

For calendar year 2021, or tax year beginning 07-01-2021 , and ending 06-30-2022

Name of foundation THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC		A Employer identification number 06-1547852	
Number and street (or P.O. box number if mail is not delivered to street address) 633 THIRD AVENUE 16TH FLOOR		Room/suite	B Telephone number (see instructions) (212) 360-6173
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <u>592,475,663</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) <u>Modified Cash</u> (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	11,163,560			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	9,352	9,352		
	4 Dividends and interest from securities	12,581,864	12,581,864		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	33,235,438			
	b Gross sales price for all assets on line 6a <u>117,357,925</u>				
	7 Capital gain net income (from Part IV, line 2)		33,279,468		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	117,296	117,296		
	12 Total. Add lines 1 through 11	57,107,510	45,987,980		
	13 Compensation of officers, directors, trustees, etc.	385,417	94,375		291,042
	14 Other employee salaries and wages	901,140	3,206		897,934
	15 Pension plans, employee benefits	396,086	22,738		373,315
	16a Legal fees (attach schedule)	100,000	0		100,000
	b Accounting fees (attach schedule)	84,550	0		84,550
	c Other professional fees (attach schedule)	667,233	652,233		15,000
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	413,858	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	192,521	74,441		118,080
	21 Travel, conferences, and meetings	23,538	0		23,538
	22 Printing and publications				
	23 Other expenses (attach schedule)	182,634	0		182,634
	24 Total operating and administrative expenses. Add lines 13 through 23	3,346,977	846,993		2,086,093
	25 Contributions, gifts, grants paid	32,740,853			32,740,853
	26 Total expenses and disbursements. Add lines 24 and 25	36,087,830	846,993		34,826,946
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	21,019,680			
	b Net investment income (if negative, enter -0-)		45,140,987		
	c Adjusted net income (if negative, enter -0-)				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		500	500	500
	2	Savings and temporary cash investments		26,213,241	14,538,590	14,538,590
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)		133,670,296	125,867,718	125,867,718
	b	Investments—corporate stock (attach schedule)		224,606,479	194,297,866	194,297,866
	c	Investments—corporate bonds (attach schedule)		74,971,729	70,581,799	70,581,799
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)		200,026,320	165,679,718	165,679,718
	14	Land, buildings, and equipment: basis ▶ 15,763,219 Less: accumulated depreciation (attach schedule) ▶ _____		15,763,219	15,763,219	15,763,219
15	Other assets (describe ▶ _____)		10,646,253	5,746,253	5,746,253	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		685,898,037	592,475,663	592,475,663	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22).		0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ☒ and complete lines 24, 25, 29 and 30.					
	24	Net assets without donor restrictions		685,898,037	592,475,663	
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ☐ and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)		685,898,037	592,475,663	
30	Total liabilities and net assets/fund balances (see instructions) .		685,898,037	592,475,663		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 685,898,037
2	Enter amount from Part I, line 27a	2 21,019,680
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 706,917,717
5	Decreases not included in line 2 (itemize) ▶ _____	5 114,442,054
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6 592,475,663

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SALE OF DONATED SECURITIES	D		
b SALE OF PUBLICLY TRADED SECURITIES	P		
c CAPITAL GAINS DIVIDENDS	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,359,921		1,536,959	2,822,962
b 110,540,517		82,541,498	27,999,019
c 2,457,487			2,457,487
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,822,962
b			27,999,019
c			2,457,487
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	33,279,468
	3	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }		

Part V

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter

Bracket for line 1a

"N/A" on line 1.

1a

Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)

All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)

b

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2.

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6

Credits/Payments:

a

2021 estimated tax payments and 2020 overpayment credited to 2021

6a

377,000

b

Exempt foreign organizations—tax withheld at source

6b

0

c

Tax paid with application for extension of time to file (Form 8868)

6c

0

d

Backup withholding erroneously withheld

6d

0

7

Total credits and payments. Add lines 6a through 6d

7

377,000

8

Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached. 

8

0

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

250,460

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

11

Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

11

Part VI-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

Yes

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.

1b

No

c

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1c

No

d

Did the foundation file Form 1120-POL for this year?.

1c

No

e

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1)

On the foundation. ☐ \$ 0

(2)

On foundation managers. ☐ \$ 0

f

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?

2

No

3

If "Yes," attach a detailed description of the activities.

3

No

4a

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes .

4a

Yes

No

4b

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4b

No

5

If "Yes," has it filed a tax return on Form 990-T for this year?

5

No

6

Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.

6

No

7

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

•

By language in the governing instrument, or

•

By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7

No

8a

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV.

8a

No

9a

Enter the states to which the foundation reports or with which it is registered (see instructions)

9a

CT, NY

9b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .

9b

No

10

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII

10

No

11

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

11

No

Part VI-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►WWW.PCLBFUNDATION.ORG	13	Yes	
14	The books are in care of ►D BEN BENOIT Telephone no. ►(860) 572-1242 Located at ►7 MASONS ISLAND ROAD MYSTIC CT ZIP+4 ►06355			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	►		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here.			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
	a At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021?	2a		No
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)	Yes	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check here			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	5d	Yes	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRISTOPHER BUCK 7 MASONS ISLAND ROAD MYSTIC, CT 06355	PRESIDENT/DIRECTOR 20.00	25,000	28,566	0
WILLIAM BUCK 7 MASONS ISLAND ROAD MYSTIC, CT 06355	TREASURER/DIRECTOR 20.00	25,000	28,566	0
MICHAEL BUCK 7 MASONS ISLAND ROAD MYSTIC, CT 06355	SECRETARY/DIRECTOR 20.00	25,000	275	0
VERA LOURENCO 7 MASONS ISLAND ROAD MYSTIC, CT 06355	DIRECTOR 20.00	25,000	20,992	0
D BEN BENOIT 7 MASONS ISLAND ROAD MYSTIC, CT 06355	EXECUTIVE DIRECTOR 30.00	260,417	7,241	0
SAMUEL BUCK 7 MASONS ISLAND ROAD MYSTIC, CT 06355	DIRECTOR 20.00	25,000	9,311	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARRIE SCHINDELE 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	EVP - PROGRAMS & ADM 40.00	195,104	21,675	0
DANIEL WEISS 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	PROGRAM DIRECTOR 40.00	101,573	32,048	8,819
REBECCA GREENBERG ELLIS 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	PROGRAM OFFICER 40.00	102,849	33,818	0
JULIE WONG 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	GRANTS & ADMINISTRAT 40.00	98,510	14,522	0
LILLIAN LIANG 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	PROGRAM OFFICER 40.00	89,578	14,117	2,277
Total number of other employees paid over \$50,000.				4

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BANK OF AMERICA PRIVATE BANK	INVESTMENT MANAGEMENT	308,775
185 ASYLUM STREET HARTFORD,CT 06103		
NORTHERN TRUST	INVESTMENT MANAGEMENT	278,552
ONE INTERNATIONAL PLACE SUITE 1600 BOSTON,MA 02110		
MICHAEL NEUFELD AND ASSOCIATES	LEGAL	100,000
PO BOX 72 MILFORD,CT 06460		
MORGAN STANLEY	INVESTMENT MANAGEMENT	64,905
545 LONG WHARF DR 7TH FLOOR NEW HAVEN,CT 06511		

Total number of others receiving over \$50,000 for professional services. ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2022, \$750,000 WAS LOANED TO THE SCENIC HUDSON LAND TRUST, INC. FOR THE PURPOSE OF ACQUIRING APPROXIMATELY 68 ACRES OF PROPERTY LOCATED IN THE TOWN OF NEW BALTIMORE, COUNTY OF GREENE, STATE OF NEW YORK. THE NOTE HAS AN INTEREST RATE OF 0% PER ANNUM. THE TERM OF THE LOAN IS FIVE YEARS OR UPON THE SALE OR DISPOSAL OF ALL OR ANY PORTION OF THE PROPERTY. AS OF JUNE 30, 2022, THE OUTSTANDING BALANCE ON THE LOAN IS \$750,000.	750,000
2 IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2022, \$550,000 WAS LOANED TO COLUMBIA LAND CONSERVANCY, INC. FOR THE PURPOSE OF ACQUIRING PURCHASE-FEE SIMPLE INTERESTS IN AND TO TWO PARCELS OF PROPERTY COMPRISING APPROXIMATELY 152.49 ACRES OF PROPERTY LOCATED AT 1580 STATE ROUTE 82 IN THE TOWN OF GALLATIN, COUNTY OF COLUMBIA, STATE OF NEW YORK. THE NOTE HAS AN INTEREST RATE OF 0% PER ANNUM. THE TERM OF THE LOAN IS FIVE YEARS OR UPON THE SALE OR DISPOSAL OF ALL OR ANY PORTION OF THE PROPERTY. AS OF JUNE 30, 2022, THE OUTSTANDING BALANCE ON THE LOAN IS \$550,000.	550,000
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	1,300,000

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	628,037,656
b	Average of monthly cash balances.	1b	21,942,602
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	649,980,258
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	649,980,258
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	9,749,704
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	640,230,554
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	32,011,528

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	32,011,528
2a	Tax on investment income for 2021 from Part V, line 5.	2a	627,460
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	627,460
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	31,384,068
4	Recoveries of amounts treated as qualifying distributions.	4	6,200,000
5	Add lines 3 and 4.	5	37,584,068
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	37,584,068

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				37,584,068
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016.				
b From 2017.				
c From 2018.			8,830,926	
d From 2019.			2,098,643	
e From 2020.				
f Total of lines 3a through e.	10,929,569			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ 36,126,946				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				36,126,946
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)	1,457,122			1,457,122
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	9,472,447			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	10,000			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	9,462,447			
10 Analysis of line 9:				
a Excess from 2017				
b Excess from 2018			7,363,804	
c Excess from 2019.			2,098,643	
d Excess from 2020				
e Excess from 2021				

a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling.	
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☐ 4942(i)(3) or ☐ 4942(i)(5)

Tax year	Prior 3 years			(e) Total
(a) 2021	(b) 2020	(c) 2019	(d) 2018	

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Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept

Form 990-PF (2021)					Page 1
Part I Supplementary Information (continued)					
5 Grants and Contributions Paid During the Year or Approved for Future Payment					
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount	
a Paid during the year					
50CAN 1380 MONROE ST NW 413 WASHINGTON,DC 20010	N/A	P C	CONNCAN	200,000	
50CAN 1380 MONROE ST NW 413 WASHINGTON,DC 20010	N/A	P C	LEAD (LATINOS FOR EDUCATIONAL ADVOCACY AND DIVERSITY)	850,000	
ACHIEVEMENT FIRST INC 370 JAMES STREET SUITE 404 NEW HAVEN,CT 065133091	N/A	P C	GENERAL OPERATING SUPPORT	4,500,000	
ADIRONDACK LAND TRUST 2861 ROUTE 73 KEENE,NY 12942	N/A	P C	BELT: MERGER BETWEEN ADIRONDACK LAND TRUST AND LAKE PLACID CONSERVANCY	50,000	
AGRICULTURAL STEWARDSHIP ASSOCIATION 2531 STATE ROUTE 40 GREENWICH,NY 128342301	N/A	P C	GENERAL OPERATING SUPPORT	125,000	
AMERICAN CIVIL LIBERTIES UNION FDN OF CT 765 AVENUE AVENUE FL 1 HARTFORD,CT 061052822	N/A	P C	GENERAL OPERATING SUPPORT	30,000	
ANDERSON MELITA STILLMAN 415 BOX A85 COLGATE UNIVERSITY 13 OAK DRIVE HAMILTON,NY 13346	NONE	I	C1 2021-22 SCHOLARSHIP	1,070	
ART SCIENCE RESEARCH LABORATORY INC 62 GREENE STREET 3RD FLOOR NEW YORK,NY 100124346	N/A	POF	GENERAL OPERATING SUPPORT	10,000	
ASPETUCK LAND TRUST INC PO BOX 444 WESTPORT,CT 066810444	N/A	P C	GENERAL OPERATING SUPPORT	100,000	
ASSOCIACAO BENEFICENTE DE RESCUEPARCAO DA ALEGRIA E SAUDE AVENIDA GETULIO VARGAS 149 CENTRO TERENOS,MINAS GERAIS 35290-000 BR	N/A	N C	GENERAL OPERATING SUPPORT	95,646	
BINGHAMTON UNIVERSITY ITF LEE GEORG 400 WESTAL PARKWAY EAST BINGHAMTON,NY 13902	NONE	I	C1 2021-22 SCHOLARSHIP	23,390	
BOULDER COMMUNITY BROADCAST ASSOC (KGNU) 4700 WALNUT ST BOULDER,CO 803012538	N/A	P C	GENERAL OPERATING SUPPORT	10,000	
BOULDER PRIDE (DBA OUT BOULDER) 3340 MITCHELL LN BOULDER,CO 80301	N/A	P C	OUT YOUTH PROGRAM	10,000	
BOY SCOUTS OF AMERICA - CT RIVERS COUNCIL 60 MARLIN STREET EAST HARTFORD,CT 06108	N/A	P C	CAMPERSHIPS	100,000	
BOY SCOUTS OF AMERICA-GREATER NY COUNCILS 475 RIVERSIDE DRIVE SUITE 600 NEW YORK,NY 101150072	N/A	P C	CAMPERSHIPS	100,000	
BRANDHEIS UNIVERSITY ITF SCHULTERS K 415 SOUTH ST MSC 110 WALTHAM,MA 024532728	NONE	I	C2 2021-22 SCHOLARSHIP	17,433	
BRASS CITY CHARTER SCHOOL 289 WILLOW STREET WATERBURY,CT 067101515	N/A	P C	GENERAL OPERATING SUPPORT	500,000	
BRIDGEACADEMY 160 PULASKI STREET BRIDGEPORT,CT 066082310	N/A	P C	GETTING TO GREAT	100,000	
CAMBIAR EDUCATION 4653 CARREL MOUNTAIN RD STE 308 SAN DIEGO,CA 921306650	N/A	P C	SPELLING EDUCATION - NEW CHARTER SCHOOLS FOR CT	150,000	
CAPITAL PREPARATORY SCHOOLS INC 777 MAIN ST BRIDGEPORT,CT 066044979	N/A	P C	GENERAL OPERATING SUPPORT	1,500,000	
CAPITOL REGION EDUCATION 111 CHARTER OAK AVENUE HARTFORD,CT 061061912	N/A	P C	CONNECTICUT TEACHER RESIDENCY PROGRAM	410,000	
CHARADELPHIA KING-0103 3333 WALNUT STREET PHILADELPHIA,P.A 191046193	NONE	I	C2 2021-22 SCHOLARSHIP	1,714	
CENTER FOR LEARNER EQUITY 420 LEXINGTON AVE RM 300 NEW YORK,NY 101700399	N/A	P C	STATEWIDE GUIDANCE RELATED TO REIMBURSEMENT OF ACTUAL COSTS FOR EDUCATING STUDENTS WITH DISABILITIES	140,000	
CHALKBEAT INC 450 7TH AVENUE 32ND FLOOR NEW YORK,NY 101230101	N/A	P C	CHALKBEAT NEW YORK	75,000	
CHANGE SUMMER 119 WEST 72ND ST SUITE 187 NEW YORK,NY 100233201	N/A	P C	CAMPERSHIPS	100,000	
CITY CENTER DANBURY EVENTS INC 268 MAIN STREET DANBURY,CT 068106652	N/A	P C	HEALTHY FOOD FOR HEALTHY OLDER ADULTS: FOOD SECURITY WITH DIGNITY	30,000	
CITY OF DANBURY 10 ELWOOD ST DANBURY,CT 06810	N/A	GOV	DEPARTMENT OF ELDERLY SERVICES	75,000	
CITY REPORT 35 W 31ST ST FL 4 NEW YORK,NY 100014418	N/A	P C	GENERAL OPERATING SUPPORT	60,000	
COLGATE UNIVERSITY ITF ANDERSON MEL 13 OAK DRIVE HAMILTON,NY 133461338	NONE	I	C1 2021-22 SCHOLARSHIP	730	
COLLINS NYEMIAH UNIVERSITY OF NEW HAVEN WEST HAVEN,CT 065161916	NONE	I	C2 2021-22 SCHOLARSHIP	2,300	
COLUMBIA LAND CONSERVANCY 45 MAIN ST CHATHAM,NY 120371225	N/A	P C	GENERAL OPERATING SUPPORT	100,000	
COLUMBIA UNIVERSITY 615 WEST 131ST STREET 6TH FLOOR NEW YORK,NY 10128	N/A	P C	SUPPORTING AND SPREADING EDUCATION SYSTEM TRANSFORMATION	26,500	
COLUMBIA UNIVERSITY 615 WEST 131ST STREET 6TH FLOOR NEW YORK,NY 10128	N/A	P C	CENTER FOR PUBLIC RESEARCH AND LEADERSHIP - ADVANCING STATEWIDE SYSTEM TRANSFORMATION	247,500	
COLUMBIA UNIVERSITY 615 WEST 131ST STREET 6TH FLOOR NEW YORK,NY 10128	N/A	P C	CPRL: ADVANCING STATEWIDE SYSTEM TRANSFORMATION	1,061,000	
COLUMBIA AYANNA 2158 PARESKY WILLIAMSTOWN,MA 01267	NONE	I	C1 2021-22 SCHOLARSHIP	698	
COMMUNITY HEALTH PROJECT INC 356 WEST 18TH STREET NEW YORK,NY 10011	N/A	P C	GENERAL OPERATING SUPPORT	150,000	
CONNECTICUT COMMUNITY CARE 43 ENTERPRISE DRIVE BRISTOL,CT 060107457	N/A	P C	DANBURY ENHANCED HOUSING AND CARE MANAGEMENT PROGRAM AND AGE WELL LEADERSHIP	140,000	
CONNECTICUT LAND CONSERVATION COUNCIL 27 WASHINGTON STREET DEKOVEN HOUSE MIDDLETOWN,CT 064572872	N/A	P C	GENERAL OPERATING SUPPORT	250,000	
CONNECTICUT NEWS PROJECT INC 1049 ASYLUM AVE HARTFORD,CT 061052432	N/A	P C	CONNECTICUT (CT) MIRROR	100,000	
DAILY BREAD - AN ECUMENICAL FOOD PARTNARY 25 WEST ST DANBURY,CT 068130002	N/A	P C	GENERAL OPERATING SUPPORT	45,000	
DANBURY & NEW MILFORD HOSPITAL FOUNDATION 28 HOSPITAL AVE DANBURY,CT 068106099	N/A	P C	FAITH COMMUNITY NURSE PROGRAM/GOLDSTONE CAREGIVER COORDINATOR	30,000	
DANBURY GRASSROOTS TENNIS INC PO BOX 2912 DANBURY,CT 068041430	N/A	P C	GENERAL OPERATING SUPPORT	40,000	
DEPAUL UNIVERSITY ITF HALL ASHLEY 204 E SEMINARY GREENCASTLE,IN 461350037	NONE	I	C1 2021-22 SCHOLARSHIP	14,701	
DUTCHESS LAND CONSERVANCY PO BOX 138 MILLBROOK,NY 125450138	N/A	P C	GENERAL OPERATING SUPPORT	300,000	
EDUCATION REFORM NOW INC 226 5TH AVENUE SUITE 704 915 NEW YORK,NY 100014527	N/A	P C	ERN-CONNECTICUT	275,000	
EDUCATORS FOR EXCELLENCE 80 PINE STREET 28TH FLOOR NEW YORK,NY 100051733	N/A	P C	EAE-CT (\$250,000) AND EAE-NY (\$300,000)	550,000	
ELEVATE CHARTER SCHOOLS 2666 STATE STREET SUITE 2B 777 DEN,CT 06517	N/A	N C	GENERAL OPERATING SUPPORT	2,360,000	
EXCELLENCE COMMUNITY SCHOOLS INC 2000 BENEDICT AVE BRONX,NY 104624404	N/A	P C	GENERAL OPERATING SUPPORT	1,000,000	
EXPANDED SCHOOLS INC 11 WEST 42ND STREET 3RD FLOOR NEW YORK,NY 100368002	N/A	P C	PATHWAYS FELLOWSHIP	200,000	
FAITHACTS FOR EDUCATION 857 POST ROAD SUITE 310 FAIRFIELD,CT 06824	N/A	P C	GENERAL OPERATING SUPPORT	525,000	
FAMILIES NETWORK OF WESTERN CONNECTICUT 5 LIBRARY PLACE DANBURY,CT 068106617	N/A	P C	PARENT LEADERSHIP PROGRAMS	25,000	
FRESH AIR FUND 633 THIRD AVENUE 14TH FLOOR NEW YORK,NY 100176706	N/A	P C	CAMPERSHIPS	100,000	
FOND FOR INVESTIGATIVE JEWELRY 1100 13TH STREET NW STE 800 WASHINGTON,DC 20005	N/A	P C	GENERAL OPERATING SUPPORT	5,000	
FUNDACAO CLINICA CARMEN LUCIA RODOVIA DO SOL N O QUADRA 022 LOTE 5 08-A BARRA DO JUCU VILA VELHA,ESPIRITO SANTO 29125-033 BR	N/A	N C	GENERAL OPERATING SUPPORT	687,194	
GAYLE TABAKA SPELMAN COLLEGE ATLANTA,GA 303144395	NONE	I	C2 2021-22 SCHOLARSHIP	4,300	
GIBBS ISAJAH UNIVERSITY AT BUFFALO SUNY BUFFALO,NY 14260	NONE	I	C2 2021-22 SCHOLARSHIP	2,152	
GIRL SCOUT COUNCIL OF GREATER NEW YORK 40 WALL STREET SUITE 708 NEW YORK,NY 100051395	N/A	P C	CAMPERSHIPS	100,000	
GIRL SCOUTS OF CONNECTICUT 340 WASHINGTON STREET HARTFORD,CT 061063317	N/A	P C	CAMPERSHIPS	100,000	
GRIFFIN INC 110 WILLIAM ST 30TH FLOOR NEW YORK,NY 100383901	N/A	P C	GENERAL OPERATING SUPPORT	10,000	
GOOD FOOD INSTITUTE INC 2503 DIN HARRISON ST 19 ARLINGTON,VT 222071640	N/A	P C	GENERAL OPERATING SUPPORT	3,000	
HALL ASHLEY UB BOX 5505 408 SOUTH LOCUST STREET GREENCASTLE,IN 461351776	NONE	I	C1 2021-22 SCHOLARSHIP	1,127	
HELPING OUR PEOPLE TO EXCEL PO BOX 9032 NEW HAVEN,CT 065320032	N/A	P C	NEW CHARTER SCHOOLS FOR CT	150,000	
HIGHLANDS CURRENT INC 142 MAIN ST COLD SPRING,NY 105162819	N/A	P C	GENERAL OPERATING SUPPORT	5,000	
HIGHVILLE CHARTER SCHOOL INC 1 SCIENCE PARK NEW HAVEN,CT 065111963	N/A	P C	GETTING TO GREAT	90,000	
HIGHVILLE CHARTER SCHOOL INC 1 SCIENCE PARK NEW HAVEN,CT 065111963	N/A	P C	GENERAL OPERATING SUPPORT	325,000	
HILLSIDE FOOD OUTREACH 2 WESTCHESTER PLAZA ELMSFORD,NY 105231607	N/A	P C	GENERAL OPERATING SUPPORT	35,000	
HOWARD UNIVERSITY ITF SAWERS RONELL 2244 10TH STREET ROOM 302 WASHINGTON,DC 200590001	NONE	I	C2 2021-22 SCHOLARSHIP	24,294	
HUDSON HIGHLANDS LAND TRUST 20 NAZARETH WAY GARRISON,NY 105240000	N/A	P C	GENERAL OPERATING SUPPORT	275,000	
INSTITUTE FOR NONPROFIT NEWS 714 W OLYMPIC BLVD 931 LOS ANGELES,CA 90015	N/A	P C	GENERAL OPERATING SUPPORT	80,000	
INTREPID FALLEN HEROES FUND ONE INTREPID SQUARE-WEST 46TH ST AND 12TH AVE NEW YORK,NY 100360000	N/A	P C	GENERAL OPERATING SUPPORT	10,000	
JERICHO PARTNERSHIP INC 13 ROSE ST DANBURY,CT 068105809	N/A	P C	GENERAL OPERATING SUPPORT	60,000	
JOSHUA'S TRACT CONSERVATION AND HISTORIC TRUST 624 WORMWOOD HILL RD PO BOX 4 MANSFIELD CENTER,CT 06250	N/A	P C	GENERAL OPERATING SUPPORT	75,000	
JUMOKE ACADEMY INC 999 ASYLUM AVENUE SUITE 200 HARTFORD,CT 061052416	N/A	P C	GETTING TO GREAT	250,000	
JUMOKE ACADEMY INC 999 ASYLUM AVENUE SUITE 200 HARTFORD,CT 061052416	N/A	P C	GENERAL OPERATING SUPPORT	500,000	
KALINE EMILY THE NEW SCHOOL - PARSONS SCHOOL OF DESIGN NEW YORK,NY 100118002	NONE	I	C2 2021-22 SCHOLARSHIP	4,171	
KIPP FOUNDATION 135 MAIN ST STE 1875 SAN FRANCISCO,CA 941051955	N/A	P C	POST-SECONDARY SUCCESS PARTNERSHIP	300,000	
LAND TRUST ALLIANCE INCORPORATED 1250 H STREET NW SUITE 600 WASHINGTON,DC 200053952	N/A	P C	GENERAL OPERATING SUPPORT	250,000	
LEE GEORGE BU BOX 21882 PO BOX 6020 BINGHAMTON,NY 13902	NONE	I	C1 2021-22 SCHOLARSHIP	4,992	
LITTLE RHODY RESCUE 311 C COOPER RD GLOCESTER,RI 028141444	N/A	P C	GENERAL OPERATING SUPPORT	150	
MANITOGA PO BOX 249 GARRISON,NY 105243904	N/A	P C	GENERAL OPERATING SUPPORT	5,000	
MEDECINS SANS FRONTIERES USA INC 40 RECTOR STREET 16TH FLOOR NEW YORK,NY 10006	N/A	P C	GENERAL OPERATING SUPPORT	1,200,000	
MEGGINSON ELIJAH MOREHOUSE COLLEGE ATLANTA,GA 303143773	NONE	I	C2 2021-22 SCHOLARSHIP	3,876	
MEMORIAL SLOAN-KETTERING CANCER CENTER 633 THIRD AVE FINANCE 4TH FLR NEW YORK,NY 10065	N/A	P C	GENERAL OPERATING SUPPORT	1,000	
MOHAWK HUDSON LAND CONSERVANCY INC 425 KENWOOD AVE DELMAR,NY 120541805	N/A	P C	GENERAL OPERATING SUPPORT	100,000	
MOREHOUSE COLLEGE ITF MEGGINSON ELI 830 WESTVIEW DRIVE SW ATLANTA,GA 303143773	NONE	I	C2 2021-22 SCHOLARSHIP	25,645	
NATIONAL CENTER FOR HEBREW LANGUAGE CHARTER SCHOOL EXCELLENCE 729 SEVENTH AVENUE 9TH FLOOR NEW YORK,NY 100196896	N/A	P C	NEW CHARTER SCHOOLS FOR CT	150,000	
NEW HAVEN ECOLOGY PROJECT INC 358 SPRINGSIDE AVE NEW HAVEN,CT 065151024	N/A	P C	GENERAL OPERATING SUPPORT	350,000	
NEW YORK ACADEMY OF SCIENCES 115 BROADWAY SUITE 1701 NEW YORK,NY 10006	N/A	P C	BUILDING THE TEACHER PIPELINE FROM SCIENTIST TO TEACHER	450,000	
NORTHEAST CHARTER SCHOOLS NETWORK INC 2 TOWER PLACE ALBANY,NY 122071710	N/A	P C	CONNECTICUT CHARTER SCHOOLS ASSOCIATION (CTCSA)	1,250,000	
NORTHWEST CONNECTICUT LAND CONSERVANCY PO BOX 821 5 MAPLE STREET KENT,CT 06777	N/A	P C	GENERAL OPERATING SUPPORT	125,000	
NORTHWEST EVALUATION ASSOCIATION (NWEA) 121 NW EVERETT ST PORTLAND,OR 972094049	N/A	P C	MAP LICENSES AND SERVICES FOR 2021-2022 SCHOOL YEAR	103,785	
NYC CENTER FOR CHARTER SCHOOL EXCELLENCE 111 BROADWAY SUITE 604 NEW YORK,NY 100061975	N/A	P C	GENERAL OPERATING SUPPORT	295,000	
ODYSSEY COMMUNITY SCHOOL 579 MIDDLE TURNPIKE WEST MANCHESTER,CT 060402728	N/A	P C	GETTING TO GREAT	100,000	
ODYSSEY COMMUNITY SCHOOL 579 MIDDLE TURNPIKE WEST MANCHESTER,CT 060402728	N/A	P C	GENERAL OPERATING SUPPORT	300,000	
ONLINE JOURNALISM PROJECT INC 51 ELM ST 3RD FLOOR NEW HAVEN,CT 06510	N/A	P C	GENERAL OPERATING SUPPORT	50,000	
ORANGE COUNTY LAND TRUST 50 OGDEN DRIVE UNIT A NEW WINDSOR,NY 12553	N/A	P C	GENERAL OPERATING SUPPORT	100,000	
OUR LADY OF FATIMA CHURCH 2071 BALDWIN ST WATERBURY,CT 067062604	N/A	P C	FEAST HALL AND WINDOWS	25,000	
PARTNERS IN FAITH 800 BOYLSTON ST SUITE 300 BOSTON,MA 021997032	N/A	P C	SIERRA LEONE MATERNAL CENTER OF EXCELLENCE	47,000	
PHI DELTA KAPPA INTERNATIONAL INC 2550 S CLARK ST STE 930A ARLINGTON,VA 22202	N/A	P C	EDUCATORS RISING CONNECTICUT	330,000	
PHI DELTA KAPPA INTERNATIONAL INC 2550 S CLARK ST STE 930A ARLINGTON,VA 22202	N/A	P C	EDUCATORS RISING DIVISION	345,000	
PLANNED PARENTHOOD OF SOUTHERN NEW ENGLAND 45 FRANKLIN STREET NEW LONDON,CT 06320	N/A	P C	GENERAL OPERATING SUPPORT	150	
PROPUBLICA 155 AVENUE OF THE AMERICAS 13TH FLOOR NEW YORK,NY 10013	N/A	P C	GENERAL OPERATING SUPPORT	75,000	
REACH ACADEMY CHARTER SCHOOL 115 ASH STREET BUFFALO,NY 142041452	N/A	P C	NEW CHARTER SCHOOLS FOR CT	150,000	
REGIONAL YMCA OF WESTERN CONNECTICUT 2 HUCKLEBERRY HILL RD BROOKFIELD,CT 068042219	N/A	P C	EDUCATION, YOUTH ADVOCACY, AND AGE WELL LEADERSHIP	65,000	
RELAY GRADUATE SCHOOL OF EDUCATION 25 BROADWAY THIRD FLOOR NEW YORK,NY 10004	N/A	P C	RELAY CONNECTICUT: TEACHER DIVERSITY, TRAINING, AND AGE WELL LEADERSHIP	350,000	
RENSSELAER PLATEAU ALLIANCE 1463 PLANK RD PETERSBURGH,NY 121385631	N/A	P C	GENERAL OPERATING SUPPORT	75,000	
RETRO REPORT INC 633 THIRD AVENUE 16TH FLOOR NEW YORK CITY,NY 10017	N/A	POF	GENERAL OPERATING SUPPORT	50,000	
SACRED HEART UNIVERSITY 5151 PARK AVENUE FAIRFIELD,CT 06825	N/A	P C	PRACTICE-BASED TEACHER EDUCATION AND TEACHER RESIDENCY CAPACITY	260,000	
SAWERS RONELL 2205 4TH STREET NW WASHINGTON,DC 20059	NONE	I	C2 2021-22 SCHOLARSHIP	4,610	
SCENIC HUDSON INC 1 CIVIC CENTER PLZ STE 200 POUGHKEEPSIE,NY 126013157	N/A	P C	GENERAL OPERATING SUPPORT	700,000	
SCHULTERS KYLE BRANDHEIS UNIVERSITY WALTHAM,MA 024532728	NONE	I	C2 2021-22 SCHOLARSHIP	1,800	
SHELTER OF THE CROSS DBA REARER HOUSE 18 DR AARON SAMUELS BLVD DANBURY,CT 068100000	N/A	P C	GENERAL OPERATING SUPPORT	55,000	
SISTEMA UNIVERSITARIO ANA G DANDEZ PO BOX 2010 CAROLINA,PR 009842010	NONE	P C	CASA MUSEO JESUS T. PINERO RESTORATION, MAINTENANCE & OPERATIONS	110,000	
SPELMAN COLLEGE ITF GAYLE TASHARA 1800 WHITE PLAINS RD ATLANTA,GA 303144395	NONE	I	C2 2021-22 SCHOLARSHIP	38,122	
ST JOSEPH CHURCH 8 ROBINSON AVENUE DANBURY,CT 068105517	N/A	P C	CARMEN LUCIA BUCK RELIGIOUS EDUCATION BUILDING RENOVATION	15,000	
ST VINCENT DEPAUL MISSION OF WATERBURY PO BOX 1612 WATERBURY,CT 067211612	N/A	P C	SOUP KITCHEN OPERATIONS	5,000	
STOWE LAND TRUST 6 SUNSET STREET PO BOX 284 STOWE,VT 05672	N/A	P C	GENERAL OPERATING SUPPORT	258	
SUCCESS ACADEMY CHARTER SCHOOLS INC 95 PINE STREET FLOOR 6 NEW YORK,NY 100053917	N/A	P C	GENERAL OPERATING SUPPORT	300,000	
TEACH FOR AMERICA INC 25 BROADWAY 12TH FLOOR NEW YORK,NY 100041056	N/A	P C	TEACH FOR AMERICA - NEW YORK	400,000	
TEACH FOR AMERICA INC 25 BROADWAY 12TH FLOOR NEW YORK,NY 100041056	N/A	P C	TEACH FOR AMERICA - CONNECTICUT	275,000	
TEACHORG INC 2443 FILLMORE ST 380-9883 380-9883 SAN FRANCISCO,CA 941151814	N/A	P C	GENERAL OPERATING SUPPORT	400,000	
TEACHORG INC 2443 FILLMORE ST 380-9883 380-9883 SAN FRANCISCO,CA 941151814	N/A	P C	TEACH CONNECTICUT	475,000	
THE COMMUNITY ACTION AGENCY OF WESTERN CT 78 TRIANGLE STREET DANBURY,CT 06810	N/A	P C	COMMUNITY FOOD RESCUE	75,000	
THE ESOPUS FOUNDATION LTD 41 SCHERMERHORN ST SUITE 143 BROOKLYN,NY 112014802	N/A	P C	GENERAL OPERATING SUPPORT	5,000	
THE GROUNDWATER PROJECT INC 10 GUEST STREET BOSTON,MA 021352066	N/A	P C	REPORT FOR AMERICA	185,000	
THE NEW SCHOOL - PARSONS SCHOOL OF DESIGN 80 5TH AVE 4TH FL NEW YORK,NY 100118002	NONE	I	C2 2021-22 SCHOLARSHIP	35,828	
THE SALVATION ARMY 440 WEST NYACK ROAD WEST NYACK,NY 109941739	N/A	P C	GENERAL OPERATING SUPPORT	40,000	
THE SEATTLE FOUNDATION 1601 5TH AVENUE SUITE 1900 SEATTLE,WA 981013615	N/A	P C	RESOURCE EQUITY FUNDERS COLLABORATIVE	50,000	
THIRD SECTOR NEW ENGLAND 89 SOUTH ST STE 700 BOSTON,MA 021112679	N/A	P C	SCHOOL + STATE FINANCE PROJECT	1,600,000	
TODAY'S STUDENTS TOMORROW'S TEACHERS 333 WESTCHESTER AVE SUITE SOUTH 208 WHITE PLAINS,NY 106042940	N/A	P C	TODAYS STUDENTS, TOMORROWS TEACHERS - BRIDGEPORT	295,000	
TOWN OF WOODBURY 281 MAIN STREET SOUTH WOODBURY,CT 06798	N/A	GOV	WOODBURY SENIOR CENTER SERVICES	10,000	
TRUST FOR PUBLIC LAND 101 WHITNEY AVENUE 2ND FLOOR NEW HAVEN,CT 06510	N/A	P C	TRUST FOR PUBLIC LAND - CONNECTICUT	200,000	
UNCOMMON SCHOOLS INC 826 BROADWAY 7TH FL NEW YORK,NY 100034826	N/A	P C	GENERAL OPERATING SUPPORT	300,000	
UNITED WAY OF WESTERN CONNECTICUT 301 MAIN STREET NO 2-5 DANBURY,CT 068105861	N/A	P C	DANBURY SENIOR CENTER, HARMING AND FOOD SECURITY	145,000	
UNIVERSITY AT BUFFALO SUNY ITF GIBB 12 CAPEN HALL BUFFALO,NY 14260	NONE	I	C2 2021-22 SCHOLARSHIP	11,768	
UNIVERSITY OF BRITISH COLUMBIA VANCOUVER,BRITISH COLUMBIA V6T 1Z2	N/A	P C	GLOBAL REPORTING CENTRE	10,000	
UNIVERSITY OF CONNECTICUT FDN 2390 ALUMNI DRIVE U3206 STORRS,CT 062693603	N/A	P C	NURSING SCHOLARSHIPS AND UNDERGRADUATE PSYCHOLOGY MINI-GRANT RESEARCH PROGRAM	20,000	
UNIVERSITY OF NEW HAVEN ITF WEISS 300 BOSTON POST RD WEST HAVEN,CT 065161916	NONE	I	C2 2021-22 SCHOLARSHIP	27,524	
UNIVERSITY OF ROCHESTER ITF HALL AS 500 JOSEPH C WILSON BOULEVARD ROCHESTER,NY 14627	NONE	I	C1 2021-22 SCHOLARSHIP	4,975	
UNIVERSITY OF VIRGINIA (WTJU) PO BOX 400811 CHARLOTTEVILLE,VT 22904	N/A	P C	WTJU RADIO STATION	5,000	
WESTCHESTER LAND TRUST 403 H					

Part XV-A

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
		14	9,352	
		18	33,235,438	
	0		45,826,654	117,290
			13	45,943,950

Part XV-B

[illegible]

Part XVI

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3)* Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements.

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

	2022-11-08
Signature of officer or trustee	Date

2022-11-08

Title

May the IRS discuss this return with the preparer shown below?
See instructions. ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	CHRISTOPHER PETERMANN		2022-11-08		P00097440
	Firm's name ▶ PKF O'CONNOR DAVIES LLP				Firm's EIN ▶ 27-172894
	Firm's address ▶ 500 MAMARONECK AVENUE SUITE 301 HARRISON, NY 10528				Phone no. (914) 381-8900

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANA CLAUDIA SIMONES LIMA	1,000	0		1,000
DEMASCO SENA AND JAHELKA	33,300	0		33,300
HOYT, FILIPPETTI & MALAGHAN, LLC	250	0		250
PKF O'CONNOR DAVIES, LLP	50,000	0		50,000

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC
EIN: 06-1547852

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
ASSOCIAO BENEFICENTE DE RECUPERAO DA ALEGRIA E SADE (ABRAS)	AVENIDA GETLIO VARGAS 149 CENTRO MANTENA, MINAS GERAIS 35290-000 BR	2021-04-16	84,411	GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL	84,411	NO	5/31/2021, 6/30/2021, 7/31/2021, 8/31/2021, 9/30/2021, 10/31/2021, 11/30/202		
ART SCIENCE RESEARCH LABORATORY INC	62 GREENE STREET 3RD FLOOR NEW YORK, NY 100124346	2021-05-24	10,000	GENERAL OPERATING SUPPORT	10,000	NO	1/31/2022		
RETRO REPORT INC	7 MASONS ISLAND RD STE 1 MYSTIC, CT 063552938	2021-05-27	50,000	GENERAL OPERATING SUPPORT	50,000	NO	1/31/2022		
FUNDACAO CLINICA CARMEN LUCIA	RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA, ESPIRITO SANTO 29125-033 BR	2021-08-06	120,000	GENERAL OPERATING SUPPORT	120,000	NO	7/31/2021, 8/31/2021, 9/30/2021, 10/31/2021, 11/30/2021, 12/31/2021		
FUNDACAO CLINICA CARMEN LUCIA	RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA, ESPIRITO SANTO 29125-033 BR	2021-11-18	102,704	GENERAL OPERATING SUPPORT	102,704	NO	7/31/2021, 8/31/2021, 9/30/2021, 10/31/2021, 11/30/2021, 12/31/2021		
FUNDACAO CLINICA CARMEN LUCIA	RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA, ESPIRITO SANTO 29125-033 BR	2021-12-31	2,608	GENERAL OPERATING SUPPORT	2,608	NO	7/31/2021, 8/31/2021, 9/30/2021, 10/31/2021, 11/30/2021, 12/31/2021		
FUNDACAO CLINICA CARMEN LUCIA	RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA, ESPIRITO SANTO 29125-033 BR	2022-01-19	460,000	GENERAL OPERATING SUPPORT	356,897	NO	1/31/2022, 2/28/2022, 3/31/2022, 4/30/2022, 5/31/2022, 6/30/2022		
ELEVATE CHARTER SCHOOLS INC	2666 STATE STREET SUITE B2 HAMDEN, CT 06517	2022-03-02	400,000	GENERAL OPERATING SUPPORT	0	NO	NARRATIVE, FINANCIAL REPORT DUE 8/31/2022		
ASSOCIAO BENEFICENTE DE RECUPERAO DA ALEGRIA E SADE (ABRAS)	AVENIDA GETLIO VARGAS 149 CENTRO MANTENA, MINAS GERAIS 35290-000 BR	2022-05-06	95,646	GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL	71,214	NO	5/31/2022, 6/30/2022		
ART SCIENCE RESEARCH LABORATORY INC	62 GREENE STREET 3RD FLOOR NEW YORK, NY 100124346	2022-05-10	10,000	GENERAL OPERATING SUPPORT	0	NO	NARRATIVE, FINANCIAL REPORT DUE 1/31/2023		
RETRO REPORT INC	7 MASONS ISLAND RD STE 1 MYSTIC, CT 063552938	2022-05-10	50,000	GENERAL OPERATING SUPPORT	0	NO	NARRATIVE, FINANCIAL REPORT DUE 1/31/2023		
ELEVATE CHARTER SCHOOLS INC	2666 STATE STREET SUITE B2 HAMDEN, CT 06517	2022-06-23	1,960,000	GENERAL OPERATING SUPPORT	0	NO	NARRATIVE, FINANCIAL REPORT DUE 1/31/2023		
FUNDACAO CLINICA CARMEN LUCIA	RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA, ESPIRITO SANTO 29125-033 BR	2022-06-30	1,886	GENERAL OPERATING SUPPORT	1,886	NO	1/31/2022, 2/28/2022, 3/31/2022, 4/30/2022, 5/31/2022, 6/30/2022		

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

Identifier	Return Reference	Explanation
UNDISTRIBUTED INCOME	PART XII, LINE 7	THE FOUNDATION RECEIVED A CONTRIBUTION FROM A PRIVATE FOUNDATION WHICH IT EXPENSED OR REDISTRIBUTED. IN ACCORDANCE WITH IRS REG. 53.4942(A)-3(D) THE FOUNDATION IS ELECTING TO TREAT THE \$10,000 NOT AS A QUALIFYING DISTRIBUTION BUT AS A DISTRIBUTION OUT OF CORPUS. PURSUANT TO REG. 53.4942(A)-3(C)(2)(IV), THE FOUNDATION HEREBY ELECTS TO TREAT, AS A CURRENT CORPUS DISTRIBUTION, THE FOLLOWING UNUSED PRIOR TAX YEARS' DISTRIBUTIONS THAT WERE TREATED AS CORPUS DISTRIBUTIONS UNDER REG. 53.4942(A)-3(D)(1)(III) IN SUCH PRIOR TAX YEARS: TAX YEAR AMOUNT2018 \$10,000

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC
EIN: 06-1547852

Name of Bond	End of Year Book Value	End of Year Fair Market Value
NUCOR CORP 4.125% 425000 UNITS	425,470	425,470
STATE STR CORP 2.4% DUE 01-24-2030 1000000 UNITS	872,194	872,194
SCHWAB CHARLES 3.2% 300000 UNITS	288,059	288,059
PUBLIC STORAGE 2.3% 200000 UNITS	168,016	168,016
MORGAN STANLEY 1510000 UNITS	1,442,246	1,442,246
WELLS FARGO & CO TRANCHE # SR 00129 3.45% 300000 UNITS	300,281	300,281
UNITEDHEALTH GROUP INC 1500000 UNITS	1,480,110	1,480,110
ST STR CORPORATION 3.1% DUE 450000 UNITS	448,806	448,806
SIMON PPTY GROUP L P 3.5% 500000 UNITS	487,148	487,148
WELLS FARGO & COMPANY 1500000 UNITS	1,404,585	1,404,585
PRUDENTIAL FINL 2.1% 500000 UNITS	426,308	426,308
PEPSICO INC 1000000 UNITS	984,840	984,840
SALESFORCE.COM INC 1.5% 750000 UNITS	655,044	655,044
VISA INC 750000 UNITS	692,820	692,820
TRUIST FINANCIAL CORP MTN 1.125% 500000 UNITS	427,801	427,801
VISA INC 2.75% 600000 UNITS	573,420	573,420
TOYOTA MTR CR CORP MEDIUM TERM NTS 2.25% 500000 UNITS	493,368	493,368
PHILIP MORRIS INTL INC 3.6% 500000 UNITS	501,449	501,449
PACCAR FINANCIAL CORP 2000000 UNITS	1,936,500	1,936,500
ORACLE CORP 3.4% 750000 UNITS	739,289	739,289
UNION PAC CORP 2.75% 500000 UNITS	479,767	479,767
UNITEDHEALTH GROUP 3.85% 700000 UNITS	695,575	695,575
NVIDIA CORP 1.55% 750000 UNITS	656,996	656,996
S&P GLOBAL 1.25% 500000 UNITS	395,773	395,773
PNC BK N A PITTSBURGH PA 2.7% 470000 UNITS	469,791	469,791
WALMART INC 1.050% 2000000 UNITS	1,821,100	1,821,100
TARGET CORP FIXED 3.375% 850000 UNITS	817,443	817,443
US BANCORP FIXED 1.375% 250000 UNITS	199,215	199,215
WALMART INC 3.7% DUE 06-26-2028 1000000 UNITS	997,183	997,183
PROCTER & GAMBLE CO 2.85% 500000 UNITS	483,867	483,867
PROCTER & GAMBLECO/THE 1000000 UNITS	919,350	919,350
3M CO 2% 600000 UNITS	577,827	577,827
EMERSON ELEC CO FIXED 1.8% 1000000 UNITS	895,331	895,331
CHUBB INA HLDGS INC 1.375% 500000 UNITS	399,008	399,008
CISCO SYS INC 3.5% 700000 UNITS	702,411	702,411
CME GROUP INC 3% 1000000 UNITS	987,060	987,060
COCA COLA CO 1.65% 250000 UNITS	211,994	211,994
COMCAST CORP 1500000 UNITS	1,504,680	1,504,680
COMCAST CORP NEW 3.375% 700000 UNITS	690,088	690,088
CONNECTICUT LIGHT& PWR 2500000 UNITS	2,488,625	2,488,625
CHEVRON CORP NEW 3.326% 1000000 UNITS	992,929	992,929
COSTCO WHOLESALE CORP NEW 1.6% 250000 UNITS	210,875	210,875

Name of Bond	End of Year Book Value	End of Year Fair Market Value
EOG RES INC 2.625% 570000 UNITS	567,759	567,759
ERP OPERATING LP 1.85% 850000 UNITS	697,361	697,361
ESTEE LAUDER COMPANIES INC 2.375% 700000 UNITS	622,713	622,713
EXXON MOBIL CORP 3.043% 1000000 UNITS	980,795	980,795
GOLDMAN SACHS GROUP INC 1250000 UNITS	1,236,038	1,236,038
HERSHEY CO 2.3% 500000 UNITS	474,983	474,983
HERSHEY COMPANY 1000000 UNITS	972,170	972,170
DEERE JOHN CAP 2.65% 7000000 UNITS	690,858	690,858
HOME DEPOT INC 3.35% 500000 UNITS	496,396	496,396
CHARLES SCHWAB CORP 2500000 UNITS	2,258,025	2,258,025
CATERPILLAR FINL 3.3% 700000 UNITS	698,212	698,212
ABBOTT LABS 1.15% 500000 UNITS	435,614	435,614
ACEINA HOLDINGS 1500000 UNITS	1,474,905	1,474,905
ALLSTATE CORP 1.45% 500000 UNITS	397,221	397,221
AMAZON COM INC 1.5% 500000 UNITS	415,438	415,438
AMERICAN HONDA FINANCE 2000000 UNITS	1,899,420	1,899,420
AMERN EXPRESS CR 3.3% 300000 UNITS	288,632	288,632
AMERN HONDA FIN 2.35% 800000 UNITS	744,445	744,445
CATERPILLAR FINL SERVICE 1500000 UNITS	1,375,560	1,375,560
APPLE INC 1.2% 250000 UNITS	218,697	218,697
BALTIMORE GAS & ELECTRIC 2000000 UNITS	1,994,180	1,994,180
BANK NEW YORK MELLON CORP MEDIUM TERM SR 1.65% 500000 UNITS	404,690	404,690
BANK OF AMERICA CORP 1.734% 750000 UNITS	666,672	666,672
BK NEW YORK MELLON 3.4% 1000000 UNITS	998,229	998,229
BLACKROCK INC 3.2% 500000 UNITS	486,856	486,856
BP CAP MKTS AMER FIXED 2.75% 400000 UNITS	399,155	399,155
BRISTOL MYERS 2% 365000 UNITS	364,894	364,894
APPLE INC 2.2% 500000 UNITS	450,225	450,225
HONEYWELL INTL INC 1.35% 500000 UNITS	470,605	470,605
WISCONSIN ELECTRIC POWER 75000 UNITS	738,645	738,645
INTEL CORP 2.45% 500000 UNITS	446,128	446,128
MASTERCARD INC 2.95% 500000 UNITS	487,919	487,919
HORMEL FOODS CORP 1.8% 250000 UNITS	209,609	209,609
JPMORGAN CHASE & 2.95% 1000000 UNITS	951,541	951,541
JP MORGAN CHASE 2500000 UNITS	2,370,120	2,370,120
JOHNSON & JOHNSON FIXED 2.9% 1000000 UNITS	966,110	966,110
JOHN DEERE CAPITAL CORP 1288000 UNITS	1,273,900	1,273,900
MCDONALDS CORP 6.3% 350000 UNITS	401,203	401,203
MERCK & CO INC 1000000 UNITS	987,870	987,870
MERCK & CO INC/BRISTOL-MYERS SQUIBB EX 1.7% 750000 UNITS	681,300	681,300
METLIFE INC 1000000 UNITS	1,012,460	1,012,460
INTL BUSINESS 1.7% 1000000 UNITS	899,418	899,418
KIMBERLY-CLARK CORP SR NT 2% 7500000 UNITS	630,045	630,045
INTEL CORP 2.7% 250000 UNITS	99,960	99,960
INTEL CORP 2000000 UNITS	1,999,280	1,999,280
INTERCONTINENTAL 3.75% 500000 UNITS	477,004	477,004

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INTERCONTINENTAL 3.1% 450000 UNITS	423,897	423,897

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HILTON WORLD WIDE 1884 SHARES	209,953	209,953
PRUDENTIAL FINANCIAL INC 4170 SHARES	398,986	398,986
HOME DEPOT INC 7980 SHARES	2,188,675	2,188,675
GOLDMAN SACHS GROUP INC COM 7199 SHARES	2,138,247	2,138,247
PROLOGIS INC 2835 SHARES	333,538	333,538
RAYTHEON TECHNOLOGIES 4210 SHARES	404,623	404,623
GENERAL MOTORS CO 5913 SHARES	187,797	187,797
GENERAL MILLS 4343 SHARES	327,679	327,679
FREEPORT-MCMORAN INC 6827 SHARES	199,758	199,758
HONEYWELL INTL INC DEL 3526 SHARES	612,854	612,854
HOME DEPOT INC 12600 SHARES	3,455,802	3,455,802
JOHNSON & JOHNSON 11476 SHARES	2,037,105	2,037,105
PROCTOR & GAMBLE 23628 SHARES	3,397,470	3,397,470
SEMPRA 1767 SHARES	265,527	265,527
DIGITAL RLTY TR INC 2355 SHARES	305,750	305,750
DISNEY(WALT) CO COM STK 2471 SHARES	233,262	233,262
DOMINION ENERGY INC 6109 SHARES	487,559	487,559
MICROCHIP TECHNOLOGY INC 5226 SHARES	303,526	303,526
DUPONT DENEMOURS INC 5055 SHARES	280,957	280,957
EATON CORP PLC 7617 SHARES	959,666	959,666
RAYTHEON TECHNOLOGIES CORP 46879 SHARES	4,505,541	4,505,541
ELECTRONIC ARTS INC DEL 1054 SHARES	128,219	128,219
EMERSON ELEC CO 4755 SHARES	378,213	378,213
ENVISTA HOLDINGS CORP 3591 SHARES	138,397	138,397
SALESFORCE INC 4840 SHARES	798,794	798,794
EQUINIX INC 224 SHARES	147,172	147,172
SALESFORCE COM INC 16640 SHARES	2,746,266	2,746,266
RESTAURANT BRANDS NTL 4843 SHARES	242,876	242,876
EXXON MOBIL CORP COM 8774 SHARES	751,405	751,405
ELI LILLY & CO 1929 SHARES	625,440	625,440
HUNTNGTN BANC IN MD 38041 SHARES	457,633	457,633
ILLINOIS TOOL WORKS INC 830 SHARES	151,268	151,268
PROCTER& GAMBLECO 4122 SHARES	592,702	592,702
LYON DELL BASELL INDUSTRIE 1819 SHARES	159,090	159,090
NUCOR CORP 55870 SHARES	5,833,387	5,833,387
MARVELL TECH INC 6864 SHARES	298,790	298,790
MASTER CARD INC 1929 SHARES	608,561	608,561
MASTERCARD INC CL A 17968 SHARES	5,668,545	5,668,545
NORFOLK SOUTHN CORP COM 17900 SHARES	4,068,491	4,068,491
NIKE INC CL B 32966 SHARES	3,369,125	3,369,125
MCDONALDS CORP COM 1898 SHARES	468,578	468,578
NIKE IN CCLB 2061 SHARES	210,634	210,634
MERCK & CO INC SHS 13191 SHARES	1,202,623	1,202,623
NEXTERA ENERGY INC SHS 3684 SHARES	285,363	285,363
META PLATFORMS INC 802 SHARES	129,323	129,323
MORGAN STANLEY 14763 SHARES	1,122,874	1,122,874
MONDELEZ INTL INC 56982 SHARES	3,538,012	3,538,012
MONDELEZ INTERNATIONAL 6174 SHARES	383,344	383,344
MICROSOFT CORP COM 35256 SHARES	9,054,798	9,054,798
MICROSOFT CORP 14723 SHARES	3,781,308	3,781,308
LVMHMOE THENNESSY ADR 2126 SHARES	259,840	259,840
DEVON ENERGY CORP NEW 13038 SHARES	718,524	718,524
LOCK HEED MARTIN CORP 1281 SHARES	550,779	550,779
L3HARRIS TECHNOLOGIES 1231 SHARES	297,533	297,533
INTEL CORP 5889 SHARES	220,307	220,307
PNC FINCL SERVICES GROUP 1707 SHARES	269,313	269,313
PHILIP MORRIS INTL INC 5197 SHARES	513,152	513,152
INTL BUSINESS MACHINES 3178 SHARES	448,702	448,702
PFIZER INC 13441 SHARES	704,712	704,712
PEPSICO INC COM 17648 SHARES	2,941,216	2,941,216
PEPSICO INC 2885 SHARES	480,814	480,814
J P MORGAN CHASE & CO 34840 SHARES	3,923,332	3,923,332
PAYPAL HOLDINGS INC SHS 3311 SHARES	231,240	231,240
PARAMOUNT GLOBAL 12837 SHARES	316,817	316,817
PALO ALTO NETWORKS INC 1548 SHARES	764,619	764,619
JOHNSON AND JOHNSON COM 6523 SHARES	1,157,898	1,157,898
JPMORGAN CHASE & CO 9586 SHARES	1,079,479	1,079,479
NVIDIA CORP COM 20204 SHARES	3,062,724	3,062,724
KIMBERLY-CLARK CORP 1973 SHARES	266,651	266,651
NVIDIA 3619 SHARES	548,604	548,604
KKR & COINC CLA 2723 SHARES	126,048	126,048
LIVE NATION ENT INC 2024 SHARES	167,142	167,142
SPDRSP BIOTECH 2397 SHARES	178,025	178,025
DOW INCREG 7464 SHARES	385,217	385,217
DEERE & CO COM 12894 SHARES	3,861,366	3,861,366
VULCAN MATERIALS CO 1595 SHARES	226,650	226,650
VISA INC CLA SHRS 6186 SHARES	1,217,962	1,217,962
APPLE INC 30881 SHARES	4,222,050	4,222,050
APPLE INC COM STK 64097 SHARES	8,763,342	8,763,342
ASML HLDGN VNY REG SHS 550 SHARES	261,734	261,734
AT&T INC 21184 SHARES	444,017	444,017
VERIZON COMMUNICATNS COM 17407 SHARES	883,405	883,405
ANALOG DEVICES INC 1207 SHARES	589,327	589,327
VALERO ENERGY CORP NEW 2042 SHARES	217,024	217,024
BAXTER INTL INC COM 39136 SHARES	2,513,705	2,513,705
DEERE CO 1045 SHARES	312,946	312,946
BERKSHIRE HATHAWAY INC 2733 SHARES	746,164	746,164
US BANCORP 56905 SHARES	2,618,768	2,618,768
BLACKROCK INC 1184 SHARES	721,103	721,103
UNITEDHEALTH GROUP INC 2617 SHARES	1,344,170	1,344,170
BLACKROCK INC COM STK 4058 SHARES	2,471,484	2,471,484
USBAN CORP 8792 SHARES	404,608	404,608
AMNELEC POWER CO 5418 SHARES	519,803	519,803
AMGEN IN CCOM 1207 SHARES	293,663	293,663
WALMART 20149 SHARES	2,449,715	2,449,715
ABBOTT LABS 8086 SHARES	878,544	878,544
ABBVIE INC SHS 4137 SHARES	633,623	633,623
ACCENTURE PLC SHS 1737 SHARES	482,278	482,278
ACTIVISION BLIZZARD INC 4505 SHARES	350,759	350,759
ADOBE INC 858 SHARES	314,079	314,079
AIR PRODUCTS & CHEM 1929 SHARES	463,886	463,886
ALCOA CORP 1100 SHARES	50,138	50,138
WALT DISNEY 32303 SHARES	3,049,403	3,049,403
ALPHABET INC CAPITAL STOCK CL A 855 SHRS	1,863,267	1,863,267
ALPHABET INC CAPITAL STOCK CL C 1933 SHRS	4,228,341	4,228,341
ALPHABET INC SHS CL A 588 SHARES	1,281,405	1,281,405
ALPHABET INC SHS CL C 1669 SHARES	3,650,854	3,650,854
WALMART INC 4868 SHARES	591,851	591,851
AMAZON COM INC COM 48280 SHARES	5,127,819	5,127,819
AMAZON COM INC COM AMZN 14100 SHARES	1,497,561	1,497,561
AMERICAN WTR WKS CO INC 1045 SHARES	155,465	155,465
AMERICAN WTR WKS CO INC NEW COM 27466 SHARES	4,086,117	4,086,117
UNITEDHEALTH GROUP INC 17000 SHARES	8,731,710	8,731,710
BLACKSTONE INC 5447 SHARES	496,930	496,930
MGM RESORTS INTERNATIONAL 8002 SHARES	231,658	231,658
BOSTON SCIENTIFIC CORP 5092 SHARES	189,779	189,779
TJXCO SINC NEW 7832 SHARES	437,417	437,417
THERMO FISHER SCIENTIFIC 532 SHARES	289,025	289,025
CITIGROUP INC COM NEW 8421 SHARES	387,282	387,282
TEXAS INSTRUMENTS 3415 SHARES	524,715	524,715
COCA COLA COM 17120 SHARES	1,077,019	1,077,019
TARGET CORP COM 5317 SHARES	750,920	750,920
STRYKER CORP 10188 SHARES	2,026,699	2,026,699
COMCAST CORP NEW CL A 22818 SHARES	895,378	895,378
CONOCO PHILLIPS 5736 SHARES	515,150	515,150
STARBUCKS CORP 7383 SHARES	563,987	563,987
COSTCO WHOLESALE CRP DEL 1119 SHARES	536,314	536,314
STARBUCKS CORP 27283 SHARES	2,084,148	2,084,148
CROWN CASTLE REIT INC 3354 SHARES	564,747	564,747
CVS HEALTH CORP 14031 SHARES	1,300,112	1,300,112
DANAHER CORP COM 16362 SHARES	4,148,094	4,148,094
CHEVRON CORP COM 54775 SHARES	7,930,325	7,930,325
CHEVRON CORP 8987 SHARES	1,301,138	1,301,138
CISCO SYSTEMS INC COM 19666 SHARES	838,558	838,558
UNITED PARCEL SVC CLB 3092 SHARES	564,414	564,414
TRUIST FINL CORP 17192 SHARES	815,417	815,417
BROAD COM INC 2205 SHARES	1,071,211	1,071,211
BRISTOL-MYERS SQUIBB CO 6183 SHARES	476,091	476,091
CATERPILLAR INC DEL 3003 SHARES	536,816	536,816
UNION PACIFIC CORP 3757 SHARES	801,293	801,293
UNITED PARCEL VCCLB 1287 SHARES	234,929	234,929

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

**US Government Securities - End of
Year Book Value:**

125,867,718

**US Government Securities - End of
Year Fair Market Value:**

125,867,718

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC
EIN: 06-1547852

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MITSUBISHI UFJ FINL GROUP INC 3.961% 500000 UNITS	FMV	482,134	482,134
NUANCE MID CAP VALUE INST (NMVLX) 65891 SHRS	FMV	846,701	846,701
BNP PARIBAS TRANCHE # TR 143 3.25% 500000 UNITS	FMV	500,705	500,705
BLACKROCK LOW DUR BD INV INST (BFMSX) 447061 SHRS	FMV	4,059,311	4,059,311
BLACKROCK INFLAT PROT BOND I (BPRIX) 112035 SHRS	FMV	1,161,801	1,161,801
WESTPAC BKG CORP 2.7% 400000 UNITS	FMV	379,812	379,812
WEST PAC BANK ING CORP 1500000 UNITS	FMV	1,492,545	1,492,545
MATTHEWS JAPAN INSTL (MIJFX) 61782 SHRS	FMV	969,354	969,354
MFC VANGUARD INTL EQTY INDEX FDS VANGUARD ALL WORLD EX US ETF 148169 SHARES	FMV	7,402,523	7,402,523
MFC FLEXSHARES TR TR IBOXX 3 YR TARGET DURATION TIPS INDEX FD 116114 SHARES	FMV	2,880,788	2,880,788
BANK OF NOVA SCOTIA 2000000 UNITS	FMV	1,791,000	1,791,000
BANK OF MONTREAL 2500000 UNITS	FMV	2,414,085	2,414,085
MFC FLEXSHARES TR STOXX GLOBAL BROAD INFRASTRUCTURE INDEX FD 24852 SHARES	FMV	1,284,351	1,284,351
BANK NOVA SCOTIA 2.45% 700000 UNITS	FMV	699,811	699,811
VANGUARD FTSE DEVELOPED 473056 SHARES	FMV	19,300,685	19,300,685
VANGUARD FTSE EMERGING 176705 SHARES	FMV	7,359,763	7,359,763
MAINSTAY WINSLOW LG CP GW I (MLAIX) 364480 SHRS	FMV	3,313,123	3,313,123
BANK MONTREAL MEDIUM TERM SR NTS BOOK EN1.25% 750000 UNITS	FMV	664,391	664,391
NATIONAL AUSTRALIA BANK 2000000 UNITS	FMV	1,989,540	1,989,540
MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION TIPS INDEX FD 188230 SHARES	FMV	4,747,161	4,747,161
METROPOLITAN WEST TOT RET BD I (MWTIX) 427168 SHRS	FMV	4,075,180	4,075,180
MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD 289047 SHARES	FMV	2,771,964	2,771,964
MFB NORTHERN FUNDS SMALL CAP INDEX FD 319983 SHARES	FMV	3,814,194	3,814,194
VANGUARD SMALL CAP VALUE 21670 SHARES	FMV	3,246,599	3,246,599
TOYOTA MOTOR CORP 2000000 UNITS	FMV	2,000,000	2,000,000
CDN IMPERIAL BK 3.45% 750000 UNITS	FMV	718,842	718,842
HARTFORD MIDCAP I (HFMIX) 29050 SHRS	FMV	737,590	737,590
HARDING LOEVNER EMERG MKTS ADV (HLEMX) 23675 SHRS	FMV	1,032,000	1,032,000
GLAXOSMITHKLINE 2.8% 550000 UNITS	FMV	550,649	550,649
FRANKLIN FLOATING RATE 372990 UNITS	FMV	2,748,934	2,748,934
ROYAL BANK OF CANADA 2000000 UNITS	FMV	1,917,080	1,917,080
ROYAL BK OF CANADA 1.6% 250000 UNITS	FMV	247,205	247,205
PIMCO INTL BD USD HEDGED I2 (PFBPX) 92699 SHRS	FMV	903,816	903,816
INVESCO INTL GROWTH Y (OIGYX) 36693 SHRS	FMV	1,160,240	1,160,240
MFC VANGUARD INTL EQUITY INDEX FDS EMRGNG MKTS ETF (VWO) 171252 SHARES	FMV	7,132,646	7,132,646

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SANOFI S A FIXED 3.625% 500000 UNITS	FMV	492,992	492,992
E V INCOME FUND OF BOSTON I (EIBIX) 120109 SHRS	FMV	578,924	578,924
DIAGEO CAP PLC 2.625% 400000 UNITS	FMV	397,671	397,671
DELAWARE INV SM CAP VAL INST (DEVIX) 12707 SHRS	FMV	858,604	858,604
SPDR S&P MIDCAP 400 ETF TRUST 6416 SHARES	FMV	2,652,952	2,652,952
ISHARES CORES & PMID-CAP 102330 SHARES	FMV	23,150,116	23,150,116
DBX ETF TR XTRACK MSCI EURP (DBEU) 38195 SHRS	FMV	1,197,795	1,197,795
CREDIT SUISSE NEW YORK 2000000 UNITS	FMV	1,930,300	1,930,300
ISHARES CORES & PSMALL 184870 SHARES	FMV	17,083,837	17,083,837
ISHARES IBOXX \$ HIGH YIEL 8756 UNITS	FMV	644,529	644,529
ISHARES SHORT TREASURY BD ETF (SHIV) 8230 SHRS	FMV	906,123	906,123
SUMITOMO MITSUI FINL GROUP INC SUMIBK 2.696% 1000000 UNITS	FMV	972,458	972,458
WESTPAC BKG CORP 2.75% 1000000 UNITS	FMV	998,270	998,270
TORONTO DOMINION BK SR MEDIUM TERM BK NT 3.5% 7500000 UNITS	FMV	751,544	751,544
TORONTO-DOMINION BANK 2000000 UNITS	FMV	1,964,260	1,964,260
JPMORGAN SMALL CAP BLEND I (JDSCX) 10332 SHRS	FMV	265,633	265,633
JPMORGAN VALUE ADVANTAGE I (JVASX) 109777 SHRS	FMV	3,985,992	3,985,992
CAUSEWAY INTL VALUE INSTL (CIVIX) 85882 SHRS	FMV	1,283,083	1,283,083
MFO PIMCO FDS PAC INVT MGMT CMDTY REAL RETURN STRTG Y FD INSTL 1398423 SHARES	FMV	8,768,112	8,768,112

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MICHAEL A. NEUFELD & ASSOC., LLC	100,000	0		100,000

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	10,646,253	5,746,253	5,746,253

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

Description	Amount
CHANGE IN UNREALIZED GAIN ON INVESTMENTS	114,442,054

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IT SUPPORT	3,375	0		3,375
OFFICE AND OTHER EXPENSES	29,168	0		29,168
OFFICE CONSTRUCTION	3,013	0		3,013
PAYROLL PROCESSING	2,824	0		2,824
POSTAGE	425	0		425
PROFESSIONAL DEVELOPMENT	1,291	0		1,291
SOFTWARE	42,452	0		42,452
STATE FILING FEES	1,550	0		1,550
WEBSITE DESIGN AND MAINTENANCE	20,900	0		20,900
INTERNET AND TELEPHONE	12,960	0		12,960
DUES & SUBSCRIPTIONS	21,524	0		21,524
FURNITURE & EQUIPMENT	12,128	0		12,128
INSURANCE	31,024	0		31,024

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PROGRAMMATIC RENTAL INCOME	114,900	114,900	114,900
PRI INTEREST	2,396	2,396	2,396

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANA CLAUDIA SIMONES LIMA - ABRAS CONSULTING	15,000	0		15,000
BANK OF AMERICA PRIVATE BANK - INVESTMENT MANAGEMENT FEES	308,775	308,775		0
MORGAN STANLEY - INVESTMENT MANAGEMENT FEES	64,905	64,905		0
NORTHERN TRUST - INVESTMENT MANAGEMENT FEES	278,553	278,553		0

TY 2021 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

EIN: 06-1547852

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	413,858	0		0