCHESTER INT'L INVESTORS	AT COST	12,472,830	10,408,191
SPYGLASS GROWTH FUND	AT COST	2,566,840	1,724,069
TIFF PARTNERS V - INT'L	AT COST	105,238	11,699
TIFF PARTNERS V - US	AT COST	134,072	39,487
TIFF PRIVATE EQUITY PARTNERS 2005	AT COST	361,734	242,844
TIFF PRIVATE EQUITY PARTNERS 2007	AT COST	297,939	585,714
VIKING GLOBAL EQUITIES III	AT COST	2,472,046	8,466,867
WESTERN ASSET ULTRA SHORT INCOME	AT COST	8,859,656	8,741,724

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LAND	500,000	0	500,000	
BUILDING & ACQUISITION	869,591	711,978	157,613	
BUILDING IMPROVEMENTS	7,070,240	3,386,104	3,684,136	
EQUIPMENT & FURNITURE	428,227	314,141	114,086	

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	212,095	21,210		190,885

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK & ANTIQUES	103,168	103,168	103,168
PROGRAM RELATED INVESTMENT	340,000	0	0
ADVANCES	2,014	189	189

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EQUIPMENT MAINTENANCE & RENTAL	21,124	2,112		19,012
OFFICE OPERATIONS	57,050	2,852		54,198
RESEARCH & MEMBERSHIP	8,696	0		8,696
BOARD OF DIRECTORS TRAVEL & EXPENSES	11,959	598		11,361
INSURANCE	8,845	442		8,403
FILING FEES AND FOUNDATION NOTICE	1,500	0		1,500

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ADMINISTRATIVE SVC. FEES	91,342		91,342
OTHER LOSSES FROM LIMITED PARTNERSHIPS	-280,300		-280,300
UNRELATED BUSINESS INCOME FROM LIMITED PARTNERSHIPS	3,443		3,443

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WEBSITE CONSULTANT	216	10		206
INVESTMENT & MANAGEMENT FEES	394,409	394,409		0
OUTSIDE ADMINISTRATIVE	157,818	0		157,818
GRANT RELATED FEES	26,000	0		26,000
COMMUNICATIONS FEES	24,177	3,627		20,550

TY 2022 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ESTIMATED FEDERAL EXCISE TAX	185,000	0		0