Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation
MERTZ GILMORE FOUNDATION

A Employer identification number
13-2872722

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
218 EAST 18TH STREET

B Telephone number (see instructions)
(212) 475-1137

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100033694

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 141,462,499

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	3,910,148			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	1,433,276	1,433,276		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	5,142,820			
	b	Gross sales price for all assets on line 6a 21,416,595				
	7	Capital gain net income (from Part IV, line 2)		5,142,820		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-125,578	-340,805		
	12	**Total.** Add lines 1 through 11	10,360,666	6,235,291		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	591,556	190,340		401,216
	14	Other employee salaries and wages	731,455	0		731,455
	15	Pension plans, employee benefits	499,597	53,560		446,037
	16a	Legal fees (attach schedule)	183,722	18,372		165,350
	b	Accounting fees (attach schedule)	33,528	22,353		11,175
	c	Other professional fees (attach schedule)	637,690	468,685		169,005
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	100,000	0		0
	19	Depreciation (attach schedule) and depletion	552,354	0		
	20	Occupancy	126,653	9,852		116,801
	21	Travel, conferences, and meetings	28,195	0		28,195
	22	Printing and publications				
	23	Other expenses (attach schedule)	113,964	4,358		109,606
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,598,714	767,520		2,178,840
	25	Contributions, gifts, grants paid	10,801,650			10,801,650
	26	**Total expenses and disbursements.** Add lines 24 and 25	14,400,364	767,520		12,980,490
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-4,039,698			
	b	**Net investment income** (if negative, enter -0-)		5,467,771		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	229,597	144,277	144,277
	2	Savings and temporary cash investments	891,974	3,143,731	3,143,731
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	4,713,536	6,308,849	15,661,723
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	99,756,909	92,087,244	116,583,253
	14	Land, buildings, and equipment: basis ▶ 8,655,923			
		Less: accumulated depreciation (attach schedule) ▶ 3,171,309	5,733,394	5,484,614	5,484,614
	15	Other assets (describe ▶)	376,103	444,901	444,901
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	111,701,513	107,613,616	141,462,499
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	3,347	0	
	23	**Total liabilities**(add lines 17 through 22)	3,347	0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	111,698,166	107,613,616	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	111,698,166	107,613,616	
	30	**Total liabilities and net assets/fund balances** (see instructions)	111,701,513	107,613,616	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	111,698,166
2	Enter amount from Part I, line 27a	2	-4,039,698
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	107,658,468
5	Decreases not included in line 2 (itemize) ▶	5	44,852
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	107,613,616

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	EDGEWOOD MGMNT - PUBLICLY TRADED SECURITIES	P		
b	SILCHESTER INT'L INVESTORS	P		
c	WELLINGTON DIH	P		
d	WESTERN ASSET CORE PLUS PORTFOLIO	P		
e	TIFF ABSOLUTE RETURN POOL	P		
	TIFF PARTNERS IV	P		
	VIKING GLOBAL EQUITIES III, LTD.	P		
	NEWPORT ASIA	P		
	CANYON VALUE REALIZATION FUND (CAYMAN), LTD.	P		
	CAPITAL GAIN PASSTHROUGH	P		
	CAPITAL GAINS DIVIDENDS	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,614,214		1,051,637	1,562,577
b	4,330,331		6,934,006	-2,603,675
c	5,672,844		4,699,040	973,804
d	133,392			133,392
e	330			330
	6,960			6,960
	1,000,000		345,223	654,777
	3,000,000		1,993,869	1,006,131
	1,270,026		1,250,000	20,026
	1,664,136			1,664,136
	1,724,362			1,724,362

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a				1,562,577
b				-2,603,675
c				973,804
d				133,392
e				330
				6,960
				654,777
				1,006,131
				20,026
				1,664,136
				1,724,362

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	5,142,820
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	Reserved	1	76,002
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	76,002
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	76,002
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020 — 6a: 104,718		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 50,000		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d	7	154,718
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	78,716
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 78,716 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY, CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ WWW.MERTZGILMORE.ORG

14 The books are in care of ▶ MARION CAPPIELLO Telephone no. ▶ (212) 475-1137

Located at ▶ 218 EAST 18TH STREET NEW YORK NY ZIP+4 ▶ 100033694

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MIKKI SHEPARD C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	CHAIRPERSON 1.00	19,500	0	0
RINI BANERJEE C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	TREASURER 1.00	9,000	0	0
JARED BERNSTEIN C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	9,000	0	0
LAURA BUTZEL C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	SECRETARY 1.00	0	0	0
PHIL RADFORD C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	8,000	0	0
ANDREA SHOLLER C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	VICE CHAIRPERSON 1.00	9,000	0	0
CIARA TORRES-SPELLISCY C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	DIRECTOR 1.00	8,000	0	0
GOLDEN BECKNER JR C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	PRESIDENT 35.00	332,052	61,527	0
MARION CAPPIELLO C/O MERTZ GILMORE FOUNDATION 218 EAST 18TH STREET NEW YORK, NY 10003	CHIEF FINANCIAL & ADMIN. OFFICER 35.00	197,004	55,874	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
LEAH KRAUSS 218 EAST 18TH STREET NEW YORK,NY 10003	SENIOR PROGRAM OFFIC 35.00	145,186	50,424	0
RACHAEL YOUNG 218 EAST 18TH STREET NEW YORK,NY 10003	PROGRAM OFFICER 35.00	104,950	39,355	0
VANESSA LLANA 218 EAST 18TH STREET NEW YORK,NY 10003	EXECUTIVE ASSISTANT 35.00	94,930	43,601	0
TRELLIS STEPTER 218 EAST 18TH STREET NEW YORK,NY 10003	PROGRAM OFFICER 35.00	104,950	22,750	0
MARY PICCIONE 218 EAST 18TH STREET NEW YORK,NY 10003	GRANTS/SYSTEMS MANAG 30.00	88,018	36,728	0
Total number of other employees paid over $50,000 ▶				3

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
EUROSTRUCT INC 228 RUSSELL STREET BROOKLYN,NY 11222	CONSTRUCTION SERVICES	215,357
SILCHESTER INTERNATIONAL INVESTORS 780 THIRD AVENUE 42ND FLOOR NEW YORK,NY 10017	INVESTMENT MANAGER	137,625
EDGEWOOD MANAGEMENT 535 MADISON AVENUE 15TH FLOOR NEW YORK,NY 10022	INVESTMENT MANAGER	119,329
MONTICELLO ASSOCIATES 1800 LARIMER STREET SUITE 2100 DENVER,CO 80202	INVESTMENT CONSULTANT	100,000
ANGELA PARK 122 HAWK PINE ROAD NORWICH,VT 05055	PROGRAM & ADMIN CONSULTANT SERVICES	91,527

Total number of others receiving over $50,000 for professional services. . . . . ▶ 3

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 INVESTMENT IN LIMITED LIABILITY COMPANY (LLC) TO FUND A PORTION OF A LOAN MADE BY THE LLC TO PROVIDE A BRIDGE TO SMOOTH OUT A CASH FLOW GAP FOR AN ARTS MANAGEMENT BUSINESS ADMINISTRATION COLLECTIVE IN NEW YORK CITY.	40,000
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . . ▶	40,000

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	117,353,608
b	Average of monthly cash balances			1b	4,463,551
c	Fair market value of all other assets (see instructions)			1c	104,901
d	**Total** (add lines 1a, b, and c)			1d	121,922,060
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	121,922,060
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	1,828,831
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	120,093,229
6	**Minimum investment return.** Enter 5% of line 5			6	6,004,661

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	6,004,661
2a	Tax on investment income for 2020 from Part VI, line 5	2a	76,002		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	250		
c	Add lines 2a and 2b			2c	76,252
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	5,928,409
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	5,928,409
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	5,928,409

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	12,980,490
b	Program-related investments—total from Part IX-B	1b	40,000
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	299,091
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,319,581
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	13,319,581

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				5,928,409
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018. 2,208,673				
e From 2019.				
f **Total** of lines 3a through e.	2,208,673			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 13,319,581				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	3,910,148			
d Applied to 2020 distributable amount				5,928,409
e Remaining amount distributed out of corpus	3,481,024			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	9,599,845			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	3,910,148			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a	5,689,697			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018. . . . 2,208,673				
d Excess from 2019				
e Excess from 2020 3,481,024				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

GOLDEN B BECKNER CO MERTZ GILMORE F
218 EAST 18TH STREET
NEW YORK,NY 10003
(212) 475-1137

b The form in which applications should be submitted and information and materials they should include:

NEW YORK COMMUNITIES PROGRAM THE NEW YORK CITY COMMUNITIES PROGRAM ACCEPTS LETTERS OF INQUIRY (LOI) IN THE COLLABORATIVE CAMPAIGNS FUND AND CAPACITY BUILDING FUND CATEGORIES. THE PROGRAM IS NOT ACCEPTING LOI'S IN THE COMMUNITY-BASED ORGANIZATION FUND, GRANTS IN THIS CATEGORY ARE MADE BY INVITATION ONLY. PLEASE GO TO THE FOUNDATION'S GRANTS MANAGEMENT PORTAL (MERTZ.SMARTSIMPLE.COM) TO COMPLETE AN ONLINE LOI FORM. STAFF WILL RESPOND TO ALL COMMUNICATIONS, AND, IF APPROPRIATE, INVITE A FULL PROPOSAL. DESCRIPTIONS OF THE FUNDS ARE BELOW. COLLABORATIVE CAMPAIGNS FUND: THE FOUNDATION SUPPORTS COALITION CAMPAIGNS THAT ARE LED BY GRASSROOTS COMMUNITY-BASED ORGANIZATIONS IN NEW YORK CITY. WHILE WE RECOGNIZE THAT CAMPAIGNS ARE LONG-TERM UNDERTAKINGS, THESE GRANTS ARE INTENDED TO BE RESPONSIVE TO STRATEGIC OPPORTUNITIES TO PROVIDE TIME-LIMITED FUNDING (USUALLY 2 YEARS) TO COALITIONS THAT ARE IN CRITICAL STAGES OF A CAMPAIGN. (CONTINUED ON STATEMENT 20)

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE FORM AND CONTENT OF APPLICATIONS

Unclaimed Dividends as on 31-Jul-2025

Company Name	Address	Country	State	District	Pin Code	Folio	Amount
3 I INFOTECH LTD.		India	Delhi	South Delhi	110001	00000	1.00

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,433,276	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	5,142,820	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a ADMINISTRATIVE SVC. FEES					221,416
b OTHER LOSSED FROM LIMITED PARTNERSHIPS			14	-340,805	
c UNRELATED BUSINESS INCOME FROM LIMITED PARTNERSHIPS	900000	-6,189			
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		-6,189		6,235,291	221,416

13 **Total.** Add line 12, columns (b), (d), and (e) 13 6,450,518
(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
11A	FEES FOR ADMINISTRATIVE AND PROGRAMMATIC SERVICES FOR A CHARITABLE
11A	TRUST AND A NOT FOR PROFIT ORGANIZATION.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 2021-11-15 | Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
FREDERICK MARTENS				P00298107
Firm's name ▶ LUTZ AND CARR CPAS LLP				Firm's EIN ▶ 13-1655065
Firm's address ▶ 551 FIFTH AVENUE SUITE 400 NEW YORK, NY 10176				Phone no. (212) 697-2299

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2020

Name of the organization	**Employer identification number**
MERTZ GILMORE FOUNDATION	13-2872722

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
MERTZ GILMORE FOUNDATION

Employer identification number
13-2872722

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TRUST UW OF JOYCE MERTZ-GILMORE C/O BNY MELLON WEALTH MANAGEMENT 20 NEW YORK, NY 10166	$ 3,460,148	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	THE BURROUGHS TRUST C/O BNY MELLON WEALTH MANAGEMENT 20 NEW YORK, NY 10166	$ 450,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
MERTZ GILMORE FOUNDATION

Employer identification number
13-2872722

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
MERTZ GILMORE FOUNDATION

Employer identification number
13-2872722

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDIT AND TAX FEES	33,528	22,353		11,175

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAND		500,000		L		0	0		
BUILDING & ACQUISITION		869,591	646,758	SL	40.000000000000	21,740	0		
BUILDING IMPROVEMENTS		6,859,138	1,903,576	SL	40.000000000000	477,362	0		
EQUIPMENT & FURNITURE		427,194	68,621	SL	5.000000000000	53,252	0		

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Election: PAGE 9, PART XIII - UNDISTRIBUTED INCOME - LINE 4(C)THE FOUNDATION HEREBY ELECTS TO TREAT 3,910,148 AS A DISTRIBUTION OUT OF CORPUS TO SATISFY THE REQUIREMENTS IMPOSED BY SECTION 4942(H)(2). AN ELECTION IS HEREBY MADE PURSUANT TO REG. 53.4942(A)-3(D)(2) TO TREAT 3,910,148 AS A DISTRIBUTION OUT OF THE 2020 UNDISTRIBUTED INCOME AND OUT OF CORPUS.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2020-04-24	10,000	ENGAGE NEW YORK IS A NETWORK OF FOUNDATION LEADERS ACROSS THE STATE WHO SEEK TO DEVELOP AN ACTION AGENDA THAT ADVANCES EQUITY, SOCIAL JUSTICE, AND CIVIC AND COMMUNITY PARTICIPATION TO ADVANCE THE LIVES OF ALL NEW YORKERS. ENGAGE ENVISIONS A STATE WHERE FOUNDATIONS FROM EVERY REGION ARE ENGAGED IN ALIGNING AND LEVERAGING RESOURCES, DEVELOPING AND IMPLEMENTING INTERSECTIONAL APPROACHES TO COMMUNITY CHALLENGES, AND SERVING AS ALLIES TO ADVOCATES AND COMMUNITY ORGANIZERS WHO ARE ADDRESSING THE NEEDS OF THE MOST VULNERABLE AND MARGINALIZED COMMUNITIES THROUGHOUT NEW YORK. IT SUPPORTS INTERSECTIONAL COMMUNITY ORGANIZING INFRASTRUCTURE ACROSS REGIONS, WITH THE INTENTION TO LINK POLICY ADVOCACY, CIVIC ENGAGEMENT, EQUITY, AND SYSTEMIC CHANGE THAT ADDRESS THE ROOT CAUSES OF POVERTY AND DISENFRANCHISEMENT. CURRENTLY, THE NETWORK IS FOCUSING ON THE FOLLOWING ISSUE AREAS: CENSUS 2020 (INCLUDING REDISTRICTING), SAFE AND HEALTHY HOUSING, IMMIGRATION AND REFUGEE POLICY, AND CRIMINAL JUSTICE REFORM.	10,000	NONE	6/30/21		THE MERTZ GILMORE FOUNDATION REVIEWED THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE, BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORT(S) AS THERE HAS BEEN NO REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2020-12-17	40,000	ENGAGE NEW YORK IS A NETWORK OF FOUNDATION LEADERS ACROSS THE STATE WHO SEEK TO DEVELOP AN ACTION AGENDA THAT ADVANCES EQUITY, SOCIAL JUSTICE, AND CIVIC AND COMMUNITY PARTICIPATION TO ADVANCE THE LIVES OF ALL NEW YORKERS. ENGAGE ENVISIONS A STATE WHERE FOUNDATIONS FROM EVERY REGION ARE ENGAGED IN ALIGNING AND LEVERAGING RESOURCES, DEVELOPING AND IMPLEMENTING INTERSECTIONAL APPROACHES TO COMMUNITY CHALLENGES, AND SERVING AS ALLIES TO ADVOCATES AND COMMUNITY ORGANIZERS WHO ARE ADDRESSING THE NEEDS OF THE MOST VULNERABLE AND MARGINALIZED COMMUNITIES THROUGHOUT NEW YORK. IT SUPPORTS INTERSECTIONAL COMMUNITY ORGANIZING INFRASTRUCTURE ACROSS REGIONS, WITH THE INTENTION TO LINK POLICY ADVOCACY, CIVIC ENGAGEMENT, EQUITY, AND SYSTEMIC CHANGE THAT ADDRESS THE ROOT CAUSES OF POVERTY AND DISENFRANCHISEMENT. CURRENTLY, THE NETWORK IS FOCUSING ON THE FOLLOWING ISSUE AREAS: CENSUS 2020 (INCLUDING REDISTRICTING), SAFE AND HEALTHY HOUSING, IMMIGRATION AND REFUGEE POLICY, AND CRIMINAL JUSTICE REFORM.	40,000	NONE	12/15/21		THE MERTZ GILMORE FOUNDATION REVIEWED THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE, BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORT(S) AS THERE HAS BEEN NO REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2020-04-15	75,000	NEIGHBORHOODS FIRST FUND FOR COMMUNITY ENGAGED PLANNING IS A PHILANTHROPIC COLLABORATIVE THAT SUPPORTS COMMUNITY-BASED ORGANIZATIONS WORKING TO ENSURE THAT PLANS ADVANCED BY THE CITY'S ADMINISTRATION ADDRESS THE NEEDS AND ASPIRATIONS OF THE NEIGHBORHOODS TARGETED FOR REDEVELOPMENT AND REZONING. NEIGHBORHOODS FIRST ALSO FUNDS INTERMEDIARY ORGANIZATIONS WORKING WITH COMMUNITY-BASED ORGANIZATIONS TO PROVIDE CAPACITY BUILDING AND TECHNICAL ASSISTANCE INCLUDING RESEARCH AND ANALYSIS, TRAINING, AND FACILITATION ON COMMUNITY PLANNING, ZONING, HOUSING AFFORDABILITY, ECONOMIC DEVELOPMENT, AND RELATED ISSUES.	75,000	NONE	12/15/21		THE MERTZ GILMORE FOUNDATION WILL REVIEW THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE, AND IF NECESSARY, UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORT(S) IF THERE IS A REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2020-04-15	25,000	NEIGHBORHOODS FIRST FUND FOR COMMUNITY ENGAGED PLANNING IS A PHILANTHROPIC COLLABORATIVE THAT SUPPORTS COMMUNITY-BASED ORGANIZATIONS WORKING TO ENSURE THAT PLANS ADVANCED BY THE CITY'S ADMINISTRATION ADDRESS THE NEEDS AND ASPIRATIONS OF THE NEIGHBORHOODS TARGETED FOR REDEVELOPMENT AND REZONING. NEIGHBORHOODS FIRST ALSO FUNDS INTERMEDIARY ORGANIZATIONS WORKING WITH COMMUNITY-BASED ORGANIZATIONS TO PROVIDE CAPACITY BUILDING AND TECHNICAL ASSISTANCE INCLUDING RESEARCH AND ANALYSIS, TRAINING, AND FACILITATION ON COMMUNITY PLANNING, ZONING, HOUSING AFFORDABILITY, ECONOMIC DEVELOPMENT, AND RELATED ISSUES.	25,000	NONE	12/15/21		THE MERTZ GILMORE FOUNDATION WILL REVIEW THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE, AND IF NECESSARY, UNDERTAKE ANY

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
									VERIFICATION OF THE GRANTEE'S REPORT(S) IF THERE IS A REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).
NEW YORK FOUNDATION	150 WEST 30TH STREET SUITE 1401 NEW YORK, NY 10001	2020-04-15	15,000	TO SUPPORT THE NEW YORK CITY CAPACITY BUILDING COLLABORATIVE 2020 WORKSHOP SERIES.THE NEW YORK FOUNDATION SUPPORTS GRASSROOTS ORGANIZATIONS IN NEW YORK CITY THAT ARE WORKING IN COMMUNITIES IMPACTED BY SOCIAL AND ECONOMIC INJUSTICE.	15,000	NONE	12/15/21		THE MERTZ GILMORE FOUNDATION WILL REVIEW THE GRANT REPORT(S) ON THE DATE(S) MENTIONED ABOVE, AND IF NECESSARY, UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORT(S) IF THERE IS A REASON TO DOUBT THE ACCURACY AND RELIABILITY OF THE REPORT(S).

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Identifier	Return Reference	Explanation
	NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED(CONT.)	CAPACITY BUILDING FUND: THE FOUNDATION PROVIDES SUPPORT TO A LIMITED NUMBER OF ORGANIZATIONS THAT PROVIDE CAPACITY-BUILDING SUPPORT AND TECHNICAL ASSISTANCE TO GRASSROOTS COMMUNITY-BASED ORGANIZATIONS, INCLUDING BUT NOT LIMITED TO THE PROGRAM'S GRANTEES. FUNDING GOES TO ORGANIZATIONS THAT PLAY A CRITICAL ROLE IN THE BROADER ORGANIZING AND ADVOCACY ECOSYSTEM AND THAT PARTNER WITH GROUPS TO ADDRESS IMPORTANT ORGANIZATIONAL DEVELOPMENT AND CAPACITY NEEDS.NEW YORK CITY DANCE PROGRAMTHE FOUNDATION'S NEW YORK CITY DANCE PROGRAM ACCEPTS PROPOSALS IN TWO CATEGORIES: PRESENTERS AND ADVOCACY/SUPPORT SERVICES. PRIOR TO SUBMITTING A PROPOSAL, PLEASE SEND AN EMAIL TO LEAH KRAUSS WITH A PARAGRAPH DESCRIBING THE PROPOSED PROJECT. LEAH KRAUSS WILL RESPOND TO ALL EMAIL INQUIRIES, AND, IF APPROPRIATE, INVITE A FULL PROPOSAL.GUIDELINES FOR PRESENTERS GRANTSEEKERS: THE FOUNDATION PROVIDES MULTI-YEAR GENERAL OPERATING SUPPORT TO PRESENTERS OF SMALL TO MID-SIZED DANCE COMPANIES. GRANT TERMS ARE GENERALLY TWO YEARS IN LENGTH AND AVAILABLE ONLY TO GROUPS THAT PRESENT CONTEMPORARY DANCE EXCLUSIVELY OR PRESENT MULTI-DISCIPLINARY PROGRAMS. THE FOUNDATION FOCUSES ON PRESENTERS LOCATED IN THE BOROUGHS OUTSIDE MANHATTAN WHICH DEVELOP CHOREOGRAPHERS AT EARLY STAGES IN THEIR CAREERS IN RELATIVELY LOW-COST SETTINGS. THE FOUNDATION WELCOMES PRESENTING ORGANIZATIONS THAT INCLUDE DANCE MAKING AND PERFORMANCE BY AND WITH DISABLED ARTISTS.GUIDELINES FOR ADVOCACY/SUPPORT SERVICES GRANTSEEKERS: ON A LIMITED BASIS AND FOR OPPORTUNITIES THAT MEET STRATEGIC CONCERNS OF THE FOUNDATION, ORGANIZATIONS MAY SUBMIT PROPOSALS FOR PROJECTS THAT ADVANCE THE DANCE FIELD BY IMPROVING CONDITIONS FOR INDIVIDUAL DANCE ARTISTS.THE NEW YORK CITY DANCE PROGRAM ALSO MAKES GRANTS IN THE FOLLOWING INVITATION ONLY FUNDS; REHEARSAL SPACE SUBSIDY PROGRAM AND LATE STAGE STIPENDS.THE NEW YORK CITY DANCE PROGRAM DOES NOT MAKE GRANTS FOR INDIVIDUAL ARTISTS, ARTS EDUCATION PROGRAMS, CONSERVATORIES, DANCE FILMS, OR ORGANIZATIONS/PROJECTS BASED OUTSIDE OF NEW YORK CITY.DEMOCRATIC VALUES AND CLIMATE CHANGE SOLUTION PROGRAMSTHE DEMOCRATIC VALUES AND CLIMATE CHANGE SOLUTIONS PROGRAMS ARE CURRENTLY MAKING GRANTS BY INVITATION ONLY AS THEY UNDERGO PROGRAM REVIEW/STRATEGIC REVIEW. ACROSS ALL PROGRAMS, THE FOUNDATION ONLY FUNDS ORGANIZATIONS BASED IN AND WORKING IN THE UNITED STATES AND ARE 501C3 ORGANIZATIONS OR THOSE FISCALLY SPONSORED BY 501C3 ORGANIZATIONS. WE DO NOT FUND INDIVIDUALS, ENDOWMENTS OR RELIGIOUS CONCERNS.THE FOUNDATION DOES NOT ACCEPT SUBMISSIONS OF DVDS/CDS, BOOKS, OR BOUND REPORTS. WE ARE OPEN TO RECEIVING DIGITAL COPIES/LINKS TO RELEVANT VIDEOS OR PRESS ABOUT YOUR WORK.
OTHER EMPLOYEE SALARIES & WAGES; PENSION PLANS, EMPLOYEE BENEFITS; OCCUPANCY	PART I LINE 14, 15 AND 20	TO EXTEND ITS PHILANTHROPIC MISSION, THE MERTZ GILMORE FOUNDATION MAKES ITS CONFERENCE ROOMS AVAILABLE FOR MEETINGS OF NONPROFIT GROUPS ON A FIRST-COME, FIRST-SERVED BASIS. THE ROOM IS FREE OF CHARGE DURING THE FOUNDATION'S REGULAR HOURS. THERE WAS NO USAGE OF THE CONFERENCE ROOM IN 2020 SINCE THE FOUNDATION'S BUILDING WAS FINISHING RENOVATIONS IN EARLY 2020 AND THEN CLOSED DUE TO THE COVID-19 PANDEMIC.THE AMOUNTS ON PART I, LINES 14 AND 15 INCLUDE THE COSTS OF SALARIES AND BENEFITS OF STAFF WHO MAINTAIN THE CONFERENCE ROOM.THE AMOUNT ON PART I, LINE 20 INCLUDES THE COSTS OF MAINTAINING THE CONFERENCE ROOMS.
INCOME TAX FOR 2020	PART XI, LINE 2B	2020 NEW YORK FORM CT-13, UNRELATED BUSINESS INCOME TAX - $250

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE INC	338,745	709,170
ALIGN TECHNOLOGY INC	340,555	771,645
AMAZON.COM INC	69,898	648,129
AMERICAN TOWER CORP	281,918	663,953
CHIPOTLE MEXICAN GRILL INC CL A	463,393	489,509
CME GROUP INC	284,413	515,202
DANAHER CORP	570,341	585,560
EQUINIX INC	197,879	667,758
ESTEE LAUDER COS INC/THE CL A	431,030	722,972
FACEBOOK INC CL A	388,261	810,739
IHS MARKIT LTD	196,754	537,543
ILLUMINA INC	295,497	805,860
INTUIT INC	519,146	735,010
INTUITIVE SURGICAL INC	183,541	818,100
MICROSOFT CORP	476,527	664,146
NETFLIX INC	176,331	507,205
NIKE INC CL B	207,332	670,002
NVIDIA CORP	94,779	825,598
PAYPAL HOLDINGS INC	155,767	1,039,380
S&P GLOBAL INC	207,682	577,907
SNAP INC CL A	359,235	975,263
VISA INC CL A	69,825	921,072

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MONEY MARKETS	AT COST	221,230	221,230
CERTIFICATES OF DEPOSIT	AT COST	100,000	100,000
GMO - QUALITY FUND III	AT COST	12,897,445	14,889,846
SPYGLASS GROWTH FUND	AT COST	2,124,804	3,487,577
WAMCO CORE PLUS PORTFOLIO	AT COST	8,848,403	9,582,035
DAVIDSON KEMPNER	AT COST	6,908,153	7,075,229
VIKING GLOBAL EQUITIES III	AT COST	2,472,046	9,102,433
CANYON VALUE REALIZATION FUND (CAYMAN), LTD.	AT COST	3,750,000	3,810,079
NUT TREE OFFSHORE FUND LTD	AT COST	5,000,000	6,255,435
EMINENCE FUND LTD	AT COST	5,120,313	7,414,939
KYLIN OFFSHORE FUND	AT COST	3,000,000	4,052,856
JUNTO OFFSHORE FUND LTD	AT COST	5,000,000	5,879,980
NEWPORT ASIA INSTITUTIONAL FUND	AT COST	3,650,807	5,729,566
SILCHESTER INT'L INVESTORS	AT COST	11,854,748	10,868,864
GQG PARTNERS EM EQUITY FUND	AT COST	1,863,740	2,958,647
SHERIDAN SQUARE OFFSHORE FUND, LTD.	AT COST	5,000,000	5,198,695
FORTRESS CREDIT OPPORTUNITIES III	AT COST	520,236	431,400
FORTRESS CREDIT OPP FUND IV	AT COST	1,587,544	1,536,956
TIFF PARTNERS III	AT COST	127,871	39,507
TIFF PARTNERS IV	AT COST	0	12,152
TIFF PRIVATE EQUITY PARTNERS 2005	AT COST	700,041	738,060
TIFF PRIVATE EQUITY PARTNERS 2007	AT COST	621,172	1,051,015
TIFF PARTNERS V - US	AT COST	185,511	179,464
TIFF PARTNERS V - INT'L	AT COST	134,488	17,208
RAINE PARTNERS II LP	AT COST	2,437,028	6,010,669
RAINE PARTNERS III LP	AT COST	1,640,252	2,144,994
SAGEVIEW CAPITAL PARTNERS II	AT COST	1,560,365	2,691,571
CANYON DISTRESSED OPPORTUNITY FUND II	AT COST	927,073	901,028
CANYON DISTRESSED OPPORTUNITY FUND III	AT COST	1,000,000	1,046,678
FARALLON SPECIAL SITUATIONS FUND	AT COST	1,563,948	1,885,114
CASH IN TRANSIT	AT COST	1,270,026	1,270,026

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LAND	500,000	0	500,000	
BUILDING & ACQUISITION	869,591	668,498	201,093	
BUILDING IMPROVEMENTS	6,859,138	2,380,938	4,478,200	
EQUIPMENT & FURNITURE	427,194	121,873	305,321	

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	183,722	18,372		165,350

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK & ANTIQUES	76,103	103,168	103,168
PROGRAM RELATED INVESTMENT	300,000	340,000	340,000
ADVANCES	0	1,733	1,733

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description	Amount
NONDEDUCTIBLE LOSSES PER K-1'S FROM PARTNERSHIPS	44,852

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANT RELATED EXPENSES	35,000	0		35,000
EQUIPMENT MAINTENANCE & RENTAL	20,265	2,027		18,238
OFFICE OPERATIONS	37,540	1,877		35,663
RESEARCH & MEMBERSHIP	10,574	0		10,574
BOARD OF DIRECTORS TRAVEL & EXPENSES	1,200	60		1,140
INSURANCE	7,875	394		7,481
FILING FEES AND FOUNDATION NOTICE	1,510	0		1,510

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ADMINISTRATIVE SVC. FEES	221,416		221,416
OTHER LOSSED FROM LIMITED PARTNERSHIPS	-340,805		-340,805
UNRELATED BUSINESS INCOME FROM LIMITED PARTNERSHIPS	-6,189		-6,189

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Description	Beginning of Year - Book Value	End of Year - Book Value
ADVANCES	3,347	0

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WEBSITE CONSULTANT	11,247	562		10,685
INVESTMENT & MANAGEMENT FEES	466,321	466,321		0
OUTSIDE ADMINISTRATIVE	45,585	0		45,585
GRANT RELATED FEES	102,527	0		102,527
COMMUNICATIONS FEES	12,010	1,802		10,208

TY 2020 IRS 990 e-File Render

Name: MERTZ GILMORE FOUNDATION

EIN: 13-2872722

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ESTIMATED FEDERAL EXCISE TAX	100,000	0		0