Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 07-01-2020 , and ending 06-30-2021

Name of foundation
THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

A Employer identification number
06-1547852

Number and street (or P.O. box number if mail is not delivered to street address)
633 THIRD AVENUE 16TH FLOOR

Room/suite

B Telephone number (see instructions)
(212) 360-6173

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10017

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 685,898,037

J Accounting method: ☐ Cash ☐ Accrual
☑ Other (specify) Modified Cash
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	17,479,469			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	5,602	5,602		
	4	Dividends and interest from securities	10,274,968	10,274,968		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	32,959,749			
	b	Gross sales price for all assets on line 6a 131,770,733				
	7	Capital gain net income (from Part IV, line 2)		33,287,408		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	110,038	110,038		
	12	**Total.** Add lines 1 through 11	60,829,826	43,678,016		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	365,009	88,253		276,756
	14	Other employee salaries and wages	849,705	3,089		846,616
	15	Pension plans, employee benefits	368,524	22,020		346,504
	16a	Legal fees (attach schedule)	95,000	0		95,000
	b	Accounting fees (attach schedule)	80,145	0		80,145
	c	Other professional fees (attach schedule)	713,370	698,370		15,000
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	485,000	0		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	159,154	0		159,154
	21	Travel, conferences, and meetings	4,987	0		4,987
	22	Printing and publications				
	23	Other expenses (attach schedule)	152,277	0		152,277
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,273,171	811,732		1,976,439
	25	Contributions, gifts, grants paid	27,432,082			27,432,082
	26	**Total expenses and disbursements.** Add lines 24 and 25	30,705,253	811,732		29,408,521
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	30,124,573			
	b	**Net investment income** (if negative, enter -0-)		42,866,284		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	500	500	500
	2	Savings and temporary cash investments	25,990,637	26,213,241	26,213,241
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	107,690,912	133,670,296	133,670,296
	b	Investments—corporate stock (attach schedule)	182,210,327	224,606,479	224,606,479
	c	Investments—corporate bonds (attach schedule)	85,456,521	74,971,729	74,971,729
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	148,017,689	200,026,320	200,026,320
	14	Land, buildings, and equipment: basis ▶ 15,763,219			
		Less: accumulated depreciation (attach schedule) ▶	15,763,219	15,763,219	15,763,219
	15	Other assets (describe ▶)	11,746,253	10,646,253	10,646,253
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	576,876,058	685,898,037	685,898,037
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☑ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	576,876,058	685,898,037	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	576,876,058	685,898,037	
	30	**Total liabilities and net assets/fund balances** (see instructions)	576,876,058	685,898,037	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	576,876,058
2	Enter amount from Part I, line 27a	2	30,124,573
3	Other increases not included in line 2 (itemize) ▶	3	78,897,406
4	Add lines 1, 2, and 3	4	685,898,037
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	685,898,037

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SALE OF PUBLICLY TRADED SECURITIES	P		
b	SALE OF DONATED SECURITIES	D		
c	CAPITAL GAINS DIVIDENDS	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	125,232,690		96,572,172	28,660,518
b	5,701,587		1,911,153	3,790,434
c	836,456			836,456
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a				28,660,518
b				3,790,434
c				836,456
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	33,287,408
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved.	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved , ...	8	

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: __________ **(attach copy of letter if necessary–see instructions)**		
b	Reserved	1	595,841
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	595,841
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	595,841
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	556,983
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	556,983
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	38,858
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW.PCLBFOUNDATION.ORG

14 The books are in care of ▶D BEN BENOIT Telephone no. ▶(860) 572-1242

Located at ▶7 MASONS ISLAND ROAD SUITE 1 MYSTIC CT ZIP+4 ▶06355

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☑ Yes ☐ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CHRISTOPHER BUCK 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	PRESIDENT/DIRECTOR 20.00	25,000	26,391	0
WILLIAM BUCK 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	TREASURER/DIRECTOR 20.00	25,000	26,391	0
MICHAEL BUCK 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	SECRETARY/DIRECTOR 20.00	25,000	275	0
VERA LOURENCO 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000	20,745	0
D BEN BENOIT 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	EXECUTIVE DIRECTOR 30.00	240,009	9,959	0
SAMUEL BUCK 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	DIRECTOR 20.00	25,000	8,449	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CARRIE SCHINDELE 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	EVP - PROGRAMS & ADM 40.00	192,241	24,006	0
DANIEL WEISS 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	PROGRAM DIRECTOR 40.00	105,806	29,327	0
REBECCA GREENBERG ELLIS 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	PROGRAM OFFICER 40.00	100,739	32,352	0
JULIE WONG 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	GRANTS & ADMINISTRAT 40.00	96,205	13,032	0
LILLIAN LIANG 633 THIRD AVENUE 16TH FLOOR NEW YORK, NY 10017	PROGRAM OFFICER 40.00	87,465	18,508	0
Total number of other employees paid over $50,000. ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NORTHERN TRUST ONE INTERNATIONAL PLACE SUITE 1600 BOSTON,MA 02110	INVESTMENT MANAGEMENT	364,712
BANK OF AMERICA PRIVATE BANK 185 ASYLUM STREET HARTFORD,CT 06103	INVESTMENT MANAGEMENT	274,143
MICHAEL NEUFELD AND ASSOCIATES PO BOX 72 MILFORD,CT 06460	LEGAL	95,000
MORGAN STANLEY 545 LONG WHARF DR 7TH FLOOR NEW HAVEN,CT 06511	INVESTMENT MANAGEMENT	59,515
PKF O'CONNOR DAVIES LLP 500 MAMARONECK AVENUE SUITE 301 HARRISON,NY 10528	ACCOUTING & AUDIT SERVICES	50,985

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 IN ACCORDANCE WITH THE PROGRAMS AND POLICIES OF THE FOUNDATION TO SUPPORT LAND CONSERVATION, DURING THE FISCAL YEAR ENDED JUNE 30, 2021, $550,000 WAS LOANED TO DUTCHESS LAND CONSERVANCY, INC. FOR THE PURPOSE OF ACQUIRING A CONSERVATION EASEMENT ON APPROXIMATELY 153.53 ACRES OF PROPERTY LOCATED IN THE TOWN OF PINE PLAINS, COUNTY OF DUTCHESS, STATE OF NEW YORK. THE NOTE HAS AN INTEREST RATE OF 0% PER ANNUM. THE TERM OF THE LOAN IS FIVE YEARS OR UPON THE SALE OR DISPOSAL OF ALL OR ANY PORTION OF THE PROPERTY. AS OF JUNE 30, 2021, THE OUTSTANDING BALANCE ON THE LOAN IS $550,000.	550,000
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	550,000

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities.		1a	592,320,829
b	Average of monthly cash balances.		1b	22,109,245
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	614,430,074
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d.		3	614,430,074
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	9,216,451
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	605,213,623
6	**Minimum investment return.** Enter 5% of line 5.		6	30,260,681

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.		1	30,260,681
2a	Tax on investment income for 2020 from Part VI, line 5.	2a 595,841		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b.		2c	595,841
3	Distributable amount before adjustments. Subtract line 2c from line 1.		3	29,664,840
4	Recoveries of amounts treated as qualifying distributions.		4	1,650,000
5	Add lines 3 and 4.		5	31,314,840
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . .		7	31,314,840

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	29,408,521
b	Program-related investments—total from Part IX-B.	1b	550,000
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	29,958,521
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	29,958,521

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				31,314,840
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015. 5,525,890				
b From 2016.				
c From 2017.				
d From 2018. 8,830,926				
e From 2019. 2,098,643				
f **Total** of lines 3a through e.	16,455,459			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 29,958,521				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				29,958,521
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	1,356,319			1,356,319
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	15,099,140			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .	4,169,571			
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a	10,929,569			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018. . . . 8,830,926				
d Excess from 2019 2,098,643				
e Excess from 2020				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
50CAN 1625 K STREET NW STE 400 WASHINGTON,DC 20006	N/A	PC	LEAD (LATINOS FOR EDUCATIONAL ADVOCACY AND DIVERSITY)	680,000
50CAN 20 CHURCH STREET MEZZANINE HARTFORD,CT 06103	N/A	PC	GENERAL OPERATING SUPPORT FOR LOCAL CHAPTER - CONNCAN	200,000
ACHIEVEMENT FIRST 370 JAMES STREET SUITE 404 NEW HAVEN,CT 065133091	N/A	PC	GENERAL OPERATING SUPPORT	4,500,000
ADU PRINCESS 1155 PENNSYLVANIA AVENUE APT 8E BROOKLYN,NY 11239	NONE	I	C1 2020-21 SCHOLARSHIP	934
AGRICULTURAL STEWARDSHIP ASSOCIATION 2531 STATE ROUTE 40 GREENWICH,NY 128342301	N/A	PC	GENERAL OPERATING SUPPORT	100,000
AMERICAN CIVIL LIBERTIES UNION FOUNDATION OF CONNECTICUT 765 ASYLUM AVENUE FL 1 HARTFORD,CT 061052822	N/A	PC	ACLU-CONNECTICUT ACTIVITIES	30,000
AMIZADE 305 34TH STREET PITTSBURGH,PA 152011311	N/A	PC	GENERAL OPERATING SUPPORT	1,000
ANDERSON MELITA STILLMAN 415 BOX A85 COLGATE UNIVERSITY 13 OAK DRIVE HAMILTON,NY 13346	NONE	I	C1 2020-21 SCHOLARSHIP	1,855
ART SCIENCE RESEARCH LABORATORY 62 GREENE STREET 3RD FLOOR NEW YORK,NY 100124346	N/A	POF	GENERAL OPERATING SUPPORT	10,000
ASPETUCK LAND TRUST PO BOX 444 WESTPORT,CT 068810444	N/A	PC	BELT: ASPETUCK AND MONROE LAND TRUSTS MERGER	25,000
ASSOCIACAO BENEFICENTE DE RECUPERACAO DA ALEGRIA E SAUDE AVENIDA GETULIO VARGAS 149 CENTRO MANTENA,MINAS GERAIS 35290-000 BR	N/A	NC	GENERAL OPERATING SUPPORT	84,412
AVALONIA LAND CONSERVANCY PO BOX 49 OLD MYSTIC,CT 063720049	N/A	PC	BELT: MERGER BETWEEN AVALONIA LAND CONSERVANCY AND EAST LYME LAND TRUST	50,000
BINGHAMTON UNIVERSITY ITF LEE GEORG 4400 VESTAL PARKWAY EAST BINGHAMTON,NY 13902	NONE	I	C1 2020-21 SCHOLARSHIP	24,043
BOOKER T WASHINGTON ACADEMY 804 STATE STREET NEW HAVEN,CT 065113418	N/A	PC	GENERAL OPERATING SUPPORT	650,000
BOULDER COMMUNITY BROADCAST ASSOCIATION 4700 WALNUT ST BOULDER,CO 803012538	N/A	PC	GENERAL OPERATING SUPPORT	10,000
BOULDER PRIDE (DBA OUT BOULDER COUNTY) 1443 SPRUCE ST BOULDER,CO 80302	N/A	PC	OUT YOUTH PROGRAMMING	10,000
BOY SCOUTS OF AMERICA - CONNECTICUT RIVERS COUNCIL 60 DARLIN ST EAST HARTFORD,CT 061083201	N/A	PC	CAMPERSHIPS AND FACILITY ENHANCEMENTS	50,000
BOY SCOUTS OF AMERICA - GREATER NEW YORK COUNCILS 475 RIVERSIDE DRIVE SUITE 600 NEW YORK,NY 10115	N/A	PC	CAMPERSHIPS AND OUTDOOR AND LEADERSHIP PROGRAMS	100,000
BRASS CITY CHARTER SCHOOL 289 WILLOW STREET WATERBURY,CT 067101811	N/A	PC	GENERAL OPERATING SUPPORT	550,000
CAPITAL PREPARATORY SCHOOLS 777 MAIN ST BRIDGEPORT,CT 066044979	N/A	PC	GENERAL OPERATING SUPPORT	1,550,000
CAPITOL REGION EDUCATION COUNCIL FOUNDATION 111 CHARTER OAK AVENUE HARTFORD,CT 06106	N/A	PC	CT TEACHER RESIDENCY PROGRAM	150,000
CHALKBEAT 1288 CONEY ISLAND AVENUE 300434 BROOKLYN,NY 11230	N/A	PC	CHALKBEAT NEW YORK	50,000
CHANGE SUMMER 119 WEST 72ND ST SUITE 187 NEW YORK,NY 100233201	N/A	PC	GENERAL OPERATING SUPPORT	50,000
CITY CENTER DANBURY EVENTS 268 MAIN STREET DANBURY,CT 068106652	N/A	PC	SENIOR FOOD SECURITY: FARMERS MARKET & SENIOR DINERS CLUB	30,000
CITY OF DANBURY 10 ELMWOOD PLACE DANBURY,CT 06810	N/A	GOV	DEPARTMENT OF ELDERLY SERVICES - ELMWOOD HALL SENIOR CENTER	75,000
COLGATE UNIVERSITY ITF ANDERSON MEL 13 OAK DRIVE HAMILTON,NY 133461338	NONE	I	C1 2020-21 SCHOLARSHIP	2,764
COLUMBIA LAND CONSERVANCY 49 MAIN ST CHATHAM,NY 120371225	N/A	PC	GENERAL OPERATING SUPPORT	85,000
COLUMBIA UNIVERSITY 615 WEST 131ST STREET 6TH FLOOR NEW YORK,NY 100277922	N/A	PC	BUILDING STUDENT-ORIENTED EDUCATION SYSTEMS FROM THE FAMILY OUT: GUIDES AND TOOLS FOR FAMILIES AND FOR A REORIENTED EDUCATION ECOSYSTEM	247,500
COLUMBIA UNIVERSITY 615 WEST 131ST STREET 6TH FLOOR NEW YORK,NY 100277922	N/A	PC	SUPPORTING AND SPREADING EDUCATION SYSTEM TRANSFORMATION	50,500
COLUMBUS AYANNA 2158 PARESKY WILLIAMSTOWN,MA 01267	NONE	I	C1 2020-21 SCHOLARSHIP	800
COMMUNITY ACTION AGENCY OF WESTERN CONNECTICUT THE 66 NORTH ST DANBURY,CT 068105620	N/A	PC	COMMUNITY FOOD RESCUE	50,000
COMMUNITY HEALTH PROJECT 356 WEST 18TH STREET NEW YORK,NY 10011	N/A	PC	GENERAL OPERATING SUPPORT	150,000
COMMUNITY INITIATIVES 1000 BROADWAY SUITE 480 OAKLAND,CA 946074044	N/A	PC	MEDIA-DATA RESEARCH CONSORTIUM	10,000
CONNECTICUT COMMUNITY CARE 43 ENTERPRISE DRIVE BRISTOL,CT 060107457	N/A	PC	ENHANCED HOUSING AND CARE MANAGEMENT PROGRAMS	120,000
CONNECTICUT LAND CONSERVATION COUNCIL 27 WASHINGTON STREET MIDDLETOWN,CT 064572872	N/A	PC	GENERAL OPERATING SUPPORT	185,000
CONNECTICUT NEWS PROJECT 1049 ASYLUM AVE HARTFORD,CT 061052432	N/A	PC	CT MIRROR	75,000
DAILY BREAD - AN ECUMENICAL FOOD PANTRY 25 WEST ST DANBURY,CT 068100000	N/A	PC	GENERAL OPERATING SUPPORT	45,000
DANBURY & NEW MILFORD HOSPITAL FOUNDATION 24 HOSPITAL AVE DANBURY,CT 068106099	N/A	PC	FAITH COMMUNITY NURSE PROGRAM/GOLDSTONE CAREGIVER COORDINATOR	30,000
DANBURY GRASSROOTS TENNIS PO BOX 2912 DANBURY,CT 068041430	N/A	PC	GENERAL OPERATING SUPPORT	40,000
DEPAUW UNIVERSITY ITF HALL ASHLEY 204 E SEMINARY GREENCASTLE,IN 461350037	NONE	I	C1 2020-21 SCHOLARSHIP	34,238
DUTCHESS LAND CONSERVANCY PO BOX 138 MILLBROOK,NY 125450138	N/A	PC	GENERAL OPERATING SUPPORT	125,000
EDUCATION REFORM NOW 276 5TH AVENUE SUITE 704 915 NEW YORK,NY 100014527	N/A	PC	ERN-CONNECTICUT	275,000
EDUCATORS FOR EXCELLENCE 80 PINE ST 28TH FLR NEW YORK,NY 100051733	N/A	PC	E4E-CT ($225,000) AND E4E-NY ($300,000)	525,000
ESOPUS FOUNDATION THE 41 SCHERMERHORN ST SUITE 143 BROOKLYN,NY 112014802	N/A	PC	GENERAL OPERATING SUPPORT	5,000
EXCELLENCE COMMUNITY SCHOOLS 2000 BENEDICT AVENUE BRONX,NY 10462	N/A	PC	GENERAL OPERATING SUPPORT	1,050,000
EXPANDED SCHOOLS 11 WEST 42ND STREET 3RD FLOOR NEW YORK,NY 100368002	N/A	PC	PATHWAYS FELLOWSHIP	200,000
FAITHACTS FOR EDUCATION 857 POST ROAD SUITE 310 FAIRFIELD,CT 06824	N/A	PC	GENERAL OPERATING SUPPORT	525,000
FAMILIES NETWORK OF WESTERN CONNECTICUT 5 LIBRARY PLACE DANBURY,CT 068106617	N/A	PC	PARENT LEADERSHIP ALUMNI PROGRAMS	25,000
FEEDING AMERICA 161 N CLARK STREET SUITE 700 CHICAGO,IL 60601	N/A	PC	GENERAL OPERATING SUPPORT	100
FRESH AIR FUND 633 THIRD AVENUE 14TH FLOOR NEW YORK,NY 100176706	N/A	PC	CAMPERSHIPS AND FAMILY WELLNESS PROGRAM	50,000
FRIENDS OF THE DANBURY MUSEUM 43 MAIN ST DANBURY,CT 068108011	N/A	PC	GENERAL OPERATING SUPPORT	10,000
FUNDACAO CLINICA CARMEN LUCIA RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA,ESPIRITO SANTO 29125-033 BR	N/A	NC	GENERAL OPERATING SUPPORT	421,588
GIRL SCOUT COUNCIL OF GREATER NEW YORK 40 WALL STREET SUITE 708 NEW YORK,NY 100051395	N/A	PC	CAMPERSHIPS AND FACILITY ENHANCEMENTS	100,000
GIRL SCOUTS OF CONNECTICUT 340 WASHINGTON STREET HARTFORD,CT 061063317	N/A	PC	CAMPERSHIPS, OUTDOOR PROGRAMS, AND FACILITY ENHANCEMENTS	100,000
GLSEN 110 WILLIAM ST 30TH FLOOR NEW YORK,NY 100383901	N/A	PC	GENERAL OPERATING SUPPORT	10,000
GOOD FOOD INSTITUTE 2503 D N HARRISON ST 19 ARLINGTON,VA 22207	N/A	PC	GENERAL OPERATING SUPPORT	3,000
GROUNDTRUTH PROJECT THE 10 GUEST STREET BOSTON,MA 021352066	N/A	PC	REPORT FOR AMERICA	175,000
HALL ASHLEY UB BOX 5505 408 SOUTH LOCUST STREET GREENCASTLE,IN 461351776	NONE	I	C1 2020-21 SCHOLARSHIP	900
HIGHLANDS CURRENT 142 MAIN ST COLD SPRING,NY 105162819	N/A	PC	GENERAL OPERATING SUPPORT	5,000
HIGHVILLE CHARTER SCHOOL 1 SCIENCE PARK NEW HAVEN,CT 065111963	N/A	PC	GENERAL OPERATING SUPPORT	325,000
HIGHVILLE CHARTER SCHOOL 1 SCIENCE PARK NEW HAVEN,CT 065111963	N/A	PC	GETTING TO GREAT	190,000
HILLSIDE FOOD OUTREACH 2 WESTCHESTER PLAZA ELMSFORD,NY 105231607	N/A	PC	GENERAL OPERATING SUPPORT	45,000
HORIZONS BRIDGEPORT 1057 BROAD STREET 2ND FLOOR BRIDGEPORT,CT 066044219	N/A	PC	GENERAL OPERATING SUPPORT	25,000
HUDSON HIGHLANDS LAND TRUST 20 NAZARETH WAY GARRISON,NY 105240000	N/A	PC	GENERAL OPERATING SUPPORT	250,000
INSTITUTE FOR NONPROFIT NEWS 714 W OLYMPIC BLVD 931 LOS ANGELES,CA 90015	N/A	PC	GENERAL OPERATING SUPPORT	50,000
INTREPID FALLEN HEROES FUND ONE INTREPID SQ-W 46TH ST 12TH AVE NEW YORK,NY 100360000	N/A	PC	GENERAL OPERATING SUPPORT	5,000
JERICHO PARTNERSHIP PO BOX 24 HAWLEYVILLE,CT 06440	N/A	PC	FOOD SECURITY RESOURCES	20,000
JUMOKE ACADEMY 999 ASYLUM AVE SUITE 200 HARTFORD,CT 06105	N/A	PC	GENERAL OPERATING SUPPORT	550,000
JUMOKE ACADEMY 999 ASYLUM AVE SUITE 200 HARTFORD,CT 06105	N/A	PC	GETTING TO GREAT	212,000
JUNIOR ACHIEVEMENT OF GREATER FAIRFIELD 835 MAIN STREET BRIDGEPORT,CT 066044900	N/A	PC	GENERAL OPERATING SUPPORT	100,000
JUNIOR ACHIEVEMENT OF SOUTHWEST NEW ENGLAND 70 FARMINGTON AVE HARTFORD,CT 061053704	N/A	PC	GENERAL OPERATING SUPPORT	50,000
KIPP FOUNDATION 135 MAIN STREET SUITE 1700 SAN FRANCISCO,CA 941051812	N/A	PC	POST-SECONDARY SUCCESS PARTNERSHIP	300,000
LAND TRUST ALLIANCE 1250 H STREET NW SUITE 600 WASHINGTON,DC 200053952	N/A	PC	GENERAL OPERATING SUPPORT	175,000
LEADERSHIP FOR EDUCATIONAL EQUITY FOUNDATION 1805 7TH STREET NW 6TH FLOOR WASHINGTON,DC 200013186	N/A	PC	GENERAL OPERATING SUPPORT	475,000
LEE GEORGE BU BOX 21882 PO BOX 6020 BINGHAMTON,NY 13902	NONE	I	C1 2020-21 SCHOLARSHIP	3,652
LONGMONT HUMANE SOCIETY 9595 NELSON ROAD LONGMONT,CO 805016318	N/A	PC	GENERAL OPERATING SUPPORT	5,000
MANITOGA PO BOX 249 GARRISON,NY 105243904	N/A	PC	GENERAL OPERATING SUPPORT	5,000
MEDECINS SANS FRONTIERS USA 40 RECTOR STREET 16TH FLOOR NEW YORK,NY 10006	N/A	PC	GENERAL OPERATING SUPPORT	1,000,000
MEMORIAL SLOAN-KETTERING CANCER CENTER 633 THIRD AVE FINANCE 4TH FLR NEW YORK,NY 100170000	N/A	PC	GENERAL OPERATING SUPPORT	1,000
NATIONAL CENTER FOR TEACHER RESIDENCIES 1332 N HALSTED ST STE 304 CHICAGO,IL 606422694	N/A	PC	SACRED HEART UNIVERSITY, YEAR 3 SUPPORT	97,500
NEW HAVEN ECOLOGY PROJECT 358 SPRINGSIDE AVE NEW HAVEN,CT 065151024	N/A	PC	GENERAL OPERATING SUPPORT	350,000
NEW HOPE BAPTIST CHURCH 10 DR AARON B SAMUELS BLVD DANBURY,CT 068100000	N/A	PC	SENIOR MINISTRY AND FOOD SERVICES	15,000
NEW YORK ACADEMY OF SCIENCES 250 GREENWICH STREET 40TH FLOOR NEW YORK,NY 10007	N/A	PC	BUILDING A PIPELINE FROM SCIENCE TO TEACHING	391,500
NEW YORK CITY CENTER FOR CHARTER SCHOOL EXCELLENCE 111 BROADWAY RM 604 NEW YORK,NY 100061975	N/A	PC	GENERAL OPERATING SUPPORT ($295K) AND MERGER GRANT FUND INITIATIVE ($400K)	695,000
NORTHEAST CHARTER SCHOOLS NETWORK 2 TOWER PL STE 2 ALBANY,NY 122033726	N/A	PC	CONNECTICUT CHARTER SCHOOLS ASSOCIATION	1,000,000
NORTHWEST EVALUATION ASSOCIATION 121 NW EVERETT STREET PORTLAND,OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2021-2022 SCHOOL YEAR	35,000
OCEAN COMMUNITY YMCA 95 HIGH ST WESTERLY,RI 028911812	N/A	PC	GENERAL OPERATING SUPPORT	60
ODYSSEY COMMUNITY SCHOOL 579 MIDDLE TURNPIKE WEST MANCHESTER,CT 06040	N/A	PC	GETTING TO GREAT	100,000
ODYSSEY COMMUNITY SCHOOL 580 MIDDLE TURNPIKE WEST MANCHESTER,CT 06041	N/A	PC	GENERAL OPERATING SUPPORT	350,000
ONLINE JOURNALISM PROJECT 51 ELM ST 3RD FLOOR NEW HAVEN,CT 06510	N/A	PC	GENERAL OPERATING SUPPORT	50,000
ORANGE COUNTY LAND TRUST 50 OGDEN DRIVE UNIT A NEW WINDSOR,NY 12553	N/A	PC	GENERAL OPERATING SUPPORT	80,000
OUR LADY OF FATIMA CHURCH 2071 BALDWIN ST WATERBURY,CT 067062604	N/A	PC	CHURCH KITCHEN RENOVATION	25,000
PARTNERS IN HEALTH 800 BOYLSTON ST STE 300 BOSTON,MA 021997032	N/A	PC	SIERRA LEONE MATERNAL CENTER OF EXCELLENCE	47,000
PHI DELTA KAPPA INTERNATIONAL 1820 N FORT MYER DRIVE SUITE 320 ARLINGTON,VA 22209	N/A	PC	EDUCATORS RISING - CONNECTICUT	320,000
PROPUBLICA 155 AVENUE OF THE AMERICAS 13TH FLOOR NEW YORK,NY 10013	N/A	PC	GENERAL OPERATING SUPPORT	75,000
REGIONAL YMCA OF WESTERN CONNECTICUT 2 HUCKLEBERRY HILL RD BROOKFIELD,CT 068042219	N/A	PC	SENIOR HEALTH AND WELLNESS PROGRAMMING ($30,000) AND EDUCATION AND YOUTH ADVOCACY PROGRAMMING ($25,000)	55,000
RELAY GRADUATE SCHOOL OF EDUCATION 25 BROADWAY THIRD FLOOR NEW YORK,NY 10004	N/A	PC	RELAY CONNECTICUT	300,000
RETRO REPORT 633 THIRD AVENUE 16TH FLOOR NEW YORK,NY 10017	N/A	POF	GENERAL OPERATING SUPPORT	50,000
RHODE ISLAND ZOOLOGICAL SOCIETY 1000 ELMWOOD AVENUE PROVIDENCE,RI 029073655	N/A	PC	GENERAL OPERATING SUPPORT	90
RIDGE AND VALLEY CONSERVANCY PO BOX 146 BLAIRSTOWN,NJ 078250146	N/A	PC	GENERAL OPERATING SUPPORT	250
SACRED HEART UNIVERSITY 5151 PARK AVENUE FAIRFIELD,CT 06825	N/A	PC	PRACTICE-BASED TEACHING PROFESSIONAL DEVELOPMENT PHASE II	115,000
SALVATION ARMY THE 440 WEST NYACK ROAD WEST NYACK,NY 109941739	N/A	PC	COMMUNITY EMERGENCY ASSISTANCE PROGRAM	35,000
SCENIC HUDSON 1 CIVIC CENTER PLZ STE 200 POUGHKEEPSIE,NY 126013157	N/A	PC	GENERAL OPERATING SUPPORT	500,000
SEATTLE FOUNDATION THE 1601 5TH AVENUE SUITE 1900 SEATTLE,WA 981013615	N/A	PC	RESOURCE EQUITY FUNDERS COLLABORATIVE	50,000
SHELBURNE POLICE FOUNDATION PO BOX 58 SHELBURNE,VT 05482	N/A	PC	GENERAL OPERATING SUPPORT	250
SHELTER OF THE CROSS (DBA RENEWAL HOUSE) 18 DR AARON SAMUELS BLVD DANBURY,CT 068100000	N/A	PC	GENERAL OPERATING SUPPORT	30,000
SISTEMA UNIVERSITARIO ANA G MENDEZ PO BOX 2010 CAROLINA,PR 009842010	N/A	PC	CASA MUSEO JESS T. PIERO RESTORATION & OPERATIONS	100,000
ST JOSEPH CHURCH 8 ROBINSON AVENUE DANBURY,CT 068105517	N/A	PC	CARMEN LUCIA BUCK RELIGIOUS EDUCATION BUILDING RENOVATION	15,000
ST VINCENT DEPAUL MISSION OF WATERBURY PO BOX 1612 WATERBURY,CT 067211612	N/A	PC	SOUP KITCHEN OPERATIONS	5,000
STOWE LAND TRUST PO BOX 284 STOWE,VT 056720284	N/A	PC	GENERAL OPERATING	250
SUCCESS ACADEMY CHARTER SCHOOLS 95 PINE STREET FLOOR 6 NEW YORK,NY 10005	N/A	PC	GENERAL OPERATING SUPPORT	400,000
TEACH FOR AMERICA 25 BROADWAY 12TH FLOOR NEW YORK,NY 100041056	N/A	PC	TEACH FOR AMERICA - NEW YORK	400,000
TEACH FOR AMERICA 370 JAMES STREET SUITE 404 NEW HAVEN,CT 06513	N/A	PC	TEACH FOR AMERICA - CONNECTICUT	350,000
TEACHORG 2443 FILLMORE ST 38009883 SAN FRANCISCO,CA 941151814	N/A	PC	GENERAL OPERATING SUPPORT	275,000
TEACHORG 2443 FILLMORE ST 38009883 SAN FRANCISCO,CA 941151814	N/A	PC	TEACH CONNECTICUT	455,000
TEXAS TECH UNIVERSITY 2625 MEMORIAL CIRCLE LUBBOCK,TX 79409	N/A	GOV	US PREP - TEACHER PREPARATION TRANSFORMATION YEAR 3	300,000
THIRD SECTOR NEW ENGLAND 89 SOUTH STREET STE 7000 BOSTON,MA 021110000	N/A	PC	SCHOOL + STATE FINANCE PROJECT	1,550,000
TIDES CENTER 1012 TORNEY AVE SAN FRANCISCO,CA 94129	N/A	PC	100KIN10	125,000
TODAY'S STUDENTS TOMORROW'S TEACHERS 333 WESTCHESTER AVENUE SUITE SOUTH 208 WHITE PLAINS,NY 10604	N/A	PC	TODAYS STUDENTS TOMORROWS TEACHERS - CONNECTICUT	161,000
TOWN OF WOODBURY 281 MAIN STREET SOUTH WOODBURY,CT 06798	N/A	GOV	WOODBURY SENIOR CENTER PROJECTS	10,000
TRUST FOR PUBLIC LAND 101 WHITNEY AVENUE 2ND FLOOR NEW HAVEN,CT 06510	N/A	PC	TRUST FOR PUBLIC LAND - CONNECTICUT	175,000
UNCOMMON SCHOOLS 826 BROADWAY 9TH FLOOR NEW YORK,NY 100034826	N/A	PC	GENERAL OPERATING SUPPORT	300,000
UNITED WAY OF WESTERN CONNECTICUT 301 MAIN STREET NO 2-5 DANBURY,CT 068105861	N/A	PC	DANBURY SENIOR PROGRAMMING AND FOOD SECURITY	185,000
UNIVERSITY OF BRITISH COLUMBIA 6388 CRESCENT ROAD VANCOUVER,BRITISH COLUMBIA V6T 1Z2 CA	N/A	PC	GLOBAL REPORTING CENTRE	10,000
UNIVERSITY OF CONNECTICUT FOUNDATION 2390 ALUMNI DRIVE U3206 STORRS,CT 062693603	N/A	PC	NURSING SCHOLARSHIPS AND UNDERGRADUATE PSYCHOLOGY MINI-GRANT RESEARCH PROGRAM	20,000
UNIVERSITY OF ROCHESTER ITF ADU PRI 500 JOSEPH C WILSON BOULEVARD ROCHESTER,NY 14627	NONE	I	C1 2020-21 SCHOLARSHIP	3,446
UNIVERSITY OF VIRGINIA PO BOX 400194 CHARLOTTESVILLE,VA 229044194	N/A	PC	WTJU RADIO STATION	5,000
WEANTINOGE HERITAGE LAND TRUST PO BOX 821 5 MAPLE STREET KENT,CT 06777	N/A	PC	GENERAL OPERATING SUPPORT	100,000
WESTCHESTER LAND TRUST 403 HARRIS ROAD BEDFORD HILLS,NY 10507	N/A	PC	GENERAL OPERATING SUPPORT	100,000
WESTERN CONNECTICUT HOME CARE 100 SAW MILL ROAD DANBURY,CT 068105106	N/A	PC	SAFE AT HOME PROGRAM	40,000
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX,NY 104601068	N/A	PC	GENERAL OPERATING SUPPORT	5,000
WILLIAMS COLLEGE ITF COLUMBUS AYANN HOPKINS HALL 880 MAIN STREET WILLIAMSTOWN,MA 01267	NONE	I	C1 2020-21 SCHOLARSHIP	450
WNET 825 EIGHTH AVENUE NEW YORK,NY 10019	N/A	PC	NJ SPOTLIGHT NEWS	75,000
Total . . . . ▶ 3a				27,432,082
b *Approved for future payment*				
ACHIEVEMENT FIRST 370 JAMES STREET SUITE 404 NEW HAVEN,CT 065133091	N/A	PC	GENERAL OPERATING SUPPORT	7,250,000
BRANDEIS UNIVERSITY ITF SCHULTERS K 415 SOUTH ST MSC 110 WALTHAM,MA 024532728	NONE	I	C2 2021-22 SCHOLARSHIP	17,433
CARVAJAL KAREN UNIVERSITY OF PENNSYLVANIA PHILADELPHIA,PA 191046193	NONE	I	C2 2021-22 SCHOLARSHIP	1,070
COLLINS NYEMIAH UNIVERSITY OF NEW HAVEN WEST HAVEN,CT 065161916	NONE	I	C2 2021-22 SCHOLARSHIP	2,300
COLUMBIA UNIVERSITY 615 WEST 131ST STREET 6TH FLOOR NEW YORK,NY 100277922	N/A	PC	SUPPORTING AND SPREADING EDUCATION SYSTEM TRANSFORMATION	26,500
FUNDACAO CLINICA CARMEN LUCIA RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA,ESPIRITO SANTO 29125-033 BR	N/A	NC	GENERAL OPERATING SUPPORT	239,422
GAYLE TASHARA SPELMAN COLLEGE ATLANTA,GA 303144395	NONE	I	C2 2021-22 SCHOLARSHIP	4,300
GIBBS ISAIAH UNIVERSITY AT BUFFALO SUNY BUFFALO,NY 14260	NONE	I	C2 2021-22 SCHOLARSHIP	2,152
HOWARD UNIVERSITY ITF SAWERS RONELL 2244 10TH STREET ROOM 302 WASHINGTON,DC 200590001	NONE	I	C2 2021-22 SCHOLARSHIP	24,294
KALINE EMILY THE NEW SCHOOL - PARSONS SCHOOL OF DESIGN NEW YORK,NY 100118002	NONE	I	C2 2021-22 SCHOLARSHIP	2,600
MEGGINSON ELIJAH MOREHOUSE COLLEGE ATLANTA,GA 303143773	NONE	I	C2 2021-22 SCHOLARSHIP	2,950
MOREHOUSE COLLEGE ITF MEGGINSON ELI 830 WESTVIEW DR SW ATLANTA,GA 303143773	NONE	I	C2 2021-22 SCHOLARSHIP	25,645
NORTHWEST EVALUATION ASSOCIATION (NWEA) 121 NW EVERETT STREET PORTLAND,OR 97209	N/A	PC	MAP LICENSES AND SERVICES FOR 2021-2022 SCHOOL YEAR	109,658
SAWERS RONELL HOWARD UNIVERSITY WASHINGTON,DC 20059	NONE	I	C2 2021-22 SCHOLARSHIP	2,460
SCHULTERS KYLE BRANDEIS UNIVERSITY WALTHAM,MA 024532728	NONE	I	C2 2021-22 SCHOLARSHIP	1,800
SPELMAN COLLEGE ITF GAYLE TASHARA 350 SPELMAN LN SW ATLANTA,GA 303144395	NONE	I	C2 2021-22 SCHOLARSHIP	38,122
TEACH FOR AMERICA 25 BROADWAY 12TH FLOOR NEW YORK,NY 100041056	N/A	PC	TEACH FOR AMERICA - NEW YORK	400,000
THE NEW SCHOOL - PARSONS SCHOOL OF 80 5TH AVE 4TH FL NEW YORK,NY 100118002	NONE	I	C2 2021-22 SCHOLARSHIP	35,828
UNIVERSITY AT BUFFALO SUNY ITF GIBB 12 CAPEN HALL BUFFALO,NY 14260	NONE	I	C2 2021-22 SCHOLARSHIP	11,768
UNIVERSITY OF NEW HAVEN ITF COLLINS 300 BOSTON POST RD WEST HAVEN,CT 065161916	NONE	I	C2 2021-22 SCHOLARSHIP	27,524
Total . . . . ▶ 3b				8,225,826

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
1 Program service revenue:	**(a)** Business code	**(b)** Amount	**(c)** Exclusion code	**(d)** Amount	
a PROGRAMMATIC RENTAL INCOME					110,038
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	5,602	
4 Dividends and interest from securities			14	10,274,968	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	32,959,749	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: **a**					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .		0		43,240,319	110,038

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 43,350,357

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
1 A	PROGRAMMATIC RENTAL INCOME (FOR LEASE OF OFFICE SPACE) RECEIVED FROM A 501(C)(3) PRIVATE OPERATING FOUNDATION, WHICH COINCIDES WITH THE FOUNDATION'S PURPOSE TO SUPPORT ORGANIZATIONS LIKE SUCH LESSEE THAT CONDUCT HIGH-QUALITY INVESTIGATIVE JOURNALISM, MAKE AVAILABLE NEW DATA-GATHERING AND DISTRIBUTION TOOLS AND OFFER PROFESSIONAL TRAINING TO INVESTIGATIVE JOURNALISTS.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date	Title
	2021-11-08	

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
CHRISTOPHER PETERMANN		2021-11-08		P00097440

Firm's name ▶ PKF O'CONNOR DAVIES LLP — Firm's EIN ▶ 27-1728945

Firm's address ▶ 665 FIFTH AVENUE NEW YORK, NY 10022 — Phone no. (212) 286-2600

Additional Data

Return to Form

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to *www.irs.gov/Form990* for the latest information.	OMB No. 1545-0047 **2020**

Name of the organization THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC	**Employer identification number** 06-1547852

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33^{1}/_{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

Employer identification number
06-1547852

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER BUCK NONGRANTOR CLAT OF 022011 185 ASYLUM STREET HARTFORD, CT 06103	$ 814,912	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	PETER BUCK NONGRANTOR CLAT OF 022011 185 ASYLUM STREET HARTFORD, CT 06103	$ 5,508,200	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
3	PETER BUCK NONGRANTOR CLAT OF 052019 ONE INTERNATIONAL PLACE SUITE 1600 BOSTON, MA 02110	$ 5,801,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
4	PETER BUCK NONGRANTOR CLAT OF 112012 ONE INTERNATIONAL PLACE SUITE 1600 BOSTON, MA 02110	$ 5,279,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
5	THE PETER BUCK TUITION ASSISTANCE TRUST AGREEMENT 185 ASYLUM STREET HARTFORD, CT 06103	$ 76,357	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

Employer identification number
06-1547852

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
THE PETER AND CARMEN LUCIA BUCK
FOUNDATION INC

Employer identification number
06-1547852

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** ***exclusively*** **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
-	

Additional Data

Return to Form

Software ID:

Software Version:

TY 2020 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANA CLAUDIA SIMONES LIMA	1,000	0		1,000
DEMASCO SENA AND JAHELKA	27,935	0		27,935
HOYT, FILIPPETTI & MALAGHAN, LLC	225	0		225
PKF O'CONNOR DAVIES, LLP	50,985	0		50,985

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
FUNDACAO CLINICA CARMEN LUCIA	RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA, ESPIRITO SANTO 29125-033 BR	2020-03-17	150,000	GENERAL OPERATING SUPPORT	150,000	NO	7/31/2020, 8/31/2020, 9/30/2020, 10/31/2020, 11/30/2020 & 12/31/2020		
ASSOCIAO BENEFICENTE DE RECUPERAO DA ALEGRIA E SADE (ABRAS)	AVENIDA GETLIO VARGAS 149 CENTRO MANTENA, MINAS GERAIS 35290-000 BR	2020-04-10	86,590	GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL	86,590	NO	PRIOR+ 12/31/2020, 1/31/2021, 2/28/2021, 3/31/2021, 4/302021, 5/31/2021 & 6/		
ART SCIENCE RESEARCH LABORATORY INC	62 GREENE STREET 3RD FLOOR NEW YORK, NY 100124346	2020-04-28	10,000	GENERAL OPERATING SUPPORT	10,000	NO	1/31/2021		
RETRO REPORT INC	7 MASONS ISLAND RD STE 1 MYSTIC, CT 063552938	2020-04-28	50,000	GENERAL OPERATING SUPPORT	50,000	NO	1/31/2021		
FUNDACAO CLINICA CARMEN LUCIA	RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA, ESPIRITO SANTO 29125-033 BR	2020-07-08	193,841	GENERAL OPERATING SUPPORT	193,841	NO	7/31/2020, 8/31/2020, 9/30/2020, 10/31/2020, 11/30/2020 & 12/31/2020		
FUNDACAO CLINICA CARMEN LUCIA	RODOVIA DO SOL 3499 BARRA DO JUCU VILA VELHA, ESPIRITO SANTO 29125-033 BR	2021-01-22	227,747	GENERAL OPERATING SUPPORT	227,747	NO	1/31/2021, 2/28/2021, 3/31/2021, 4/30/2021, 5/31/2021 & 6/30/2021		
ASSOCIAO BENEFICENTE DE RECUPERAO DA ALEGRIA E SADE (ABRAS)	AVENIDA GETLIO VARGAS 149 CENTRO MANTENA, MINAS GERAIS 35290-000 BR	2021-04-16	84,412	GENERAL OPERATING SUPPORT AND RE-GRANTS TO CHARITABLE ORGANIZATIONS IN BRAZIL	65,828	NO	5/31/2021, 6/30/2021, 7/31/2021, 8/31/2021, 9/30/2021, 10/31/2021, 11/30/202		
ART SCIENCE RESEARCH LABORATORY INC	62 GREENE STREET 3RD FLOOR NEW YORK, NY 100124346	2021-05-24	10,000	GENERAL OPERATING SUPPORT	0	NO	NARRATIVE, FINANCIAL REPORT DUE 1/31/2022		
RETRO REPORT INC	7 MASONS ISLAND RD STE 1 MYSTIC, CT 063552938	2021-05-27	50,000	GENERAL OPERATING SUPPORT	0	NO	NARRATIVE, FINANCIAL REPORT DUE 1/31/2022		

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Name of Bond	End of Year Book Value	End of Year Fair Market Value
3M	625,106	625,106
ABBOTT LABS	490,537	490,537
ABBOTT LABS	711,433	711,433
ACE INA HLDG INC	1,621,949	1,621,949
ALLSTATE CORP	477,820	477,820
AMAZON	488,757	488,757
AMERICAN EXPRESS	331,868	331,868
AMERN HONDA	843,609	843,609
APPLE 1.2%	246,425	246,425
APPLE 2.2%	519,819	519,819
BANK NEW YORK MELLON CORP	493,825	493,825
BERKSHIRE HATHAWAY	1,018,261	1,018,261
BK NEW YORK MELLON	1,077,422	1,077,422
BLACKROCK	554,347	554,347
BP CAP MKTS	416,497	416,497
BRISTOL MYERS	372,083	372,083
CATERPILLAR	753,793	753,793
CHEVRON	1,094,512	1,094,512
CHUBB INA HLDGS	475,591	475,591
CISCO SYS	773,310	773,310
CME GROUP	1,070,887	1,070,887
COCA COLA	245,633	245,633
COMCAST CORP	763,343	763,343
COMCAST CORP NEW	1,626,660	1,626,660
COSTCO WHOLESALE	246,736	246,736
DEERE JOHN	744,225	744,225
DEERE JOHN CAP CORP	1,039,540	1,039,540
EMERSON	1,024,619	1,024,619
EOG RES	588,361	588,361
ESTEE LAUDER COMPANIES	731,766	731,766
EXXON MOBIL	1,085,667	1,085,667
GEN DYNAMICS	340,279	340,279
GOLDMAN SACHS GROUP INC	1,250,275	1,250,275
HERSHEY CO	531,047	531,047
HOME DEPOT	487,473	487,473
HOME DEPOT	547,246	547,246
HONEYWELL	509,219	509,219
HORMEL FOODS	247,969	247,969
INTEL	526,236	526,236
INTEL	103,505	103,505
INTEL CORP	2,069,920	2,069,920
INTERCONTINENTAL	489,274	489,274

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INTERCONTINENTAL	562,861	562,861
INTL BUSINESS	1,012,834	1,012,834
INTUIT	501,019	501,019
JOHNSON & JOHNSON	1,092,697	1,092,697
JPMORGAN CHASE	1,076,077	1,076,077
JPMORGAN CHASE	130,640	130,640
JPMORGAN CHASE & CO	2,042,400	2,042,400
JPMORGAN CHASE & CO	499,080	499,080
MASTERCARD	545,726	545,726
MCDONALDS	500,788	500,788
MEDTRONIC INC	345,949	345,949
MERCK & CO INC NEW	2,025,940	2,025,940
MERCK & CO INC NEW	1,065,680	1,065,680
METLIFE	535,039	535,039
METLIFE INC	1,082,070	1,082,070
METLIFE INC UNSECD SR COMPONENT	2,077,560	2,077,560
MICROSOFT	708,023	708,023
MORGAN STANLEY	1,636,795	1,636,795
NUCOR CORP	440,007	440,007
ORACLE	803,976	803,976
P&G	545,226	545,226
PACCAR FINL CORP	1,997,100	1,997,100
PEPSICO INC	1,067,880	1,067,880
PFIZER	201,788	201,788
PHILIP MORRIS	538,305	538,305
PNC	483,940	483,940
PNC BK N A PITTSBURGH PA UNSECD	1,007,210	1,007,210
PPL ELEC UTILS CORP	27,040	27,040
PROCTER & GAMBLE CO	1,012,770	1,012,770
PROCTER & GAMBLE CO	1,008,070	1,008,070
PRUDENTIAL FINL	253,794	253,794
PRUDENTIAL FINL	507,903	507,903
PUBLIC STORAGE	204,015	204,015
S&P GLOBAL	473,257	473,257
SCHWAB CHARLES	327,343	327,343
SCHWAB CHARLES CORP NEW	2,501,850	2,501,850
SIMON PPTY GROUP	547,220	547,220
ST STR CORP	472,838	472,838
STATE STR CORP	1,041,395	1,041,395
TARGET	954,803	954,803
TOYOTA	1,041,649	1,041,649
TRUIST FINL CORP	252,744	252,744
UNION PAC CORP	533,469	533,469
UNITEDHEALTH GROUP	804,405	804,405
UNITEDHEALTH GROUP INC	1,664,820	1,664,820
US BANCORP	240,942	240,942
US BANKCORP MEDIUM TERM	482,208	482,208

Name of Bond	End of Year Book Value	End of Year Fair Market Value
VISA	648,627	648,627
VISA INC	774,930	774,930
WALMART	1,138,344	1,138,344
WELLS FARGO & CO MEDIUM TERM	1,558,470	1,558,470
WELLS FARGO & CO TRANCHE	314,489	314,489
ORACLE CORP	2,000,880	2,000,880

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBVIE INC	1,189,478	1,189,478
AIR PRODS & CHEMS INC	575,360	575,360
ALPHABET	4,844,717	4,844,717
ALPHABET	2,710,387	2,710,387
ALPHABET INC	4,183,048	4,183,048
AMAZON	9,883,580	9,883,580
AMAZON COM INC	1,014,847	1,014,847
AMERICAN EXPRESS CO	1,167,019	1,167,019
AMERICAN WTR WKS CO	4,233,335	4,233,335
AMERICAN WTR WKS CO INC	913,683	913,683
AMGEN INC	954,281	954,281
ANALOG DEVICES INC	1,001,971	1,001,971
APPLE	9,618,427	9,618,427
APPLE INC	5,499,081	5,499,081
BAXTER	3,892,417	3,892,417
BERKSHIRE HATHAWAY INC DEL	1,553,573	1,553,573
BLACKROCK	3,550,628	3,550,628
BLACKROCK INC	2,082,429	2,082,429
BLACKSTONE GROUP INC	1,367,731	1,367,731
BOEING	3,613,763	3,613,763
CATERPILLAR INC	1,193,701	1,193,701
CHEVRON	2,735,180	2,735,180
CHEVRON CORP	882,958	882,958
CHURCH & DWIGHT INC	515,155	515,155
CIGNA CORP NEW	1,073,927	1,073,927
CISCO SYS INC	2,509,603	2,509,603
CITIGROUP INC	1,985,599	1,985,599
COMCAST CORP	1,140,400	1,140,400
COSTCO WHSL CORP NEW	611,310	611,310
CSX CORP	675,124	675,124
CVS HEALTH CORP	1,466,625	1,466,625
DANAHER CORP	4,390,906	4,390,906
DEERE & CO	4,547,843	4,547,843
DISNEY WALT CO	739,992	739,992
EATON CORP PLC	1,069,860	1,069,860
ELECTRONIC ARTS INC	431,490	431,490
EXXON MOBIL CORP	715,958	715,958
FACEBOOK	6,339,449	6,339,449
FACEBOOK INC	884,922	884,922
GENERAL MTRS CO	916,839	916,839
GOLDMAN SACHS GROUP INC	1,831,232	1,831,232
HOME DEPOT	4,018,014	4,018,014

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HOME DEPOT INC	1,068,282	1,068,282
HONEYWELL INTL INC	1,693,382	1,693,382
ILLINOIS TOOL WKS INC	989,253	989,253
INTEL CORP	976,836	976,836
J P MORGAN CHASE & CO	1,602,684	1,602,684
JOHNSON & JOHNSON	1,890,556	1,890,556
JPMORGAN CHASE	5,419,014	5,419,014
L3HARRIS TECHNOLOGIES INC	1,082,912	1,082,912
LAUDER ESTEE COS INC	644,112	644,112
MASTERCARD	7,666,890	7,666,890
MASTERCARD INC	5,239,042	5,239,042
MERCK & CO INC	1,104,334	1,104,334
MICROSOFT	9,959,097	9,959,097
MICROSOFT CORP	3,501,924	3,501,924
MONDELEZ	2,893,282	2,893,282
MONDELEZ INTL INC	518,564	518,564
MORGAN STANLEY	1,305,207	1,305,207
NIKE	5,092,917	5,092,917
NIKE INC	1,170,571	1,170,571
NORFOLK	4,750,839	4,750,839
NVIDIA	2,927,566	2,927,566
ORGANON & CO	42,969	42,969
OTIS WORLDWIDE CORP	1,161,134	1,161,134
PAYPAL HOLDINGS INC	4,880,833	4,880,833
PEPSI	1,816,564	1,816,564
PEPSICO INC	601,570	601,570
PHILLIPS 66	632,064	632,064
PROCTOR & GAMBLE	3,188,126	3,188,126
RAYTHEON TECHNOLOGIES	2,878,957	2,878,957
ROCKWELL AUTOMATION INC	1,149,800	1,149,800
SALESFORCE	6,941,421	6,941,421
SALESFORCE COM INC	692,505	692,505
STARBUCKS	3,050,512	3,050,512
STARBUCKS CORP	967,157	967,157
STRYKER	2,646,129	2,646,129
STRYKER CORP	1,405,139	1,405,139
TARGET CORP	1,124,091	1,124,091
TEXAS INSTRS INC	1,550,900	1,550,900
TJX COS INC NEW	912,867	912,867
UNION PAC CORP	931,404	931,404
UNITED PARCEL SVC INC	1,602,617	1,602,617
UNITEDHEALTH GROUP	6,807,480	6,807,480
UNITEDHEALTH GROUP INC	856,942	856,942
US BANCORP	2,749,543	2,749,543
VULCAN MATLS CO	687,577	687,577
WALMART	1,779,954	1,779,954
WALT DISNEY	5,677,359	5,677,359

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ZOETIS INC	1,847,759	1,847,759

TY 2020 IRS 990 e-File Render

Name:	THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC
EIN:	06-1547852
US Government Securities - End of Year Book Value:	133,670,296
US Government Securities - End of Year Fair Market Value:	133,670,296
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BANK MONTREAL	FMV	1,070,921	1,070,921
BANK NOVA SCOTIA	FMV	718,201	718,201
BANK NOVA SCOTIA B C	FMV	2,000,800	2,000,800
BLACKROCK INFLAT PROT BOND I (BPRIX)	FMV	1,320,540	1,320,540
BLACKROCK LOW DUR BD INV INST (BFMSX)	FMV	4,094,071	4,094,071
BNP PARIBAS TRANCHE	FMV	523,876	523,876
CAUSEWAY INTL VALUE INSTL (CIVIX)	FMV	1,534,204	1,534,204
CDN IMPERIAL BK	FMV	715,773	715,773
CREDIT SUISSE AG NEW YORK BRH	FMV	2,013,680	2,013,680
DBX ETF TR XTRACK MSCI EURP (DBEU)	FMV	1,355,020	1,355,020
DELAWARE INV SM CAP VAL INST (DEVIX)	FMV	1,219,724	1,219,724
DIAGEO CAP PLC	FMV	414,747	414,747
EVINCOME FUND OF BOSTON I (EIBIX)	FMV	599,284	599,284
FRANKLIN FTLG RATE DAILY ACCESS	FMV	2,901,860	2,901,860
GLAXOSMITHKLINE	FMV	572,905	572,905
HARDINGLOEVNER EMERGMKTS ADV (HLEMX)	FMV	1,536,001	1,536,001
HARTFORD MIDCAP I (HFMIX)	FMV	1,095,986	1,095,986
HSBC HLDGS	FMV	410,731	410,731
HSBC HLDGS PLC	FMV	1,518,405	1,518,405
INVESCO INTL GROWTH Y(OIGYX)	FMV	1,636,472	1,636,472
ISHARES CORE S&P MID CAP ETF	FMV	27,499,141	27,499,141
ISHARES CORE S&P SMALL-CAP	FMV	20,886,613	20,886,613
ISHARES IBOXX $ HIGH YIELD CORP	FMV	770,878	770,878
ISHARES SHORT TREASURY BD ETF (SHIV)	FMV	902,261	902,261
JPMORGAN SMALL CAP BLEND I (JDSCX)	FMV	458,881	458,881
JPMORGAN VALUE ADVANTAGE I (JVASX)	FMV	5,071,959	5,071,959
LLOYDS BKG GROUP PLC	FMV	1,521,840	1,521,840
MAINSTAYWINSLOWLGCP GWI (MLAIX)	FMV	4,888,553	4,888,553
MATTHEWS JAPAN INSTL (MIJFX)	FMV	1,335,463	1,335,463
METROPOLITAN WEST TOT RET BD I (MWTIX)	FMV	4,032,184	4,032,184
MFB NORTHERN FDS GLOBAL REAL ESTATE	FMV	3,303,811	3,303,811
MFB NORTHERN FUNDS SMALL CAP INDEX FD	FMV	6,324,865	6,324,865
MFC FLEXSHARES TR IBOXX 3 YR TARGET DURATION TIPS INDEX FD	FMV	3,087,701	3,087,701
MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION TIPS INDEX FD	FMV	3,803,954	3,803,954
MFC FLEXSHARES TR STOXX GLOBAL BROAD INFRASTRUCTURE INDEX FD	FMV	3,119,759	3,119,759
MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF	FMV	12,659,770	12,659,770
MFC VANGUARD INTL EQUITY INDEX FDS VANGUARD FTSE ALL WORLD EX US ETF	FMV	15,859,354	15,859,354
MFO PIMCO FDS PAC INVT MGMT SER	FMV	2,272,359	2,272,359
MITSUBISHI UFJ FINL GROUP	FMV	568,446	568,446

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
NATIONAL AUSTRALIA BK LTD ACTING	FMV	2,045,880	2,045,880
NUANCE MID CAP VALUE INSTNL (NMVLX)	FMV	1,011,359	1,011,359
PIMCO INTL BD USD HEDGED I2 (PFBPX)	FMV	916,325	916,325
PROLOGIS INC	FMV	717,180	717,180
ROYAL BK CDA GLOBAL MEDIUM	FMV	1,993,720	1,993,720
ROYAL BK OF CANADA	FMV	255,415	255,415
SANOFI S A FIXED	FMV	569,932	569,932
SHELL INTL FIN	FMV	684,453	684,453
SPDR S&P MICCAP 400 ETF TRUST	FMV	3,150,320	3,150,320
SUMITOMO MITSUI	FMV	1,057,127	1,057,127
TORONTO DOMINION BK	FMV	2,117,100	2,117,100
TORONTO DOMINION BK SR MED TERM	FMV	797,217	797,217
VANGUARD FTSE DEVELOPED MARKETS	FMV	24,371,845	24,371,845
VANGUARD FTSE EMERGING MKTS ETF	FMV	9,596,849	9,596,849
VANGUARD SMALL CAP VALUE ETF	FMV	2,120,389	2,120,389
WESTPAC BKG CORP	FMV	1,533,675	1,533,675
WESTPAC BKG CORP	FMV	1,037,220	1,037,220
WESTPAC BKG CORP	FMV	429,321	429,321

TY 2020 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MICHAEL A. NEUFELD & ASSOC., LLC	95,000	0		95,000

TY 2020 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	11,746,253	10,646,253	10,646,253

TY 2020 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IT SUPPORT	72	0		72
OFFICE AND OTHER EXPENSES	9,434	0		9,434
OFFICE CONSTRUCTION	2,463	0		2,463
PAYROLL PROCESSING	2,720	0		2,720
POSTAGE	663	0		663
PROFESSIONAL DEVELOPMENT	400	0		400
SOFTWARE	44,632	0		44,632
STATE FILING FEES	1,575	0		1,575
WEBSITE DESIGN AND MAINTENANCE	14,725	0		14,725
INTERNET AND TELEPHONE	12,429	0		12,429
DUES & SUBSCRIPTIONS	21,901	0		21,901
FURNITURE & EQUIPMENT	10,151	0		10,151
INSURANCE	31,112	0		31,112

TY 2020 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PROGRAMMATIC RENTAL INCOME	110,038	110,038	110,038

TY 2020 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Description	Amount
CHANGE IN UNREALIZED GAIN ON INVESTMENTS	78,897,406

TY 2020 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANA CLAUDIA SIMONES LIMA - ABRAS CONSULTING	15,000	0		15,000
BANK OF AMERICA PRIVATE BANK - INVESTMENT MANAGEMENT FEES	274,143	274,143		0
MORGAN STANLEY - INVESTMENT MANAGEMENT FEES	59,515	59,515		0
NORTHERN TRUST - INVESTMENT MANAGEMENT FEES	364,712	364,712		0

TY 2020 IRS 990 e-File Render

Name: THE PETER AND CARMEN LUCIA BUCK FOUNDATION INC

EIN: 06-1547852

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	485,000	0		0